



## STATEMENT OF ADDITIONAL INFORMATION

<b>NAME OF MUTUAL FUND:</b> ICICI PRUDENTIAL MUTUAL FUND
<b>NAME OF ASSET MANAGEMENT COMPANY:</b> ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED <b>CORPORATE IDENTITY NUMBER:</b> U99999DL1993PLC054135
<b>NAME OF TRUSTEE COMPANY:</b> ICICI PRUDENTIAL TRUST LIMITED <b>CORPORATE IDENTITY NUMBER:</b> U74899DL1993PLC054134

### INVESTMENT MANAGER ICICI Prudential Asset Management Company Limited

<b>Registered Office:</b> 12 <sup>th</sup> Floor, Narain Manzil, 23, Barakhamba Road, New Delhi – 110 001 <a href="http://www.icicipruamc.com">www.icicipruamc.com</a>	<b>Corporate Office:</b> One BKC, 13 <sup>th</sup> Floor, Bandra Kurla Complex, Mumbai – 400051.	<b>Central Service Office:</b> 2 <sup>nd</sup> Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai – 400 063 Website: <a href="http://www.icicipruamc.com">www.icicipruamc.com</a> , email id: <a href="mailto:enquiry@icicipruamc.com">enquiry@icicipruamc.com</a>
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### TRUSTEE ICICI Prudential Trust Limited

**Registered Office:** 12<sup>th</sup> Floor, Narain Manzil, 23, Barakhamba Road, New Delhi – 110 001

This Statement of Additional Information (SAI) contains details of ICICI Prudential Mutual Fund, its constitution, and certain tax, legal and general information. It is incorporated by reference (is legally a part of the Scheme Information Document).

**This SAI is dated June 30, 2020.**

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## **I. INFORMATION ABOUT SPONSOR, AMC AND TRUSTEE COMPANIES**

### **A. Constitution of the Mutual Fund**

ICICI Prudential Mutual Fund (“the Mutual Fund” or “the Fund”) has been constituted as a Trust in accordance with the provisions of the Indian Trusts Act, 1882 (2 of 1882) as per the Trust Deed dated August 25, 1993 and amended from time to time, with ICICI Bank Limited and Prudential plc as the Sponsors and ICICI Prudential Trust Limited, incorporated under the Companies Act, 1956, as the Trustee. The Trust Deed has been registered under the Indian Registration Act, 1908. The Mutual Fund was registered with SEBI on October 12, 1993 under Registration code MF/003/93/6.

ICICI Bank Ltd. holds 51% of the share capital of the Trustee and Prudential plc, through its wholly owned subsidiary, Prudential Corporation Holdings Ltd., holds 49%.

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### **B. Sponsors**

The Fund is sponsored by ICICI Bank Ltd and Prudential plc (through its wholly owned subsidiary namely Prudential Corporation Holdings Ltd). The Sponsors are the Settlers of the Mutual Fund Trust. ICICI Bank Ltd. contributed Rs. 10 lakhs and Prudential plc, with the approval of Reserve Bank of India, Rs. 12.2 lakhs to the Trustee as the initial contribution towards the corpus of the Mutual Fund.

#### **i. ICICI Bank Limited**

ICICI Bank (“the Bank”) is the one of the largest private sector banks in India in terms of total assets on a consolidated basis at year ended March 31, 2020. ICICI Bank and its subsidiaries offer a wide range of banking and financial services including commercial banking, retail banking, project and corporate finance, working capital finance, insurance, venture capital and private equity, investment banking, broking and treasury products and services. The Bank has international footprints consisting of subsidiaries in the United Kingdom and Canada, branches in the United States, Singapore, Bahrain, Hong Kong, Sri Lanka, Dubai International Finance Centre, South Africa & China and representative offices in the United Arab Emirates, Bangladesh, Malaysia and Indonesia. The UK subsidiary of the Bank has seven branches in UK and one branch in Germany.

ICICI Bank Limited is a publicly held banking company incorporated under the Companies Act of 1956, India. The equity shares of the Bank are listed on Bombay Stock Exchange and National Stock Exchange; while the ADS are listed on New York stock exchange.

#### **Financial Performance of ICICI Bank Limited on unconsolidated basis (past three years): (Rs. In Crores)**

<b>Particulars</b>	<b>Year ended March 31, 2018</b>	<b>Year ended March 31, 2019</b>	<b>Year ended March 31, 2020</b>
Net Worth (Equity capital plus Free reserves)	105,158.94	103,828.01	111,733.23
Total Income	72,385.52	77,913.36	91,246.94
Profit After Tax	6,777.42	3,363.30	7,930.81

Particulars	Year ended March 31, 2018	Year ended March 31, 2019	Year ended March 31, 2020
Assets under Management	NA	NA	NA

## ii. Prudential plc

Prudential plc is an Asia-led portfolio of businesses focused on structural growth markets. The business helps individuals to de-risk their lives and deal with their biggest financial concerns through life and health insurance, and retirement and asset management solutions. Prudential plc has 20 million customers and is listed on stock exchanges in London, Hong Kong, Singapore and New York. Prudential plc is not affiliated in any manner with Prudential Financial, Inc. a company whose principal place of business is in the United States of America, nor with the Prudential Assurance Company, a subsidiary of M&G plc, a company incorporated in the United Kingdom.

A brief Group overview is stated below:

### Prudential Corporation Asia

Asia's long-term structural trends are powerful drivers of sustainable growth. These trends underpin a strong and growing demand for savings and protection across the region, as markets are challenged by low life insurance penetration and a large pension funding gap. Prudential Corporation Asia are well placed to capture this opportunity, providing products that meet our customers' needs and gaining political and regulatory support in our markets.

### Africa

They have also continued to expand their presence in Africa, one of the world's most under penetrated markets where the population is forecast to grow by a billion by 2045. In July, they completed our acquisition of a 51 per cent stake in a leading life insurer, Group Beneficial, operating in West and Central Africa. They now operate in eight markets with a population of almost 400 million.

### US

In the US, an extra 22 million individuals will need retirement solutions by 2035, and pension provision has been declining. They see a growing demand for retirement products and an ongoing shift to fee-based solutions. Jackson is evolving its product range to address these needs.

### Financial Performance (past three years):

Description	Year ended December 31		
	2017 (GBP in million)	2018 (USD in million)	2019 (USD in million)
Total revenue, net of reinsurance	86,390	35,845	93,736
Profit After Tax and minority interests	2,389	4,023	792
Shareholder Equity	16,087	21,968	19,477
Assets under Management (£ billion)	669	455	543

### C. The Trustee

ICICI Prudential Trust Limited (the Trustee), through its Board of Directors, shall discharge its obligations as trustee of the ICICI Prudential Mutual Fund. The Trustee ensures that the transactions entered into by the AMC are in accordance with the SEBI Regulations and will also review the activities carried on by the AMC.

ICICI Bank Ltd. holds 51% of the share capital of the Trustee and Prudential plc, through its wholly owned subsidiary, Prudential Corporation Holdings Ltd., holds 49%.

#### i. Details of Trustee Directors:

Name	Age/Qualification	Brief Experience
Mr. P. H. Ravikumar (DIN: 00280010)	68 Years/ Bachelor's Degree in Commerce, Osmania University, Senior Diploma in French, Osmania University, Certified Associate from Indian Institute of Bankers (CAIIB), Certified Associate from Chartered Institute of Bankers, London, and Honorary Fellow of Chartered Institute of Securities and Investments, U.K.	<p>Mr. P. H. Ravikumar is a commerce graduate and is an associate of Indian Institute of Bankers, Mumbai and also an associate of Chartered Institute of Bankers, London. He is an 'Honorary Fellow' of The Chartered Institute for Securities &amp; Investment, UK.</p> <p>Mr. Ravikumar has 48 years of financial sector experience with exposure at top/senior management levels. He had a professional banking career of over 33 years with the Bank of India and the ICICI Group. He was also the founding MD &amp; CEO of NCDEX Ltd., of Invent Assets Securitisation &amp; Reconstruction Private Limited (an ARC regulated by RBI) and a co-founder and Chairman of Vastu Housing Finance Corporation Ltd. (an affordable housing finance company). He was also the Chairman of Bharat Financial Inclusion Ltd. (formerly known as SKS Microfinance Ltd.) for about 8 years till its merger with IndusInd Bank Ltd. in July 2004.</p> <p>Mr. Ravikumar is also a member of the Board of Directors (the first non-European to be a Director) of Chartered Institute of Securities and Investments (CISI) U.K. which is a not-for-profit organization operating in over 35 countries under U.K's Royal Charter involved in skill development in financial markets particularly in securities markets, wealth management, financial integrity and risk management.</p> <p>In past, he has been a Director on the Boards of The Federal Bank Ltd, Fedbank Financial Services Limited, L&amp;T Investment Management</p>

Name	Age/Qualification	Brief Experience
		<p>Co. Ltd. (mutual fund), BOB Capital Markets Ltd. (a merchant banking subsidiary of Bank of Baroda) and Eveready Industries Ltd., I G Petrochemicals Ltd., etc.</p> <p>Mr. Ravikumar is presently serving as director on Boards of several listed and unlisted Companies.</p>
Mr. Jyotin Mehta (DIN:00033518)	62 Years/ B.Com. FCA, FCMA, FCS	<p>Mr. Jyotin Mehta holds a Bachelor's Degree in Commerce from University of Bombay and is also a fellow member of the Institute of Chartered Accountants of India, the Institute of Company Secretaries of India and the Institute of Cost Accountants of India.</p> <p>Mr. Jyotin Mehta has served as Vice President and Chief of Internal Audit upto February 2018 in Voltas Limited. In his career, Mr. Mehta has held senior management position in TATA, ICICI and Shell group of Companies.</p> <p>Mr. Mehta has rich experience in the areas of Corporate finance, Internal Audit, corporate governance, risk and controls, Company law and legal and regulatory compliance and customer service.</p> <p>Mr. Jyotin Mehta is also a visiting faculty at leading management schools in India, like Welingkar Institute of Management. He is also an advisor in the domain of Governance, Risk Management and Compliance (GRC).</p> <p>Mr. Jyotin Mehta is currently the Director on the Board of Monnet Ispat And Energy Limited, Linde India Limited, Suryoday Small Finance Bank Limited, Ask Investment Managers Limited, Ask Property Investment Advisors Private Limited, Ask Financial Holdings Private Limited, ICICI Prudential Pension Funds Management Company Limited, Mahindra Rural Housing Finance Limited and Mahindra Insurance Brokers Limited. He is also the Chairman of Monnet Ispat &amp; Energy Limited.</p>
Mr. Ranganayakulu Jagarlamudi (DIN: 08153627)	59 Years/ Bachelor in Commerce and Law, Masters in Political Science	Mr. Ranganayakulu was the Executive Director (Legal), Securities and Exchange Board of India from August 14, 2008 - August 11, 2017. He was instrumental in bringing various regulations and

Name	Age/Qualification	Brief Experience
	and Constitutional law and Masters in International Law and Economics	<p>defending high profile enforcement actions, before Securities Appellate Tribunal, High Courts and Supreme Court. He joined SEBI in 1997 as a Division Chief. Prior to that, he worked for ten years as Law Officer with HUDCO, Government of India enterprise. He was proactively involved in financing various housing, social and urban infrastructure projects.</p> <p>Mr. Ranganayakulu has presented several papers on issues relating to securities market in national and international forums. He was on the Governing Board of Indian Institute of Capital Markets and was a member of various advisory committees of SEBI, including committees which framed Takeover Code and Insider Trading Regulations. He was a member of Indian delegation to UNIDROIT Geneva Securities Convention and has served as a member of Justice Lodha Committee in the matter of PACL Limited.</p> <p>Mr. Ranganayakulu is currently a member of Advisory Committee on Service Providers of Insolvency and Bankruptcy Board of India and of Disciplinary Action Committee of National Stock Exchange of India. Mr. Ranganayakulu is on a part time retainer with Economic Laws Practice, Advocate and Solicitors and on a retainer with Apollo Tyres Limited. He is also enrolled with Bar council of Maharashtra &amp; Goa and is practicing law.</p>
Mr. Lakshman Kumar Mylavarapu (DIN:07618051)* *	68 years, MBA (Finance), Master in Commerce, CAIIB and ACS	Mr. Lakshman Kumar completed his MBA in Finance and a Post Graduate Diploma in Public Enterprise Management. He is also an Associate Member of the Institute of Company Secretaries of India and Indian Institute of Bankers. Mr. Kumar joined Prudential in 1998 as Senior Vice President – Finance and Compliance, and as a Company Secretary at ICICI Prudential Asset Management Company Limited in India. He then headed the Risk Management and Compliance functions at Prudential Corporation Asia, Hong Kong upto June 2006 where he was responsible for implementing Prudential Group Compliance and Risk management and ensuring Regulatory Compliance for Asset Management businesses of Prudential Group in Asia.

Name	Age/Qualification	Brief Experience
		<p>He was the Regional Head of Risk Management, Compliance and Corporate Governance for Eastspring Investments, the asset management business of Prudential Corporation Asia from July 2006 to October 2013.</p> <p>Mr. Kumar was also under employment with Prudential Asian Asset Management business till March 31, 2014 and he was responsible for overseeing all matters relating to regulatory Compliance, operational and Investment Risk Management and Corporate Governance of Prudential Corporation Asia's Fund Management Businesses across Asia and Middle East.</p> <p>Mr. Kumar is currently the Director on the Board of Jiva Health Insurance Limited and a Senior Consultant at Ingenia Consultants Pte Ltd, Singapore (earlier known as Maroon Consultants Pte Ltd), Compliance and Risk Management Consultancy Practice.</p>
<p>Mr. Pramod Rao* (DIN: 02218756)</p>	<p>46 Years/ B.A. LL.B (Hons)</p>	<p>Mr. Pramod Rao joined ICICI Bank as its Group General Counsel on August 16, 2018, to oversee the legal function for the ICICI group.</p> <p>Previously, Mr. Pramod had served as General Counsel for Citi India cluster and was responsible for the Legal and Secretarial functions within Citi India cluster (incorporating Bangladesh and Sri Lanka). He also oversaw Citi Security and Investigative Services (CSIS), and chaired the Citi India Diversity Council and was a member of various internal management and oversight committees.</p> <p>Prior to his professional journey with Citi India, Mr. Rao worked with Induslaw, a law firm as a resident partner, overseeing its Banking and Finance practice and was instrumental to setting up the firm's office in Mumbai.</p> <p>Prior to Induslaw, Mr. Rao worked with ICICI Bank for over 15 years. He joined the erstwhile Industrial Credit &amp; Investment Corporation of India Ltd (ICICI, which subsequently in a reverse merger became ICICI Bank) upon obtaining B.A.LL.B (Hons) degree from the National Law</p>



Name	Age/Qualification	Brief Experience
		<p>School of India University, Bangalore (NLSIU) after a brief stint at Mulla and Mulla Craigie Blunt &amp; Caroe. He then rose to become the General Counsel of ICICI Bank and served in that capacity until April 2012. During the course of his career at ICICI Bank, Mr. Rao has overseen the legal function for all segments of ICICI Bank. During his time there, he also advised the Board of Directors and various Board constituted committees and served on the Board of Directors of various ICICI group companies.</p> <p>Mr. Rao presently serves as a member of Board of Directors of ICICI Securities Ltd and ICICI Trusteeship Services Ltd. Mr. Rao also serves as a member of the Legal &amp; Banking Operations Committee of Indian Banks' Association (IBA) and the National Committee for Regulatory Affairs constituted by the Confederation of Indian Industry (CII).</p> <p>Mr. Rao is the Chairman of the Fund Raising and Resource Mobilization Committee of IDIA – Increasing Diversity by Increasing Access – an NGO dedicated in assisting students from underprivileged background entering premier law schools in India. He is also a member of the Governing Board of the NLSIU Alumni Association.</p>

\* Mr. Pramod Rao is a Nominee Director of ICICI Bank Limited on the Board of ICICI Prudential Trust Limited.

\*\* Mr. Lakshman Kumar Mylavarapu is a Nominee Director of Prudential Corporation Holdings Limited on the Board of ICICI Prudential Trust Limited.

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## **ii. The responsibilities and duties of the Trustee**

### **Rights, Obligations, Responsibilities and Duties of the Trustee under the Trust Deed and the SEBI (MF) Regulations**

Pursuant to the Trust Deed dated August 25, 1993, constituting the Mutual Fund, and in terms of the SEBI (MF) Regulations, the rights, obligations, responsibilities and duties of the Trustee are as under:

1. The trustees and the asset management company shall with the prior approval of SEBI enter into an investment management agreement.

2. The investment management agreement shall contain such clauses as are mentioned in the Fourth Schedule and such other clauses as are necessary for the purpose of making investments.
3. The trustees shall have a right to obtain from the asset management company such information as is considered necessary by the trustees.
4. The trustees shall ensure before the launch of any scheme that the asset management company, has,—
  - a) systems in place for its back office, dealing room and accounting;
  - b) appointed all key personnel including fund manager(s) for the scheme(s) and submitted their bio-data which shall contain the educational qualifications, past experience in the securities market with the trustees, within 15 days of their appointment;
  - c) appointed auditors to audit its accounts;
  - d) appointed a compliance officer who shall be responsible for monitoring the compliance of the Act, rules and regulations, notifications, guidelines, instructions, etc., issued by SEBI or the Central Government and for redressal of investors grievances;
  - e) appointed registrars and laid down parameters for their supervision;
  - f) prepared a compliance manual and designed internal control mechanisms including internal audit systems;
  - g) specified norms for empanelment of brokers and marketing agents;
  - h) obtained, wherever required under these regulations, prior in principle approval from the recognised stock exchange(s) where units are proposed to be listed.
5. The trustees shall ensure that an asset management company has been diligent in empanelling the brokers, in monitoring securities transactions with brokers and avoiding undue concentration of business with any broker.
6. The trustees shall ensure that the asset management company has not given any undue or unfair advantage to any associates or dealt with any of the associates of the asset management company in any manner detrimental to interest of the unitholders.
7. The trustees shall ensure that the transactions entered into by the asset management company are in accordance with the Regulations and the scheme.
8. The trustees shall ensure that the asset management company has been managing the mutual fund schemes independently of other activities and have taken adequate steps to ensure that the interest of investors of one scheme are not being compromised with those of any other scheme or of other activities of the asset management company.
9. The trustees shall ensure that all the activities of the asset management company are in accordance with the provisions of the Regulations.
10. Where the trustees have reason to believe that the conduct of business of the mutual fund is not in accordance with these regulations and the scheme they

shall forthwith take such remedial steps as are necessary by them and shall immediately inform the Board of the violation and the action taken by them.

11. Each trustee shall file the details of his transactions of dealing in securities with the Mutual Fund on a quarterly basis.
12. The trustees shall be accountable for, and be the custodian of, the funds and property of the respective schemes and shall hold the same in trust for the benefit of the unitholders in accordance with the Regulations and the provisions of trust deed.
13. The trustees shall take steps to ensure that the transactions of the mutual fund are in accordance with the provisions of the trust deed.
14. The trustees shall be responsible for the calculation of any income due to be paid to the mutual fund and also of any income received in the mutual fund for the holders of the units of any scheme in accordance with the Regulations and the trust deed.
15. The trustees shall obtain the consent of the unitholders—
  - a) whenever required to do so by SEBI in the interest of the unitholders; or
  - b) whenever required to do so on the requisition made by three-fourths of the unitholders of any scheme; or
  - c) when the majority of the trustees decide to wind up or prematurely redeem the units.
16. The trustees shall ensure that no change in the fundamental attributes of any scheme or the trust or fees and expenses payable or any other change which would modify the scheme and affects the interest of unitholders, shall be carried out unless,
  - i) written communication about the proposed change is sent to each unitholder and an advertisement is given in one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of region where the Head Office of the mutual fund is situated; and
  - ii) the unitholders are given an option to exit at the prevailing Net Asset Value without any exit load.
17. The trustees shall call for the details of transactions in securities by the key personnel of the asset management company in his own name or on behalf of the asset management company and shall report to the Board, as and when required.
18. The trustees shall quarterly review all transactions carried out between the mutual funds, asset management company and its associates.
19. The trustees shall quarterly review the networth of the asset management company and in case of any shortfall, ensure that the asset management

company make up for the shortfall as per clause (f) of sub-regulation (1) of regulation 21.

20. The trustees shall periodically review all service contracts such as custody arrangements, transfer agency of the securities and satisfy itself that such contracts are executed in the interest of the unitholders.
21. The trustees shall ensure that there is no conflict of interest between the manner of deployment of its networth by the asset management company and the interest of the unitholders.
22. The trustees shall periodically review the investor complaints received and the redressal of the same by the asset management company.
23. The trustees shall abide by the Code of Conduct as specified in the Fifth Schedule.
24. The trustees shall furnish to SEBI on a half-yearly basis,
  - a) a report on the activities of the mutual fund;
  - b) a certificate stating that the trustees have satisfied themselves that there have been no instances of self-dealing or front running by any of the trustees, directors and key personnel of the asset management company;
  - c) a certificate to the effect that the asset management company has been managing the schemes independently of any other activities and in case any activities of the nature referred to in clause (b) of regulation 24 have been undertaken by the asset management company and has taken adequate steps to ensure that the interests of the unitholders are protected.
25. The independent trustees referred to in sub-regulation (5) of regulation 16 shall give their comments on the report received from the asset management company regarding the investments by the mutual fund in the securities of group companies of the sponsor.
26. No amendments to the Trust Deed shall be carried out without the prior approval of SEBI and Unitholders approval/ consent will be obtained where it affects the interests of Unitholders as per the procedure / provisions laid down in the Regulations.
27. Notwithstanding anything contained in sub-regulations (1) to (25), the trustees shall not be held liable for acts done in good faith if they have exercised adequate due diligence honestly.
28. The independent directors of the trustees or asset management company shall pay specific attention to the following, as may be applicable, namely:—
  - ii) the Investment Management Agreement and the compensation paid under the agreement,

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- iii) service contracts with affiliates, whether the asset management company has charged higher fees than outside contractors for the same services,
- iv) selections of the asset management company's independent directors,
- v) securities transactions involving affiliates to the extent such transactions are permitted,
- vi) selecting and nominating individuals to fill independent directors vacancies,
- vii) code of ethics must be designed to prevent fraudulent, deceptive or manipulative practices by insiders in connection with personal securities transactions,
- viii) the reasonableness of fees paid to sponsors, asset management company and any others for services provided,
- ix) principal underwriting contracts and their renewals,
- x) any service contract with the associates of the asset management company.

### **iii. Specific and General due diligence**

#### **A. Specific due diligence:**

The Trustees shall:

- i) obtain internal audit reports at regular intervals from independent auditors appointed by the Trustees,
- ii) obtain compliance certificates at regular intervals from the asset management company,
- iii) hold meeting of trustees more frequently,
- iv) consider the reports of the independent auditor and compliance reports of asset management company at the meetings of trustees for appropriate action,
- v) maintain records of the decisions of the Trustees at their meetings and of the minutes of the meetings,
- vi) prescribe and adhere to a code of ethics by the Trustees, asset management company and its personnel,
- vii) communicate in writing to the asset management company of the deficiencies and checking on the rectification of deficiencies.

#### **B. General Due Diligence :**

- i) The Trustees shall be discerning in the appointment of the directors on the Board of the asset management company.
- ii) Trustees shall review the desirability or continuance of the asset management company if substantial irregularities are observed in any of the schemes and shall not allow the asset management company to float new schemes.

- iii) The Trustee shall ensure that the trust property is properly protected, held and administered by proper persons and by a proper number of such persons.
- iv) The Trustee shall ensure that all service providers are holding appropriate registrations from the Board or concerned regulatory authority.
- v) The Trustees shall arrange for test checks of service contracts.
- vi) Trustees shall immediately report to SEBI of any special developments in the mutual fund.

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During the period, April 01, 2019 to March 31, 2020, nine (9) meetings of the Directors of the Trustees were held. The Trustee's supervisory role is discharged by reviewing the information and the operations of the Fund, based on reports submitted at the Board Meetings of the Trustee, by reviewing the reports being submitted by the Internal Auditor and the bi-monthly, quarterly and half-yearly compliance reports. The Trustees also discuss the matters arising from the half yearly and annual financial statements with the Statutory Auditors of the Fund.

#### **D. Asset Management Company (AMC)**

ICICI Prudential Asset Management Company Limited ("the AMC"/ "ICICI Prudential AMC"), a company incorporated under the Companies Act, 1956 on June 22, 1993 having its Registered Office at 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi – 110 001. The AMC has been appointed as the Asset Management Company of the ICICI Prudential Mutual Fund by the Trustee vide Investment Management Agreement dated September 03, 1993 executed between ICICI Prudential Trust Ltd. and ICICI Prudential Asset Management Company Ltd.

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The AMC is also engaged in portfolio management services (PMS) since October 2000 under SEBI Registration No. INP000000373. The AMC is also rendering Non-binding Advisory Services for such categories of SEBI registered foreign portfolio investors (FPIs) which are listed in SEBI Circular No. SEBI/HO/IMD/DF2/CIR/P/2019/155 dated December 16, 2019. The AMC is also providing investment management services to Alternative Investment Funds registered under SEBI (Alternative Investment Funds) Regulations, 2012. Further, the AMC shall also provide investment management services, including dealing services to Offshore Funds from India in accordance with Regulation 24(b) of SEBI (Mutual Funds) Regulation, 1996. The AMC has a common research team. These activities are not in conflict with the activities of the Mutual Fund. In the situations of unavoidable conflicts of interest, the AMC undertakes that it shall satisfy itself that adequate disclosures are made of sources of conflict, potential material risk or damage' to investor interest and develop parameters for the same.

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ICICI Bank Ltd. holds 51% of the share capital of the AMC and Prudential plc, through its wholly owned subsidiary, Prudential Corporation Holdings Ltd., holds 49%.

**i. Details of AMC Directors:**

<b>Name</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
<p>Mr. Anup Bagchi (DIN: 00105962) ^</p>	<p>49 Years/ B. Tech and PGDM.</p>	<p>Mr. Anup Bagchi is an Executive Director of ICICI Bank effective February 1, 2017. Prior to this, Mr. Bagchi was the Managing Director &amp; CEO of ICICI Securities Limited (I-Sec), where he led capital raising by corporates. Under his leadership, the I-sec won the prestigious Outlook Money - India's Best e-Brokerage House for seven consecutive years. I-sec also won the CNBC Awaaz Consumer Award for the Most Preferred Brand of Financial Advisory Services.</p> <p>Mr. Bagchi joined the ICICI Group in 1992 and has worked in the areas of retail banking, corporate banking and treasury. Mr. Bagchi has been honoured with The Asian Banker Promising Young Banker Award.</p>
<p>Mr. Sandeep Batra (DIN: 03620913) ^</p>	<p>54 Years/ B. Com, F.C.A and A.C.S.</p>	<p>Sandeep Batra has been working with the ICICI Group for the last 19 years and is currently the President – Corporate Centre at ICICI Bank.</p> <p>As President – Corporate Centre Mr. Batra oversees the risk, internal audit, financial crime prevention, compliance, secretarial, technology, corporate communication and operation functions at the bank. As part of the executive committee, Mr. Batra also oversees Legal function, Human Resources function and Infrastructure Management Group for the Bank. He is also on the boards of several ICICI Group companies like ICICI Prudential Life Insurance Company Ltd, ICICI Lombard General Insurance Company Ltd, ICICI Prudential Asset Management Company Limited and ICICI Bank UK, ICICI Venture Fund Management Company.</p> <p>Mr. Batra has been a founder member of the ICICI Prudential Life Insurance team and has worked with the organization as its Chief Financial Officer from September 2000 till 2006 till he joined ICICI Bank as its Group Compliance Officer.</p> <p>Mr. Batra rejoined ICICI Prudential Life Insurance as its Executive Director and a member of its board in 2014. At ICICI Prudential Life Insurance</p>

		<p>he oversaw the finance, investments, actuarial, and risk and compliance functions. He was also instrumental in leading the company to India's first IPO in the insurance space.</p> <p>Mr. Batra is a Chartered Accountant (1987) and a Company Secretary (1993).</p>
<p>Mr. Bernard Teo (DIN: 08584418) ^ ^</p>	<p>47 years/ Bachelor of Arts in Philosophy, Politics and Economics and Master of Business Administration</p>	<p>Bernard Teo is Executive Chairman of TMBAM Eastspring and Thanachart Fund Eastspring. He is a member of the Eastspring Management Committee.</p> <p>Bernard also oversees Eastspring's three joint ventures – ICICI-Pru, CITIC-Pru and BOCI-Pru – and the development of Eastspring's wholly foreign-owned enterprise in China. This sees him focus on maximising growth opportunities in the India and China markets.</p> <p>Bernard joined Eastspring as Head of Corporate Strategy, Mergers &amp; Acquisitions in September 2016 and led the acquisition of a 65% stake in TMB Asset Management in September 2018 and the acquisition of a 50.1% stake in Thanachart Fund in 2019.</p> <p>He took up his current role in August 2019, with responsibility for integrating TMBAM Eastspring and Thanachart Fund Eastspring into the broader Eastspring business.</p> <p>Prior to Eastspring, Bernard worked in the investment banking industry, focusing on financial institutions. He spent 12 years at Goldman Sachs, where he was a Managing Director and Co-Chief Operating Officer of Asia Pacific (excluding Japan) for the Financial Institutions Group (FIG) and Head of China FIG. Bernard was responsible for clients in Greater China and South East Asia and co-managed a group of 50 APxJ FIG bankers. Before this, Bernard was with Lehman Brothers/Nomura International, where he was Senior Vice President and Managing Director of the Financial Institutions Group in the Investment Banking Division.</p>
<p>Mr. Nimesh</p>	<p>49 years, B.Com,</p>	<p>Mr. Nimesh Shah joined the Company in July</p>



<p>Shah (DIN: 01709631)</p>	<p>ACA, and AICWA</p>	<p>2007 as Managing Director and Chief Executive Officer. He has been instrumental in laying a foundation for building a superior asset management firm with a process-driven approach that is aligned to the interest of the investors. His focus has always been on investor centricity, consistent investment performance, maintaining high levels of transparency and disclosures besides sticking to basics.</p> <p>Under his leadership, the AMC has been winning several fund level awards. He was also conferred with the CEO of the Year – 2014 in Asset Management - India for his remarkable contribution. Recently, Global Banking &amp; Finance review honored him with the Best Asset Management CEO India – 2017. During his leadership, ICICI Prudential Mutual Fund became the largest mutual fund in India and the Company has grown in stature as an equity fund manager while continuing to do well in the debt segment.</p> <p>Mr. Nimesh Shah has over 28 years of experience in the banking and financial services industry. Prior to his current role, he was serving the ICICI Group as a Senior General Manager at ICICI Bank where he held several key roles in project finance, corporate banking and international banking functions.</p> <p>Mr. Nimesh Shah is also a Director of the Mutual Fund industry trade body AMFI and the Member of the Financial Literacy Committee of AMFI.</p>
<p>Mr. Sankaran Naren (DIN: 07498176)</p>	<p>54 years, B.Tech from IIT Chennai and PGDM from IIM Kolkata</p>	<p>Mr. Sankaran Naren has been associated with the AMC since October 2004. He oversees the entire investment function across the Mutual Fund and the International Advisory Business of the Company.</p> <p>Mr. Naren joined the AMC in 2004 as fund manager and has worked in various capacities in the investment function culminating in his taking over as the Chief Investment Officer. Under his leadership, the AMC has been able to build strong processes in investments resulting in strong and sustainable performance across product categories. He currently manages some of flagship schemes of the ICICI Prudential Mutual Fund.</p>

		<p>Mr. Sankaran Naren has rich experience of around 30 years in almost all spectrum of the financial services industry ranging from investment banking, fund management, equity research, and stock broking operations.</p> <p>During his career, he has also worked with organizations such as Refco Sify Securities India Pvt. Ltd, HDFC Securities Ltd, and Yoha Securities in various capacities.</p>
<p>Mr. Suresh Kumar (DIN: 00494479)</p>	<p>69 years, B.Com, Post Graduate investment management programme conducted jointly by the Stanford University and the London School of Business, Advanced Management Programme at the Columbia Business School.</p>	<p>After graduating from the Sydenham College of Commerce &amp; Economics of the University of Bombay with a Bachelor of Commerce (Honors) degree in 1971. Mr. Kumar is also a fellow of the Indian Institute of Bankers. In commendation of his academic achievements, he has been the recipient of many prestigious awards including the Rotary International Scholarship (1977) tenable in California (U.S.A.) and the Lord Aldington Banking Fellowship (1978).</p> <p>Mr. Kumar began his long and illustrious career in banking as Probationary Officer and Manager at the Iron Ore Division (Overseas Branch) of State Bank of India, Mumbai in 1972. Later he took on the role of Senior Treasury and General Management positions with the Government of Dubai projects.</p> <p>Thereafter he became a Member of the Senior Management and Executive Committee (ExCo) of the Emirates Bank Group. While with the Group, between 1985 and 2012 he successively headed the corporate banking, remedial credit management, treasury &amp; capital markets, asset management and investment banking companies as the General Manager, as well as CEO and Board Member in the Emirates NBD Group.</p> <p>He retired in April 2012 and accepted a number of governance positions, including as Chairman of Federal Bank Ltd., IDBI Federal Life Insurance Company Ltd. and FedBank Financial Services Ltd.</p> <p>Mr. Kumar continues to serve on the Boards of a</p>

		few Indian listed and unlisted entities – both in India and in the UAE.
Ms. Lakshmi Venkatachalam (DIN: 00520608)	66 years, Masters in English, Post – Graduation in Economics and in Business Administration from Boston University, U.S.A and Diploma in French from the Alliance Francaise.	<p>Ms Lakshmi Venkatachalam, has over three decades of experience in the public sector and more recently, in development banking. She joined the Indian Administrative Service (IAS) in 1978 and held many senior management positions in the areas of District Administration, Public Works, Agriculture (Commodities) Urban Development, Industry and Commerce, Planning and Program Implementation and Shipping.</p> <p>Some of the important senior positions she held in the State Government of Karnataka included, Commissioner of the Bangalore Development Authority, Principal Secretary in the departments of Industry, Urban Development, Planning and Program Implementation. Her assignments in the Government of India were in the capacity of Director, Ministry of Steel, Chairperson Coffee Board of India, and Director General of Shipping and ex- officio Additional Secretary to the Government of India.</p> <p>From 2010 to 2015, Ms Venkatachalam served in the Asian Development Bank ( ADB) – a regional multilateral institution based in Manila, Philippines- as the first Vice President for Private Sector and Cofinancing Operations . In this capacity, she was entrusted with overall responsibility to lead and manage ADB’s private sector operations in the Asia Pacific region. She was also responsible for building and strengthening cofinancing partnerships with various official and commercial cofinanciers, with a view to mobilising investment capital for public and private sector projects.</p> <p>After her retirement from public service, Ms. Venkatachalam is engaged in various capacities, including being an independent director on the boards of companies.</p>
Mr. Ved Prakash Chaturvedi (DIN: 00030839)	54 years, Bachelor of Engineering, PGDM (IIM Bangalore)	Mr. Ved Prakash Chaturvedi is an Engineer and an PGDM from IIM Bangalore. He was a member of the founding team at CRISIL. Mr. Chaturvedi has been associated with Indian capital market for more than 30 years.

