

**Know Your Client (KYC)
Application Form (For Individuals Only)**

Place for
Intermediary
Logo

Application No:

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document)

Name A R U N S I S O D I A

Father's/Spouse Name N E H A

2. Gender Male Female B. Marital Status Single Married C. Date of Birth 0 6 0 7 1 9 8 3

3. Nationality Indian Other _____

4. Status Please tick(✓) Resident Individual Non Resident Foreign National (Passport copy mandatory for NRIs & Foreign Nationals)

5. PAN B G E P S 4 7 9 8 G Please enclose a dully attested copy of your PAN Card.

Aadhaar Number, if any _____

6. Proof of Identity submitted for PAN exempt cases Please Tick(✓)

UID (Aadhaar) Passport Voter Id Driving Licence Others _____ Please see guideline 'D' overleaf.

B. Address Details (please see guidelines overleaf)

1. Address for Residence/Correspondence

1 6 6 P I P L I Y A H A N A
Pincode 4 5 2 0 0 1
City/Town/Village I N D O R E
State/Country M A D H Y A P R A D E S H

2. Contact Details

Tel. _____
Phone 9 8 2 6 7 9 8 2 2 4
Email I A F A R U N 0 7 @ G M A I L . C O M

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick(✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence Voter Id Card *Latest Bank A/c Statement/Passbook *Latest telephone Bill(only Land line) *Latest Electricity Bill *Latest Gas Bill other(please verify) _____

*Not more than 3 months old. Validity/Expiry date of proof of address submitted _____

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

1 6 6 P I P L I Y A H A N A
Pincode 4 5 2 0 0 1
City/Town/Village I N D O R E
State/Country M A D H Y A P R A D E S H

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick(✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence Voter Id Card *Latest Bank A/c Statement/Passbook *Latest telephone Bill(only Land line) *Latest Electricity Bill *Latest Gas Bill other(please verify) _____

*Not more than 3 months old. Validity/Expiry date of proof of address submitted _____

6. Any other information: _____

DECLARATION

SIGNATURE OF APPLICANT

I hereby declare that the details furnished above are true and correct to the best of my /our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____

Date: _____

FOR OFFICE USE ONLY

IPV Done on _____

AMC/Intermediary name OR code _____

(Original Verified) Self Certified Document copies received

(Original Verified) Self Certified Document copies received

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organization
Signature
Date

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organization
Signature
Date

INSTRUCTION / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all documents submitted by the applicant should be self-attested. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into english is required.
4. Name and address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proof for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines),copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in absence of DIN no. for the directors. their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC(Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor,photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as proof of Identity:

1. PAN Card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN(listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter Id card/Driving licence.
3. Identity card/document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, statutory/Regulatory Authorities, Public Sector Undertaking, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI,ICSI, Bar council etc.,to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address>(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving Licence/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or gas bill Not more than 3 months.
3. Bank Account Statement/Passbook- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following:Bank managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Office/Notary public/Elected representatives to the legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI,ICSI, Bar council etc.,to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by courts e.g. Official liquidator, Court receiver etc.
2. Investor residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in india.
4. SIP of Mutual Funds upto Rs 50,000/-p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the origin PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents.

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial Banks registered in india, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in country where the client resides are permitted to attest the documents.