		<p>During 2002 to 2010 he held the position of Managing Director and Chief Executive officer of Tata Asset Management Limited. Further, from 2011 to 2014 he held position as Member of the Management Council of L&amp;T Finance Holdings Limited and Board member of L&amp;T Investment Management Limited.</p> <p>During his career he has been involved in building investment management and asset management businesses both organically and through acquisitions. The rapid growth of the Tata Mutual Fund business and later, the acquisition of Fidelity Fund Management India business by L&amp;T Investment Management Limited have been notable achievements of his leadership while he served the respective companies.</p> <p>He has also served on the Board of the Association of Mutual Funds in India. He has been a founding Board member of the Financial Planning Standards Board in India and has also served the Confederation of Indian Industry and several other regulatory committees including the mutual fund advisory committee of SEBI.</p> <p>He is presently the partner of Kalyon Advisors LLP.</p>
Mr. Dilip Karnik (DIN: <a href="#">06419513</a> )	70 years, B.Sc and LLB	<p>Mr. Dilip Karnik has completed Bachelor of Science from University of Pune in the year 1969 and is also a Gold medalist in Law from University of Pune. He was elevated as Additional Judge of Hon'ble Bombay High Court on October 12, 2001 and was sworn in as a permanent Judge on October 4, 2004. He retired on May 9, 2012 and is presently practicing as Arbitration and Legal Consultant.</p> <p>Mr. Karnik is currently on the Boards of ICICI Securities Primary Dealership Limited, ICICI Prudential Life Insurance Company Limited, Birla Corporation Limited, Vindhya Telelinks Limited and Universal Cables Limited.</p>
Mr. Naved Masood (DIN: 02126497)*	65 years, B. Sc (Hons), LLB (Hons)	Mr. Naved Masood was in the Indian Administrative Service and he retired as the Secretary to the Government of India in the

		<p>Ministry of Corporate Affairs in February 2015. He has also served as a Member on the Board of Securities and Exchange Board of India (SEBI). Mr. Masood has served as the Public Interest Director on the Board of the National Stock Exchange of India Limited from July 2016 to February 2020.</p> <p>During his tenure in the Ministry of Corporate Affairs, Mr. Masood oversaw drafting, enactment and initialization of the Companies Act 2013. He was also responsible for development of Corporate Governance framework under the Companies Act and its implementation. He played a lead role in developing the Competition Policy and Rules and setting up of the Indian Institute of Capital Markets.</p> <p>Mr. Naved Masood while serving as Member on the Board of SEBI, was actively involved in matters concerning running of the listed companies and SEBI-regulated entities like Stock Brokers, Mutual Funds, Foreign Portfolio Investors, and Private Equity etc. He had also actively contributed to the evolution and amendments of SEBI policies on Takeover Regulations, Insider Trading Regulations, Alternative Investment Funds, ESOPs and corporatization of Stock Exchanges etc.</p>
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^ Mr. Anup Bagchi and Mr. Sandeep Batra are Nominee Directors of ICICI Bank Limited on the Board of ICICI Prudential Asset Management Company Limited.

^ ^ Mr. Bernard Teo is a Nominee Director of Prudential Corporation Holdings Limited on the Board of ICICI Prudential Asset Management Company Limited.

\* Mr. Naved Masood was appointed as Independent Director of the ICICI Prudential Asset Management Company Limited w.e.f. May 2, 2020.

\*\*Mr. C.R. Muralidharan ceased to be the Director of ICICI Prudential Asset Management Company Limited w.e.f. May 20, 2020 pursuant to completion of his tenure.

## ii. Powers, Duties and Obligations of the AMC

### A Powers of the AMC

The AMC, in the course of managing the affairs of the Mutual Fund, has the power, inter-alia:

- a) to invest in, acquire, hold, manage or dispose of all or any securities and to deal with, engage in and carry out all other functions and to transact all business pertaining to the Fund;
- b) to keep the moneys belonging to the Trust with scheduled banks and Custodians

- as it may deem fit;
- c) to issue, sell and purchase Units under any Scheme;
  - d) to repurchase the Units that are offered for repurchase and hold, reissue or cancel them;
  - e) to formulate strategies, lay down policies for deployment of funds under various Schemes and set limits collectively or separately for privately placed debentures, unquoted debt instruments, utilized debts and other forms of variable securities which are to form part of the investments of the Trust Funds;
  - f) to arrange for investments, deposits or other deployment as well as disinvestment or refund out of the
  - g) Trust Funds as per the set strategies and policies;
  - h) to make and give receipts, releases and other discharges for moneys payable to the Trust and for the claims and demands of the Trust;
  - i) to get the Units under any scheme listed on any one or more stock exchanges in India or abroad;
  - j) to open one or more bank accounts for the purposes of the Fund, to deposit and withdraw money and fully operate the same;
  - k) to pay for all costs, charges and expenses, incidental to the administration of the Trust and the management and maintenance of the Trust property, Custodian and/or any other entities entitled for the benefit of the Fund, audit fee, management fee and other fees;
  - l) to furnish compliance reports to the Trustees as prescribed by SEBI;
  - m) to provide or cause to provide information to SEBI and the Unitholders as may be specified by SEBI and
  - n) to generally do all acts, deeds, matters and things which are necessary for any object, purpose or in relation to the ICICI Prudential Mutual Fund in any manner or in relation to any scheme of the ICICI Prudential Mutual Fund.

The Asset Management Company shall maintain high standards of integrity and fairness in all their dealings and in the conduct of their business.

The Asset Management Company shall render at all times high standards of service, exercise due diligence, ensure proper care and exercise independent professional judgment.

The independent directors of the Asset Management Company shall pay specific attention to the following as may be applicable, namely:

- i. The Investment Management Agreement and the compensation paid under the agreement.
- ii. Service contracts with affiliates – whether the company has charged higher fees than outside contractors for the same services.
- iii. Securities transactions involving affiliates to the extent such transaction are permitted.
- iv. Code of ethics must be designed to prevent fraudulent, deceptive or manipulative practices by insiders in connection with personal securities transactions.
- v. The reasonableness of fees paid to sponsors, asset management company and any others for services provided.
- vi. Principal underwriting contracts and renewals
- vii. Any service contracts with the associates of the company.

## **Duties and Obligations of the AMC**

- (1) The asset management company shall take all reasonable steps and exercise due diligence to ensure that the investment of funds pertaining to any scheme is not contrary to the provisions of the Regulations and the trust deed.
- (2) The asset management company shall exercise due diligence and care in all its investment decisions as would be exercised by other persons engaged in the same business.
- (3) The asset management company shall obtain, wherever required under these regulations, prior in-principle approval from the recognized stock exchange(s) where units are proposed to be listed.
- (4) The asset management company shall be responsible for the acts of commissions or omissions by its employees or the persons whose services have been procured by the asset management company.
- (5) The asset management company shall submit to the trustees quarterly reports of each year on its activities and the compliance with the Regulations.
- (6) The trustees at the request of the asset management company may terminate the assignment of the asset management company at any time:

Provided that such termination shall become effective only after the trustees have accepted the termination of assignment and communicated their decision in writing to the asset management company.

- (7) Notwithstanding anything contained in any contract or agreement or termination, the asset management company or its directors or other officers shall not be absolved of liability to the mutual fund for their acts of commission or omissions, while holding such position or office.
- (8) The Chief Executive Officer (whatever his designation may be) of the asset management company shall ensure that the mutual fund complies with all the provisions of these regulations and the guidelines or circulars issued in relation thereto from time to time and that the investments made by the fund managers are in the interest of the unit holders and shall also be responsible for the overall risk management function of the mutual fund.
- (9) The fund managers (whatever the designation may be) shall ensure that the funds of the schemes are invested to achieve the objectives of the scheme and in the interest of the unit holders.
- (10) (a) An asset management company shall not, through any broker associated with the sponsor, purchase or sell securities which is average of 5% or more of the aggregate purchases and sale of securities made by the mutual fund in all its schemes.

Provided that for the purpose of this sub-regulation, aggregate purchase and sale of securities shall exclude sale and distribution of units issued by the mutual fund

Provided further that the aforesaid limit of 5% shall apply for a block of any three months

(b) An asset management company shall not purchase or sell securities through any broker which is average of 5% or more of the aggregate purchases and sale of securities made by the mutual fund in all its schemes, unless the asset management company has recorded in writing the justification for exceeding the limit of 5% and reports of all such investments are sent to the trustees on a quarterly basis.

Provided that the aforesaid limit shall apply for a block of three months.

- (11) An asset management company shall not utilize the services of the sponsor or any of its associates, employees or their relatives, for the purpose of any securities transaction and distribution and sale of securities:

Provided that an asset management company may utilize such services if disclosure to that effect is made to the unit holders and the brokerage or commission paid is also disclosed in the half yearly annual accounts of the mutual fund.

Provided further that the mutual funds shall disclose at the time of declaring half-yearly and yearly results;

- i. any underwriting obligations undertaken by the schemes of the mutual funds with respect to issue of securities associate companies,
- ii. devolvement, if any,
- iii. subscription by the schemes in the issues lead managed by associate companies
- iv. subscription to any issue of equity or debt on private placement basis where the sponsor or its associate companies have acted as arranger or manager.

- (12) The asset management company shall file with the trustees the details of transactions in securities by the key personnel of the asset management company in their own name or on behalf of the asset management company and shall also report to SEBI, as and when required by SEBI.

- (13) In case the asset management company enters into any securities transactions with any of its associates a report to that effect shall be sent to the trustees at its next meeting

- (14) In case any company has invested more than 5 per cent of the net asset value of a scheme, the investment made by that scheme or by any other scheme of the same mutual fund in that company or its subsidiaries shall be brought to the notice of the trustees by the asset management company and be disclosed in the half yearly and annual accounts of the respective schemes with justification for such investment provided the latter investment has been made within one year of the date of the former investment calculated on either side.

- (15) The asset management company shall file with the trustees and SEBI –



- i) detailed bio-data of all its directors alongwith their interest in other companies within fifteen days of their appointment; and
  - ii) any change in the interests of directors every six months.
  - iii) a quarterly report to the trustees giving details and adequate justification about the purchase and sale of the securities of the group companies of the sponsor or the asset management company as the case may be, by the mutual fund during the said quarter.
- (16) Each director of the Asset Management Company shall file the details of his transactions of dealing in securities with the trustees on a quarterly basis in accordance with guidelines issued by SEBI.
- (17) The asset management company shall not appoint any person as key personnel who has been found guilty of any economic offence or involved in violation of securities laws.
- (18) The asset management company shall appoint registrars and share transfer agents who are registered with SEBI.

Provided if the work relating to the transfer of units is processed in-house, the charges at competitive market rates may be debited to the scheme and for rates higher than the competitive market rates, prior approval of the trustees shall be obtained and reasons for charging higher rates shall be disclosed in the annual accounts.

- (19) The asset management company shall abide by the Code of Conduct as specified in the Fifth Schedule.
- (20) The asset management company shall not invest in any of its scheme, unless full disclosure of its intention to invest has been made in the offer documents, in case of schemes launched after the notification of Securities and Exchange Board of India (Mutual Funds) (Amendment) Regulations, 2011:  
Provided that an asset management company shall not be entitled to charge any fee on its investment in that scheme
- (21) The asset management company shall not carry out its operations including trading desk, unit holder servicing and investment operations outside the territory of India:  
  
Provided that the asset management company having any of its operations outside India shall wind up and bring them within the territory of India within a period of one year form the date of notification of Securities and Exchange Board of India (Mutual Funds) (Amendment) Regulations, 2011:  
  
Provided further that the Board may grant a further period of one year if it is satisfied that there was sufficient cause for not winding up of the operation outside India within that period
- (22) The asset management company shall compute and carry out valuation of investments made by its scheme(s) in accordance with the investment valuation norms specified in Eighth Schedule, and shall publish the same.

- (23) The asset management company and the sponsor of the mutual fund shall be liable to compensate the affected investors and/or the scheme for any unfair treatment to any investor as a result of inappropriate valuation.
- (24) The asset management company shall report and disclose all the transactions in debt and money market securities, including inter scheme transfers, as may be specified by the Board.

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**(iii) Information on Key Personnel:**

Name/Designation	Age/Qualification	Brief Experience
Mr. Nimesh Shah Managing Director & Chief Executive Officer	49 / ACA, CMA and B.Com	<p>Mr. Nimesh Shah joined ICICI Prudential Asset Management Company Limited as its Managing Director and CEO in July 2007.</p> <p>Mr. Shah is a Chartered Accountant. Prior to joining ICICI Prudential AMC, he was Senior General Manager at ICICI Bank and has over 2 decades experience in the banking and financial services industry. At ICICI Group, he has handled many responsibilities including project finance, corporate banking and international banking.</p> <p>Mr. Shah has been associated with one of the first batches of senior managers selected to lead the foray of ICICI Bank into the international arena. He also led ICICI Bank's foray into the Middle-Eastern region and Africa and helped establish the brand presence of ICICI in these geographies.</p> <p>ICICI Prudential Asset Management Company Limited made a remarkable turnaround in fortunes under the leadership of Mr. Nimesh Shah.</p> <p>His focus has been always on being investor centric, maintaining high levels of transparency and disclosures besides sticking to basics. This has helped the Company to establish itself as a credible brand and gain leadership in the industry. He is a Director of Association of Mutual Funds In India (AMFI) and member of the Financial Literacy Committee of AMFI.</p> <p>Past experience:</p> <p>~ ICICI Bank Limited – 1993 – 2007.</p>

Name/Designation	Age/Qualification	Brief Experience
<p>Mr. S. Naren Executive Director &amp; Chief Investment Officer</p>	<p>54 / B. Tech – IIT Madras and PGDM – IIM Calcutta</p>	<p>He has around 30 years of experience in Fund Management, Equity Research, Operations etc.</p> <p>He was designated as Co Head – Equities from October 2004 till February 2008 at ICICI Prudential Asset Management Company Limited.</p> <p>He has been designated as Executive Director of ICICI Prudential Asset Management Company Limited with effect from April 22, 2016 till date.</p> <p>Past Experience:</p> <p>~ Refco Sify Securities India Pvt. Limited as Head of Research from November 2003 to October 2004.</p> <p>~ HDFC Securities Ltd. as Vice President from September 2000 to March 2002 and as the Director and COO from March 2002 to November 2003.</p> <p>~ Yoha Securities as the CEO from December 1995 to September 2000.</p>
<p>Mr. B. Ramakrishna Chief Financial Officer</p>	<p>55/ ACA, CMA and B.Com</p>	<p>He has over 30 years of experience in Corporate Planning, Investor Relations and Financial Planning.</p> <p>Past Experience:</p> <p>~ Marico Industries Ltd. as General Manager – Corporate Finance from September 1998 to September 2004.</p> <p>~ ITC Agrotech Ltd. as Commercial Manager from February 1993 to August 1998.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Vikas Singhvi Head – Operations and Technology	47/ MBA and B.Com	<p>Mr. Vikas Singhvi joined ICICI Prudential Asset Management Company Limited in April 2018 to manage corporate centre functions involving Operations and Information Technology.</p> <p>Mr. Vikas Singhvi was associated with ICICI Bank (the Bank) for over 20 years and has work experience in retail banking across distribution of loans, debt management, fraud risk, credit policy and branch banking. He led strategy and planning, customer experience, innovation and risk management for retail branches. He also led various initiatives in the areas of service and operational efficiency through innovations in branch channel for the Bank.</p>
Mr. Suresh Subramanian – Head -Operations	44 / CA, CMA and B.Com	<p>Mr. Suresh Subramanian is a Chartered Accountant and also has a degree in Cost Accountancy. He has a post-qualification work experience of over 20 years. He is associated with ICICI Prudential Asset Management Company Limited since July 2007.</p> <p>Past Experience:</p> <ul style="list-style-type: none"> <li>~ ICICI Prudential Asset Management Company Limited - Head - Internal Controls, Analytics &amp; Projects - April 2016 to September 2016.</li> <li>~ ICICI Prudential Asset Management Company Limited – Head Finance - Real Estate Business - April 2012 to March 2016.</li> <li>~ ICICI Prudential Asset Management Company Limited – Head of Finance - July 2007 to March 2012.</li> <li>~ Colgate Palmolive India Ltd – Manager-Management Accounting - July 2006 to June 2007.</li> </ul>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Rakesh Shetty Head - Compliance and Company Secretary	41/ Company Secretary, LLB and B.Com	<p>Mr. Rakesh Shetty had joined the AMC in August 2011 and is the Company Secretary of the AMC. He has overall 17 years of post-qualification experience in the field of compliance, legal and secretarial matters.</p> <p>At the AMC, he anchored the secretarial matters along with the compliances under various domestic regulations.</p> <p>Prior to joining the AMC, he has worked with Travelex India Private Limited, Axis Bank and Paternoster India Private Limited, as Company Secretary.</p>
Mr. Amar Shah Head - Retail and Institutional Business	41/ Master in Management Studies (Finance) and B.Com	<p>Mr. Amar Shah has an overall experience of around 18 years across the Banking and Financial Services Industry.</p> <p>Mr. Shah has been associated with the AMC since January 2013. Mr. Shah has anchored the business objectives of the Company in the retail segment across India and drove the organization to a leadership position. He has been responsible for managing key Institutional clients and driving overall business growth. He has been a key driver in strengthening distribution relationships and facilitating asset growth.</p> <p>Prior to joining the AMC, he was associated with Birla Sunlife Asset Management Company Limited as Head of Institutional Business.</p>
Mr. Abhijit Shah Head - Marketing, Digital and Customer Experience	42/ Post Graduate in Marketing, NMIMS, Mumbai and B.Com	<p>Mr. Abhijit Shah has a work experience of around 19 years across the Banking and Financial Services Industry.</p> <p>Mr. Abhijit Shah has been associated with the AMC since July 2014. In the AMC, Mr. Shah is handling Marketing, Digital Business, Customer Engagement and Strategy. Under Digital, he has been responsible for increasing business through digital platforms and through engagement with the existing customers.</p> <p>Prior to joining the AMC, he was associated with CitiBank N.A. where he headed Customer Experience function.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Amit Prakash Bhosale - Head - Risk Management	45 / Bachelor of Engineering – Production and Master of Management Studies - Finance	He joined ICICI Prudential Asset Management Company Limited on April 23, 2014. He has over 20 years of experience in Risk Management role.  Past Experience:  ~ Bank of America, N.A. – Risk Management - July 2008 to April 2014.  ~ ICICI Bank Limited – Risk Management – May 2000 to June 2008.
Mr. Jagan Appasamy Associate Vice President – Credit Investments	36/ Chartered Financial Analyst, PGDM and B.Tech	He joined ICICI Prudential Asset Management Company Limited in August 2018. He has around 10 years of experience.  Past Experience:  ~ IDFC Bank Limited - Associate Director II – Debt Capital Market – March 2016 to August 2018. ~ L&T Fincorp Limited - Team Manager – Debt Capital Market - May 2010 to March 2016. ~ Infosys Limited - Software Engineer - November 2005 to July 2008.
Mr. Chintan A. Haria Head – Product Development & Strategy	37 / FCA, ACMA (ICWAI), MFA (ICFAI), CFA Charterholder (ICFAI), CMA (IMA USA) and M.Com	He has around 14 years of total experience. He started his career with ICICI Prudential Asset Management Company Limited in October 2005.  Presently, he heads Product Development and Strategy.

Name/Designation	Age/Qualification	Brief Experience
<p>Mr. Kayzad Eghlim Head - Dealing and Fund Manager</p>	<p>54 / MBA , M.Com and B.Com</p>	<p>He is associated with ICICI Prudential Asset Management Company Limited from June 2008 till date. He has around 30 years of total experience.</p> <p>Past Experience:</p> <ul style="list-style-type: none"> <li>~ IDFC Investment Advisors Ltd - Dealer Equities - September 2006 to June 2008.</li> <li>~ Prime Securities - Manager - December 2003 to August 2006.</li> <li>~ Canbank Mutual Fund (IS Himalayan Fund) - Fund Manager - June 2003 to October 2003.</li> <li>~ Canbank Mutual Fund - Equity Dealer - June 2000 to June 2003.</li> <li>~ Canbank Mutual Fund – Assisting the Fund Manager - 1994 to 1997.</li> <li>~ Canbank Mutual Fund - The Primary Market Department (IPO) - 1991 to 1994.</li> </ul>
<p>Mr. Anish Tawakley Head – Research and Fund Manager</p>	<p>50/ PGDM (MBA) from IIM Bangalore and B. Tech (Mechanical Engineering) from IIT Delhi.</p>	<p>He joined ICICI Prudential Asset Management Company Limited in April 2016. He has over 24 years of experience in Equity research role.</p> <p>Past Experience:</p> <ul style="list-style-type: none"> <li>~ Barclays India - Equity Research - November 2011 to April 2016.</li> <li>~ Credit Suisse India - Equity research - Indian financial services sector - January 2011 to November 2011.</li> <li>~ Alliance Bernstein (UK) based in London - Equity Research - Financial Services stocks in Emerging Markets - January 2003 to November 2010.</li> </ul>

Name/Designation	Age/Qualification	Brief Experience
Mr. Nikhil Bhende – Head Human Resource	42/ PGDHRM and B.Com	<p>He has around 18 years of experience in the field of human resource. He is associated with ICICI Prudential Asset Management Company Limited since January 2008. Prior to joining ICICI Prudential Asset Management Company Limited, he was associated with firms like WNS Global Services, EFunds International Ltd etc.</p> <p>Past Experience:</p> <p>~ ICICI Prudential AMC Ltd - Head – Business HR - January 2008 to August 2012.</p> <p>~ WNS Global Services - Sr. Manager – HR - June 2006 to January 2008.</p> <p>~ EFunds International Limited - Manager – HR - May 2004 to June 2006.</p> <p>~ ABC Consultants - Recruitment Consultant - September 2003 to May 2004.</p> <p>~ Peopleone Consulting - Recruitment Consultant - April 2002 to August 2003.</p>
Mr. Rajneesh Dwivedi – Investor Relations Officer	42/LLB	<p>Mr. Dwivedi is a Law graduate (LLB) from University of Allahabad with an overall 14 years of work experience in the financial services industry.</p> <p>He joined the AMC in October 2009 and has worked across various departments such as sales, compliance and customer service/operations since 2009. He has been engaged in enabling various service options for the investors and distributors.</p> <p>In his current role with the AMC, he is responsible for managing Contact Centre and centralised service team in managing investor and distributor service.</p>



Name/Designation	Age/Qualification	Brief Experience
<p>Mr. Rahul Goswami Chief Investment Officer – Fixed Income and Fund Manager</p>	<p>47/ MBA (Finance) and B.Sc. (Mathematics)</p>	<p>He has an overall work experience of 24 years. In his previous role with Standard Chartered Bank, he was a Senior Rates Trader and Head of Primary Dealership Desk.</p> <p>Past Experience:</p> <ul style="list-style-type: none"> <li>~ Standard Chartered Bank - Head – Primary Dealer – November 2009 to September 2012.</li> <li>~ ICICI Prudential Asset Management Co. Ltd - Senior Fund Manager – July 2004 to November 2009.</li> <li>~ Franklin Templeton Asset Management Co. (I) Pvt. Ltd - Asst. Vice President – Fixed Income - October 2002 to July 2004.</li> <li>~ UTI Bank Ltd - Manager - Merchant Banking – January 2000 to October 2002.</li> </ul>
<p>Mr. Mrinal Singh Deputy Chief Investment Officer and Fund Manager</p>	<p>41/ B.E. (Mech.) and PGDM (Finance)</p>	<p>He has an overall experience of around 17 years. He is associated with ICICI Prudential Asset Management Company Limited since June 2008.</p> <p>Past Experience:</p> <ul style="list-style-type: none"> <li>~ Wipro Ltd – IT Services – May 2005 to May 2008.</li> <li>~ BOSCH India (erstwhile MICO) - R&amp;D - October 2000 to June 2003.</li> </ul>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Manish Banthia Senior Fund Manager	40/ CA, MBA and B.Com	<p>He is associated with ICICI Prudential Asset Management Company Limited since October 2005. He has an overall work experience of around 16 years.</p> <p>Past Experience:</p> <p>~ ICICI Prudential Asset Management Company Limited - Fixed Income Investments - August 2007 to October 2009.</p> <p>~ ICICI Prudential Asset Management Company Limited - New Product Development - October 2005 to July 2007.</p> <p>~ Aditya Birla Nuvo Ltd. – June 2005 to October 2005.</p> <p>~ Aditya Birla Management Corporation Ltd. – May 2004 to May 2005.</p>
Mr. Prakash Gaurav Goel - Senior Fund Manager	39/ CA and B.Com	<p>Mr. Prakash Gaurav Goel is a Chartered Accountant and has an experience of around 16 years in investment related activities.</p> <p>Past Experience:</p> <p>~ ICICI Securities Limited – Vice President – September 2007 to September 2017.</p> <p>~ IREVNA Research – Research Manager – August 2006 to September 2007.</p> <p>~ Hindustan Unilever – Commercial Manager – October 2003 to August 2006.</p> <p>~ ICICI Bank Limited – Assistant Manager – March 2003 to October 2003.</p>
Mr. Atul Patel – Senior Investment Analyst and Fund Manager	33 / CA, CMA, Chartered Financial Analyst and B.Com	<p>He is associated with ICICI Prudential Asset Management Company Limited since July 2009. He has an overall experience of over 10 years.</p> <p>He has approximately 9 years of experience in equity Investments ranging across the areas of Performance Analytics, Equity Dealing and Equity Research &amp; Fund Management.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Roshan Chutkey – Fund Manager	39 / B.Tech (IIT Madras), MBA (IIM Lucknow), Masters in Finance (London Business School) and CFA Charterholder	<p>He joined ICICI Prudential Asset Management Company Limited in February 2015. He has around 12 years of total experience.</p> <p>Past Experience:</p> <p>~ Estee Advisors – Research – Indian F&amp;O Universe - May, 2013 to January 2014.</p> <p>~ Kuwait Financial Centre - Macroeconomic research, Fund of funds management - March 2008 to July, 2011.</p> <p>~ Citibank - Risk Management, Cards business - May 2006 to February 2008.</p> <p>~ JP Morgan Chase - Equity Research - January 2005 to May 2006.</p>
Mr. Nandik Mallik Senior Investment Analyst	39 / MS – Finance, London Business School, PGDM – IIM Calcutta and B Tech – IIT Kharagpur	<p>Mr. Nandik Mallik joined ICICI Prudential Asset Management Company Limited in January 2017. He has a work experience of around 12 years.</p> <p>Past Experience:</p> <p>~ Edelweiss Asset Management – Fund Management - June 2011 to October 2012.</p> <p>~ Credit – Suisse, London - Developed and Presented CS commodity product pitches - March 2010 to September 2010.</p> <p>~ BNP Paribas, London - Commodities Exotics Trading – Intern Trader - September 2009 to March 2010.</p> <p>~ ICICI Bank - Associate – Forex and rates structuring - September 2007 to August 2008.</p> <p>~ NM Rothschild - Intern – Merger and Amalgamation May 2007 to August 2007.</p> <p>~ Fair Isaac, Bangalore – Credit Scorecard Development - June 2005 to April 2007.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Lalit Kumar Senior Investment Analyst and Fund Manager	35/ PGDM – Indian Institute of Management, Calcutta (2010) and B.Tech in Electrical Engineering – Indian Institute of Technology, Kanpur (2006)	<p>He joined ICICI Prudential Asset Management Company Limited in May 2017 as a Senior Manager and has over 9 years of work experience. Mr. Lalit is currently a dedicated fund manager for managing investment in Exchange Traded Commodity Derivatives in the schemes of the Fund wherein such investment is permissible.</p> <p>Past Experience:</p> <p>~ East Bridge Advisors Pvt. Ltd – Equity Research Analyst - July 2015 to April 2017.</p> <p>~ Nomura Financial Advisory &amp; Securities – Equity Research - June 2010 to July 2015.</p> <p>~ Merrill Lynch – Intern - April 2009 to June 2009.</p> <p>~ Cypress Semiconductors – Senior Design Engineer - August 2006 to May 2008.</p>
Mr. Vaibhav Dusad Senior Investment Analyst and Fund Manager	35/ MBA, B.Tech, M.Tech, IIT Chennai	<p>Mr. Vaibhav Dusad has around 8 years of experience in field of investment analysis. Currently, he is a senior investment analyst.</p> <p>Past Experience:</p> <p>~ Morgan Stanley - Research Analyst - June 2014 to December 2017.</p> <p>~ HSBC - Research Analyst - November 2011 to April 2013.</p> <p>~ Crisil Irevna - Research Analyst- October 2010 to October 2011.</p> <p>~ Zinnov - Research Analyst - October 2009 to September 2010.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Shadab Rizvi – Fund Manager	41/ Post Graduate Diploma in Securities Market (SMP) and B.E. (Electrical Engineering)	Mr. Shadab Rizvi joined ICICI Prudential Asset Management Company Limited in November 2013 as an Associate Vice President in the Investments Department. He has over 15 years of work experience.  Past Experience:  ~ Darashaw & Company Private Limited – AVP - June 2008 to October 2013.  ~ Kernel Academy of Computer Sciences - Assistant Manager - March 2005 to December 2006.  ~ Daksh e-Services Private Limited - Tech Lead from February 2003 to February 2005.
Mr. Akhil Kakkar - Fund Manager	36/ PGDM (Finance) and B. Tech (Electrical)	He joined ICICI Prudential Asset Management Company Limited in September 2015. He has around 12 years of experience.  Past Experience:  ~ Kotak Mahindra Bank - Vice President, Debt Capital Markets - February 2010 to September 2015.  ~ SBI Capital Markets - Associate, Project Advisory & Structured Finance - May 2009 to February 2010.  ~ Goldman Sachs Services Pvt Ltd - Analyst Developer - June 2005 to June 2007.
Mr. Mittul Kalawadia – Fund Manager	37 / CA, M. Com and B. Com	He has over 13 years of experience in fund management/Investment analyst role. He is associated with ICICI Prudential Asset Management Company Limited from January 2006 till date.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – Equity Research Analyst – October 2008 till February 2012.  ~ ICICI Prudential Asset Management Company Limited – Business Planning & MIS – January 2006 to September 2008.
Mr. Rajat Chandak Fund Manager	35/ PGDM (Finance) and B.Com	He has around 11 years of experience in fund management/ research analysis. He is associated with ICICI Prudential Asset Management Company Limited from May 2008 till date.

Name/Designation	Age/Qualification	Brief Experience
Mr. Ashwin Jain – Fund Manager	38 / B.E. and MBA (IIM- Bangalore)	<p>Mr. Ashwin has over 12 years of total work experience. He is associated with ICICI Prudential Asset Management Company Limited from 2010 till date.</p> <p>Past Experience:</p> <p>~ Merrill Lynch – Senior Analyst from 2008 to 2009.</p> <p>~ Irevna (part of the Standard &amp; Poor’s Group) - Research Analyst - 2005 to 2006.</p> <p>~ HCL Technologies - Software Engineer - 2004 to 2005.</p>
Ms. Chandni Gupta Fund Manager	35 / B.E. (Information Technology) and Chartered Financial Analyst (USA)	<p>She joined ICICI Prudential Asset Management Company Limited as Channel Manager in October 2012. Basis the enhancement in the responsibilities Ms. Gupta has been designated as Fund Manager with effect from April 2017 and has over 13 years of work experience.</p> <p>Past Experience:</p> <p>~ ICICI Prudential Asset Management Company Limited - Dealer - Fixed Income - November 2013 to April 2017.</p> <p>~ ICICI Prudential Asset Management Company Limited - Channel Manager - October 2012 to October 2013.</p> <p>~ Morgan Stanley Investment Management from December 2007 to September 2012.</p> <p>~ HSBC Bank from September 2007 to November 2007.</p> <p>~ Standard Chartered Mutual Fund – June 2006 to August 2007.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Rohan Maru Fund Manager	35/ MBA (Finance) and M.Com	He has total work experience of over 10 years. He is associated with ICICI Prudential Asset Management Company Limited from November 2012.  Past Experience:  ~ Kotak Mahindra AMC - Fixed Income Dealer - May 2008 to November 2012.  ~ Integreon Managed Solutions - Research Associate - May 2005 to June 2006.
Mr. Anuj Tagra – Fund Manager	37 / BBA(Honors) and MBA - Capital Markets	He is associated with ICICI Prudential Asset Management Company Limited from February 2013. He has total work experience of around 12 years.  Past Experience:  ~ Union Bank of India - Trader-G-sec - June 2009 to February 2013.  ~ Fidelity Investments as Associate in Operations - January 2005 to May 2007.
Mr. Harish Bihani Fund Manager	37/ IBS, Hyderabad and MBA (Finance)	He joined ICICI Prudential Asset Management Company Limited in October 2018. He has around 12 years of experience in equity research.  Past Experience:  ~ SBI Mutual Fund - Senior Research Analyst - January 2017 – October 2018.  ~ Kotak Institutional Equities - Senior Research Analyst - November 2014 to January 2017.  ~ CIMB Securities - Senior Research Analyst - November 2012 to November 2014.  ~ RBS Equities India Pvt. Ltd - Senior Research Analyst - July 2011 to July 2012.  ~ Indiabulls Securities Ltd. - Research Analyst - January 2010 to June 2011.  ~ Reliance Equities International Pvt. Ltd. - Research Analyst - August 2008 to December 2009.

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Ihab Dalwai Fund Manager	32 / Chartered Financial Analyst, CA and B. Com	He started his career with ICICI Prudential Asset Management Company Limited in April 2011. Prior to his present role, he was working as an Investment Analyst in the MF Equity, sub – department of Investments.
Ms. Priyanka Khandelwal – Investment Analyst (She is designated as a dedicated fund manager for managing overseas investments of the schemes of the Fund which have a mandate to invest in overseas securities )	27/ CA, CS, and B.Com	She joined ICICI Prudential Asset Management Company Limited in October 2014 and has over 5 years of experience.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited - Deputy Manager- Finance - October 2014 to January 2016.
Mr. Aatur Shah Senior Investment Analyst	27/ CA, Chartered Financial Analyst and B.Com	He started his career with ICICI Prudential Asset Management Company Limited in October 2014.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – Product Development & Strategy – October 2014 till February 12, 2020.
Mr. Jatin Nayak – Investment Analyst	25/ CA, Chartered Financial Analyst, M. Com and B.Com	He joined ICICI Prudential Asset Management Company Limited in September 2016.
Ms. Masoomi Jhurmarvala – Investment Analyst	25/ CA, M. Com and B.Com	She joined ICICI Prudential Asset Management Company Limited in October 2016.
Mr. Suraj Nanda Senior Investment Analyst	30/ MBA Finance, Mumbai and Bachelor in Electronics (B.E), West Bengal	He joined ICICI Prudential Asset Management Company Limited in May 2016 and has experience of over 3 years.  Past Experience:  ~ IBM India Pvt. Ltd – SAP basis Consultant – August 2011 to June 2014.



<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Ms. Manasvi Shah Investment Analyst	27/ CA and B.Com	She joined ICICI Prudential Asset Management Company Limited in September 2015 and has experience of over 4 years.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited - PMS - Equity Research- January 15, 2018 till February 4, 2018.  ~ ICICI Prudential Asset Management Company Limited - PMS – Equity Dealing - July 18, 2016 till January 14, 2018.  ~ ICICI Prudential Asset Management Company Limited - Internal Audit - September 1, 2015 till July 17, 2016.
Mr. Antariksha Banerjee – Investment Analyst	26/ PGDM (MBA) – S. P. Jain Institute of Management & Research, Mumbai	He joined ICICI Prudential Asset Management Company Limited in May 2017 as a Research Analyst.
Mr. Akhilesh Bhandari – Investment Analyst	26/ PGDM (MBA) – S. P. Jain Institute of Management & Research, Mumbai, BE	He joined ICICI Prudential Asset Management Company Limited in May 2017.
Ms. Divya Jain Investment Analyst	26/ CA and B.Com	She joined ICICI Prudential Asset Management Company Limited in April 2016.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited - Deputy Manager - Compliance and Legal - April 2016 – January 2019  ~ Ultratech Cements Ltd - Finance-MIS - February 2016 - March 2016.

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Ms. Shivangi Agarwal - Investment Analyst	29/ MBA from IIM Indore and Bachelor of Technology from IMSEC Ghaziabad	She joined ICICI Prudential Asset Management Company Limited in May 2018.  Past Experience:  ~ MNTRE, Central Secretariat Services - Assistant Section Officer - December 2015 - May 2016.  ~ State Bank of India - Probationary Officer - December 2014 - December 29, 2015.
Mr. Dharmesh Kakkad – Senior Investment Analyst and Fund Manager	33/ CFA Charterholder USA, CA and B.Com	He is associated with ICICI Prudential Asset Management Company Limited from June 2010. Prior to working in Dealing function, he was working in the Operations Department of ICICI Prudential AMC.
Mr. Rohit Lakhotia – Associate Vice President - Dealing	34/ Bachelor of Technology (Honors) Electrical Engineering from NIT Rourkela and MBA from NITIE	He joined ICICI Prudential Asset Management Company Limited in May 2016 and has over 9 years of work experience.  Past Experience:  ~ Yes Bank Limited – May 2012 to May 2016.  ~ Samsung India (R&D) Centre, Noida – R&D Engineer – July 2008 to June 2010.
Mr. Ritesh Kumar Lunawat Senior Manager – Credit Investments and Fund Manager	29 / CA and B.Com	He is associated with ICICI Prudential Asset Management Company Limited from September 27, 2013. He started his career with ICICI Prudential Asset Management Company Limited and is currently a Fund Manager.
Mr. Nikhil Kabra Senior Manager – Dealing and Fund Manager	26/ CA and B.Com	He is associated with ICICI Prudential Asset Management Company Limited since September 2013 and has over 6 years of work experience.  Past Experience:  ~ Sumedha Fiscal Services Limited from October 2012 to September 2013.  ~ Haribhakti & Co. from September 2010 to October 2012.

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Mr. Naresh Chaudhary – Senior Manager – Dealing	27/ CA and B.Com	<p>He joined ICICI Prudential Asset Management Company Limited in October 2014 and has over 5 years of experience.</p> <p>Past Experience:</p> <p>~ ICICI Prudential Asset Management Company Ltd. – Deputy Manager – PMS Operations – October 2014 to March 2016.</p>
Mr. Darshil Dedhia – Senior Manager – Dealing	30/ CA and B.Com	<p>He started his career with ICICI Prudential Asset Management in January 2013 and has experience of over 6 years.</p> <p>Past Experience:</p> <p>~ ICICI Prudential Asset Management Company Limited – Investments – Mutual Fund Debt – August 9, 2018 till date.</p> <p>~ ICICI Prudential Asset Management Company Limited – Fund Accounting – February 9, 2016 till August 8, 2018.</p> <p>~ ICICI Prudential Asset Management Company Limited – Investments – Fixed Income – May 25, 2015 to Feb 8, 2016.</p> <p>~ ICICI Prudential Asset Management Company Limited – Fund Accounting – January 22, 2013 to May 24, 2015.</p>
Ms. Sharmila D'mello – Investment Analyst	25/ CA and Bachelor of Commerce in Accounting and Finance (BAF)	<p>She joined ICICI Prudential Asset Management Company Limited in September 2016 as a support member in day to day function and handles work related to MIS preparation.</p>
Mr. Abhishek Mor – Deputy Manager – Dealer Support	24/ CA and B.Com	<p>Mr. Abhishek joined ICICI Prudential Asset Management Company Limited in October 2017.</p> <p>Past Experience:</p> <p>~ ICICI Prudential Asset Management Company Limited- PMS Operations – October 2017 to January 2019.</p>

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Ms. Archana Nair Deputy Manager – Dealer Support	25/ CA and B.Com	Ms. Archana Nair joined ICICI Prudential Asset Management Company Limited in March 2018.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited- Business Intelligence Unit-Analysis – March 2018 to February 2019.
Mr. Prashant Kini Deputy Manager – Investment Support	43/ Masters in Financial Management and B.Com	He has an experience of over 20 years in administrative and secretarial function, of which 13 years in equity investments department of ICICI Prudential Asset Management Company Limited.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited - Investment Support – Equity - May 2012 till date.  ~ ICICI Prudential Asset Management Company Limited - Administrative & Secretarial - March 2005 to May 2012.  ~ Wockhardt Ltd - Administrative & Secretarial - February 2004 to March 2005.  ~ Tata Finance Ltd. - Administrative & Secretarial - June 2001 to February 2004.
Mr. Ramkumar Iyer Deputy Manager – Dealer Support	32/ MBA in Finance	He started his career with ICICI Prudential Asset Management Company Limited in August 2013 as an Assistant Manager in Sales MIS. Further, in 2017, he was designated as dealer in the investments.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – Sales MIS – August 2013 to April 2017.  ~ The Ratnakar Bank Limited – Officer - Business Intelligence Unit - October 2012 to August 2013.  ~ Standard Chartered Bank - Credit Risk Officer - August 2009 to August 2010.

<b>Name/Designation</b>	<b>Age/Qualification</b>	<b>Brief Experience</b>
Ms. Ekta Gala Assistant Manager - Dealer Support	27/ B.Com	Ms. Ekta Gala joined ICICI Prudential Asset Management Company Limited in June 2017.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – Assistant Manager-Finance – June 2017 till March 2019.
Ms. Babita Srivastava Deputy Manager- Investment Support	38/ B.Com from Delhi University	Ms. Babita Srivastava has an experience of over 14 years. She has been designated as Deputy Manager in the Investment Support.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – Secretarial Support - November 27, 2012 to October 26, 2017.  ~ New Holland Fiat India Private Limited - Executive Assistant - March 2009 to July 2011.  ~ Pneumax Pneumatic India Private Limited - Executive Assistant - December 2005 to February 2009.
Ms. Kaivalya Nadkarni Deputy Manager- Dealer Support	23/ Chartered Accountant and B.Com	She joined ICICI Prudential Asset Management Company Limited in September 2018.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – MF Operations, Bank and Unit Reconciliation - September 2018 to September 2019.
Mr. Nishit Patel Deputy Manager - Dealer Support	25/ Chartered Accountant and B.Com	Mr. Nishit joined ICICI Prudential Asset Management Company Limited in November 2018.  Past Experience:  ~ ICICI Prudential Asset Management Company Limited – ETF Business - November 2018 – January 2020.

Name/Designation	Age/Qualification	Brief Experience
Mr. Rakesh Patil Assistant Manager Dealing –	37/ Bachelor of Science	<p>Mr. Rakesh joined ICICI Prudential Asset Management Company Limited in April 2020 as an Assistant Manager Dealing – Commodities.</p> <p>Past Experience:</p> <ul style="list-style-type: none"> <li>~ Emkay Global Financial Services Limited – Senior Dealer- November 2016 to April 2020</li> <li>~ Equentis Capital (P) Ltd – Senior Dealer - October 2011 to November 2016</li> <li>~ Bonanza Portfolio Limited – Senior Dealer – March 2009 to October 2011.</li> </ul>

### Procedure followed for Investment decisions

- a) The AMC has laid down policies for investment in equity and debt securities. These policies have been instituted with an objective to have a uniform and prudent framework/process for investment(s) by the schemes of the Fund. These policies lay down a framework for investment by various schemes in different category of securities/various types of issuers. These policies clearly mandate various parameters and procedures to be followed by the AMC in its investment processes.
- b) The Fund Manager of each scheme is responsible for making buy/sell decisions in respect of the securities in the respective schemes's portfolios. The investment decisions taken by the fund manager are made keeping in view the market conditions, stock/sector research and all other relevant aspects.
- c) The AMC has been recording investment decisions since the receipt of instructions from SEBI, in terms of SEBI's circular no. MFD/CIR/6/73/2000 dated July 27, 2000.
- d) The Managing Director and CEO is member of Executive Equity Investment Committee and Debt Investment Committee. These committees meet periodically in order to review the overall fund management related activities.
- e) Periodic presentations/reports are provided to the Board of Directors of the AMC and the Trustee Company to review the performance of the Schemes. They may also compare the performance of the schemes against the benchmark indices.
- f) The AMC shall ensure that the Fund complies with all the provisions of SEBI (Mutual Fund) Regulations, 1996, as amended from time to time, including all guidelines, circulars issued in relation thereto from time to time and that the investments made by the fund managers are in the interest of the unit holders.

### E. Service providers

#### i. Custodians:

- 1) **HDFC Bank Limited**, having its office at Lodha – I Think Techno Campus, Alpha Building, Custody Department, 8th Floor, Opp Crompton Greaves, Kanjurmarg (E), Mumbai – 400 042, acts as the Primary & Only Custodian for the below mentioned schemes. HDFC Bank Ltd has been registered with SEBI under registration No. IN/CUS/001.

Sr. No.	Name of the Schemes
1.	ICICI PRUDENTIAL PASSIVE STRATEGY FUND (FOF)
2.	ICICI PRUDENTIAL INCOME OPTIMIZER FUND (FOF)
3.	ICICI PRUDENTIAL NIFTY 100 ETF
4.	ICICI PRUDENTIAL EQUITY ARBITRAGE FUND
5.	ICICI PRUDENTIAL REGULAR SAVINGS FUND
6.	ICICI PRUDENTIAL LONG TERM BOND FUND
7.	ICICI PRUDENTIAL INFRASTRUCTURE FUND
8.	ICICI PRUDENTIAL ALL SEASONS BOND FUND

<b>Sr. No.</b>	<b>Name of the Schemes</b>
9.	ICICI PRUDENTIAL ASSET ALLOCATOR FUND (FOF)
10.	ICICI PRUDENTIAL NIFTY ETF
11.	ICICI PRUDENTIAL NIFTY NEXT 50 INDEX FUND
12.	ICICI PRUDENTIAL REGULAR GOLD SAVINGS FUND (FOF)
13.	ICICI PRUDENTIAL EXPORTS AND SERVICES FUND
14.	ICICI PRUDENTIAL SHORT TERM FUND
15.	ICICI PRUDENTIAL SENSEX ETF
16.	ICICI PRUDENTIAL THEMATIC ADVANTAGE FUND (FOF)
17.	ICICI PRUDENTIAL DEBT MANAGEMENT FUND (FOF)
18.	ICICI PRUDENTIAL CONSTANT MATURITY GILT FUND
19.	ICICI PRUDENTIAL GILT FUND
20.	ICICI PRUDENTIAL LONG TERM WEALTH ENHANCEMENT FUND
21.	ICICI PRUDENTIAL FIXED MATURITY PLANS
22.	ICICI PRUDENTIAL MULTIPLE YIELD FUNDS
23.	ICICI PRUDENTIAL CAPITAL PROTECTION ORIENTED FUNDS
24.	ICICI PRUDENTIAL INDIA RECOVERY FUND - SERIES 7
25.	ICICI PRUDENTIAL NV20 ETF
26.	ICICI PRUDENTIAL MIDCAP SELECT ETF
27.	ICICI PRUDENTIAL NIFTY LOW VOL 30 ETF
28.	ICICI PRUDENTIAL SENSEX INDEX FUND
29.	ICICI PRUDENTIAL NIFTY NEXT 50 ETF
30.	ICICI PRUDENTIAL LIQUID ETF
31.	ICICI PRUDENTIAL OVERNIGHT FUND
32.	ICICI PRUDENTIAL MIDCAP 150 ETF
33.	ICICI PRUDENTIAL INDIA EQUITY FOF

- 2) **Deutsche Bank AG**, having its office at Nirlon Knowledge Park, Block 1, 4th Floor, Off Western Express Highway, 400063 Goregaon (East), Mumbai – 400063, has been appointed as the custodian of Portfolio Deposit (i.e. Physical Gold) for ICICI Prudential Gold ETF, and has also been appointed as the Custodian for the below mentioned schemes. Deutsche Bank AG has been registered with SEBI under registration No. IN/CUS/003

<b>Sr. No</b>	<b>Name of the Schemes</b>
1.	ICICI PRUDENTIAL DIVIDEND YIELD EQUITY FUND
2.	ICICI PRUDENTIAL EQUITY SAVINGS FUND
3.	ICICI PRUDENTIAL GROWTH FUND - SERIES 1
4.	ICICI PRUDENTIAL GROWTH FUND - SERIES 2
5.	ICICI PRUDENTIAL GROWTH FUND - SERIES 3
6.	ICICI PRUDENTIAL FMCG FUND
7.	ICICI PRUDENTIAL FOCUSED EQUITY FUND
8.	ICICI PRUDENTIAL TECHNOLOGY FUND
9.	ICICI PRUDENTIAL GOLD ETF
10.	ICICI PRUDENTIAL MULTI ASSET FUND(PHYSICAL GOLD)
11.	ICICI PRUDENTIAL RETIREMENT FUND (PHYSICAL GOLD)



- 3) **HDFC Bank Limited & Deutsche Bank AG**, act as the joint custodians for the below mentioned schemes for the purpose of SLB (Securities Lending & Borrowing).

Sr. No.	Name of the Schemes
1.	ICICI PRUDENTIAL BANKING AND FINANCIAL SERVICES FUND
2.	ICICI PRUDENTIAL EQUITY & DEBT FUND
3.	ICICI PRUDENTIAL MULTI-ASSET FUND
4.	ICICI PRUDENTIAL BALANCED ADVANTAGE FUND
5.	ICICI PRUDENTIAL BLUECHIP FUND
6.	ICICI PRUDENTIAL CHILD CARE FUND - GIFT PLAN

- 4) **SBI-SG Global Securities Services Private Limited (SBI-SG)**, having its office at "Jeevan Seva" Annexe Building, Ground Floor, S.V. Road, Santacruz West, Mumbai 400 054, has been appointed as the sole custodian for the below mentioned schemes. SBI-SG has been registered with SEBI under registration No. IN/CUS/022:

Sr. No	Name of the Schemes
1	ICICI PRUDENTIAL BANKING & PSU DEBT FUND
2	ICICI PRUDENTIAL MEDIUM TERM BOND FUND
3	ICICI PRUDENTIAL CREDIT RISK FUND
4	ICICI PRUDENTIAL VALUE DISCOVERY FUND*
5	ICICI PRUDENTIAL MIDCAP FUND*
6	ICICI PRUDENTIAL LARGE & MID CAP FUND*
7	ICICI PRUDENTIAL MULTICAP FUND*
8	ICICI PRUDENTIAL LONG TERM EQUITY FUND (TAX SAVING)*
9	BHARAT 22 ETF
10	ICICI PRUDENTIAL BHARAT CONSUMPTION FUND – SERIES 1
11	ICICI PRUDENTIAL BHARAT CONSUMPTION FUND – SERIES 2
12	ICICI PRUDENTIAL S&P BSE 500 ETF
13	ICICI PRUDENTIAL BHARAT 22 FOF
14	ICICI PRUDENTIAL BANK ETF
15	ICICI PRUDENTIAL PRIVATE BANKS ETF

\* Deutsche Bank AG, has been appointed as joint custodian of the schemes for the purpose of SLB (Securities Lending & Borrowing)

- 5) **HDFC Bank Limited & SBI-SG Global Securities Services Private Limited**, have been appointed as joint Custodians for the below mentioned schemes:

Sr. No.	Name of the Schemes
1	ICICI PRUDENTIAL SAVINGS FUND
2	ICICI PRUDENTIAL FLOATING INTEREST FUND
3	ICICI PRUDENTIAL LIQUID FUND
4	ICICI PRUDENTIAL ULTRA SHORT TERM FUND
5	ICICI PRUDENTIAL MONEY MARKET FUND
6	ICICI PRUDENTIAL CORPORATE BOND FUND

- 6) **Citibank N.A.** India, having its office at 11<sup>th</sup> Floor, First International Financial Centre, C-54 & 55, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051 has been appointed as the Custodian for the below mentioned schemes. Citibank N.A. has been registered with SEBI under registration No. IN/CUS/004:

<b>Sr. No</b>	<b>Name of the Schemes</b>
1.	ICICI PRUDENTIAL VALUE FUND SERIES 8
2.	ICICI PRUDENTIAL VALUE FUND SERIES 9
3.	ICICI PRUDENTIAL VALUE FUND SERIES 10
4.	ICICI PRUDENTIAL VALUE FUND SERIES 11
5.	ICICI PRUDENTIAL VALUE FUND – SERIES 12
6.	ICICI PRUDENTIAL VALUE FUND – SERIES 13
7.	ICICI PRUDENTIAL VALUE FUND – SERIES 14
8.	ICICI PRUDENTIAL VALUE FUND – SERIES 15
9.	ICICI PRUDENTIAL VALUE FUND – SERIES 16
10.	ICICI PRUDENTIAL VALUE FUND - SERIES 17
11.	ICICI PRUDENTIAL VALUE FUND – SERIES 18
12.	ICICI PRUDENTIAL VALUE FUND – SERIES 19
13.	ICICI PRUDENTIAL VALUE FUND – SERIES 20
14.	ICICI PRUDENTIAL PHARMA HEALTHCARE AND DIAGNOSTICS (P.H.D) FUND
15.	ICICI PRUDENTIAL BHARAT CONSUMPTION FUND – SERIES 3
16.	ICICI PRUDENTIAL BHARAT CONSUMPTION FUND – SERIES 4
17.	ICICI PRUDENTIAL BHARAT CONSUMPTION FUND - SERIES 5
18.	ICICI PRUDENTIAL CAPITAL PROTECTION ORIENTED FUNDS
19.	ICICI PRUDENTIAL INDIA OPPORTUNITIES FUND
20.	ICICI PRUDENTIAL MANUFACTURE IN INDIA FUND
21.	ICICI PRUDENTIAL RETIREMENT FUND
22.	ICICI PRUDENTIAL BHARAT CONSUMPTION FUND
23.	ICICI PRUDENTIAL MNC FUND
24.	ICICI PRUDENTIAL GLOBAL ADVANTAGE FUND (FOF)
25.	ICICI PRUDENTIAL COMMODITIES FUND

- 7) **Citibank N.A., Securities and Fund Services, India, Citi Markets and Banking**, acts as the global custodian for ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Stable Equity Fund (FOF) and also for the investments under ADR/GDR foreign securities of other schemes of the Fund investing in ADR/GDR and other foreign securities.

- 8) **HSBC**, having its office at 2<sup>nd</sup> Floor "Shiv", Plot No. 139-140 B, Western Express Highway, Sahar Road Junction, Vile Parle-E, Mumbai – 400 057 acts as the Custodian for the below mentioned schemes. HSBC has been registered with SEBI under registration No. IN/CUS/009.

<b>Sr. No</b>	<b>Name of the Schemes</b>
1	ICICI PRUDENTIAL BOND FUND

<b>Sr. No</b>	<b>Name of the Schemes</b>
2	ICICI PRUDENTIAL NIFTY INDEX FUND
3	ICICI PRUDENTIAL SMALLCAP FUND
4	ICICI PRUDENTIAL FIXED MATURITY PLANS

For the purposes of security lending transactions, HDFC Bank Ltd. Deutsche Bank AG, and Citibank N. A. offer custodial services to the schemes of the Fund.

#### **ii. Registrar and Transfer agent**

Computer Age Management Services Limited ("CAMS"/ the "Registrar"), having its principal business at New No 10. Old No. 178, Opp. To Hotel Palm Grove, MGR Salai (K.H.Road) Chennai – 600 034 have been appointed as Registrar and Transfer Agent of the Fund. The Registrar is registered with SEBI under registration No: INR000002813. The Board of the Trustee and the AMC have ensured that the Registrar has adequate capacity to discharge responsibilities with regard to processing of applications and dispatching Statements of Account to unitholders within the time limit prescribed in the Regulations and also has sufficient capacity to handle investor complaints.

#### **iii. Statutory auditor of the Schemes**

M/s S. R. Batliboi & Co. LLP,  
14<sup>th</sup> Floor, The Ruby,  
Senapati Bapat Marg,  
Dadar (West), Mumbai – 400028.

#### **iv. Legal Counsel:**

Services of various legal advisors/ counsels are obtained as and when required.

#### **v. Fund Accountant**

Fund accounting is handled in-house by the AMC for all schemes except ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Stable Equity Fund (FOF) and ICICI Prudential Global Advantage Fund (FOF). Fund Accounting for ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Stable Equity Fund (FOF) and ICICI Prudential Global Advantage Fund (FOF) are being handled by Citibank N.A, having office at First International Financial Centre, C-54 & 55, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 098.

#### **vi. Collecting Bankers (for New Fund Offers)**

<b>Name of Bank</b>	<b>Registered Office Address</b>	<b>SEBI Registration Number</b>
ICICI Bank Ltd.	ICICI Bank Tower, Near Chakli Circle, Old Padra Road, Vadodara 390007, Gujarat, India	INBI00000004
HDFC Bank Limited	HDFC Bank House, Shivsagar Estate, Dr. Annie Besant Road, Worli, Mumbai - 400018	INBI00000063

The above list is indicative and not exhaustive. The AMC reserves the right to change / modify the list of collecting Bankers.

Currently, the Mutual Fund / AMC has not appointed any collecting bankers for accepting application forms for existing schemes. The application forms will be accepted at any of the Investor Service Centres of the AMC or the designated branch offices of Computer Age Management Services Ltd. (CAMS) as stated in the SIDs of the schemes.

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#### **F. Condensed financial information (CFI)**

**For all the schemes launched by MF during the last three fiscal years (excluding redeemed schemes):**

Condensed Financial Information is enclosed as Annexure – I.

## II. HOW TO APPLY?

### i) New Fund Offer

Application Forms will be available with the distributors, at the corporate office of the AMC and the office of the Registrar.

Applications complete in all respects, may be submitted before closure of the New Fund Offer Period before the closure of the business hours, at Official Point of Transactions locations mentioned in the Application Form.

**Kindly retain the acknowledgement slip initialed/stamped by the branch.**

#### **Facility to purchase / redeem units of the Scheme(s) through Stock Exchange(s)**

A Unit holder may purchase / redeem units of eligible schemes through the Stock Exchange infrastructure. The purchase/redemption of units will be available to both existing and new investors. The investors will be eligible to only purchase / redeem units of the eligible schemes. The list of eligible schemes is subject to change from time to time. Investors have an option to hold the units in physical or dematerialized form. In order to facilitate transactions in mutual fund units through the stock exchange infrastructure, BSE has introduced BSE STAR MF Platform and NSE has introduced Mutual Fund Service System (MFSS). NFO may or may not be available on Exchange Platforms. Switch transactions are also permitted through stock exchange platform provided by BSE Limited (BSE), subject to such operating guidelines, terms and conditions as may be prescribed by BSE from time to time.

Additionally, the units of the Scheme are permitted to be transacted through Clearing Members of the registered Stock Exchanges. Further, the Depository Participants of registered Depositories are permitted to process only redemption request of units held in demat form.

Mutual fund Distributors (MF Distributors) registered with Association of Mutual Funds in India (AMFI) and permitted by the concerned recognized stock exchanges shall be eligible to use the recognized stock exchange's infrastructure to purchase and redeem mutual fund units in demat and non-demat mode on behalf of the investors, directly from ICICI Prudential Asset Management Company Limited (the AMC)/ the Fund. The MF distributors shall not handle payout and pay in of funds as well as units on behalf of investor. Pay in will be directly received by recognised clearing corporation and payout will be directly made to investor account. In the same manner, units shall be credited and debited directly from the demat account of investors. The facility of transacting in mutual fund schemes through stock exchange infrastructure is available subject to such operating guidelines, terms and conditions as may be prescribed by the respective Stock Exchanges from time to time.

## **APPLICATIONS SUPPORTED BY BLOCKED AMOUNT (ASBA)**

In accordance with SEBI Circular No. SEBI/IMD/CIR No. 18/198647/2010 dated March 15, 2010, ASBA facility shall be provided to investors as a supplementary facility in addition to existing facility through cheques/demand drafts or any other mode of electronic payment for subscribing to the units of scheme(s) during the New Fund Offer period for schemes launched after October 01, 2010. Please note that ASBA facility is purely optional and not mandatory.

## **APPLICATIONS SUPPORTED BY BLOCKED AMOUNT (ASBA) FACILITY DURING NEW FUND OFFER (NFO) OF SCHEMES**

In its continuing endeavour to make the existing New Fund Offer process more efficient SEBI has introduced a supplementary process, viz: the Applications Supported by Blocked Amount (ASBA) facility. ASBA is an application containing an authorization given by the Investor to block application money in his specified bank account towards the subscription of Units offered during the NFO of the Scheme. If an investor is applying through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units.

To avail of the ASBA Facility, an investor must be holding a Bank account with Self Certified Syndicate Bank (SCSB). SCSB means a banker to an issue registered with the SEBI, which offers the facility of ASBA. ASBA applications can be accepted only by SCSBs at their designated branches, whose names appear on the list of SCSBs displayed in SEBI's website (<http://www.sebi.gov.in/pmd/scsb.pdf>).

The SCSB shall then block the application money in the bank account specified in the ASBA, on the basis of an authorisation to this effect given by the account holder in the ASBA. The application money shall remain blocked in the bank account till the allotment of the issue or till withdrawal/rejection of the application, as the case may be. ASBA facility will be available to all the category of investors mentioned under "Who can invest" Section of the respective SID. An investor, who is eligible for ASBA facility, has the option of making application through ASBA or through the existing facility of applying with cheque / demand draft as mentioned in the SID.

Investors should note that ASBA facility shall be made available to investors only for subscribing to the units of scheme during the New Fund Offer period.

### **SALIENT FEATURES OF ASBA FACILITY:**

1. An ASBA investor shall submit a duly filled up **ASBA Application form, physically or electronically**, to the SCSB with whom the bank accounts to be blocked, is maintained.
  - a. **In case of ASBA application in physical mode**, the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
  - b. **In case of ASBA application in electronic form**, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to

units of Mutual Fund scheme authorising SCSB to block the application money in a bank account.

2. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the application amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
3. Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire application amount specified and authorized the Designated Branch to block such amount in the Bank Account.
4. On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the application money in the Bank Account specified in the ASBA application. The application money shall remain blocked in the Bank Account (till receipt of instructions for enabling allotment or till rejection as the case maybe).
5. If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the application money, the ASBA application shall be rejected by the SCSB.
6. The ASBA Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block application amount in the Bank Account.
7. All grievances relating to the ASBA facility may be addressed to the AMC / Registrar to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, application amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
8. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.

**ii) Resident Investors - Mode of Payment:**

Investors may make payments for subscription to the Units of the Scheme by local cheque/bank draft, drawn on any bank branch or **RTGS/fund transfer in favour of MF Collection account**. Cheques/demand drafts should be drawn in favour of "ICICI Prudential <Scheme name>" as provided in the **Scheme Information Document (SID) of respective schemes** and must be crossed "**Account Payee Only**".

The cheque/demand draft should be payable at the Centre where the application is lodged. The cheque/demand draft should be drawn on any Bank which is situated at and is a member/sub-member of the Bankers' Clearing House. Cheques/demand drafts drawn on a Bank not participating in the Clearing House will not be accepted.

**Payments by Stock invest/out-station and/or post-dated cheques will not be accepted.**

Investors may please note that in case any application is made through Demand Draft, Demand Draft charges will not be reimbursed by the AMC. The Demand Draft charges shall be borne by investors.

The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

**Mode of Payment for SIP:**

In case of SIP transaction where, the mode of payment is through Standing Instructions/ Direct Debit facility (offered by select banks) or NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment.

Investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided. SIP facility shall be available on any date of the month for SIP registrations. In case the date chosen for SIP falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In addition investors are requested to peruse and understand the instructions mentioned on specific application forms and scheme specific Scheme Information Documents.

**Facility of National Automated Clearing House (NACH) Platform in Systematic Investment Plan (SIP):**

In addition to existing facility available for payments through Direct Debits/ Postdated cheques/Standing Instructions for investments in SIP, the NACH facility can also be used to make payment of SIP installments.

NACH is a centralized system, launched by National Payments Corporation of India (NPCI) with an aim to consolidate multiple Electronic Clearing Service (ECS) mandates. This facility will enable the unit holders of the Fund to make SIP investments through NACH by filling up the SIP Registration cum mandate form. A Unique number will be allotted to every mandate registered under NACH called as Unique Mandate Reference Number ("UMRN") which can be used for SIP transactions.

The NACH facility shall be available subject to terms and conditions contained in the Easy Pay Debit Mandate Form and as prescribed by NPCI from time to time.

**iii) Investments of NRIs, FIIs and Foreign Investors:**

**NRIs:**

In terms of Schedule 5 of Notification no. FEMA 20/2000 dated May 3, 2000, RBI has granted general permission to NRIs to purchase, on repatriation basis units of domestic mutual funds. Further, the general permission is also granted to NRIs to sell the units to the mutual funds for repurchase or for the payment of maturity proceeds, provided that the units have been purchased in accordance with the conditions set out in the aforesaid notification.



For the purpose of this section, the term “mutual funds” is as referred to in Clause (23D) of Section 10 of Income-Tax Act 1961.

However, NRI investors, if so desired, also have the option to make their investment on a non-repatriable basis. In case of NRI investments, the applications and the rupee draft have to be accompanied by the debit certificate from the bank on which cheque is drawn.

A person who falls within the definition of the term “U.S. Person” under the Securities Act of 1933 of the United States, and corporations or other entities organised under the laws of the U.S. are not eligible to invest in the schemes and apply for subscription to the units of the schemes, except for lump sum subscription, systematic transactions and switch transactions requests received from Non-resident Indians/Persons of Indian origin who at the time of such investment, are present in India and submit a physical transaction request along with such documents as may be prescribed by ICICI Prudential Asset Management Company Limited (the AMC)/ICICI Prudential Trust Limited (the Trustee) from time to time.

The AMC shall accept such investments subject to the applicable laws and such other terms and conditions as may be notified by the AMC/the Trustee. The investor shall be responsible for complying with all the applicable laws for such investments.

The AMC reserves the right to put the transaction requests on hold/reject the transaction request/reverse allotted units, as the case may be, as and when identified by the AMC, which are not in compliance with the terms and conditions notified in this regard.

U.S. Persons and persons of Canada will not be permitted to make any fresh purchases/additional purchases/switches/SIPs in any Schemes of ICICI Prudential Mutual Fund (via internet or otherwise). However, existing investments will be allowed to be redeemed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

**FIs:**

In terms of Schedule 5 of Notification no. FEMA 20/2000 dated May 3, 2000, RBI has granted general permission to a registered FII to purchase on a repatriation basis units of domestic mutual funds subject to the conditions set out in the aforesaid notification. Further, the general permission is also granted to FIIs to sell the units to the mutual funds for repurchase or for the payment of maturity proceeds, provided that the units have been purchased in accordance with the conditions set out in the aforesaid notification.

For the purpose of this section, the term “mutual funds” is as referred to in Clause (23D) of Section 10 of Income-Tax Act 1961.

Further, Foreign Portfolio Investor can transact in the schemes of the Fund subject to applicable guidelines. Foreign Portfolio Investor means a person who satisfies the eligibility criteria prescribed under regulation 4 of the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014. Any foreign institutional investor or qualified foreign investor who holds a valid certificate of registration shall be deemed to be a foreign portfolio investor till the expiry of the block of three years for which fees have been paid as per the Securities and Exchange Board of India (Foreign Institutional Investors) Regulations, 1995.

The Rupee Draft in case of NRI and FII investments should be drawn in favor of – **“Respective Scheme Name – NRI/FII A/c”**

**iv) Mode of Payment on Repatriation basis**

FII's may pay their subscription amounts either by way of inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-resident Rupee Account maintained by the FII with a designated branch of an authorized dealer with the approval of the RBI subject to the terms and conditions set out in the aforesaid notification.

In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed.

In case of NRIs and persons of Indian origin residing abroad, payment may be made by way of Indian Rupee drafts purchased abroad and payable at the collecting bank branch locations of **ICICI Bank** or by way of cheques drawn on Non-Resident (External) (NRE) Accounts payable at designated ICICI Bank Collection Centres or at specified AMC branches.

**All cheques/drafts should be made out in favour of the Scheme name as provided in the Scheme Information Documents (SID) of respective schemes – NRI /FII A/C”** and crossed “Account Payee Only”. In case Indian Rupee drafts are purchased abroad or from FCNR/NRE A/c. an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed.

**v) Mode of payment on Non-Repatriation basis**

In case of NRIs /Persons of Indian origin seeking to apply for Units on a non-repatriation basis, payments may be made by cheques/demand drafts drawn out of Non-Resident Ordinary (NRO) accounts/ Non-Resident Special Rupee (NRSR) accounts and Non Resident Non-Repatriable (NRNR) accounts payable at the city where the Application Form is accepted.

**vi) Application under Power of Attorney/ Body Corporate/ Registered Society/ Partnership/ Sole Proprietorship Account**

Every investor, depending on the category under which he/she/ it falls, is required to provide the relevant documents along with the application form as may be

prescribed by AMC.

In case of an application under the Power of Attorney or by a limited company, body corporate, registered society or partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the memorandum and articles of association/bye-laws must be lodged at the Registrar's Office at the time of submission of application.

In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc. under his folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, POA holder's signature is available in the POA.

Original or certified true copies of the following documents should be submitted by Companies/Bodies Corporate/PSUs/Banks and Financial Institutions along-with the application form:

- Board resolution authorizing the investment
- List of authorized officials to make such investment along with the specimen signature of such authorized officials
- KNOW YOUR CLIENT(KYC), FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification

The onus of authentication of the documents shall be on the Investors and the AMC/Fund will accept and act on these in good faith wherever the documents are not expressly authenticated Submission of these documents by such Investors shall be full and final proof of the corporate investors' authority to invest and the AMC/Fund shall not be liable under any circumstances for any defects in the documents so submitted.

In case of fresh/additional purchases from the sole proprietorship account, ICICI Prudential Asset Management Company Limited (the AMC) shall process the application after matching the name and signature of the applicant on the application form/transaction slip and the payment instrument/cheque.

In case the name of an applicant mentioned in the application form/transaction slip differs from the name on payment instrument/cheque, then the AMC may process the application and allot units at the applicable Net Asset Value, after obtaining self-declaration certificate from the applicant, as prescribed by the AMC. In case the self-declaration is not furnished by the applicant, the AMC reserves the right to process/reject the application form without any reference to the applicant after carrying out necessary diligence, as deemed appropriate by the AMC.

#### **vii) Joint Applicants**

In the event an Account has more than one registered owner, the first-named holder

(as determined by reference to the original Application Form) shall receive the Account Statement, all notices and correspondence with respect to the Account, as well as the proceeds of any redemption requests or dividends or other distributions. In addition, such Unitholders shall have the voting rights, as permitted, associated with such Units, as per the applicable guidelines.

Applicants can specify the 'mode of holding' in the Application Form as 'Jointly' or 'Anyone or Survivor'. In the case of holding specified as 'Jointly', redemptions and all other requests relating to monetary transactions would have to be signed by all joint holders. However, in cases of holding specified as 'Anyone or Survivor', any one of the Unitholders will have the power to make redemption requests, without it being necessary for all the Unitholders to sign. However, in all cases, the proceeds of the redemption will be paid to the first-named holder.

**viii)Accounts of Minor and Account Status Change :**

**a. "On Behalf of Minor" Accounts:**

1. The minor shall be the first and the sole holder in a folio. There shall not be any joint accounts with minor as the first or joint holder.
2. Guardian in the folio(s) on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
3. The information on the relationship/status of the guardian as father, mother or legal guardian will be mandatory.
4. In case of natural guardian, the documents evidencing the relationship will have to be submitted (if the same is not available as part of the documents submitted for proof of DOB).
5. In case of court appointed legal guardian- a notarised photo copy of the court order should be submitted along with the application.
6. Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only.
7. Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
  - i) Birth certificate of the minor, or
  - ii) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
  - iii) Passport of the minor, or
  - iv) Any other suitable proof evidencing the date of birth of the minor.

**b. Investments of the minor investor on attaining majority:**

On minor attaining majority, the unit holder shall submit a letter along with the documents as mentioned below:

1. A signed request form to change account status from minor to major duly filled containing details like name, folio no. etc.
2. New Bank Mandate.
3. Signature of the minor who has turned major, duly attested by –

- a. the parent/guardian whose signature is registered in the records of the Fund/Registrar and Transfer Agent (RTA) against the folio of the minor unitholder;  
OR
- b. the manager of a scheduled bank (signature attestation by way of Banker's certificate or letter)
4. KYC, PAN and AADHAAR number of the major.
5. Additional KYC, FATCA & CRS - Self Certification

Depending upon the appropriateness, ICICI Prudential Asset Management Company Limited (the AMC) may consider seeking additional/alternative documents for necessary diligence of each case.

For the units held on behalf of the minor, the ownership of the unit shall be rest with the minor. A guardian operates the account until minor attain the age of majority. Hence, the guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP), Systematic Withdrawal Plan (SWP), etc. after the date of the minor attaining majority. The major can operate the account on receipt of the application form along with the above prescribed documents are received by the mutual fund.

In accordance with SEBI Circular dated December 24, 2019, regarding existing standing instructions including STP, SIP and SWP registered prior to the minor attaining the age of majority, the standing instruction shall be suspended when the minor attains majority, till the status is changed to major.

However, for fresh registrations, SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.

**c. Change in Guardian:**

When there is a change in guardian either due to mutual consent or demise of existing guardian, the following documents should be submitted for registration of the new guardian:

- Request letter from the new guardian,
- No Objection Letter (NOC) or Consent Letter from existing guardian or Court Order for new guardian, in case the existing guardian is alive.
- Notarized copy or attested copy of the Death Certificate of the deceased guardian, where applicable. The attestation may also be done by a special executive magistrate, ICICI Prudential Asset Management Company Ltd. (the AMC) authorised official or manager of a scheduled bank.
- Bank attestation attesting the signature of the new guardian in a bank account of the minor where the new guardian is registered as the guardian.
- KYC of the new guardian.
- Additional KYC, FATCA & CRS - Self Certification

The new guardian should be a natural guardian (i.e. father or mother) or a court appointed legal guardian. The relationship status of the guardian as father, mother or legal guardian should be specified in the request letter and supporting documentary evidence should be submitted along with the request letter.

**ix) Single plan structure for the schemes of the Fund:**

With effect from October 1, 2012 fresh subscriptions/switch-ins are accepted only under a single plan for all the schemes. Fresh subscriptions / switch-ins in other plans of the schemes shall not be accepted w.e.f. October 1, 2012. However, such plans will continue till the existing investors remain invested in the plans.

**x) Separate plan for direct investments:**

Pursuant to SEBI circular dated September 13, 2012, mutual funds/AMCs are required to provide a separate plan for direct investments, i.e., investments not routed through a distributor, in existing as well as new schemes.

Consequently, the Fund has introduced 'Direct Plan' with effect from January 1, 2013 (the Effective Date) under various plans/ options/ sub-options of various schemes of the Fund as follows:

1. all open-ended schemes of the Fund except (i) Exchange Traded Funds and (ii) Plans discontinued for further subscriptions;
2. New Fund Offer of close-ended schemes of the Fund launched on or after the Effective Date; and
3. Interval Schemes (commencing from the first day of the Specified Transaction Period immediately succeeding the Effective Date).

There will be two plans available under each Scheme, viz.

- a) Where the investment is routed through the distributor, levying additional distribution expenses, and
- b) Where the investor approaches the mutual fund directly and hence distribution expenses are not levied, named as 'Direct Plan'.

Portfolio of the Scheme under both Plans will be common.

Investors subscribing under Direct Plan of any of the Schemes of the Fund will have to indicate the Scheme / Plan name in the application form as "ICICI Prudential <scheme name> - Direct Plan". If the Purchase/ Switch application does not specifically state the details of the plan then the same shall be processed under the Direct Plan if no distributor code is mentioned in the application. Otherwise it shall be processed under the Existing Plan.

**Scheme characteristics:** Scheme characteristics such as Investment Objective, Asset Allocation Pattern, Investment Strategy, risk factors, facilities offered and terms and conditions including load structure will be the same for the Existing Plan and the Direct Plan except that:

- (a) Switch of investments from Existing Plan (whether the investments were made before or after the Effective Date) to Direct Plan of the same Scheme shall be subject to applicable exit load, if any, in case the transaction under Existing Plan

has been received under distributor code. However, no exit load shall be levied in case of switches from Existing Plan to Direct Plan of the same Scheme, if the transaction was received without broker code in the Existing Plan.

- (b) No exit load shall be levied in case of switches from Direct Plan to Existing Plan of the same Scheme.
- (c) Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

**Applicable NAV and allotment of units:** The starting NAV for the Direct Plan will be at the same NAV as that of Existing Plan on the day of first purchase transaction received in Direct Plan.

**Investments through systematic routes:** In case of registration requests for Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP)/ Dividend Transfer Plans (DTP), are received on or after the Effective Date without any distributor code, the same shall be by default registered under the "Direct Plan". Similarly, in case of existing SIP/ STP/ DTP registrations without distributor code, all future installments after the Effective Date shall be processed under the Direct Plan.

**Redemption requests:** Where Units under a Scheme are held under both Existing and Direct Plans, the investor must clearly state the Plan in which the redemption/switch request has to be processed, failing which the request will be processed under the Existing Plan. However, where Units under the requested Option are held only under one Plan, the request would be processed under such Plan.

**Tax consequences:** Switch / redemption may entail tax consequences. Investors should consult their professional tax advisor before initiating such requests.

**xi) Cash Investments:**

Currently, the AMC is not accepting cash investments. A notice in this regard shall be published as and when the facility is made available.

**xii) Transactions received through fax/Invest Now Internet facility**

**FAX INDEMNITY FOR APPLICATIONS RECEIVED THROUGH FAX**

A transaction will be deemed to be a valid transaction only where the transaction slip and supporting payment instrument is received before the applicable cut off time. In case an investor chooses to transact through fax with the Mutual Fund, the following terms and conditions shall apply and the investor would be deemed to have agreed and accepted the following terms and conditions and the following shall be deemed to be representations and warranties made by the investor on the basis of which the facility is being provided by the Mutual Fund through the AMC:

1. The Investor may from time to time submit applications, supporting documents and instructions with respect to Purchase / Repurchase / Switch and other Non-financial transactions by facsimile, or similar method of transmission in the

manner specified herein and at the specific numbers mentioned herein or in such other manner as may be expressly communicated by the AMC from time to time.

2. The AMC will provide to the Investor a fax number to which the Investor may transmit Fax submission. The Investor shall accept the fax number to make 'Fax Submission'.
3. At the request of the Investor the AMC is hereby requested and authorised, but is not obliged to process the transactions as per Fax Submissions received from time to time from Investors and otherwise to rely upon and act in accordance with any Fax Submission which is signed, or is believed to have been signed by any person authorised by the agreements governing the arrangement between the AMC and the Investor.
4. The AMC in good faith will treat any Fax Submission as issued and fully authorised and the same shall be binding on the Investor. The AMC shall take necessary steps in connection with or in reliance upon any Fax Submission as the AMC may in good faith consider appropriate regardless of the value involved and notwithstanding any error/errors in transmission or reception or ambiguity or lack of clarity of any nature in terms of such Fax Submission, the AMC will not be responsible for the above contingencies of nature.
5. In case there is a variance between the particulars mentioned in the fax received as against the original application which may be received thereafter, the AMC reserves the right to process the transaction as per the particulars of the fax received and the pecuniary loss if any due to any such variance shall be entirely borne by the Investor and the AMC shall under no circumstances be liable for such losses.
6. It is unconditionally agreed by the Investor that the Investor is bound and liable for the transactions processed by the AMC on the basis of instructions received on Fax Submission. The Investor will hold the AMC harmless for any loss if any suffered by the Investor for processing any transaction on the basis of Fax Submission.
7. It is agreed between the parties that the terms and conditions of this agreement shall be in addition to but subject to the terms and conditions specified in the Scheme Information Document of the respective Schemes of the Mutual Fund. If any inconsistency exists between the above document and agreements and this Agreement then the terms as specified in the Scheme Information Document shall prevail.
8. It is further mutually agreed that if any other permission is required under the provisions of law for processing such requests / instructions, the Investor shall be solely liable and responsible for any failure to comply with such provisions of laws and regulations. The Investor will keep the AMC fully absolved and indemnified with respect to any violation of such laws and regulations and consequences thereafter in case of such violation mentioned hereinabove.



9. It is agreed by the parties that the AMC need not confirm (whether orally in writing or otherwise) any Fax Submission or verify the Identity of the person making or giving or purporting to make or give any Fax Submission of the signature appearing on the Fax Submission. The AMC shall be under no duty to prescribe or adopt any procedures for the purpose of such confirmations or verification and any such procedure prescribed or adopted by AMC shall not impose upon the AMC any obligation to adopt or comply with the same in any or every instance.
10. It is agreed and confirmed by the Investor that in consideration of the AMC acting in accordance with the request and authority conferred herein, the Investor agrees that the AMC shall not be liable for any losses or damage which the Investor may suffer as a consequence of the AMC acting in accordance with, or in reliance upon any Fax Submission or otherwise in accordance with the request and authority conferred herein.
11. It is agreed and confirmed by the Investor that the Investor shall indemnify the AMC on demand from and against any and all claims, liability, loss, damage, cost and expenses incurred by the AMC arising out of or relating to:
  - AMC acting pursuant to, in accordance with or in reliance upon any Fax Submission or otherwise in accordance with the request and authority conferred herein.
  - Any submission received by the AMC that AMC in good faith believes to be a Fax Submission and
  - Any unauthorised or fraudulent facsimile transmission to AMC. The Investor also agrees, undertakes to execute any other documents indemnifying the AMC.
12. It is agreed by the parties that no provision herein shall be deemed to require or obligate the AMC to maintain any facilities for the receipt of any Fax Submission or to ensure the continued operations or availability of any facsimile facilities or equipment.
13. The Investor acknowledges that it is in the nature of telecommunication services that transmissions may not be properly received and may be inadvertently read or may be made known to unauthorised persons. Investor agrees that the risk of misunderstanding and errors shall be borne by the Investor and the AMC shall not be responsible for such breach or confidentiality. The AMC shall not be liable for any claims, liability, loss, damage, cost or expenses arising from such misunderstanding or errors in transmission or from such breach of confidentiality.
14. It is also mutually agreed that the AMC is requested and shall be entitled to treat any Fax Submission as issued and fully authorised by and binding upon the Investor and the AMC shall be entitled (but not be bound) to take any steps in connection with or in reliance upon any Fax Submission as AMC may in good faith consider appropriate regardless of the amount or money involved and notwithstanding any error in transmission or reception of such Fax Submission

or any misunderstanding and ambiguity or lack of clarity in the terms of such Fax Submission.

15. It is agreed and confirmed that the AMC will not be liable for any loss, damages of any nature either to the Investor or to any third party. The Investor agrees irrevocably and unconditionally that in availing of the facilities mentioned hereinabove in detail and in consideration thereof the Investor has agreed to unconditionally and absolutely indemnify and keep indemnified the AMC against all losses, costs, charges, sums, damages, expenses of any nature which the AMC may incur or suffer due to the act of not / wrong processing of any Fax Submission. The Investor further agrees to indemnify and to keep indemnifying the AMC against all loss, costs, charges, sums, damages, expenses of any nature which the AMC may incur or suffer due to any act of the Investor in availing the aforesaid facility and the AMC shall not be responsible or liable to the third party / parties and for which the Investor undertakes to indemnify and keeps the AMC indemnified against any third party claim or loss or damage of any nature.
16. It is further mutually agreed by the parties that MUMBAI would be the exclusive jurisdiction in respect of any of the legal proceedings.
17. It is mutually agreed that the facility may be terminated by the AMC upon and not fewer than 3 days advance written notice in the form of a public notice in one national newspaper and one regional language newspaper circulating in Mumbai. Any termination shall not effect anything done and any rights or liability accrued or incurred prior to the termination. The provisions of clauses hereinabove shall survive any termination.

#### **TRANSACTIONS THROUGH Invest Now/ I online INTERNET FACILITY**

For the purpose of enabling the individual investor and Corporate Investors to transact through Internet Facility, the AMC may provide internet access through a secure internet identity and password.

Corporate Investor shall mean only non - individual investor to whom this facility is being extended and has expressed its intention to avail Online Transaction facility offered by the AMC.

In addition to the requirement of ensuring that the transactions comply with the applicable cut-off time as regulatorily prescribed, investors using the facility are subject to the following terms and conditions which they are deemed to have agreed to:

- 1) Availing of such a facility provided by you as described above has been at the insistence of such Corporate Investor and has been specifically authorized by the Board of such investor and it shall be construed by the AMC that wherever transactions are put through internet access provided by the AMC, it is understood by us that it is being carried out at the entire risk of our Company and the onus of allowing only authorized officials of our company access and maintaining secrecy for login-id and password is entirely ours and under no

circumstances will we hold the AMC or its officials liable or responsible for any act including but not limited to fraud due to improper usage by our company officials(whether in the employment of our company or otherwise) or by any third party/ies or by any reason of misuse, abuse, breach of security, hacking, accidental disclosure of password and under no circumstances can the AMC, its officials or the Trustees be held liable for any loss caused out of transactions arising out of or in the course of exercise of this facility being provided to us.

- 2) It shall be our entire responsibility to maintain secrecy and confidentiality and to ensure that access is restricted and provided only to the authorized signatories of the company and wherever access is made through internet you can construe that it is backed by necessary physical approvals by the requisite number of authorized signatories as specified in our Board Resolution and at all times all transactions by us through internet access is constructive notice to you of the fact of their being duly backed up by our internal approvals by the Board and under the delegated authorities including but not limited to the Articles of Association of the Company, the delegation matrix followed in our company and the due approval of the Board of Directors of the Company for availing the facility.
- 3) We agree to hold the AMC, its officials, the Trustees and ICICI Prudential Mutual Fund harmless and not liable under all circumstances arising out of the usage of the facilities provided to us as detailed above and the circumstances shall include any act of fraud, negligence, third party crime, in relation to any transaction put through by us through these facilities. We shall at all times hereafter save, defend and keep harmless and indemnified the AMC and its officials, Trustees of the Fund and its employees, Directors, Officers against any losses, damages, costs or expenses including legal costs for defending any lawsuits and/or regulatory action/penalties that the AMC/the Fund/the Trustees may incur and for any other incident of a financial nature which they may be required to meet in connection with the AMC agreeing to provide us with the facility of transacting on the Internet using online Transaction facility and forthwith pay the AMC arising out of such circumstances. We agree that it is only upon the above assertion being made by us that the AMC has been and is agreeing to provide us with the facility of transacting through the Internet.
- 4) We, undertake that, this Indemnity shall be enforceable against us and our respective Successors and Assigns and the benefits of this Indemnity shall inure for the benefit of the AMC, its successors and assigns and shall be irrevocable until discharge by them of all obligations devolving upon hereunder.

Individual investors who intend to avail Invest Now facility and Corporate investors who intend to avail I-online facility are requested to read and understand the terms and conditions applicable for availing of such facilities which are mentioned in the Registration form. Registration Form is available at all the Official Point of Acceptance of Transactions.

**FOR E-MAIL SERVER DECLARED AS AN OFFICIAL POINT OF ACCEPTANCE OF TRANSACTION (OPAT):**

AMC has declared its Email server declared as an Official Point of Acceptance of transaction (OPAT) with effect from June 23, 2014. The transaction requests can be sent to [trxn@icicipruamc.com](mailto:trxn@icicipruamc.com), [TrxnBangalore@icicipruamc.com](mailto:TrxnBangalore@icicipruamc.com), [TrxnChennai@icicipruamc.com](mailto:TrxnChennai@icicipruamc.com), [TrxnKolkatta@icicipruamc.com](mailto:TrxnKolkatta@icicipruamc.com), [TrxnHyderabad@icicipruamc.com](mailto:TrxnHyderabad@icicipruamc.com), [TrxnAhmedabad@icicipruamc.com](mailto:TrxnAhmedabad@icicipruamc.com), [TrxnMumbai@icicipruamc.com](mailto:TrxnMumbai@icicipruamc.com), [TrxnPune@icicipruamc.com](mailto:TrxnPune@icicipruamc.com), [TrxnDelhi@icicipruamc.com](mailto:TrxnDelhi@icicipruamc.com), [TrxnNRI@icicipruamc.com](mailto:TrxnNRI@icicipruamc.com) (the said email id) which will be dedicated for receiving transaction requests.

The following terms and conditions shall apply to the transactions received through this mode and shall be binding on the Investor:

- 1) All transaction requests will be deemed to be valid, where applications, transaction slips, forms, relevant supporting documents and payment instruments are received only on the said email id. These documents shall only be accepted if they are in PDF or JPG format. The AMC may not acknowledge the receipt of the email requests.
- 2) The AMC shall not verify the identity of the person sending the email requests.
- 3) The physical/original documents must also be submitted by the Investor at the service centre of the AMC.
- 4) The transaction request sent on the said email id will be time-stamped once it is received on the email server of the AMC and it shall be considered as final and binding for determining the applicable Net Asset Value (NAV).
- 5) The AMC reserves the right to change/add the email id(s) from time to time, and the same shall be updated on its website.
- 6) The AMC shall act in good faith and shall take necessary steps in connection with the email requests received regardless of the value involved and the same shall be binding on the Investor. The AMC will be held harmless for any loss if any, suffered by the Investor for processing such transactions.
- 7) In case there is a variation between the documents received vide email as against the original/physical documents which will be received thereafter, the AMC reserves the right to process the transaction as per the documents received vide email and the pecuniary loss if any, due to any such variation shall be entirely borne by the Investor and the AMC shall under no circumstances be liable for such losses.
- 8) The Investor acknowledges that it is a web based service and that transmissions may not be properly received and may be inadvertently read. Investor agrees that the risk of misunderstanding and errors shall be borne by the Investor and the AMC shall not be responsible for such breach and shall not be liable for any claims, liability, loss, damage, cost or expenses arising from such misunderstanding or errors caused in transmission.
- 9) Investor shall indemnify the AMC from and against all claims, liability, loss, damage, cost and expenses incurred by the AMC arising out of or relating to:
  - AMC acting pursuant to, in accordance with or relying upon any email requests received or AMC not processing the email requests for any reason.
  - Any unauthorised or fraudulent email request received by the AMC.The Investor also agrees and undertakes to execute any other documents indemnifying the AMC.
- 10) This facility will be provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, as amended by Securities

and Exchange Board of India (SEBI) from time to time and any other applicable laws, rules and regulations as may be enforced from time to time.

**ADDITIONAL OFFICIAL POINT OF ACCEPTANCE OF TRANSACTIONS THROUGH MF UTILITY:**

The AMC has entered into an Agreement with MF Utilities India Private Limited ("MFUI"), a "Category II – Registrar to an Issue" under SEBI (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, for usage of MF Utility ("MFU") - a shared services initiative of various Asset Management Companies, which acts as a transaction aggregation portal for transacting in multiple Schemes of various Mutual Funds with a single form and a single payment instrument.

Accordingly, all financial and non-financial transactions pertaining to Schemes of the Fund can be done through MFU at the authorized Points of Service ("POS") of MFUI. The list of POS of MFUI published on the website of MFUI at [www.mfuindia.com](http://www.mfuindia.com) as may be updated from time to time will be considered as Official Points of Acceptance of transactions (OPAT) for transactions in the Scheme(s) of the Fund. Additionally, such transactions can also be carried out electronically on Online Transaction Portal i.e. [www.mfuonline.com](http://www.mfuonline.com) as and when such a facility is made available by MFUI and that this portal will also be considered as OPAT for transactions in the Scheme(s) of the Fund.

The Online Transaction Portal of MFU i.e. [www.mfuonline.com](http://www.mfuonline.com) and the POS locations of MFUI will be in addition to the existing OPATs of the AMC.

The uniform cut-off time as prescribed by SEBI and as mentioned in the SID / KIM of respective schemes shall be applicable for applications received through MFUI. However, investors should note that transactions through MFUI shall be subject to the eligibility of the investors, any terms & conditions as stipulated by MFUI / the Fund / the AMC from time to time and any law for the time being in force.

Investors are requested to note that, MFUI will allot a Common Account Number ("CAN"), a single reference number for all investments in the Mutual Fund industry, for transacting in multiple Schemes of various Mutual Funds through MFU and to map existing folios, if any. Investors can create a CAN by submitting the CAN Registration Form and necessary documents at the MFUI POS. The AMC and / or its Registrar and Transfer Agent shall provide necessary details to MFUI as may be needed for providing the required services to investors / distributors through MFU. Investors are requested to visit the website of MFUI i.e. [www.mfuindia.com](http://www.mfuindia.com) to download the relevant forms. Investors transacting through MFU shall be deemed to have consented to exchange of information viz. personal and/or financial (including changes, if any) between the Fund/the AMC and MFUI and/or its authorized service providers for validation and processing of transactions carried out through MFU.

For any queries or clarifications related to MFU, investors are requested to contact the Customer Care of MFUI on 1800-266-1415 (during the business hours on all days except Sunday and Public Holidays) or send an email to [clientservices@mfuindia.com](mailto:clientservices@mfuindia.com).

## **COMPLETION OF TRANSACTION DOCUMENTS IN THE CASE OF CORPORATE DOCUMENTS**

- 1) With reference to the investments and transactions being made by Corporate investors through fax from time to time in various Schemes (present and future) of ICICI Prudential Mutual Fund (the Fund) and where duly authorised Memorandum and Articles of Association, Board Resolution and Authorised signatory list, authorizing company officials to give instructions for purchases, additional purchase/redemption/switch/change of bank mandate/change of address on behalf of the company under the above-said folios/schemes, in present and in future to ICICI Prudential Asset Management Company Ltd. (AMC) are already registered with the AMC, where due to internal exigencies such Corporate investors may choose to fax the transactions on the basis of only one of the signatures of the signatories(mentioned in Board Resolution/Authorised Signatory list), the AMC will at its option and at the entire risk of the Corporate be eligible to commence processing of such transactions subject to receipt of signatures in original subsequently at its Official Point of Transaction which are fully in compliance with the terms of the Board Resolution of the respective Corporate Investors. In case originals are not sighted within a reasonable time subsequently and in any case before pay-outs, the transactions are liable to be summarily rejected by the AMC.
- 2) In consideration of the AMC agreeing to process transactions on the basis of instructions issued by any one of the signatories given in the Board Resolution/ Authorised Signatory List, the Corporate investors shall at all times hereafter save, defend and keep harmless and indemnified the AMC and its officials, Trustees of the Fund and its employees, Directors, Officers against any losses, damages, costs or expenses including all legal costs and/or regulatory action/penalties that the AMC/the Fund/the Trustees may incur. The Corporate Investors agree that it is only upon the above assertion being made by them that the AMC has been and is agreeing to process the transactions and undertake that, this Indemnity shall be enforceable against the Corporate investors and their respective Successors and Assigns and the benefits of this Indemnity shall inure for the benefit of the AMC, its successors and assigns and shall be irrevocable until discharge by them of all obligations devolving upon thereunder.

## **REQUIRED PERSONAL INFORMATION OF INVESTORS IN ACCORDANCE WITH THE PRIVACY POLICY**

ICICI Prudential Asset Management Company Limited (the AMC) during the course of serving the investor(s), collects personal information from investors that may be sensitive in nature. The AMC recognize the importance of securing such personal sensitive information and with an endeavor to protect the same, have established policies and procedures.

All the personal information collected and received either in physical mode or in electronic mode, shall be governed by the Privacy Policy available on website of the AMC i.e. [www.icicipruamc.com](http://www.icicipruamc.com) (the website). The AMC may delegate to another

entity/ third party service provider including to its Sponsor, its subsidiaries, associates, or any group company of the Sponsor, either established or to be established at a later date the processing of the Personal Information and/or distributor communications, to the extent permitted by the applicable laws and SEBI Regulations.

By subscribing/investing into the unit(s) of the schemes of the Fund online or otherwise, the investors are deemed to have consented for the usage of the personal information in accordance with the Privacy Policy.

The Personal Information collected by the AMC in physical and electronic including online may be converted and stored in an electronic form by the AMC and/or Registrar and Transfer Agent(s) of the Fund (the RTAs) at their sole discretion for the purposes mentioned in the Privacy Policy.

Investors (s) are to note that the personal information which AMC and/or its Registrar collect may include information that is of a confidential nature (all such information being "Personal Information"). Personal Information shall mean and include any information that relates to a natural person, which, either directly or indirectly, is capable of identifying such person. The type of Personal Information collected from the investor shall include the Investor's full name, address, telephone number, e-mail address and any other information as defined in Rule 3 of the Information Technology (Reasonable security practices and procedures and sensitive personal data or information) Rules, 2011, as may be required by AMC and/or the RTAs from time to time in order to provide services to the Investors.

The Personal Information of the Investors may be shared with third parties as more specifically detailed in the Privacy Policy from time to time. Notwithstanding anything contained in the Privacy Policy, the AMC may also share the Investors' Personal Information with any governmental authority including but not limited to the Reserve Bank of India or the Securities and Exchange Board of India, to the extent required by such governmental authority and / or as required under relevant laws, without the Investors' consent. The AMC can also share Investors' Personal Information with its associates or Group companies of the Sponsor or any other Organisations / Authorities / Bodies for compliance with any group, legal or regulatory requirements, including, but not limited to, compliance with anti-money laundering, sanctions and / or any other financial crime control risk management requirements.

To the extent required or permitted under law, the AMC may share Personal Information for the following purposes with the below mentioned third parties:

- The Sponsor or its associates, Trustee Company, Registrar and transfer agent/s, call centers, Banks, custodians, depositories and / or authorized external third parties who are involved in transaction processing, despatches, etc., of investors' investment with us;
- Distributors or Sub-brokers through whom applications of investors are received for the investments; or

- Entities involved in data analysis, data management, data storage etc., for various purposes including but not limited to reporting, audit, investigations, record keeping etc.
- Any other organization for verifying the identity of investors for complying with anti-money laundering requirements.
- Post office, local and international couriers and other intermediaries for correspondence with the investor and for making payments to the investor by cheques, drafts, warrants, through Electronic Clearing Services (ECS), NEFT etc.

For any further information the investor is requested to please refer to the Privacy Policy displayed on the website.

### **MANNER OF SUBMITTING TRANSACTION REQUESTS**

For any financial and non-financial transactions, investors shall use the standard request form(s) prescribed by the AMC, which are also available on its website/OPATs. Any request received in non-standard formats (other than one prescribed by AMC) are liable to be rejected and the AMC reserves the right to process such requests subject to completeness and unambiguity.

Investors are requested to provide details/instructions only in the space provided in the request form. In case, information/notings/instruction provided at a non-designated area of the standard form being used or any additional details for which space is not specified in the standard form, the AMC reserves the right to process such request.

Further, post processing of any financial or non-financial request, the AMC endeavors to inform the investor either through letter or email or SMS the information provided in the request form. Investors are requested to review the accuracy and completeness of such requests before start/effective date and contact the AMC/RTA in case of any discrepancy.

The investors shall check their demat accounts for allotment of Units within 10 working days of the NFO closure.

**For processing of any financial and non-financial transactions, the AMC will endeavor to process such request within 10 business days from the receipt of such request, unless specified otherwise in SAI/ SIDs/ KIMs or SEBI/ AMFI guidelines.**

### **APPLICATIONS THROUGH COMMON ONE TIME MANDATE REGISTRATION FACILITY (COTM FACILITY) OFFERED BY COMPUTER AGE MANAGEMENT SERVICES (REGISTRAR/CAMS)**

Common One Time Mandate registration shall be registered against the PAN/PEKRN of the First Unit holder, which authorizes his/her bank to debit their account up to a certain specified limit per Transaction (subject to the statutory limits as applicable from time to time), as and when they wish to transact with the Fund, without the need of submitting cheque or fund transfer letter with every transaction thereafter. This Facility currently enables Unit holder(s) of the Fund to start



Systematic Investment Plan (SIP) or invest lump sum amounts in the schemes of the Fund wherever subscription is allowed. Currently, this facility is available for transactions made through physical mode and the Fund may, at its discretion, extend the same to other modes of transactions from time to time.

This facility can be availed only if the Investor's Bank is participating in the NACH (National Automated Clearing House) Platform and subject to investor's bank accepting ACH/OTM Registration mandate.

#### **APPLICATION FROM SOLE PROPRIETORSHIP ACCOUNT:**

Applications for fresh/additional purchases from sole proprietorship accounts shall be processed after matching the name and signature of the applicant on the application form/transaction slip and the payment instrument/cheque.

In case the name of an applicant mentioned in the application form/transaction slip differs from the name on payment instrument/cheque, then the AMC may process the application and allot units at the applicable Net Asset Value, after obtaining self-declaration certificate from the applicant, as prescribed by the AMC. In case the self-declaration is not furnished by the applicant, the AMC reserves the right to process/reject the application form without any reference to the applicant after carrying out necessary diligence, as deemed appropriate by the AMC.

#### **KYC Requirements**

Investors may please refer 'Legal Information' section to know more about KYC requirements.

#### **Treatment of purchase/switch/Systematic Investment Plan (SIP)/Systematic Transfer Plan (STP) transactions received through distributors whose AMFI registration / ARN has been suspended temporarily or terminated permanently by AMFI**

- a) During the period of suspension, no commission shall be accrued or payable to the distributor whose ARN is suspended. Accordingly, during the period of suspension, commission on the business canvassed prior to the date of suspension shall stand forfeited, irrespective of whether the suspended distributor is the main AMFI Registration Number ("ARN") holder or a sub-distributor.
- b) All Purchase and Switch transactions, including SIP/STP registered prior to the date of suspension and fresh SIP/STP registrations received under the ARN code of a suspended distributor during the period of suspension, shall be processed under "Direct Plan" of the respective scheme and shall be continued under Direct Plan of the respective scheme perpetually\*. A suitable intimation in this regard shall be sent to the investor informing them of the suspension of the distributor.

\*Note: If the AMC receives a written request/instruction from the unitholder to shift to other than Direct Plan under the ARN of the distributor post the revocation of suspension of ARN, the same shall be honored.

- c) All Purchase and Switch transactions including SIP/STP transactions received through the stock exchange platforms through a distributor whose ARN is suspended shall be rejected.
- d) In case where the ARN of the distributor has been permanently terminated, the unitholders have the following options:
- Switch their existing investments under the other than Direct Plan to Direct Plan (Investors may be liable to bear capital gains taxes as per their individual tax position for such transactions); or
  - Continue their existing investments under the other than Direct Plan under ARN of another distributor of their choice

### III. RIGHTS OF UNITHOLDERS OF THE SCHEME

1. Unit holders of the Scheme have a proportionate right in the beneficial ownership of the assets of the Scheme.

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2. When the Mutual Fund declares a dividend under the Scheme, the dividend warrants shall be dispatched within 30 days of the declaration of the dividend.

3. The unitholders whose application for subscription has been accepted by the Fund, a communication specifying the number of units allotted, in form of an email and/or SMS at the registered e-mail address and/or mobile number, shall be sent within five Business Days from the date of receipt of transaction request or allotment of units in case of new fund offer. Thereafter, a consolidated account statement (CAS) for each calendar month, detailing:
- a. all the transactions carried out by the unitholders across all Schemes of all mutual funds during the month and
  - b. holding at the end of the month including transaction charges if any, paid to the distributor,
- shall be sent to the unitholder(s) by mail/ email in whose folio(s) transaction(s) has/have taken place during the month, on or before 10<sup>th</sup> of the succeeding month.

Provided if a Unit holder so desires the Mutual Fund shall issue a Unit certificate (non- transferable) within 5 business days of the receipt of request for the certificate.

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4. The Mutual Fund shall dispatch Redemption proceeds within 10 Business Days of receiving the Redemption request.

5. The Trustee is bound to make such disclosures to the Unit holders as are essential in order to keep the unitholders informed about any information known to the Trustee which may have a material adverse bearing on their investments.

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6. The appointment of the AMC for the Mutual Fund can be terminated by majority of the Directors of the Trustee Board or by 75% of the Unit holders of the Scheme.

7. 75% of the Unit holders of a Scheme can pass a resolution to wind- up a Scheme.

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8. The Trustee shall obtain the consent of the Unit holders:

- whenever required to do so by SEBI, in the interest of the Unit holders.
- whenever required to do so if a requisition is made by three- fourths of the Unit holders of the Scheme.
- when the Trustee decides to wind up the Scheme or prematurely redeem the Units.
- The Trustee shall ensure that no change in the fundamental attributes of any Scheme or the trust or fees and expenses payable or any other change which would modify the Scheme and affects the interest of Unit holders, shall be carried out unless :
  - i) a written communication about the proposed change is sent to each Unit holder and an advertisement is given in one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated; and
  - ii) the Unit holders are given an option to exit at the prevailing Net Asset Value without any Exit Load.

9. In specific circumstances, where the approval of unitholders is sought on any matter, the same shall be obtained by way of a postal ballot or such other means as may be approved by SEBI.

## IV. INVESTMENT VALUATION NORMS FOR SECURITIES AND OTHER ASSETS

### A. Background

SEBI has amended Regulation 47 of SEBI (Mutual Funds) Regulations, 1996 ('Regulations') and the Eighth schedule of Regulations, relating to valuation of Investments on February 21, 2012 to introduce over-riding principles in the form of "Principles of fair valuation".

Prior to this amendment, Eighth schedule and various circulars issued from time to time provided detailed guidelines on valuation of traded securities, non-traded securities, thinly traded securities etc.

The amended Regulations require that mutual funds shall value their investments in accordance with principles of fair valuations so as to ensure fair treatment to all investors i.e. existing investors as well as investors seeking to subscribe or redeem units.

It further prescribes that the valuation shall be reflective of the realizable value of securities and shall be done in good faith and in true and fair manner through appropriate valuation policies and procedures approved by the board of the asset management company ('AMC').

The amendment also states that in case of any conflict between the principles of fair valuation and valuation guidelines as per Eighth schedule and circulars issued by SEBI, the principles of fair valuation shall prevail.

Additionally SEBI has vide its circular no. SEBI/HO/IMD/DF4/CIR/P/2019/102 dated September 24, 2019 (the Circular), introduced changes to the existing provisions for valuation of money market and debt securities by way of partial modification to the existing provisions, deletion of certain provisions and introduction of new provisions. These changes have been introduced in order to align the guidelines with the best market practices and improve the robustness of valuation of the securities.

### B. Valuation methodologies

- Mutual Fund shall value its investments in accordance with the overarching principles of fair valuation. The methodologies for each type of securities held by the schemes are provided in **Annexure I**. The above methodologies also take into account the guidelines stipulated under Regulations.
- In case of any conflict between the principles of Fair Valuation and valuation guidelines issued under Regulations, the Principles of Fair Valuation shall prevail.
- Investment in any new type of security shall be made only after establishment of the valuation methodology for such security with the approval of the Board of the AMC.

### **C. Inter-scheme transfers**

- Transfer of securities through inter-scheme shall be at market price or fair valuation price.
- The methodology to determine the fair valuation of securities which are intended to be transferred from one scheme to another is included in **Annexure II**.

### **D. Waterfall Mechanism for valuation of money market, debt and Government securities (Refer Annexure III)**

### **E. Exceptional Events**

The following types of events could be classified as exceptional events where current market information may not be available / sufficient for valuation of securities:

- Major policy announcements by the Central Bank, the Government or the Regulator.
- Natural disasters or public disturbances that force the markets to close unexpectedly.
- Significant volatility in the capital markets.
- Significant sale (more than 40% of the AUM) of securities in any open ended scheme other than interval schemes.
- Any other event perceived to be exceptional by the Valuation Committee which is headed by the Managing Director.

In case of exceptional events, the Valuation Committee of the AMC shall assess the situation and advise appropriate method of valuation for the impacted securities. Such decision of Valuation Committee shall subsequently/ suitably be reported to the AMC and Trust Boards.

### **F. Deviations**

Investments shall be valued as per the methodologies mentioned in this Policy, which aim to enable true and fair valuation of securities. However, if the valuation of any particular asset/security does not result in fair/ appropriate valuation or under exceptional circumstances, the Valuation Committee would have the right to deviate from the established policies in order to value the asset/security at fair/appropriate value.

In case the AMC decides to deviate from the valuation price given by the valuation agencies:

- the detailed rationale for each instance of deviation shall be recorded by the AMC;
- the instances of deviation and the rationale along-with details such as information about the security (ISIN, issuer name, rating etc.), price at which the security was valued and the impact of such deviation on scheme NAV (in amount and percentage) will be informed to the Boards of the AMC & Trust Company; and

- such rationale along with the details mentioned above will be disclosed immediately and prominently on the website of the AMC under a separate head.

#### **G. Periodic Review**

The Valuation policy shall be reviewed at least annually and any modification shall be approved by the AMC and Trustee Boards. The Valuation Policy shall also be reviewed by Independent Auditors at least once in a Financial Year to ensure the appropriateness of the valuation methodologies.

#### **H. Conflict of Interest**

If any situation arises that leads to conflict of interest, the same shall be raised to the Valuation Committee and the Committee shall endeavor to resolve the same such that the valuation provides for fair treatment to all investors including existing and prospective investors.

#### **I. Disclosure and Record keeping**

Policy document should be updated in Scheme Information Document (SID) / Statement of Additional Information (SAI), website and other documents as prescribed by the SEBI Regulations and guidelines.

All the documents which form the basis of valuation including inter-scheme transfers (the approval notes and supporting documents) should be maintained in electronic or physical form. These records will be preserved in accordance with the norms prescribed by the SEBI Regulations and guidelines.

## Annexure I

The revised policy is to be implemented from 1st July 2012 and will stand modified to the extent it is inconsistent with any regulatory pronouncements thereafter:

### A. EQUITY AND RELATED SECURITIES

<b>Assets</b>	<b>Methodology</b>
Traded Equity and Equity Related securities	<p>Traded securities shall be valued at the last quoted closing price on the principal stock exchange. The AMC has selected NSE as principal stock exchange, for all schemes other than Index based Funds/ETF, which invest in domestic equity and equity related securities. For index based schemes/ETF the Principal stock exchange would be the exchange where the underlying benchmark index has been set up. If no trade is reported on the principal stock exchange on a particular valuation date, traded securities shall be valued at the last quoted closing price on other recognised stock exchange. For this purpose only NSE and BSE shall be considered as the recognized stock exchanges.</p> <p>When a security is not traded on any stock exchange on a particular valuation day, the value at which it was traded on the selected stock exchange or any other stock exchange, as the case may be, on the earliest previous day shall be used provided such date is not more than thirty days prior to the valuation date.</p> <p>In case the security is traded in periodic call auction session, the security shall be valued as per last quoted closing price of such periodic call auction session</p>
Thinly Traded / Non-Traded	<p>When a security(other than Futures &amp; Options) is not traded on any recognized stock exchange for a period of thirty days prior to the valuation date, the scrip must be treated as a 'non-traded' security</p> <p>Futures &amp; Options are considered as Non-Traded, when such Futures &amp; Options are not traded on respective stock exchange as on valuation date.</p> <p>Equity / equity-related security(other than Futures &amp; Options) shall be considered to be thinly traded when the value of the trades of that security in a month is less than Rs. 5 lacs by value and the total volume of the trades in that security is less than 50,000 shares. In order to determine whether a security is thinly traded, the volumes traded in</p>

Assets	Methodology
	NSE and BSE shall be considered.
Non-Traded / Thinly Traded Equity Shares:	<p>Thinly Traded / Non-traded equity shares shall be valued as below:</p> <p>a) Based on the latest available Balance Sheet, net worth shall be calculated as follows:  Net Worth per share = [share capital + reserves (excluding revaluation reserves) – Misc. expenditure and Debit Balance in P&amp;L A/c] Divided by number of Paid up Shares.</p> <p>b) Average capitalisation rate (P/E ratio) for the industry based on NSE or BSE data, shall be taken and discounted by 75% i.e. only 25% of the Industry average P/E shall be taken as capitalisation rate (P/E ratio). Earnings per share of the latest audited annual accounts shall be considered for this purpose.</p> <p>c) The value as per the net worth value per share and the capital earning value calculated as above shall be averaged and further discounted by 10% for ill-liquidity so as to arrive at the fair value per share.</p> <p>d) If the EPS is negative, EPS value for that year shall be taken as zero for arriving at capitalized earning.</p> <p>e) Where the latest balance sheet of the company is not available within nine months from the close of the year, unless the accounting year is changed, the shares of such companies shall be valued at zero.</p> <p>f) Where an individual security accounts for more than 5% of the total assets of the scheme, an independent valuer shall be appointed for the valuation of the said security. To determine if a security accounts for more than 5% of the total net assets of the scheme, it should be valued by the procedure above and the proportion which it bears to the total net assets of the scheme on the date of valuation shall be taken into account.</p> <p>In order to ensure fair valuation, the AMC, after providing suitable justification to and due approval from the Valuation Committee, may decide to value non-traded/thinly traded equity share at a price lower than the value derived using the aforesaid methodology.</p>



<b>Assets</b>	<b>Methodology</b>
Unlisted Equity shares:	<p>Unlisted equity shares of a company shall be valued on the basis of the valuation principles given below:</p> <p>(a) Based on the latest available audited balance sheet, net worth shall be calculated as lower of (i) and (ii) below:</p> <ol style="list-style-type: none"> <li>i. Net worth per share = [share capital plus free reserves (excluding revaluation reserves) minus miscellaneous expenditure not written off, deferred revenue expenditure, intangible assets and accumulated losses] divided by Number of Paid up Shares.</li> <li>ii. After taking into account the outstanding warrants and options, net worth per share shall again be calculated and shall be = [share capital plus consideration on exercise of Option/Warrants received/receivable by the Company plus free reserves (excluding revaluation reserves) minus miscellaneous expenditure not written off, deferred revenue expenditure, intangible assets and accumulated losses] divided by {Number of paid up shares plus number of shares that would be obtained on conversion/exercise of outstanding Warrants and Options}</li> </ol> <p>The lower of (i) and (ii) above shall be used for calculation of net worth per share and for further calculation in (c) below.</p> <p>(b) Average capitalisation rate (P/E ratio) for the industry based on NSE or BSE data shall be taken and discounted by 75% i.e. only 25% of the Industry average P/E shall be taken as capitalisation rate (P/E ratio). Earnings per share of the latest audited annual accounts will be considered for this purpose.</p> <p>(c) The value as per the net worth value per share and the capital earning value calculated as above shall be averaged and further discounted by 15% for illiquidity so as to arrive at the fair value per share.</p> <p>The above methodology for valuation shall be subject to the following conditions:</p> <ul style="list-style-type: none"> <li>- All calculations as aforesaid shall be based on audited accounts.</li> <li>- In case where the latest balance sheet of the company is not available within nine months from the close of the year, unless the accounting year is changed, the shares of such companies shall be valued at zero.</li> <li>- If the net worth of the company is negative, the share would be marked down to zero.</li> <li>- In case the EPS is negative, EPS value for that year</li> </ul>

<b>Assets</b>	<b>Methodology</b>
	<p>shall be taken as zero for arriving at capitalized earning.</p> <p>- In case an individual security accounts for more than 5% of the total assets of the scheme, an independent valuer shall be appointed for the valuation of the said security. To determine if a security accounts for more than 5% of the total assets of the scheme, it should be valued in accordance with the procedure as mentioned above on the date of valuation.</p> <p>In order to ensure fair valuation, the valuation committee of the AMC may decide to value an unlisted equity share at a price lower than the value derived using the aforesaid methodology.</p>
<p>Equity and Equity related Securities awaiting listing (Merger/Demerger)</p>	<p>Valuation of merged entity shall be arrived at based on the previous day's last quoted closing price of the respective companies prior to merger.</p> <p>Where the demerged company is not immediately listed, valuation price shall be worked out by using previous day's last quoted closing price before demerger reduced for last quoted closing price of the listed company.</p> <p>Where none of demerged company is immediately listed, the shares of new companies shall be valued by allocating combined valuation existing as on date of the corporate action to the new companies after taking into consideration the pro-rata shares allotted and other relevant factors.</p>
<p>Equity and Equity related securities under lock-in period / pending listing</p>	<p>These shall be valued based last quoted closing price of security after applying suitable discount for illiquidity. The Valuation Committee shall decide on the illiquidity discount to be applied, on a case to case basis.</p>
<p>Suspended equity securities</p>	<p>In case trading in an equity security is suspended up to 30 days, then the last quoted closing price should be considered for valuation of that security. If an equity security is suspended for more than 30 days, then the Valuation Committee shall decide the valuation norms to be followed and such norms should be documented and recorded.</p>
<p>Initial Public Offering ('IPO') application</p>	<p>These shall be valued as below :</p> <p>(i) Prior to allotment – at Bid Price.</p> <p>(ii) Post allotment but awaiting listing – at allotment price</p>

<b>Assets</b>	<b>Methodology</b>
Value of non traded "Rights" Entitlement	<ul style="list-style-type: none"> <li>- Until they are traded, the value of the 'rights' entitlement should be valued based on difference between ex-rights price of underlying security and rights offer price as detailed below:  <math display="block">V_r = n/m \times (P_{ex} - P_{of})</math>           Where  <math>V_r</math> = Value of rights  <math>n</math> = No. of rights offered  <math>m</math> = No. of original shares held  <math>P_{ex}</math> = Ex-rights price  <math>P_{of}</math> = Offer price</li> <li>- Where the rights are not treated pari passu with the existing shares, suitable adjustment should be made to the value of rights. Where it is decided not to subscribe for the rights but to renounce them and renunciations are being traded, the rights should be valued at the renunciation value.</li> <li>- In case the rights offer price is greater than the ex-rights price, the value of the rights share is to be taken as zero.</li> </ul>
Non-traded preference shares	<p>The value of convertible preference shares would be arrived based on the intrinsic value of the preference shares considering the conversion ratio as adjusted for illiquidity discount and other relevant factors as applicable as on the valuation date with the approval of Valuation Committee.</p> <p>Non- convertible preference shares are more akin to debt and to be valued as debt securities at a applicable market yield for the similar duration and rating as approved by the Valuation Committee.</p>
Non-traded Convertible debentures	<p>In respect of convertible debentures and bonds, the non-convertible and convertible components shall be valued separately. The non-convertible component should be valued on the same basis as would be applicable to a debt instrument. The convertible component should be valued on the same basis as would be applicable to an equity instrument. If after conversion the resultant equity instrument would be traded pari passu with an existing instrument which is traded, the value of the latter instrument can be adopted after an appropriate discount for the non-tradability of the instrument during the period preceding the conversion while valuing such instruments, the fact whether the conversion is optional should also be factored in.</p>

<b>Assets</b>	<b>Methodology</b>
	<p>The appropriate discount applied shall be approved by the Valuation Committee.</p> <p>The valuation of optional conversion shall be determined as follows -</p> <ul style="list-style-type: none"> <li>- If the option to exercise rests with the issuer, the lower of the value when exercised or value when not exercised shall be taken.</li> <li>- If the option to exercise rests with the investor, the higher of the value when exercised and when not exercised shall be taken. The valuation shall be approved by the valuation committee.</li> </ul>
Non-Traded Warrants	<p>In respect of warrants to subscribe for shares attached to instruments, the warrants can be valued at the value of the share which would be obtained on exercise of the warrant as reduced by the amount which would be payable on exercise of the warrant after applying suitable discount for illiquidity.</p>
Non-traded Future and Options	<p>Non Traded future and options are valued based on settlement price / any other equivalent price provided by the respective stock exchange.</p>
Foreign Securities (other than units of overseas mutual funds)	<p>These shall be valued as below:</p> <ul style="list-style-type: none"> <li>- Foreign securities shall be valued based on the last quoted closing prices at the Overseas Stock Exchange on which the respective securities are listed. However, the AMC shall select the appropriate stock exchange at the time of launch of a scheme in case a security is listed on more than one stock exchange and the reasons for the selection will be recorded in writing. Any subsequent change in the reference stock exchange used for valuation will be necessarily backed by reasons for such change being recorded in writing by the AMC. However, in case of extreme volatility in other markets post the closure of the relevant markets, the AMC shall value the security at suitable fair value.</li> <li>- When on a particular valuation day, a security has not been traded on the selected stock exchange; the value at which it is traded on another stock exchange or last quoted closing price on documented stock exchange shall be used provided such date is not more than thirty days prior to the valuation date.</li> <li>- Due to difference in time zones of different markets, in case the closing prices of securities are not available within a given time frame to enable the AMC to upload</li> </ul>
<b>Assets</b>	<b>Methodology</b>

	<p>the NAV for a Valuation Day, the AMC may use the last available traded price/ previous day's price for the purpose of valuation. The use of the closing price / last available traded price for the purpose of valuation will also be based on the practice followed in a particular market.</p> <ul style="list-style-type: none"><li>- On valuation date, all assets and liabilities in foreign currency shall be valued in Indian Rupees at the RBI reference rate as at the close of banking hours on the relevant business day in India. If required the AMC may change the source for determining the exchange rate.</li><li>- Non-traded ADR/ GDRs shall be valued after considering prices/ issue terms of underlying security. Valuation committee shall decide the appropriate discount for illiquidity. Non traded foreign security shall be valued by AMC at fair value after considering relevant factors on case to case basis.</li></ul>
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## **B. DEBT, MONEY MARKET AND GOVERNMENT SECURITIES**

### **i. Valuation of Debt and Money market Securities with residual maturity of up to 30 days**

<b>Assets</b>	<b>Methodology</b>
Debt and Money market Securities with residual maturity of up to 30 days	<p>(a) All securities except securities in which any scheme has traded: These securities shall be valued based on amortization on a straight-line basis to maturity from last valuation price, as long as the amortised price is within <math>\pm 0.025\%</math> of the reference price which shall be the average of the security level price as provided by the agency(ies) appointed by AMFI.</p> <p>In case the variance exceeds <math>\pm 0.025\%</math>, the valuation of the security shall be adjusted to bring it within the <math>\pm 0.025\%</math> band.</p> <p>(b) All securities in which any scheme has traded: These securities shall be valued based on average of security level prices as provided by the agency(ies) appointed by AMFI.</p> <p>Where any security is purchased by any scheme of Mutual Fund and the security level price from the agency(ies) appointed by AMFI is not available on that day, such security shall be valued on amortization basis considering the weighted average purchase yield on that day and till the day preceding the next business day.</p> <p>In case necessary details to value debt and money market securities are not available, the valuation committee will determine fair value based on available information.</p>

**ii. Valuation of Debt and Money market Securities with residual maturity of over 30 days**

<b>Assets</b>	<b>Methodology</b>
Debt and Money market Securities with residual maturity of over 30 days	<p>All the debt and money market securities with residual maturity of over 30 days shall be valued based on average of security level prices as provided by the agency(ies) appointed by AMFI.</p> <p>Where any security is purchased by any scheme of Mutual Fund and the security level price from the agency(ies) appointed by AMFI is not available on that day, such security shall be valued at weighted average purchase yield on that day and till the day preceding the next business day.</p> <p>In case necessary details to value debt and money market securities are not available, the valuation committee will determine fair value based on available information.</p>

**iii. Valuation of Government Securities**

<b>Assets</b>	<b>Methodology</b>
Government Securities	<p>All Government securities (including T-bills), irrespective of residual maturity, shall be valued based on average of security level prices as provided by the agency(ies) appointed by AMFI.</p> <p>In case necessary details to value government securities (including T-bills) are not available, the valuation committee will determine fair value based on available information.</p>

**iv. Debt and Money Market Securities rated below investment grade:**

All money market and debt securities which are rated below investment grade shall be valued at the price provided by agency(ies) appointed by AMFI.

From the date of the credit event till such time the agency(ies) appointed by AMFI compute the valuation of money market and debt securities classified as below investment grade, such securities shall be valued on the basis of indicative haircuts provided by these agency(ies).

Where such securities are traded (as per the minimum lot size determined by the agency(ies) appointed by AMFI) during the interim period from the date of the credit event and receipt of valuation price and if such trade price is lower than the price post standard hair cut then the traded price will be considered for such

valuation till valuation price is determined by the agency(ies) appointed by AMFI

In case of trades after the valuation price is computed by the agency(ies) appointed by AMFI as referred above and where the traded price is lower than such computed price, such traded price shall be considered for the purpose of valuation and the valuation price may be revised accordingly.

The AMC may deviate from the indicative haircuts and/or the valuation price for money market and debt securities rated below investment grade provided by the agency(ies) appointed by AMFI by recording detailed rationale for such deviation with the approval of Valuation Committee.

While valuing debt, government and money market securities, following additional points would be considered:

<b>Assets</b>	<b>Methodology</b>
Valuation of securities with Put/Call Options	<p>Securities with call option: Securities with call option shall be valued based on average of prices provided by the agency(ies) appointed by AMFI. Where the call option is exercised by the Issuer the same shall be amortized till the call date.</p> <p>Securities with put option: Securities with put option shall be valued based on average of prices provided by the agency(ies) appointed by AMFI. Where the put option is exercised the same shall be amortized till the put date. Any put option inserted subsequent to the issuance of the security shall not be considered for the purpose of the valuation and original terms of the issue will be considered for valuation.</p> <p>Securities with both Put and Call option on the same day: Only securities with Put and call options on same day and having the same put and call option price (as per original terms of issue), shall be deemed to mature on such put/call date and shall be valued accordingly.</p>
Bank Fixed Deposit	Valued at Cost



<b>Assets</b>	<b>Methodology</b>
TREPS / Reverse Repo (including Corporate Bond Repo)	<p>(i) with residual maturity of up to 30 days: shall be valued based on amortization on a straight-line basis to maturity, from</p> <ul style="list-style-type: none"> <li>a. cost or</li> <li>b. last valuation price (where the original maturity is more than 30 days) as applicable.</li> </ul> <p>(ii) with residual maturity of over 30 days: shall be valued based on average of security level prices as provided by the agency(ies) appointed by AMFI.</p> <p>In case the security level price from the agency(ies) appointed by AMFI is not available on that day, such security shall be valued at fair value as approved by Valuation Committee as per the available information.</p>

Further, after reviewing the valuation of the securities, if the prices as per the above methodology does not represent fair price then the same may be ignored and the valuation in such cases would be carried out based on input from the fund management team, duly approved by the Valuation Committee.

## OTHER SECURITIES

Assets	Methodology
Market Linked Debentures:	<p>Shall be valued based on average of security level prices as provided by the agency (ies) appointed by AMFI.</p> <p>Further, after reviewing the valuation of such Security, if the prices as per the above methodology does not represent fair price or in case necessary details to value the same are not available, the valuation committee will determine fair value based on available information.</p>
Interest Rate Swap (IRS) / & other OTC derivatives :	<p>Shall be valued based on average of prices as provided by the agency(ies) appointed by AMFI.</p> <p>Where any IRS is purchased/entered by any scheme of Mutual Fund and the price from the agency(ies) appointed by AMFI is not available on that day, such IRS shall be valued, at net present value on that day and till the day preceding the next business day on the basis of expected future cash flows. Future cash flows for IRS contract will be computed daily based as per terms of contract and discounted by suitable OIS rates available on Reuters/ Bloomberg/ any other provider as approved by valuation Committee.</p> <p>Further, after reviewing the valuation of IRS/other OTC derivatives, if the prices as per the above methodology does not represent fair price or in case necessary details to value the same are not available, the valuation committee will determine fair value based on available information.</p>
Interest Rate Futures	<p><b>Interest Rate Futures(IRF)-</b>  <b>Traded-</b>The exchange traded Interest Rate Futures shall be valued based on the last quoted closing price on the stock exchange.  <b>Non-Traded</b>  Non Traded IRF shall be valued based on settlement price / any other equivalent price provided by the stock exchange.</p>

Assets	Methodology
Gold	<p>The gold acquired by the scheme is in the form of standard bars and its value as on a particular day is determined as under:</p> <ol style="list-style-type: none"> <li>a) The London Bullion Market Association's (LBMA) AM fixing price per troy ounce would be considered.</li> <li>b) The Cost, Insurance, Freight premium, LBMA fixing charges and other charges, as applicable, shall be added to the above LBMA price as determined above.</li> <li>c) The value arrived at based on (a) and (b) above shall then be converted to the equivalent price for 1 kilogram gold of 0.995 fineness by applying the conversion factor.</li> <li>d) The RBI reference rate shall be applied to convert the price from US dollars to Indian Rupees.</li> <li>e) Statutory taxes and levies, as applicable from time to time, shall be added to arrive at the final landed price of gold after adjusting for eligible input tax credit.</li> </ol> <p>If on any day the LBMA AM fixing or RBI reference rate is not available due to holiday or any other reason, then the immediately previous day's prices shall be applied for the purpose of calculating the value of gold.</p>
Units of Mutual Fund (domestic)	<p><b>Traded:</b> Traded units of mutual fund shall be valued based on the last quoted closing price on the stock exchange.</p> <p><b>Non Traded:</b> If units are not traded on a day the same shall be considered as non-traded units. Non traded units shall be valued based on latest declared NAV per unit of respective underlying schemes.</p>
Mutual Fund Units (Overseas)	Last Published NAV

<b>Assets</b>	<b>Methodology</b>
Units of InvITs / REITs	<p><b>I. Allotted but Listing awaited</b></p> <p>(i) Valuation of units of InvIT and REIT post allotment but awaiting listing- at allotment price.</p> <p><b>II. Listed and Traded/Non- Traded</b></p> <p>a) Where units of InvIT and REIT are listed but not traded after initial listing, valuation will be determined by the Valuation Committee based on the principles of fair valuation.</p> <p>b) Valuation of units of InvIT and REIT will be based on the last quoted closing price on the principal stock exchange where such security is listed. The AMC has selected NSE as principal stock exchange, for all schemes other than Index based Funds/ETF. For index based schemes/ETF, the Principal stock exchange would be the exchange where the underlying benchmark index has been set up. If no trade is reported on the principal stock exchange on a particular valuation date, units of InvIT and REIT shall be valued at the last quoted closing price on other recognised stock exchange. For this purpose only NSE and BSE shall be considered as the recognized stock exchanges.</p> <p>c) When units of InvIT and REIT is not traded on any stock exchange on a particular valuation day, the value at which it was traded on the selected stock exchange or any other stock exchange, as the case may be, on any day immediately prior to valuation day or latest NAV declared by the investment manager of the trust, whichever is later, shall be considered for valuation provided that such date is not more than thirty days prior to the valuation date.</p> <p>d) Where units of InvIT and REIT are not traded on any stock exchange for a continuous period of 30 days then the valuation for such units of InvIT and REIT will be determined based on the price provided by an independent valuation agency(ies). The selection of the independent valuation agency(ies) will be approved by the Valuation Committee.</p> <p>e) Where the valuation for units of InvIT and REIT is not available from any independent valuation agency(ies), the valuation will be determined by the Valuation Committee based on the principles of fair valuation.</p>

Further, after reviewing the valuation of Units of InvITs / ReITs, if the prices as per the above methodology does not represent fair price then the same may be ignored and the valuation in such cases would be decided by the Valuation Committee.

<b>Assets</b>	<b>Methodology</b>
Exchange Traded Commodity Derivatives (ETCDs) (For Gold & Cash Settled ETCDs)	<p>Exchange Traded Commodity Derivatives (ETCDs) shall be valued at the last quoted closing price on the principal commodity exchange. The AMC has selected Multi Commodity Exchange of India Limited (MCX) as principal stock exchange. If no trade is reported on the principal commodity exchange on a particular valuation date, the ETCD shall be valued at the last quoted closing price on other recognized commodity exchange. For this purpose MCX, National Commodity &amp; Derivatives Exchange Limited (NCDEX) and Indian Commodity Exchange Limited (ICEX) shall be considered as the recognized commodity exchanges.</p> <p>In case necessary details to value ETCDs are not available or if the prices as per above do not represent fair price, the valuation committee, in order to ensure fair valuation, will determine price, based on the available information.</p>

## **Annexure II**

### **Policy for Inter-Scheme Transfer ('IST'):**

#### **1. IST of equity and related securities**

IST of equity and equity related securities shall be done at the price determined by the exchange at the time of transfer.

Where the IST of equity and equity related securities cannot be done as per the foregoing, the IST shall be executed based on Volume Weighted Average Price (VWAP) on NSE, or where the equity shares are not listed in NSE, then VWAP price on BSE will be considered.

#### **2. Policy for IST of debt and money market securities (including Government Securities)**

IST will be executed based on average of the prices provided by the agency(ies) appointed by AMFI if prices are received within the pre agreed turn-around-time (TAT).

If price from only one agency is received within pre-agreed TAT, IST will be executed at that Price.

Further, after reviewing the IST prices of the securities, if the prices provided by the agency(ies) appointed by AMFI does not represent fair price or If IST Prices are not received from any of the agencies within the agreed TAT, in such cases IST would be carried out at the price as approved by the Valuation Committee as per the available information in accordance with clause 3(a) of Seventh Schedule of SEBI (Mutual Funds) Regulations,1996.

## **Annexure III**

### **Waterfall Mechanism for valuation of money market, debt and Government securities**

SEBI vide circular no. SEBI/HO/IMD/DF4/CIR/P/2019/102 dated September 24, 2019 has laid down broad principles for considering the traded yields for valuation of Debt, money market and government securities. The said circular prescribes AMFI shall ensure valuation agencies have a documented waterfall approach for valuation of Debt & money market securities.

The extract from AMFI best practices circular (135/BP/83/2019-20) dated November 18, 2019 pertaining to the waterfall mechanism is as under:

#### **Part A: Valuation of Money Market and Debt Securities other than Government Securities (G-Secs)**

## **1. Waterfall Mechanism for valuation of money market and debt securities :**

The following shall be the broad sequence of the waterfall for valuation of money market and debt securities:

- i. Volume Weighted Average Yield (VWAY) of primary reissuances of the same ISIN (whether through book building or fixed price) and secondary trades in the same ISIN;
- ii. VWAY of primary issuances through book building of same issuer, similar maturity (Refer Note 1 below);
- iii. VWAY of secondary trades of same issuer, similar maturity;
- iv. VWAY of primary issuances through fixed price auction of same issuer, similar maturity;
- v. VWAY of primary issuances through book building of similar issuer, similar maturity (Refer Note 1 below);
- vi. VWAY of secondary trades of similar issuer, similar maturity;
- vii. VWAY of primary issuance through fixed price auction of similar issuer, similar maturity;
- viii. Construction of matrix (polling may also be used for matrix construction);
- ix. In case of exceptional circumstances, polling for security level valuation (Refer Note 2 below)

### **Note 1**

Except for primary issuance through book building, polling shall be conducted to identify outlier trades. However, in case of any issuance through book building which is less than INR 100 Cr, polling shall be conducted to identify outlier trades.

### **Note 2**

Some examples of exceptional circumstance would be stale spreads, any event/news in particular sector/issuer, rating changes, high volatility, corporate action or such other event as may be considered by valuation agencies. Here stale spreads are defined as spreads of issuer which were not reviewed/updated through trades/primary/polls in same or similar security/issuers of same/similar maturities in waterfall approach in last 6 months.

Further, the exact details and reasons for the exceptional circumstances which led to polling shall be documented and reported to AMCs. Further, a record of all such instances shall be maintained by AMCs and shall be subject to verification during SEBI inspections.

### **Note 3**

All trades on stock exchanges and trades reported on trade reporting platforms till end of trade reporting time (excluding Inter-scheme transfers) should be considered for valuation on that day.

### **Note 4**

It is understood that there are certain exceptional events, occurrence of which during market hours may lead to significant change in the yield of the debt securities. Hence, such exceptional events need to be factored in while calculating the price of the securities. Thus, for the purpose of calculation of VWAY of trades and identification of outliers, on the day of such exceptional events, rather than considering whole day trades, only those trades shall be considered which have occurred post the event (on the same day).

The following events would be considered exceptional events:

- i. Monetary / Credit Policy
- ii Union Budget
- iii Government Borrowing / Auction Days
- iv Material Statements on Sovereign Rating
- v. Issuer or Sector Specific events which have a material impact on yields
- vi Central Government Election Days
- vii Quarter end days

In addition to the above, valuation agencies may determine any other event as an exceptional event. All exceptional events along-with valuation carried out on such dates shall be documented with adequate justification.

## **2. Definition of tenure buckets for Similar Maturity**

When a trade in the same ISIN has not taken place, reference should be taken to trades of either the same issuer or a similar issuer, where the residual tenure matches the tenure of the bond to be priced. However, as it may not be possible to match the exact tenure, it is proposed that tenure buckets are created and trades falling within such similar maturity be used as per table below.

<b>Residual Tenure of Bond to be priced</b>	<b>Criteria for similar maturity</b>
Upto 1 month	Calendar Weekly Bucket
Greater than 1 month to 3 months	Calendar Fortnightly Bucket
Greater than 3 months to 1 year	Calendar Monthly Bucket
Greater than 1 year to 3 years	Calendar Quarterly Bucket
Greater than 3 years	Calendar Half Yearly or Greater Bucket

In addition to the above:

- a. In case of market events, or to account for specific market nuances, valuation agencies may be permitted to vary the bucket in which the trade is matched or to split buckets to finer time periods as necessary. Such changes shall be auditable. Some examples of market events / nuances include cases where traded yields for securities with residual tenure of less than 90 days and more than 90 days are markedly



different even though both may fall within the same maturity bucket, similarly for less than 30 days and more than 30 days or cases where yields for the last week v/s second last week of certain months such as calendar quarter ends can differ.

b. In the case of illiquid/ semi liquid bonds, it is proposed that traded spreads be permitted to be used for longer maturity buckets (1 year and above). However, the yield should be adjusted to account for steepness of the yield curve across maturities.

c. The changes / deviations mentioned in clauses a and b, above, should be documented, along with the detailed rationale for the same. Process for making any such deviations shall also be recorded. Such records shall be preserved for verification.

### **3. Process for determination of similar issuer**

Valuation agencies shall determine similar issuers using one or a combination of the following criteria. Similar issuer do not always refer to issuers which trade at same yields, but may carry spreads amongst themselves & move in tandem or they are sensitive to specific market factor/s hence warrant review of spreads when such factors are triggered.

- i. Issuers within same sector/industry and/or
- ii. Issuers within same rating band and/or
- iii. Issuers with same parent/ within same group and/or
- iv. Issuers with debt securities having same guarantors and/or
- v. Issuers with securities having similar terms like Loan Against Shares (LAS)/ Loan Against Property (LAP)

The above criteria are stated as principles and the final determination on criteria, and whether in combination or isolation shall be determined by the valuation agencies. The criteria used for such determination should be documented along with the detailed rationale for the same in each instance. Such records shall be preserved for verification. Similar issuers which trade at same level or replicate each other's movements are used in waterfall approach for valuations. However, similar issuer may also be used just to trigger the review of spreads for other securities in the similar issuer category basis the trade/news/action in any security/ies within the similar issuer group.

### **4. Recognition of trades and outlier criteria**

#### **i. Volume criteria for recognition of trades (marketable lot)**

Paragraph 1.1.1.1(a) of SEBI vide circular no. SEBI/HO/IMD/DF4/CIR/P/2019/102 dated September 24, 2019 on Valuation of money market and debt securities, prescribes that the marketable lots

shall be defined by AMFI, in consultation with SEBI. In this regard, marketable lot is defined as under. The following volume criteria shall be used for recognition of trades by valuation agencies:

Parameter	Minimum Volume Criteria for marketable lot
Primary	INR 25 cr for both Bonds/NCD/CP/ CD and other money market instruments
Secondary	INR 25 cr for CP/ CD, T-Bills and other money market instruments
Secondary	INR 5 Cr for Bonds/NCD/ G-secs

Trades not meeting the minimum volume criteria i.e. the marketable lot criteria as stated above shall be ignored.

## ii. Outlier criteria

It is critical to identify and disregard trades which are aberrations, do not reflect market levels and may potentially lead to mispricing of a security or group of securities. Hence, the following broad principles would be followed by valuation agencies for determining outlier criteria.

a. Outlier trades shall be classified on the basis of liquidity buckets (Liquid, Semi-liquid, Illiquid). Price discovery for liquid issuers is generally easier than that of illiquid issuers and hence a tighter pricing band as compared to illiquid issuers would be appropriate.

b. The outlier trades shall be determined basis the yield movement of the trade, over and above the yield movement of the matrix. Relative movement ensures that general market movements are accounted for in determining trades that are outliers. Hence, relative movement over and above benchmark movement shall be used to identify outlier trades.

c. Potential outlier trades which are identified through objective criteria defined above will be validated through polling from market participants. Potential outlier trades that are not validated through polling shall be ignored for the purpose of valuation.

d. The following criteria shall be used by valuation agencies in determining Outlier Trades

Liquidity classification	Bps Criteria (Yield movement over Previous Day yield after accounting for yield movement of matrix)		
	Up to 15 days	15-30 days	Greater than 30 days
Liquid	30 bps	20 bps	10 bps

Semi-Liquid	45 bps	35 bps	20 bps
Illiquid	70 bps	50 bps	35 bps

The above criteria shall be followed consistently and would be subject to review on a periodic basis by valuation agencies and any change would be carried in consultation with AMFI.

e. In order to ensure uniform process in determination of outlier trades the criteria for liquidity classification shall be as detailed below.

**Liquidity classification criteria - Liquid, semi-liquid and Illiquid definition**

Valuation agencies shall use standard criteria for classifying trades as Liquid, Semi-Liquid and illiquid basis the following two criteria

- a. Trading Volume
- b. Spread over reference yield

Such criteria shall be reviewed on periodic basis in consultation with AMFI.

**Trading Volume (Traded days) based criteria:**

Number of unique days an issuer trades in the secondary market or issues a new security in the primary market in a calendar quarter

- Liquid→ >=50% of trade days
- Semi liquid → >=10% to 50% trade days
- Illiquid→ <10 % of trade days

**Spread based criteria:**

Spread over the matrix shall be computed and based on thresholds defined, issuers shall be classified as liquid, semi liquid and illiquid. For bonds thresholds are defined as upto 15 bps for liquid; >15-75 bps for semi-liquid; > 75 bps for illiquid. (Here, spread is computed as average spread of issuer over AAA Public Sector Undertakings/Financial Institutions/Banks matrix), For CP/ CD- upto 25 bps for liquid; >25-50 bps for semiliquid; > 50 bps for illiquid. (Here, spread is computed as average spread of issuer over A1+/AAA CD Bank matrix).

The thresholds shall be periodically reviewed and updated having regard to the market.

The best classification (liquid being the best) from the above two criteria (trading volume and spread based) shall be considered as the final liquidity classification of the issuer. The above classification shall be carried out separately for money market instruments (CP/ CDs) and bonds.

**5.Process for construction of spread matrix**

Valuation agencies shall follow the below process in terms of calculating spreads and constructing the matrix:

Steps	Detailed Process
<p><b>Step 1</b></p>	<p><b>Segmentation of corporates-</b>  The entire corporate sector is first categorised across following four sectors i.e. all the corporates will be catalogued under one of the below <b>mentioned</b> bucket:</p> <ol style="list-style-type: none"> <li>1. Public Sector Undertakings/Financial Institutions/Banks;</li> <li>2. Non-Banking Finance Companies -except Housing Finance Companies;</li> <li>3. Housing Finance Companies;</li> <li>4. Other Corporates</li> </ol>
<p><b>Step 2</b></p>	<p><b>Representative issuers –</b>  For the aforesaid 4 sectors, representative issuers (Benchmark Issuers) shall be chosen by the valuation agencies for only higher rating (i.e. "AAA" or AA+). Benchmark/Representative Issuers will be identified basis high liquidity, availability across tenure in AAA/AA+ category and having lower credit/liquidity premium. Benchmark Issuers can be single or multiple for each sector.</p> <p>It may not be possible to find representative issuers in the lower rated segments, however in case of any change in spread in a particular rating segment, the spreads in lower rated segments should be suitably adjusted to reflect the market conditions. In this respect, in case spreads over benchmark are widening at a better rated segment, then adjustments should be made across lower rated segments, such that compression of spreads is not seen at any step. For instance, if there is widening of spread of AA segment over the AAA benchmark, then there should not be any compression in spreads between AA and A rated segment and so on.</p>
<p><b>Step 3</b></p>	<p><b>Calculation of benchmark curve and calculation of spread –</b></p> <ol style="list-style-type: none"> <li>1. Yield curve to be calculated for representative issuers for each sector for maturities ranging from 1 month till 20 years and above.</li> <li>2. Waterfall approach as defined in Part A (1) above will be used for construction of yield curve of each sector.</li> <li>3. In the event of no data related to trades/primary issuances in the securities of the representative issuer is available, polling shall be conducted from market participants</li> <li>4. Yield curve for Representative Issuers will be created on daily basis for all 4 sectors. All other issuers will be pegged to the respective benchmark issuers</li> </ol>

	<p>depending on the sector, parentage and characteristics. Spread over the benchmark curve for each security is computed using latest available trades/primaries/polls for respective maturity bucket over the Benchmark Issuer.</p> <p>5. Spreads will be carried forward in case no data points in terms of trades/primaries/polls are available for any issuer and respective benchmark movement will be given.</p>
<b>Step 4</b>	<p>1. The principles of VWAY, outlier trades and exceptional events shall be applicable while constructing the benchmark curve on the basis of trades/primary issuances.</p> <p>2. In case of rating downgrade/credit event/change in illiquidity or any other material event in Representative Issuers, new Representative Issuers will be identified. Also, in case there are two credit ratings, the lower rating to be considered.</p> <p>3. Residential tenure of the securities of representative issuers shall be used for construction of yield curve.</p>

**Part B : Valuation of G-Secs (T-Bill, Cash management bills, G-Sec and SDL)**

The following is the waterfall mechanism for valuation of Government securities:

- VWAY of last one hour, subject to outlier validation
- VWAY for the day (including a two quote, not wider than 5 bps on NDSOM), subject to outlier validation
- Two quote, not wider than 5 bps on NDSOM, subject to outlier validation
- Carry forward of spreads over the benchmark
- Polling etc

**Note:**

1. VWAY shall be computed from trades which meet the marketable lot criteria stated in Part A of these Guidelines.
2. Outlier criteria: Any trade deviating by more than +/- 5 bps post factoring the movement of benchmark security shall be identified as outlier. Such outlier shall be validated through polling for inclusion in valuations. If the trades are not validated, such trades shall be ignored

## **V. TAX & LEGAL & GENERAL INFORMATION**

### **A. Taxation Information**

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#### **TAXATION ON INVESTING IN MUTUAL FUNDS**

The following outline of tax implications is provided for general information purposes only, based on the law prevailing as at the date of this document and also incorporating the amendments made by the Finance Act, 2020. These implications should be considered in light of the specific facts of each individual case. Furthermore, in the event of periodic amendments to the relevant legislation, the nature and / or quantum of these benefits / implications are subject to change.

Accordingly, it is recommended that each unit holder should appropriately consult its tax consultant with respect to the specific tax implications arising out of their participation in the scheme.

#### **I. To the Mutual Fund:**

##### **Income in the hands of the Mutual Fund**

The entire income of a Mutual Fund registered under the Securities and Exchange Board of India Act, 1992 or any regulations made thereunder is exempt from income tax in accordance with the provisions of section 10(23D) of the Income-tax Act, 1961 ('the Act').

Income received by a Mutual Fund is not liable for the deduction of income tax at source as per the provisions of section 196(iv) of the Act. Where the Mutual Fund receives any income from investments made in overseas jurisdictions, this income may be subject to withholding in the relevant jurisdiction. As the income of the Mutual Fund is exempt from tax in India, credits/ refunds in relation to these foreign taxes may not be available in India.

##### **Distribution of income by the Mutual Fund to the unit holders**

The Finance Act, 2020, with effect from 1 April 2020, has abolished additional tax on distribution of income by mutual funds and therefore mutual funds shall no longer be required to pay tax on the income distributed. Such distributions will be taxed directly in the hands of the unitholders of the mutual fund at the tax rates applicable to them. Further, the taxpayer can claim a deduction of interest expenditure only under section 57 of the ITA which shall be restricted to 20% of the gross dividend income.

#### **II. To the unit holders:**

##### **Deduction from total income**

Under section 80C of the Act, an assessee, being an individual or HUF, is eligible to claim a deduction up to an aggregate of Rs. 1.50 lakhs on account of sums paid as subscription to units of an Equity Linked Savings Scheme ('ELSS').

ELSS refers to a scheme formulated under Equity Linked Savings Scheme, 2005, as notified by the Central Board of Direct Taxes, Ministry of Finance *vide* notification dated 3 November 2005 as amended *vide* notification dated 13 December, 2005.

The President of India promulgated the Taxation and Other Laws (Relaxation of Certain Provisions) Ordinance, 2020 ('Ordinance'), on March 31, 2020, to put into immediate effect the relief measures announced by the Finance Minister on 24 March 2020, in response to the Novel Coronavirus (COVID-19) outbreak.

*Inter alia*, the date for making various investment/ payment for FY 2019-20 for claiming deduction under any provisions of Chapter VI-A under the heading "B.-Deductions in respect of certain payments" of Income-tax Act, 1961 which includes Section 80C (ELSS etc.) has been extended to June 30, 2020.

### **Securities Transaction Tax**

Under chapter VII of the Finance (No. 2) Act, 2004, the unit holder is liable to pay Securities Transaction Tax ('STT') on any 'taxable securities transaction' at the applicable rate. Taxable securities transactions include sale of units of an equity oriented fund, entered into on the stock exchange or the sale of units of an equity oriented fund to the Mutual Fund.

The purchaser of the units of an equity oriented fund is not liable to pay STT, where the purchase is entered into on a recognized stock exchange and the contract for the purchase of such units is settled by the actual delivery or transfer of such units.

The seller of the units of an equity oriented fund is liable to pay STT at 0.001%, where the sale is entered into on a recognized stock exchange and the contract for sale of such units is settled by the actual delivery or transfer of such units.

At the time of the sale of units of an equity oriented fund to the Mutual Fund, the seller is required to pay STT @ 0.001%.

STT is not applicable on purchase / sale / redemption of units other than equity oriented units.

STT is not deductible for the computation of capital gains. However, if it is held that gains on the sale of securities are in the nature of business profits, then for the purpose of computing the business income, an amount equivalent to the STT paid on the transaction value will be allowed as a deduction from the gains earned, under section 36 of the Act.

### **Incomes from units**

Income in the nature of dividends distributed by mutual funds will be taxable in the hands of the unitholders under section 56 of the ITA under the head 'Income from Other Sources' at the applicable rates. Further, the taxpayer can claim a deduction of interest expenditure only under section 57 of the ITA which shall be restricted to 20% of the gross dividend income.

## **Tax deduction at source on income distributed by mutual funds**

### **Resident unitholders**

The Finance Act, 2020, with effect from 1 April 2020, has inserted section 194K that provides that mutual funds are required to withhold tax on income in respect of units at the rate of 10% on income (in excess of INR 5,000) paid to a resident. It has been clarified that the provisions of section 194K of the Act shall apply only in respect of income in the nature of dividends distributed by the mutual fund and shall not apply in respect of income which is in the nature of capital gains on units of mutual fund.

### **Non-resident unitholders**

The Finance Act, 2020, with effect from 1 April 2020, has amended the provisions of section 196A of the Act such that mutual funds would be required to withhold tax on income in respect of units at the rate of 20% (plus applicable surcharge and health and education cess) on any income paid to a non-resident.

### **Gains on transfer / redemption of units**

Gains arising on transfer / redemption of units, as well as from switching between schemes will be chargeable to tax under the Act. The characterization of income from investments in securities as 'business income' or 'capital gains' should be examined on a case-by-case basis.

Any securities held by a Foreign Institutional Investor ('FII')<sup>1</sup> which have invested in such securities in accordance with the regulations made under Securities and Exchange Board of India Act, 1992, should be regarded as capital assets. Thus, gains arising on transfer/redemption of units by FIIs should be characterized as capital gains.

The CBDT has issued Circular No. 6 of 2016, dated February 29, 2016 providing that listed shares / securities held for more than 12 months would be treated as capital gains unless the tax payer himself treats the same as stock in trade; in other cases involving sale of listed shares / securities, the characterisation of income would be decided on the basis of previous circulars and instructions issued by the CBDT on this subject. The Circular also provides that a position once adopted by the taxpayer would not be allowed to be changed and it would be applicable for the subsequent assessment years. It is however clarified that the principles as outlined in the circular shall not be applicable in cases where the genuineness of the transaction itself is questionable.

#### **○ Business Income**

Where the units of the Mutual Fund are regarded as business assets, then any gains arising from the transfer / redemption of units would be taxed under the head of "Profits and gains of business or profession" under section 28 of the Act. The gain / loss is to be computed under the head of "Profits and gains of business or profession" after allowing for normal business expenses (inclusive of the expenses incurred on the transfer).

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<sup>1</sup> As per Notification No. 9/2014 dated 22 January 2014, the Central Government has specified Foreign Portfolio Investors registered under the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014, as 'Foreign Institutional Investor' for the purposes of clause (a) of the Explanation to section 115AD of the Act.



Business income is chargeable to tax at the following rates:

<b>Assessee</b>	<b>% of Income Tax</b>
Individuals, HUFs, Association of Persons	Applicable Slab Rate
Partnership Firms, including Limited Liability Partnerships ('LLPs') & Indian Corporates**	30%
Foreign Companies	40%

\*\*A tax rate of 25% (plus applicable surcharge and health and education cess) is applicable for the financial year 2020-21 in the case of domestic companies having total turnover or gross receipts not exceeding Rs. 400 crores in the financial year 2018-19. Domestic companies may opt for a lower tax rate of 22% (plus applicable surcharge and health and education cess) (as per section 115BAA of the Act), subject to fulfillment of prescribed conditions. Further, new domestic manufacturing companies may opt for a lower tax rate of 15% (plus applicable surcharge and health and education cess) (as per section 115BAB of the Act), subject to fulfillment of prescribed conditions.

Unless specifically stated, the income-tax rates specified above and elsewhere in this document are exclusive of the applicable surcharge and health and education cess. The rates of surcharge applicable for financial year 2020-21 are given below:

Type of Investor	Surcharge* rate as a % of income-tax						
	If income is less than Rs. 50 lakhs	If income exceeds Rs. 50 lakhs but less than Rs. 1 crore	If income exceeds Rs. 1 crore but less than Rs. 2 crores	If income exceeds Rs. 2 crore but less than Rs. 5 crores	If income exceeds Rs. 5 crores but less than Rs. 10 crores	If income exceeds Rs. 10 crores	
Individual, HUF, AOP (in respect of dividend income from domestic companies and income on which tax is payable in accordance with section 111A and section 112A of the Act)	Nil	10%	15%				
Individual, HUF, AOP (in respect of income other than dividend income from domestic companies and income on which tax is payable in accordance with section 111A and section 112A of the Act)	Nil	10%	15%	25%	37%		
Partnership Firm (including LLP)	Nil	Nil	12%				
Domestic Company (income other than income chargeable to tax under section 115BAA and section 115BAB)	Nil	Nil	7%			12%	106
Domestic Company (income other than income chargeable to tax under section 115BAA and section 115BAB)			ICICI Prudential Mutual Fund Statement of Additional Information				

\* Additionally, health and education cess is leviable @ 4% on the income tax and surcharge as computed above.

- **Capital Gains**

The mode of computation of capital gains would be as follows:

Sale Consideration	xxx
Less: Cost of Acquisition (Note 1)	(xxx)
Expenses on Transfer (Note 2)	(xxx)
<b>Capital Gains</b>	<b>xxx</b>

Note 1: In case of the computation of long-term capital gains, the option of indexation of cost is generally available.

Note 2: This would include only expenses relating to transfer of units.

Capital gains arising on the transfer or redemption of units of an equity-oriented fund held for a period of more than 12 months would be regarded as 'long term capital gains' which otherwise would be 'short term capital gains'. However, capital gains arising on transfer or redemption of units other than units of equity oriented funds (as defined under section 112A of the Act), would be regarded as long term capital gains only if the units are held for a period of more than 36 months.

In case of ELSS, the units are subject to a lock-in period of 3 years. Accordingly, any sale of units after this lock-in period will qualify as a long-term capital gain.

- **Long term capital gains**

**In the case of a fund other than equity-oriented fund, including a money market mutual fund or a liquid fund**

As per section 112 of the Act, income-tax on long term capital gains arising from the transfer of units shall be 20% plus applicable surcharge and health and education cess, on the long term capital gains computed after substituting the indexed cost of acquisition for the cost of acquisition.

Furthermore, long-term capital gains in the case of non-residents would be taxable @ 10% on the transfer of capital assets, being unlisted securities, computed without giving effect to the first and second proviso of section 48 i.e. without taking benefit of foreign currency fluctuation and indexation benefit.

The benefit of indexation and foreign currency fluctuation will not be available to specified offshore fund which is taxable @ 10% plus the applicable surcharge and health and education cess in terms of section 115AB of the Act.

The benefit of indexation will also not be available to FIIs<sup>2</sup> who are taxed under section 115AD of the Act @ 10% plus applicable surcharge and health and education cess @ 4% on the total amount of tax and surcharge.

In cases where the taxable income, reduced by long term capital gains of a resident individual or HUF is below the taxable limit, the long term capital gain will be reduced to the extent of this shortfall and only the balance of the long term capital gain is chargeable to income tax.

### **In the case of equity-oriented fund**

Units of equity-oriented funds (which may include ELSS) are subject to STT. Long term capital gains arising from the transfer of such units were exempt under section 10(38) of the Act till 31 March 2018. Finance Act 2018 has introduced section 112A for taxing such long-term capital gains with effect from 01 April 2018.

As per the said section, long term capital gains exceeding one lakh rupees arising from the transfer of units of an 'equity-oriented fund', equity shares and units of business trust are chargeable to tax at 10 per cent (plus the applicable surcharge, health and education cess).

Benefit of such lower tax is available on transfer of units of equity oriented mutual fund if such units are subject to STT at the time of transfer.

"Equity oriented fund" has been defined to mean a fund set up under a scheme of a mutual fund specified under clause (23D) of section 10 and,—

- (i) in a case where the fund invests in the units of another fund which is traded on a recognised stock exchange,—
  - (A) a minimum of ninety per cent of the total proceeds of such fund is invested in the units of such other fund; and
  - (B) such other fund also invests a minimum of ninety per cent of its total proceeds in the equity shares of domestic companies listed on a recognised stock exchange; and
- (ii) in any other case, a minimum of sixty-five per cent of the total proceeds of such fund is invested in the equity shares of domestic companies listed on a recognised stock exchange

Further it is stated that the percentage of equity shareholding or unit held in respect of the fund, as the case may be, shall be computed with reference to the annual average of the monthly averages of the opening and closing figures

In cases where the taxable income, reduced by long term capital gains of a resident individual or HUF is below the taxable limit, the long term capital gain will be reduced to

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<sup>2</sup> As per Notification No. 9/2014 dated 22 January 2014, the Central Government has specified Foreign Portfolio Investors registered under the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014, as 'Foreign Institutional Investor' for the purposes of clause (a) of the Explanation to section 115AD of the Act.

the extent of this shortfall and only the balance of the long term capital gain is chargeable to income tax.

In the cases, where the gross total income includes such Long-term capital gains, deduction under Chapter VI-A should be allowed for the gross total income as reduced by such capital gains. Also, rebate under section 87A (available for resident investors) should be allowed from the income-tax on the total income as reduced by tax payable on such capital gains.

Further, a special mechanism has been introduced for computing the cost of acquisition in case of transfer of units of equity-oriented fund acquired before 1 February 2018 and transferred after 1 April 2018. In such cases, cost of acquisition should be higher of :

- (i) **The cost of acquisition of such asset; and**
- (ii) **Lower of-**
  - (a) **The fair market value of such asset; and**
  - (b) **The full value of consideration received or accruing as a result of the transfer of the capital asset**

The fair market value for above purpose means

(i) in a case where the units are listed on any recognised stock exchange as on the 31st day of January, 2018, the highest price of the capital asset quoted on such exchange on the said date:

Provided that where there is no trading in such units on such exchange on the 31st day of January, 2018, the highest price of such asset on such exchange on a date immediately preceding the 31st day of January, 2018 when such asset was traded on such exchange should be the fair market value;

(ii) in a case unit which is not listed on a recognised stock exchange as on the 31st day of January, 2018, the net asset value of such unit as on the said date;

The Mutual Fund would recover the STT from the unit holder at the applicable rate. Long term capital gains arising from the transfer of units on which STT is not paid, should be chargeable to tax at the rate of 20% (with indexation benefit) [plus applicable surcharge and health and education cess].

The following deductions are available on long term capital gains arising on the transfer of Mutual Fund units, if the sale proceeds are invested in eligible avenues:

<b>Particulars</b>	<b>Section 54F</b>
Eligible persons	Individuals and HUFs
Asset to be purchased to claim exemption	One residential house in India
Time limit for purchase from date of sale of MF units	Purchase: 1 year backward / 2 years forward & Construction: 3 years forward

Amount Exempted	Capital gains proportionate to the investment made from the sale proceeds (subject to other conditions of owning / purchasing the residential house mentioned in the section)
Lock-in period	3 years

- **Short term capital gains**

**In the case of other than equity oriented fund, including a money market mutual fund or a liquid fund**

Short term capital gains arising from the transfer of units of funds other than equity oriented scheme would be chargeable to tax as follows:

Short term capital gains are taxed at the normal rates applicable to each unit holder. In case where the taxable income as reduced by short term capital gains of a resident individual or HUF is below the taxable limit, the short term capital gain will be reduced to the extent of this shortfall and only the balance short term capital gain is chargeable to income tax.

**In the case of an equity oriented fund**

Short term capital gains arising from the transfer of units of an 'equity oriented fund'<sup>3</sup>, being subject to STT would be charged to tax under section 111A of the Act @ 15% (plus the applicable surcharge and health and education cess). The Mutual Fund would recover the STT from the unit holder at the applicable rate when the units are re-purchased by the Mutual Fund/ redeemed by the investor.

Short term capital gains arising from transfer of units of an 'equity oriented fund' on which STT is not paid are taxed at the normal rates applicable to each unit holder. In case where the taxable income as reduced by short term capital gains of a resident individual or HUF is below the taxable limit, the short term capital gain will be reduced to the extent of this shortfall and only the balance short term capital gain is chargeable to income tax.

- **Capital losses**

Losses under the head capital gains cannot be set off against income under any other head. Furthermore, within the head capital gains, losses arising from the transfer of long term capital assets cannot be adjusted against gains arising from the transfer of a short term capital asset. However, losses arising from the transfer of short term capital assets

<sup>3</sup> For such purpose of such short-term capital gains, equity oriented fund has been defined to mean a fund set up under a scheme of a mutual fund specified under clause (23D) of section 10 and

(i) in a case where the fund invests in the units of another fund which is traded on a recognised stock exchange,—

(A) a minimum of ninety per cent of the total proceeds of such fund is invested in the units of such other fund; and

(B) such other fund also invests a minimum of ninety per cent of its total proceeds in the equity shares of domestic companies listed on a recognised stock exchange; and

(ii) in any other case, a minimum of sixty-five per cent of the total proceeds of such fund is invested in the equity shares of domestic companies listed on a recognised stock exchange

Further it is stated that the percentage of equity shareholding or unit held in respect of the fund, as the case may be, shall be computed with reference to the annual average of the monthly averages of the opening and closing figures

can be adjusted against gains arising from the transfer of either a long term or a short term capital asset.

Under section 10(38) of the Act, long term capital gains on sale of units of an equity oriented fund were exempt from income tax subject to certain conditions till 31 March 2018. Hence, losses arising from such transactions would not be eligible for set off against taxable capital gains and not allowed to be carried forward. With effect from 1 April 2018, long term capital loss on transfer of units of equity oriented mutual fund should be allowed to set off against other long-term gains

Unabsorbed long term capital losses (other than the losses relating to sale of units of equity oriented fund which are otherwise exempt under section 10(38) of the Act) can be carried forward and set off against the long term capital gains arising in any of the subsequent eight assessment years. Unabsorbed short term capital losses can be carried forward and set off against the income under the head capital gains in any of the subsequent eight assessment years.

- **Consolidation / Merger of schemes**

In case of consolidation of mutual fund schemes, the investors generally receive units in the consolidated scheme in consideration of units held in the consolidating scheme. As per the Finance Act, 2015, the following provisions would apply from Assessment year 2016-17 and onwards in case of consolidation of mutual fund schemes.

As per section 47(xviii) of the Act, any transfer of units held by the investor in the consolidating scheme of the mutual fund in consideration of allotment of units in the consolidated scheme, shall not be regarded as a taxable transfer, provided that the consolidation is of two or more schemes of an equity oriented fund or two or more schemes of a fund other than equity oriented fund.

Further, as per section 49(2AD) of the Act, the cost of acquisition of units in the consolidating scheme shall be deemed to be the cost of acquisition of the units in the consolidated scheme. Also, as per section 2(42A) of the Act, the period of holding of the units in the consolidated scheme shall include the period of holding of the units in the consolidating scheme.

'Consolidating scheme' has been defined under section 47(xviii) of the Act as the scheme of a Mutual Fund which merges under the process of consolidation of the schemes of mutual fund in accordance with the SEBI (Mutual Funds) Regulations, 1996. 'Consolidated scheme' has been defined as the scheme with which the consolidating scheme merges or which is formed as a result of such merger.

- **Consolidation / Merger of plans**

The tax exemption, available on merger or consolidation of mutual fund schemes has been extended to the merger or consolidation of different plans in a mutual fund scheme.

For this purpose, Section 47 has been amended to provide that any transfer by a unit holder of a capital asset, being a unit or units, held by him in the consolidating plan of a mutual fund scheme, made in consideration of the allotment to him of a capital asset,

being a unit or units, in the consolidated plan of that scheme of the mutual fund shall not be considered transfer for capital gain tax purposes and thereby shall not be chargeable to tax.

Further, sub-section (2AF) is inserted under section 49 of the Act to provide that the cost of acquisition of units in the consolidating plan of a scheme shall be deemed to be the cost of acquisition of the units in the consolidated plan. Also, section 2(42A) of the Act is amended, whereby the period of holding of the units in the consolidated plan of a scheme shall include the period of holding of the units in the consolidating plan of a scheme.

#### **Minimum Alternative Tax/Alternate Minimum Tax**

The income on the transfer of Mutual Fund units by a company would be taken into account in computing the book profits and Minimum Alternative Tax ('MAT'), if any, under section 115JB of the Act.

Income of a foreign company in respect of capital gains on transactions in securities (as defined under Securities Contract Regulation Act), as well as corresponding expenses, are to be excluded while computing income under minimum alternate tax provisions, if tax payable thereon is less than 15%<sup>4</sup> (plus surcharge and health and education cess).

The taxable income on transfer of Mutual Fund units would be taken into account in computing the Adjusted Total Income and Alternate Minimum Tax, if any, under section 115JC<sup>45</sup> of the Act.

An amendment has been made *vide* the Finance Act, 2016, to clarify that MAT provisions should not be applicable to a foreign company with retrospective effect from financial year 2001-2002, if:

- it is resident of a country with which India has a DTAA, and it does not have a permanent establishment in India, in accordance with the provisions of the relevant DTAA; or
- it is resident of a country with which India does not have a DTAA, and it is not required to seek registration under Indian corporate laws.

#### **Tax deduction at source on capital gains**

- **Resident unit holders**

No income tax is required to be deducted at source from capital gains arising on transfer of units by resident unit holders.

- **In the case of other than equity oriented fund**

#### **A) Non-Resident Individual unit holders**

<sup>4</sup> MAT should not apply in case of domestic companies exercising option under section 115BAA and section 115BAB of the Act.

<sup>5</sup> Section 115JC is applicable to all persons other than company which has claimed any deduction under Chapter VI-A under the heading 'C- Deductions in respect of certain incomes' (other than section 80P) or section 10AA.



Tax is required to be deducted at source on payment of any sum chargeable under the provisions of the Act to a non-resident under section 195 of the Act at the following rates.

- On income by way of long term capital gains @ 20% (plus applicable surcharge and health and education cess). Furthermore, on income by way of long term capital gains @ 10% on transfer of capital assets, being unlisted securities, computed without giving effect to the first & second proviso of section 48 i.e. without taking benefit of foreign currency fluctuation and indexation benefit.
- On income by way of short term capital gains @ 30% (plus applicable surcharge and health and education cess)

A non-resident, eligible to claim treaty benefits, would be governed by the provisions of the Act to the extent that they are more beneficial. Accordingly, tax should be withheld as per the provisions of the Act or the provisions of the relevant Double Taxation Avoidance Agreement ('DTAA'), whichever is more beneficial to the assessee. However, the Unit holder will be required to provide appropriate documents to the Fund in order to be entitled to a beneficial rate under such DTAA.

As per section 90(4) of the Act, a non-resident shall not be entitled to claim treaty benefits, unless the non-resident obtains a Tax Residency Certificate ('TRC') of being a resident of his home country. Furthermore, as per section 90(5) of the Act, non-resident is also required to provide other information in the prescribed Form No. 10F.

#### **B) Offshore fund unit holders**

Under section 196B of the Act, tax shall be deducted at source from long term capital gains @ 10% plus applicable surcharge and health and education cess .

Tax is required to be deducted at source , on payment to a non-resident of any sum chargeable under the provisions of the Act, at the applicable rates. A non-resident, eligible to claim treaty benefits, would be governed by the provisions of the Act to the extent that they are more beneficial. Accordingly, tax should be withheld as per the provisions of the Act or the provisions in the DTAA whichever is more beneficial to the assessee, subject to certain conditions. However, the unit holder will be required to provide appropriate documents to the Fund, in order to be entitled to a beneficial rate under the relevant DTAA. As per section 90(4) of the Act, a non-resident shall not be entitled to claim treaty benefits, unless the non-resident obtains a TRC) of being a resident of his home country. Furthermore, as per section 90(5) of the Act, a non-resident is also required to provide other information in the prescribed Form No. 10F.

- **In the case of an equity oriented fund for non-resident unit holders (including offshore fund unit holders)**

Tax is required to be deducted at source under section 195 of the Act on payment to a non-resident on any sum which is chargeable under the provisions of the Act, at the following rates:

- Income from way of long term capital gains arising from the transfer of units, subject to STT, at 10% (plus applicable surcharge and health and education cess).

- On income by way of short term capital gains arising from the transfer of units, subject to STT, taxable under section 111A of the Act @ 15% (plus applicable surcharge and health and education cess).

Tax is required to be deducted at source under section 195 of the Act, on payment to a non-resident of any sum chargeable under the provisions of the Act, at the applicable rates. A non-resident, eligible to claim treaty benefits, would be governed by the provisions of the Act to the extent that they are more beneficial than the DTAA. Accordingly, tax should be withheld as per the provisions of the Act or the provisions in the DTAA, whichever is more beneficial to the assessee, subject to certain conditions. However, the unit holder will be required to provide appropriate documents to the Fund, in order to be entitled to a beneficial rate under the relevant DTAA.

As per section 90(4) of the Act, a non-resident shall not be entitled to claim treaty benefits, unless the non-resident obtains a Tax Residency Certificate ('TRC') of being a resident of his home country. Furthermore, as per section 90(5) of the Act, a non-resident is also required to provide other information in the prescribed Form No. 10F.

- **Foreign Institutional Investors**

As per the provisions of section 196D of the Act, no deduction of tax shall be made from any income from capital gains arising from the transfer of securities referred to in section 115AD, payable to a FI<sup>5</sup>.

#### **Failure to provide Permanent Account Number ('PAN')**

Section 206AA of the Act inserted by the Finance (No.2) Act, 2009 effective from 1 April, 2010 states that the deductee is required to furnish his PAN to the deductor failing which the deductor shall deduct tax at source at the higher of the following rates:

1. The rate prescribed in the Act;
2. The rate in force; or
3. The rate of 20%.

As per an amendment *vide* the Finance Act, 2016 the provisions of section 206AA shall not apply to a non-resident, not being a company, or to a foreign company, in respect of any payment. This is, however, subject to the conditions prescribed in Rule 37BC of the Income-tax Rules, 1962. As per the rule, the non-resident deductee shall be required to furnish following details and documents:

- i. Name, e-mail id, contact number;
- ii. Address in the country of residence;
- iii. Tax Residency Certificate (TRC), if the law of country of residence provides for such certificate; and
- iv. Tax Identification Number (TIN) in the country of residence.

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<sup>5</sup> As per Notification No. 9/2014 dated 22 January 2014, the Central Government has specified Foreign Portfolio Investors registered under the Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014, as 'Foreign Institutional Investor' for the purposes of clause (a) of the Explanation to section 115AD of the Act.

Where TIN is not available, a unique identification number is required to be furnished through which the deductee is identified in the country of residence.

### **Dividend stripping**

As per section 94(7) of the Act, loss arising on sale of units which are bought within 3 months of the record date and sold within 9 months after the record date, shall be ignored for the purpose of computing income chargeable to tax to the extent of exempted income received or receivable on such units.

### **Bonus stripping**

As per section 94 (8) of the Act, units purchased within a period of 3 months prior to record date of entitlement of bonus and sold within a period of 9 months after such date, the loss arising on the transfer of original units shall be ignored for the purpose of computing the income chargeable to tax.

The amount of loss ignored shall be deemed to be the cost of purchase / acquisition of the bonus units.

### **III. Religious and Charitable Trust**

Investments in units of the Mutual Fund will rank as an eligible form of investment under section 11(5) of the Act read with Rule 17C of the Income-tax Rules, 1962 for Religious and Charitable Trusts.

### **IV. New pension scheme**

Any income, including gains from redemption of Mutual Fund units, received by any person for, or on behalf of, the New Pension System Trust (as established under the provisions of Indian Trust Act, 1882, on 27 February, 2008), is exempt in the hands of such person under section 10(44) of the Act.

STT is not leviable in respect of taxable securities transactions entered into by any person for, or on behalf of, the New Pension System Trust referred to in section 10(44) of the Act.

### **V. Wealth-tax**

With effect from 1 April 2015, the levy of wealth tax is abolished.

### **VI. Gift-tax**

The Gift -tax Act, 1958 was repealed on 1 October, 1998.

Under section 56(2)(x), receipt of shares and securities without consideration or without adequate consideration, the difference (if exceeding fifty thousand rupees) between the aggregate FMV and such consideration is taxable as income in the hands of any person being recipient of such shares and securities.

Further the above provision of section 56(2)(x) shall not apply to any units/shares received by the donee:

- (a) From any relative; or
- (b) On the occasion of the marriage of the individual; or
- (c) Under a will or by way of inheritance; or
- (d) In contemplation of death of the payer or donor, as the case may be; or
- (e) From any local authority as defined in the Explanation to clause (20) of section 10 of the Act; or
- (f) From any fund or foundation or university or other educational institution or hospital or other medical institution or any trust or institution referred to in clause (23C) of section 10 of the Act; or
- (g) From any trust or institution registered under section 12AA of the Act.
- (h) Transaction not regarded as transfer under clause (i), (vi), (via), (vial), (vib), (vic), (vica), (vicb), (vid), (vii) of section 47.
- (i) from an individual by a trust created or established solely for the benefit of relative of the individual.

The term 'relative' shall mean:

- A] In the case of an Individual -
  - (i) The spouse of the individual

- (ii) The brother or sister of the individual
- (iii) The brother or sister of the spouse of the individual
- (iv) The brother or sister of either of the parents of the individual
- (v) Any lineal ascendant or descendant of the individual
- (vi) Any lineal ascendant or descendant of the spouse of the individual
- (vii) The spouse of the person referred to in clauses (ii) to (vi), and

B] In case of a HUF, any member thereof.

#### **Roll-over of schemes:**

As per Circular No. 6 of 2015 dated 9 April 2015, issued by the Central Board of Direct Taxes, the unit of a mutual fund constitutes a capital asset and any sale, exchange or relinquishment of such unit is a 'transfer' under clause (47) of section 2 of the Act. The roll over in accordance with the Regulation 33(4) of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, will not amount to transfer as the scheme remains the same. Accordingly, no capital gains should arise at the time of exercise of the option by the investor to continue in the same scheme. The capital gains will, however, arise at the time of redemption of the units or opting out of the scheme, as the case may be.

#### **Potential U.S tax implications with respect to the U.S investments of ICICI Prudential US Bluechip Equity Fund:**

##### **I. Investment in U.S. listed equity securities**

##### **1. Investment in listed equity**

##### **(i) Capital Gains**

Under Section 865(a)(2) of the Internal Revenue Code (the Code) of the U.S., income from the sale of personal property by a non-U.S resident is sourced outside of the U.S., and thus generally should not be subject to U.S. federal income tax.

In addition, under Section 864(b)(2)(A), trading in stock or securities is generally not considered a U.S. trade or business, except when the taxpayer is a dealer in stocks or securities and effects the trades through U.S. offices directly or through the U.S. office of its agent other than an independent agent (Trading Safe Harbor).

However, if the Scheme invests in any entities that are treated as partnerships for U.S. federal income tax purposes and if these partnerships are engaged in a U.S. trade or business, then the Scheme itself would be treated as engaged in such a U.S. trade or business, would likely be required to file a U.S. income tax return and potentially pay U.S. tax on its distributive share of partnership income, and may be subject to tax and to potential withholding with respect to sales of an interest in such partnership.

Moreover, Section 897(a) may treat gain derived by a non-U.S resident from the disposition of a U.S Real Property Interest (USRPI) as income that is effectively connected with the conduct of a U.S trade of business and thus subject such gain to U.S federal income tax (and to a requirement to file a U.S. income tax return). Shares of a U.S. Real Property Holding Corporation (USRPHC), i.e. a company that owns substantial U.S. real estate, would generally be treated as USRPI. Likewise, an interest in a publicly

traded partnership (PTP) that holds substantial U.S. real estate would generally be treated as a USRPI.

Nonetheless, the definition of a USRPI excludes stock of a U.S. corporation that is regularly traded on an established securities market, but such exclusion only applies if the stock is held by a person who, during the 5-year look-back period, did not actually or constructively own more than 5% of that class of stock. The USRPI exclusion for publicly traded stock for holders of 5% or less of such stock is increased to 10% solely in the case of a publicly traded real estate investment trust (REIT). Moreover, the exclusion would apply for a non-resident holding not more than a 5% interest in a PTP that is also a USRPI.

As the Scheme should not be considered as having a U.S. trade or business by reasons of its investment activities (provided that it does not invest in partnerships that are engaged in a U.S. trade or business), capital gains derived by the Scheme from the sale of listed U.S. equity should not be subject to tax in the U.S. provided the Scheme holds an interest of 5% or less of any class of stock or 10% in case of a publicly traded REIT, and 5% or less of a PTP that is also USRPI.

In case where the Scheme held, at sometime within the 5-year period ending on the date of disposition, more than 5% of the shares of a publicly-traded company that is also a USRPHC, 10% or more of a publicly traded REIT, or more than 5% of a PTP that is also a USRPI, gain from disposition of such interest is subject to U.S. corporate net income tax at a rate of 21%.

The AMC shall endeavor that the Scheme's exposure in a publicly-traded company that is also a USRPHC shall not exceed 5% or in case of a publicly traded REIT, shall not exceed 10%, or in the case of a partnership interest in a PTP that would be considered a USRPI, shall not exceed 5%, so that the capital gains received by the Scheme are not subject to tax in U.S.

It should be noted that Section 864(c)(8), which was recently enacted in the U.S., provides that where a partnership, including a PTP, is engaged in a U.S. trade or business, if a non-U.S. partner disposes of the partnership interest, a portion of gain or loss is treated as connected with the conduct of a U.S. trade or business, and is therefore subject to U.S. tax. Such income also generates a requirement to file a U.S. tax return. Unlike the rules for PTPs that are USRPI, this provision is not subject to a 5% exception. In addition, Section 1446(f) imposes a requirement that when a non-U.S. partner disposes of an interest in such a partnership, the purchaser withholds 10% of the purchase price (as a prepayment of the ultimate tax liability). The IRS has suspended the withholding requirement with respect to interests in PTPs until such time as it finalizes regulations. As proposed regulations were issued in May 2019, it can be expected that these regulations may be finalized and the withholding may take effect as early as the second half of 2019. Note that while the withholding requirement for PTP interests has been suspended, the requirement to file a return and pay tax has not.

## **(ii) Dividend income**

Under Section 881(a)(1) of the Code, dividend income received by a foreign corporation from sources within the U.S. is subject to a 30% withholding tax. Thus U.S. source

dividends received by the Scheme from investment in U.S. listed equities shall be subject to withholding tax of 30%.

## **2. Investment in U.S Treasury bills, notes or bonds**

### **(i) Capital Gains**

Under Section 865(a)(2) of the Code, income from the sale of personal property by a non-U.S resident is sourced outside of the U.S. Thus, capital gains derived by the Scheme from the sale of U.S Treasury bills, notes or bonds shall not be subject to tax in the U.S.

### **(ii) Interest income**

Under Section 881(a)(1)(A) of the Code, interest income received by a foreign corporation from sources within the U.S. is subject to a 30% withholding tax. Hence, interest income received by the Scheme shall generally be subject to withholding tax of 30%.

However, Section 881(c) provides that in the case of any portfolio interest received by a foreign corporation from sources within the U.S., no tax shall be imposed under Section 881(a)(1).

Portfolio interest generally means any interest which is paid on an obligation which is in registered form and with respect to which the person who would otherwise be the withholding agent receives a statement from the beneficial owner or a securities clearing organization, bank or other financial institution that holds customers' securities in the ordinary course of business that the beneficial owner of the obligation is not a U.S person. Certain additional requirements may apply. Nonetheless, U.S. Treasury bills, notes and bonds are generally expected to qualify for the portfolio interest exemption.

Further, as the Scheme shall be primarily investing in securities of companies that are listed on the NYSE and / or NASDAQ, the Scheme shall not be classified as an "Equity Oriented Fund" as per the provisions mentioned in the Income Tax Act, 1961. Hence the tax provisions as applicable to other than Equity Oriented Fund shall be applicable to the unitholders of the Scheme in India.

## **3. Investment in U.S. Based Mutual Fund**

### **(i) Capital Gains**

As already noted, under Code Section 865(a)(2), income from the sale of personal property by a non-U.S resident is sourced outside of the U.S. Thus, generally capital gains derived by a non-U.S. resident investor from the sale of an investment in a U.S based mutual fund should not be subject tax in the U.S. However, if the mutual fund that is sold is a USRPHC, then the gain is considered to be effectively connected with a U.S trade or business and thus subject to U.S. net taxation, unless the mutual fund whose shares are sold is (a) publicly traded and the investor held an interest of 5% or less in the mutual fund at all times during the year preceding the sale or (b) the mutual fund is a domestically controlled qualified investment entity.

### **(ii) Income distributions from U.S mutual funds**

Generally, under Code Section 881(a)(1)(A), dividend income received by a foreign corporation from sources within the U.S is subject to 30% withholding tax. A mutual fund that is not subject to the USRPHC-related rules described above may distribute ordinary dividends which should be subject to 30% withholding tax. Dividends designated by a RIC (e.g., a mutual fund) as capital gain dividends are treated as long term capital gains in the hands of the shareholders. Except as described above for mutual funds that otherwise qualify as USRPHCs, because long-term capital gains are sourced to the domicile of the recipient, such capital gain dividends should not be U.S source if the recipient is a non-U.S person, and thus would not be subject to U.S taxation.

Until recently, Code Section 881(e)(1) excluded interest-related dividends received from a RIC (Regulated Investment Company) from the tax imposed by Code Section 881(a)(1). Under Code Section 881(e)(2), short-term capital gain dividends received from a RIC were also excluded from the tax imposed by Section 881(a)(1). However, unless they are retroactively extended, the provisions of Code Section 881(e)(1) and (2) are no longer effective for dividends paid as of January 1, 2015. A RIC will designate by written notice mailed to its shareholders whether a dividend (or part thereof) is a capital gain dividend, or, with respect to prior years, an interest related dividend or a short term capital gain dividend.

Notwithstanding the foregoing, under Code Section 897(h)(1), any distribution to a foreign person or other qualified investment entity by a qualified investment entity to the extent attributable to gain from sales or exchanges by the qualified investment entity of USRPIs is treated as gain from the sale or exchange of a USRPI by the foreign person, unless such distribution is with respect to stock that is publicly traded on a U.S exchange and the foreign person did not own more than 5% (or in the case of a REIT, no more than 10%) of such class of stock at any time during the 1-year period ending on the date of distribution. Where the distribution is treated as gain from the sale or exchange of a USRPI, the distribution is treated as income effectively connected to a U.S trade or business, subject to tax at U.S corporate tax rates and withheld on at a rate of 21% of the distribution. The total amount in tax paid should not exceed the liability as determined by applying the U.S corporate rate.

In addition, where distributions from the mutual fund are characterized as gain from the sale of a USRPI due to Code Section 897(h), discussed above, the income is considered effectively connected with the conduct of a U.S trade or business such that the branch profits tax provisions must be considered. Under Code Section 884(a), dividend equivalent amounts are subject to tax at a rate of 30%. The dividend equivalent amount is equal to a foreign corporation's effectively connected earnings and profits as determined under Code Section 884(b). Code Section 884(d)(2)(C) excludes gain on the disposition of an interest in a USRPHC from the definition of effectively connected earnings and profits. Thus where Code Section 897(h) applies to treat a dividend distribution as the sale of a USRPI and subject it to withholding, there is branch profits tax as well unless the distribution/gain is related to the sale of USRPHC shares by the qualified investment entity.



## **B. Legal Information**

### **(i) Nomination Facility**

The SEBI (Mutual Fund) Regulations, 1996, notifies that the mutual fund shall provide for nomination facility to the unit holders to nominate a person in whose favour the units shall be transmitted in the event of death of the unitholder. In accordance, with the same, the AMC provides for the nomination facility as permitted under the Regulations.

Nomination Forms are available alongwith the application forms at any of the Customer Service Centers and on the website of the AMC at [www.icicipruamc.com](http://www.icicipruamc.com).

It may, however, be noted that in the event of death of the Unitholder and in the event a nominee has been named, the nominee shall stand transposed in respect of the Units held by the Unit holder. Such nominee (new Unit holder) will hold the Units in trust for and on behalf of the estate of the original Unit holder and his / her legal heirs. All payments and settlements made to such nominee shall be a full and valid discharge of obligation by the AMC / Mutual Fund / Trustees.

Pursuant to AMFI best practice circular following guidelines to be followed for in case of registration of nominee:

1. Nomination shall be maintained at folio level and shall be applicable for investment under all schemes in the folio.
2. Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint". Nomination form/section cannot be signed by Power of attorney (PoA) holders.
3. Every new nomination for a folio/account will overwrite the existing nomination.
4. Nomination will be mandatory for new folios/accounts opened by individual especially with sole holding. Nomination can also be made by a sole proprietorship as the proprietor is providing his/ her personal pan card for KYC and all the details are of the individual itself.
5. Nomination will not be allowed in a folio held on behalf of a minor.
6. A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
8. Nomination in respect of the units stands withdrawn upon the transfer of units.
9. Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
10. On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of such Nominee.

The AMC shall have the right to ask for any additional information / documentation as it may deem necessary to satisfy itself as to the identity of the Nominee/ Claimant including but not limited to procuring an Indemnity Bond.

Where the units are held by more than one person jointly, the joint unitholders may together nominate a person in whom all the rights in the units shall vest in the event of death of all the joint unit holders.

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Investors who want to make multiple nominations should give a separate request in the Form as prescribed by the AMC.

Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in whole numbers and without decimals in favour of each of the nominees should be indicated against the name of the nominees. Such allocation/ share should total to 100 percent. In the event of the Unit holder(s) fail to indicate the percentage of allocation/share for each of the nominees, the Fund/ AMC, by invoking default option shall settle the claim equally amongst all the nominees.

In case of multiple nominees, on the death of one or more nominee, the transmission of units shall be made in favour of the remaining nominee(s)

If the "Nomination" details in the application form are not provided then by default it shall be treated as the consent provided by the Investor to not register any nomination in the folio and the transaction shall be processed accordingly.

#### **(ii) Requirements of Prevention of Money Laundering**

In terms of the Prevention of Money Laundering Act (PMLA), 2002, the Rules issued thereunder and the guidelines/circulars issued by the Securities and Exchange Board of India ('SEBI') and Association of Mutual Funds in India ('AMFI') regarding Anti Money Laundering ('AML Laws'), all intermediaries, including Mutual Funds, have to verify and maintain records of all its investors through the mandated Know Your Customer ('KYC') process with effect from February 01, 2008.

The AMC shall comply with the provisions notified under the PMLA Act, 2002 and the circulars issued by SEBI and AMFI from time to time.

#### **(iii) Implementation of the Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017 with respect to seeding of Aadhaar number:**

The Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017 have come into force with effect from June 01, 2017. These Rules, inter alia, make it mandatory for investors to submit Aadhaar number issued by the Unique Identification Authority of India (UIDAI) in respect of their investments..

Pursuant to the direction issued by Hon'ble Supreme Court on March 13, 2018 in Writ Petition (Civil) no. 494/2012, the date for mandatory submission of Aadhaar in respect of the existing mutual fund folios/accounts (including accounts/folios opened up to March 31, 2018) and for new accounts/folios of a new investor (i.e., an investor who

is investing for the first time in the Fund and does not have any folio in the Fund), has been deferred till further notice.

Further, pursuant to the notification on Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2019 dated February 14, 2019, Aadhaar can be accepted as a valid document for proof of address or proof of identity, provided the investor redact or blackout his Aadhaar number while submitting the applications for investments.

The aforesaid guidelines will be subject to change as per the directives issued by the concerned regulatory/government authority from time to time.

It may be noted that the requirement of submitting Form 60 as prescribed in the aforesaid notification is not applicable for investment in mutual fund units.

Further, the investors who have not provided their PAN or PAN Exempt KYC Reference Number (PEKRN) for their existing folios are requested to provide the same for updation.

#### **(iv) KYC Requirements**

With effect from January 1<sup>st</sup>, 2011, KYC (Know Your Customer) norms is mandatory for ALL investors (including existing investors and joint holders), who wish to make investments in Mutual Funds, irrespective of the amount of investment. Investments where KYC is not completed, is liable to be rejected.

KYC shall also be mandatory for:

- constituted Power of Attorney (PoA) holder(s), in case of investments through PoA.
- each of the applicants, in case of application in joint names.
- guardian investing on behalf of minor
- if an individual becomes an investor due to an operation of law, e.g., transmission of units upon death of an investor, the claimant / person(s) entering the Register of unit holders of the Fund will be required to KYC compliant before such transfer takes place.

#### **Know your Customer ( KYC) Procedure:**

All the prospective and existing investors / Unit holders of the Fund are requested to note that, pursuant to SEBI Circular No. MIRSD/Cir- 26 /2011 dated December 23, 2011, SEBI KYC Registration Agency (KRA) Regulations, 2011 and SEBI Circular No. MIRSD/SE/Cir-21/2011 dated October 5, 2011 regarding uniformity in KYC process in the securities market and development of a mechanism for centralization of the KYC records, the following KYC procedure is being carried out with effect from January 1, 2012:

- 1- To bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes etc. All the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI

registered intermediaries including Mutual Funds. For Common KYC Application Form please visit our website [www.icicipruamc.com](http://www.icicipruamc.com)

- 2- The AMC shall perform the initial KYC of its new investors and upload the details of the investors on the system of the KYC Registration Agency (KRA), like CDSL Ventures Ltd ("CVL"). Registrar and Transfer Agent (RTA) of the Fund may also undertake the KYC of the investors on behalf of the AMC. KRA shall send a letter to the client within 10 business days of the receipt of the initial/updated KYC documents from the AMC, confirming the details thereof. An investor can start investing with the Fund as soon as the initial KYC is done and other necessary information is obtained while the remaining process of KRA is in progress.
- 3- The AMC and the distributors, who comply with the certification process of National Institute of Securities Market (NISM) or Association of Mutual Funds in India (AMFI) and have undergone the process of 'Know Your Distributor (KYD)', can perform the IPV for the investors of the Fund. However, in case of applications received by the Fund directly from the investors (i.e. not through any distributor), the AMC may also rely upon the IPV (on the Common KYC form) performed by the scheduled commercial banks.
- 4- Once the investor has done the KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary including Mutual Funds. However, the AMC reserves the right to carryout fresh KYC to the investors or undertake enhanced KYC measures commensurating with the risk profile of the investor.

In terms of the SEBI circular dated December 11, 2009, it is mandated by SEBI that, incase of transactions done through distributor all KYC documents/Power of Attorney of the investors should be in the custody of AMC or RTA agents of AMC. The Trustees have been empowered to ensure compliance with the same.

The investor(s) and their attorney, if any, shall produce reliable, independent source documents such as photographs, certified copies of ration card/ passport/ driving license/PAN card, etc. and/or such documents or produce such information as may be required from time to time for verification of the identity, residential address and financial information of the investor(s) by the AMC/Mutual Fund. If the investor(s) or the person making payment on behalf of the investor(s), refuses / fails to provide the required documents/ information within the period specified in the communication(s) sent by the AMC to the investor(s) then the AMC, after applying appropriate due diligence measures, believes that the transaction is suspicious in nature within the purview of the Act and SEBI circulars issued from time to time and/or on account of deficiencies in the documentation, shall have absolute discretion to report suspicious transactions to FIU-IND and / or to freeze the folios of the investor(s), reject any application(s) / allotment of units and effect mandatory redemption of unit holdings of the investor(s) at the applicable NAV subject to payment of exit load, if any, in terms of the said communication sent by the AMC to the investor(s) in this regard. The KYC documentation shall also be mandatorily complied with by the holders by virtue of operation of law e.g. transmission, etc. The ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited, ICICI Prudential Trust Limited and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application /

allotment of units or mandatory redemption of units due to non-compliance with the provisions of the Act, SEBI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the Act and SEBI circular(s) and reporting the same to FIU-IND.

With effect from January 01, 2016, it is mandatory for existing investors:-

- A) To update the beneficiary ownership details in order to continue to make additional subscriptions including switches in their existing folio.
- B) To provide / update additional KYC details as prescribed in Part-II of the Account Opening Forms of the Mutual Funds (Where such information were not provided earlier, to be able to continue to make additional subscription (including switches) in their existing folios with the respective schemes.
- C) To provide the missing information and complete IPV in order to continue to make additional subscriptions, including switches in their existing folios with the respective schemes.

Thus, it is mandatory to complete the KYC requirements for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor.

Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and non-financial requests will not be processed if the unit holders have not completed KYC requirements.

Unit holders are advised to use the applicable KYC Form for completing the KYC requirements and submit the form at our nearest branch. Further, upon updation of PAN/KYC details with the KRA (KRA-KYC)/CERSAI (CKYC), the unit holders are requested to intimate us/our Registrar and Transfer Agent, Computer Age Management Services Limited, their PAN information along with the folio details for updation in our records.

#### **CKYC Process:**

SEBI vide circular no. CIR/MIRSD/66/2016 dated July 21, 2016 and circular no. CIR/MIRSD/120 /2016 dated November 10, 2016, has intimated about operationalisation of Central KYC Records Registry (CKYCR). Further, AMFI vide circular dated December 22, 2016 has prescribed new CKYC forms which shall be applicable for prospective customers.

Accordingly, with effect from February 1, 2017, any new individual investor who has not done KYC under KRA regime shall fill the new CKYC form. In case any such new individual investor uses the old KYC form, he/she shall provide additional/missing information by filling the Supplementary CKYC form or the new CKYC form.

Existing investors who are registered or verified in the KRA system can continue making investments without any additional documentation. However, for any modification to their existing records, they need to fill up the CKYC form.

The aforesaid forms are available on the website of the AMC viz. [www.icicipruamc.com](http://www.icicipruamc.com) and for completion of CKYC process, the investors are required to visit the nearest Point of Service or Point of Acceptance of transactions of the AMC.

## **(v) Ultimate Beneficial Ownership (UBO)**

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on anti money laundering standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO.

### **I. Applicability:**

1. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company.

2. Proof of Identity of the UBO such as Name/s, Address & PAN/Passport together with self-attested copy\* alongwith the declaration form for 'Ultimate Beneficial Ownership are required submitted to the AMC/its RTA.

(\* Original to be shown for verification and immediate return.)

3. In case of any change in the beneficial ownership, the investor should immediately intimate the AMC / its Registrar / KRA, as may be applicable, about such changes.

### **II. Identification Process:**

(A) For Investors other than Individuals or Trusts:

(i) If the investor is an unlisted company, partnership firm or unincorporated association / body of individuals, the beneficial owners are the natural person/s who are acting alone or together, or through one or more juridical person and exercising control through ownership or who ultimately has a controlling ownership interest.

(ii) Controlling ownership interest means ownership of /entitlement to:

- more than 25% of shares or capital or profits of the juridical person, where juridical person is a company.
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership firm; or
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(iii) In cases, where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner).

(iv) Where no natural person is identified under any of the above criteria, the person who holds the position of senior managing official shall be provided.

**(B) For Investor which is a Trust:**

In case of a Trust, the settler of the trust, the trustee, the protector and the beneficiaries with 15% or more interest in the trust or any other natural person exercising ultimate effective control over the trust through a chain of control or ownership shall be considered as beneficial owner.

**(C) For Foreign Investors:**

The Know Your Client requirements in case of foreign investors viz. Foreign Institutional Investors (FIIs)/ Foreign Portfolio Investors (FPIs), Sub accounts and Qualified Foreign Investors (QFIs) as specified in SEBI circular no CIR/MIRSD/11/2012 dated September 5, 2012 shall be considered for the purpose of identification of beneficial ownership of the investor.

**(vi) Prevention of Tax evasion**

**A. Foreign Account Tax Compliance Act (FATCA)**

FATCA is a United States (US) Federal Law, to improve compliance of US tax citizens who have foreign financial assets and offshore accounts. In terms of FATCA, foreign financial institutions (FFIs) are required to report information about financial accounts held by US taxpayers (even if they hold only non-US assets), or held by foreign entities in which US taxpayers hold a substantial ownership interest.

The Government of India and US Government have signed an Inter-Governmental Agreement (IGA) on July 9, 2015 to implement FATCA. Pursuant to the reporting requirements mandated under FATCA, ICICI Prudential Asset Management Company Limited (the AMC)/the Fund would be required, from time to time:

- (i) To undertake necessary due diligence process by collecting information/documentary evidence about US/Non-US status of the investors/unit holders and identify US reportable accounts; and
- (ii) To disclose/report information about the holdings, investments returns pertaining to US reportable accounts to the specified US agencies and/or such Indian authorities as may be specified under FATCA guidelines or under any other guidelines issued by Indian Regulatory Authorities such as SEBI, Income Tax etc. (collectively referred to as 'the Guidelines').

FATCA due diligence will be applicable at each investor/unit holder (including joint holders) level and on being identified as reportable person/specified US person, all folios/accounts will be reported including their identity, direct or indirect beneficiaries, beneficial owners and controlling persons. Further, in case of folio(s)/account(s) with joint holder(s), the entire account value of the investment portfolio will be attributable under each such reportable person. Investor(s)/Unit Holder(s) will, therefore, be required to comply with the request of the AMC/the Fund to furnish such information, in a timely manner as may be required by the AMC/the Fund to comply with the due diligence/reporting requirements stated under IGA and/or the Guidelines issued from time to time.

With respect to individuals, the US reportable accounts would cover those with US citizenship or US residency. One may note that in US, both US citizens and residents are taxed on their worldwide income. With respect to entities, FATCA requires reporting in relation to specified US persons (Eg. US partnerships, private corporations) as well as passive NFFEs in which controlling interest is held by specified US person.

The identification of US person will be based on one or more of the following "US indicia" –

- Identification of account holder as a US citizen or resident;
- Unambiguous indication of a US place of birth;
- Current US mailing or residence address (including a US post office box)
- Current US telephone number;
- Standing instructions to transfer funds to an account maintained in USA;
- Current effective power of attorney or signing authority granted to a person with a US address; or
- An "in-care of" or "hold mail" address that is the sole address that the Indian Financial Institution has on the file for the account holder.

FATCA provisions are relevant not only at on-boarding stage of investor(s)/unit holder(s) but also throughout the life cycle of investment with the Fund/the AMC. In view of this, Investors should immediately intimate to the Fund/the AMC, in case of any change in their status with respect to FATCA related declaration provided by them previously.

The Fund/AMC reserves the right to reject any application or redeem the units held directly or beneficially in case the applicant/investor(s) fails to furnish the relevant information and/or documentation in accordance with the FATCA provisions, notified.

Investors(s)/Unit holder(s) should consult their own tax advisors to understand the implications of FATCA provisions/requirements. Existing investors of the Fund are also advised to download the FATCA declaration form from the AMC's website ([icicipruamc.com](http://icicipruamc.com)) and submit the duly filled FATCA declaration form to the AMC or CAMS for necessary updation in the records.

## **B. Common Reporting Standard (CRS)**

On similar lines as FATCA, the Organization of Economic Development (OECD), along with the G20 countries, of which India is a member, has released a "Standard for Automatic Exchange of Financial Account Information in Tax Matters", in order to combat the problem of offshore tax evasion and avoidance and stashing of unaccounted money abroad, requiring cooperation amongst tax authorities. The G20 and OECD countries have together developed a Common Reporting Standard (CRS) on Automatic Exchange of Information (AEOI). The CRS on AEOI was presented to G20 Leaders in Brisbane on 16<sup>th</sup> November, 2014. On June 3, 2015, India has joined the Multilateral Competent Authority Agreement (MCAA) on AEOI.

The CRS on AEOI requires the financial institutions of the "source" jurisdiction to collect and report information to their tax authorities about account holders "resident" in other countries, such information having to be transmitted "automatically" annually. The information to be exchanged relates not only to individuals, but also to shell companies and trusts having beneficial ownership or interest on the "resident" countries.



Appropriate rules have been notified to implement CRS and FATCA. In view of India's commitment to implement the CRS on AEOI and also the IGA with USA, and with a view to provide information to other countries, necessary legislative changes have been made through Finance (No. 2) Act, 2014, by amending section 285BA of the Income –tax Act, 1961. Income tax Rules, 1962 were amended vide notification No. 62 of 2015 dated 7<sup>th</sup> August, 2015 by inserting Rules 114F to 114H and Form 61B to provide a legal basis for the Reporting Financial Institutions (RFIs) for maintaining and reporting information about the Reportable Accounts.

**(vii) EUIN Requirement**

Pursuant to SEBI Circular dated September 13, 2012, mutual funds are directed to capture the unique identity number (EUIN) of the employee/relationship manager/sales person of the distributor (Sales Person) interacting with the investor for the sale of mutual fund products in addition to the AMFI Registration Number (ARN) of the distributor in the application form.

Investors procuring advisory services from non Individual distributors are requested to note that EUIN would assist in tackling the problem of misselling even if the employee/relationship manager/sales person leave the employment of the distributor. Hence, if investments are routed through a distributor, investors are requested to ensure that the ARN code, Sub broker ARN code, and EUIN is correctly filled up in the Application Form. Further, investors are requested to use new application forms/ transaction forms which will have spaces for the Sub broker ARN code and the EUIN.

**(viii) Payment of Maturity/ Redemption Proceeds**

In case the investors redeem the units upon the maturity, then the Fund will sell the outstanding investments constituting the portfolio of the Scheme at the time of maturity of the Scheme. The securities listed on the Exchange would be sold on the Exchange. In case of securities which are not listed and debt securities, the AMC would initiate the process of asking for quotes from potential buyers / market intermediaries. The AMC shall ensure that the sale of the outstanding Portfolio Investments is at fair market value or at the highest bid.

In the event that the proceeds of sale of the outstanding Portfolio Investments are insufficient to redeem the units in full, neither the AMC nor the Trustee shall be liable to the Unitholders provided that they have complied with the procedure set out above and have acted in good faith and in the best interest of the Unitholders.

**As per the directives issued by SEBI, it is mandatory for applicants to mention their bank account numbers in their applications for purchase or redemption of Units.**

If the Unitholder fails to provide the Bank mandate, the request for redemption would be considered as not valid and the Fund retains the right to reject/withhold the redemption until a proper bank mandate is furnished by the Unitholder and the provision with respect of penal interest in such cases will not be applicable/entertained.

AMC reserves right to decide the payment the mode of payment viz, Direct Credit /ECS/NEFT or cheque and no specific consent is required in case of electronic mode

is opted.

**(ix) Payment of Maturity/ Redemption Proceeds to NRIs/FIIs**

Credit balances in account of the NRI/FII will be subject to any procedures laid down by the RBI. Such maturity/ redemption proceeds will be paid by means of a rupee cheque payable to the designated NRE/NRO account of the unit holder.

Payment to NRI/FII unit holder will be subject to the relevant laws/guidelines of the Reserve Bank of India as are applicable from time to time (subject to deduction of tax at source as applicable).

- a. Wherever the investment is made on repatriation basis, the amount representing the dividend and maturity proceeds may be remitted through normal banking channel or credited to NRE/FCNR/NRO/NRNR account of the non-resident investor.
- b. Where the investment is made by remittance from abroad through normal banking channel or by debit to NRE/FCNR/NRO account of the non-resident investor on non-repatriation basis, the dividend and maturity proceeds may be credited to the NRO/NRNR account of the non-resident investor.
- c. Where the investment is made by debit to NRE/FCNR/NRO account of the non-resident investor, the dividend and maturity proceeds shall be credited to NRE/FCNR/NRO account of the non-resident investor.
- d. In case of FIIs, dividend and maturity proceeds will be paid by means of rupee payable to the Account maintained with a designated bank.

**(x) Effect of Redemptions**

The Unit Capital and Reserves of the Scheme will stand reduced by an amount equivalent to the product of the number of Units redeemed and the Applicable NAV as on the date of redemption.

**(xi) Fractional Units**

Since a request purchase is generally made in Rupee amounts and not in terms of number of Units of the Scheme, an investor may be left with Fractional Units. Fractional Units will be computed and accounted for up to three decimal places. However, Fractional Units will in no way affect the investor's ability to redeem the Units, either in part or in full standing to the Unitholder's credit.

With respect to listed schemes like fixed maturity schemes, and if mandated by the Depositories, units will not be allotted in decimals and the same will be rounded off to the nearest whole number.

**(xii) Signature mismatch cases**

While processing the redemption/switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents confirming the identity of the investors on case to case basis.

### **(xiii) Transfer of units**

The Units held in physical form are not transferable. In view of the same, additions/deletion of names will not be allowed under any folio of the Scheme. However, pursuant to SEBI Circular no. CIR/IMD/DF/10/2010 dated August 18, 2010, investors/unitholders of the Schemes of ICICI Prudential Mutual Fund are requested to note that units held in dematerialized form are freely transferable with effect from October 01, 2010, except units held in equity linked savings scheme during the lock-in period or as per Rajiv Gandhi Equity Saving Scheme, 2012 requirements.

### **(xiv) Suspension of Sale and Redemption of Units**

Suspension or restriction of repurchase/ redemption facility under any scheme of the mutual fund shall be made applicable only after obtaining the approval from the Boards of Directors of the AMC and the Trustees. After obtaining the approval from the AMC Board and the Trustees.

Additionally, the following requirements shall need to be observed before imposing restriction on redemptions:

- a) Restriction may be imposed when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets such as:
  - i. Liquidity issues - when market at large becomes illiquid affecting almost all securities rather than any issuer specific security.
  - ii. Market failures, exchange closures - when markets are affected by unexpected events which impact the functioning of exchanges or the regular course of transactions. Such unexpected events could also be related to political, economic, military, monetary or other emergencies.
  - iii. Operational issues – when exceptional circumstances are caused by force majeure, unpredictable operational problems and technical failures (e.g. a black out). Such cases can only be considered if they are reasonably unpredictable and occur in spite of appropriate diligence of third parties, adequate and effective disaster recovery procedures and systems.
- b) Restriction on redemption may be imposed for a specified period of time not exceeding 10 working days in any 90 days period.
- c) Any imposition of restriction would require specific approval of Board of AMC and Trustees and the same should be informed to SEBI immediately.
- d) When restriction on redemption is imposed, the following procedure shall be applied:
  - a. No redemption requests up to INR 2 lakh shall be subject to such restriction.
  - b. Where redemption requests are above INR 2 lakh, AMCs shall redeem the first INR 2 lakh without such restriction and remaining part over and above INR 2 lakh shall be subject to such restriction.

### **Right to Limit Redemptions**

Any Units, which by virtue of these limitations are not redeemed on a particular Business Day, will be carried forward for Redemption to the next Business Day, in

order of receipt. Redemptions so carried forward will be priced on the basis of the Applicable NAV (subject to the prevailing load) of the Business Day on which Redemption is made. Under such circumstances, to the extent multiple Redemption requests are received at the same time on a single Business Day, Redemptions will be made on pro-rata basis, based on the size of each Redemption request, the balance amount being carried forward for Redemption to the next Business Day(s).

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Suspension or restriction of repurchase/ redemption facility under any scheme of the mutual fund shall be made applicable only after obtaining the approval from the Boards of Directors of the AMC and the Trustees. After obtaining the approval from the AMC Board and the Trustees, an intimation would be sent to SEBI in advance providing details of circumstances and justification for the proposed action shall also be informed.

**(xv) Permanent Account Number (PAN)**

In accordance with SEBI Circular no. MRD/Dop/Cir/-05/2007 dated April 27, 2007 and its letter dated June 25, 2007, Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, effective July 02, 2007. Permanent Account Number (PAN) is mandatory for all the purchases/additional purchases irrespective of the amount of investments for all the existing and prospective investors (including NRIs) including joint holders and guardians in case of investments by Minors.

In accordance with SEBI circular No. MRD/DoP/MF/Cir-08/2008 dated April 03, 2008, it has been notified to exempt investors residing in the state of Sikkim from the mandatory requirement of PAN for their investments in mutual funds also. However, this is subject to the Fund verifying the veracity of the claim of the investors that they are residents of Sikkim, by collecting sufficient documentary evidence. Mutual Funds are also advised to ensure strict compliance with the applicable 'Know Your Client' norms.

With effect from January 1, 2011, KYC norms are mandatory for ALL investors, who wish to make investments in Mutual Funds, irrespective of the amount of investment. Investments where KYC is not completed, is liable to be rejected.

**MICRO SIP:**

In terms of the SEBI circular No. MRD/DoP/PAN/166999/2009 dated June 19, 2009 which notifies that all Investors investing in Micro SIP up to Rs. 50,000/- per year (Aggregate of installments in a rolling 12 months period or in Financial year) per investor shall be exempted from the requirement of PAN with effect from August 01, 2009.

This exemption will be applicable only to investments made by individuals (including Non Resident Indians but not Person of India Origin), Sole proprietary firms and Joint Holders.

From January 1, 2012 KYC is mandatory for all holders of Micro SIP.

With respect to the Micro SIP facility investors are requested to note the following:

- The above stated exemption shall be available under all the schemes of the Fund for investments up to Rs. 50,000/- (aggregate under all the schemes of the Fund) in a rolling 12 month period or financial year i.e. April to March by individuals.
- In case of investments held jointly, first holder must not possess a PAN.
- Eligible Investors may invest in the schemes of the Fund (through SIP or lumpsum/ additional purchase) without providing PAN subject to the threshold amount as specified above.
- Eligible Investors should attach a copy of Know Your Client (KYC) acknowledgement letter quoting PAN Exempt KYC reference no. (PEKRN) obtained from KYC Registration Agency alongwith the investment application form.
- Eligible Investors must have only one PEKRN.
- In case KYC status is failed for a particular PEKRN further SIP transaction/investments will not be allowed in such folios having such PEKRN.

#### **(xvi) Dormant Account Locking**

Investment Folios under which there are no transactions for last 24 months shall be classified as dormant folios. Redemption, change of address and change of bank requests in such accounts will be put through only after secondary checks and such additional safeguards that may be stipulated from time to time.

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#### **(xvii) Unclaimed redemption/dividend amount**

SEBI vide its circular dated February 25, 2016 has revised the existing procedure for treatment of unclaimed redemption and dividend amount by the AMCs and laid down following standards:

- i) The unclaimed redemption and dividend amounts that are currently allowed to be deployed only in call money market or in money market instruments shall also be allowed to be invested in a separate plan of Liquid scheme/Money Market mutual fund scheme floated by mutual funds specifically for deployment of unclaimed amount. The AMCs shall not be permitted to charge any exit load in such plans and Total Expense Ratio (TER) of such plans shall be capped at 50bps.
- ii) Investors who claim the unclaimed amounts during a period of three years from the due date shall be paid initial unclaimed amount along with the income earned on its deployment.
- iii) Investors who claim these amount after three years, shall be paid initial unclaimed amount along with the income earned on its deployment till the end of third year.
- iv) After the third year, the income earned on such unclaimed amounts, shall be used for the purpose of investor education.

In response to the above stated circular, the AMC has launched separate plans with following nomenclature under ICICI Prudential Liquid Fund (the Scheme) for the deployment of unclaimed amounts with effect from June 01, 2016:

- ICICI Prudential Liquid Fund - Unclaimed Redemption
- ICICI Prudential Liquid Fund - Unclaimed Dividend
- ICICI Prudential Liquid Fund - Unclaimed Redemption Investor Education

- ICICI Prudential Liquid Fund - Unclaimed Dividend Investor Education

Under 'Transitory Scheme' the initial unclaimed amount shall be invested whereas under 'Stable Scheme', an investment post 3 years from the date of Investment shall be transferred. Investors who claim the unclaimed amounts during a period of three years from the date of investment shall be paid initial unclaimed amount along-with the income earned on its deployment.

Investors, who claim these amounts after 3 years from the date of investment shall be paid initial unclaimed amount along-with the income earned on its deployment till the end of the third year of investment. After the third year, the income earned on such unclaimed amounts shall be used for the purpose of investor education.

The investment of the valid/clear unclaimed amount into the respective plans will generally be made within 105 days of the due date.

The aforesaid plans under the scheme shall not be available for subscriptions/switch-in by the investors/unit holders.

Investors can submit a separate request for redemption/switch from any of the aforesaid plans. Investors' request will be treated as 'All units' only and the amount as available in all the aforesaid plans (4 plans) shall be redeemed/ switched. No partial redemption/switches will be allowed for investors.

The Total Expense Ratio (TER) of the aforesaid plans will be capped at 50 bps and no exit load shall be charged in the aforesaid plans.

Minimum initial/additional subscription amount shall not be applicable to new plans. No regulatory/scheme criteria will be considered for this allotment.

**(xviii) Duration of the Scheme /Winding up (Open Ended Schemes)**

The duration of the open ended Schemes is perpetual. The AMC, the Fund and the Trustee reserve the right to make such changes/alterations in the Scheme (including the charging of fees and expenses) offered under respective Scheme Information Document to the extent permitted by the applicable Regulations. However, in terms of the Regulations, a Scheme may be wound up after repaying the amount due to the Unitholders:

1. On happening of any event, which in the opinion of the Trustee, requires the Scheme to be wound up, OR
2. If seventy five percent (75%) of the Unitholders of the Schemes pass a resolution that the Scheme be wound up, OR
3. If SEBI so directs in the interest of the Unitholders or
4. In case of non-fulfillment of condition prescribed in terms of minimum number of investors vide SEBI circular No. SEBI/IMD/CIR No.10/22701/03 dated December 12, 2003.

Where the Scheme is so wound up, the Trustee shall give notice of the circumstances leading to the winding up of the Scheme to:

(I) SEBI and,  
(II) In two daily newspapers with circulation all over India and in one vernacular newspaper with circulation in Mumbai On and from the date of the publication of notice of winding up, the Trustee or the Investment Manager, as the case may be, shall:

- a) Cease to carry on any business activities in respect of the Scheme so wound up;
- b) Cease to create or cancel Units in the Scheme;
- c) Cease to issue or redeem Units in the Scheme.

**(xix) Duration of the Scheme/ Winding up (Close Ended Schemes)**

The duration of the Scheme is limited till the maturity of the plan under the scheme unless rolled over. The AMC, the Fund and the Trustee reserve the right to make such changes/alterations the Scheme (including the charging of fees and expenses) offered under this respective Scheme Information Document to the extent permitted by the applicable Regulations. However, in terms of the Regulations, a Scheme may be wound up after repaying the amount due to the Unitholders:

1. On happening of any event, which in the opinion of the Trustee, requires the Scheme to be wound up, OR
2. If seventy five percent (75%) of the Unitholders of the Schemes pass a resolution that the Scheme be wound up, OR
3. If SEBI so directs in the interest of the Unitholders, OR
4. In case of non-fulfillment of condition prescribed in terms of minimum number of investors vide SEBI circular No. SEBI/IMD/CIR No.10/22701/03 dated December 12, 2003, OR.
5. On Maturity of the Plan under the Scheme.

Investors please note that pursuant to Securities and Exchange Board of India (SEBI) Circular No. SEBI/IMD/DF/15/2014 dated June 20, 2014 and any amendments thereof, interval schemes of the Fund would be wound up, after repaying the amount due to the unit holders, if below mentioned condition is not fulfilled immediately after closure of each Specified Transaction Period (STP) of the interval schemes:

- the assets under management (AUM) under the Scheme is at least ₹ 20 crore.

Where the Scheme is so wound up, the Trustee shall give notice of the circumstances leading to the winding up of the Scheme to:

1. SEBI and,
2. In two daily newspapers with circulation all over India and in one vernacular newspaper with circulation in Mumbai.

On and from the date of the publication of notice of winding up, the Trustee or the Investment Manager, as the case may be, shall:

1. Cease to carry on any business activities in respect of the Scheme so wound up;
2. Cease to create or cancel Units in the Scheme;
3. Cease to issue or redeem Units in the Scheme.

**(xx) Procedure and manner of Winding up**

The Trustee shall call a meeting of the Unitholders of the Scheme to approve by simple majority of the Unitholders present and voting at the meeting for authorizing the Trustee or any other person to take steps for the winding up of the Scheme.

The Trustee or the person authorized above shall dispose of the assets of the Scheme in the best interest of the Unitholders of the Scheme.

The proceeds of sale realized in pursuance of the above, shall be first utilized towards discharge of such liabilities as are due and payable under the Scheme and after meeting the expenses connected with such winding up, the balance shall be paid to Unitholders in proportion to their respective interest in the assets of the Scheme, as on the date the decision for winding up was taken.

On completion of the winding up, the Trustee shall forward to SEBI and the Unitholders a report on the winding up, detailing the circumstances leading to the winding up, the steps taken for disposal of the assets of the Scheme before winding up, net assets available for distribution to the Unitholders and a certificate from the auditors of the Fund.

Notwithstanding anything contained herein above, the provisions of the Regulations in respect of disclosures of half-yearly reports and annual reports shall continue to be applicable until winding up is completed or the Scheme ceases to exist.

After the receipt of the report referred to above, if SEBI is satisfied that all measures for winding up of the Scheme have been complied with, the Scheme shall cease to exist.

#### **(xxi) Right to discontinue Subscription**

In the interest of the investors and in order to protect the portfolio from market volatility, the Trustees reserve the right to discontinue subscriptions under the schemes for a specified period of time or till further notice.

#### **(xxii) Transmission of units**

In case Units are held in a single name by the Unit Holder, units shall be transmitted in favour of the nominee(s), where the Unit Holder has appointed nominee(s) upon production stipulated documents to AMC/Trustee or Registrar. If the Unit Holder has not appointed nominee(s), the units shall be transmitted in favour of the Unit Holder's executor/administrator of estate/Legal heir(s) as the case may be on production of stipulated documents. In case Units are held by more than one registered Unit Holder, then upon death of the first Unit Holder, units shall be transmitted in favour of the second named Holder on production of stipulated documents. The rights in the units will vest in the nominee(s) concerned upon the death of all Joint Unit Holders upon the nominee producing stipulated documents.

In case of close ended schemes, units shall be transmitted in favour of second holder/Nominee(s)/Unit Holder's executor/administrator of estate/Legal heir(s) as the case may be, however, all payments/settlements/monetary claims will be made upon maturity of such scheme.



For transmission of units the Applicants/Claimants shall be required to submit the prescribed documents under various situations as stipulated below:-

**1. Transmission to surviving unit holders in case of death of one or more unitholders:**

- a) Letter from surviving unitholders to the Fund / AMC / RTA requesting for transmission of units,
- b) Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager,
- c) Bank Account Details of the new first unit holder along with attestation by a bank branch manager or original cancelled cheque bearing the account details and account holders name.
- d) KYC of the surviving unit holders, if not already available.
- e) FATCA/CRS self –certification form

**2. Transmission to registered nominee/s in case of death of Sole or All unit holders:**

- a) Letter from claimant nominee/s to the Fund / AMC/RTA requesting for transmission of Units,
- b) Death Certificate/s in original or photocopy duly notarized or attested by gazette officer or a bank manager,
- c) Bank Account Details of the new first unit holder along with attestation by a bank branch manager or original cancelled cheque bearing the account details and account holders name.
- d) KYC of the claimant/s,
- e) FATCA/CRS self –certification form

**3. Transmission to claimant/s, where nominee is not registered, in case of death of Sole or All unit holders:**

- a) Letter from claimant/s to the Fund / AMC / RTA requesting for transmission of units,
- b) Death Certificate/s in original or photocopy duly notarized or attested by gazette officer or a bank manager,
- c) Bank Account details of the new first unit holder along with attestation by a bank branch manager or original cancelled cheque bearing the account details and account holders name.
- d) KYC/FATCA/CRS of the claimant/s,
- e) Indemnity Bond from legal heir/s
- f) Individual affidavits from legal heir/s
- g) If the transmission amount is below Rs 1,000,000\* - any appropriate document evidencing relationship of the claimant/s with the deceased unitholder/s.
- h) If the transmission amount is Rs 1,000,000\*or more - Any one of the documents mentioned below:
  - (i) Notarised copy of Probated Will, or
  - (ii) Legal Heir Certificate or Succession Certificate or Claimant's Certificate issued by a competent court, or
  - (iii) Letter of Administration, in case of Intestate Succession.

In case the claimant submits any one of 3 documents mentioned under sub points (i) to (iii), as above then the Indemnity Bond(s) and Individual affidavit(s) from legal heir(s) as per point (e) and (f), above would not be required, irrespective of the value of the investment\*.

Where the units are to be transmitted to a claimant who is a minor, requisite documents mentioned under (a) to (f), should be submitted and signed by the guardian of the minor.

\*The value of investment of the deceased unitholder/s in all folios across all the schemes of the Fund.

#### **4. Transmission in case of HUF, due to death of Karta:**

HUF, being a Hindu Undivided Family, the property of the family is managed by the Karta and HUF does not come to an end in the event of death of the Karta. In such a case, the members of the HUF will appoint the new Karta who needs to submit following documents for transmission:

- a) Letter Requesting for change of Karta,
- b) Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager,
- c) Duly certified Bank certificate stating that the signature and details of new Karta have been appended in the bank account of the HUF,
- d) KYC of the new Karta and KYC of HUF, if not already available,
- e) Indemnity bond signed by all the surviving coparceners and new Karta.
- f) FATCA/CRS self –certification form
- g) UBO (Ultimate Beneficiary Owner) declaration form

In case of no surviving co-parceners AND the transmission amount is Rs One Lakh or more OR where there is an objection from any surviving members of the HUF, transmission should be effected only on the basis of any of the following mandatory documents:

- a) Notarized copy of Settlement Deed, or
- b) Notarized copy of Deed of Partition, or
- c) Notarized copy of Decree of the relevant competent Court

Depending upon appropriateness, the AMC may consider seeking additional/alternative documents for necessary diligence of each case before transmitting the units in favour of the claimant/s.

In case of transmission of units, the investors are requested to visit the nearest branch of the AMC or RTA for specified format of documents required to be submitted.

For latest available forms / requirements / documentation for transmission, please refer the AMC's website –[www.icicpruamc.com](http://www.icicpruamc.com).

**(xxiii) Processing of Redemption/Switch/Systematic transaction request(s) where realization status is not available**

The Fund shall place the units allotted to investor on hold for redemption / switch/ systematic transactions till the time the payment is realized towards the purchase transaction(s). The Fund also reserves the right to reject / partially process the redemption / switch /systematic transaction request, as the case may be, based on the realization status of the units held by the investor.

In both the above cases, intimation will be sent to the investor accordingly. Units which are not redeemed/switched will be processed upon confirmation of realization status and on submission of fresh redemption / switch request.

**(xxiv) Reinvestment of dividend payout**

In case Unitholder has opted for dividend payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for dividend payout, as per the provisions of the respective scheme (net of dividend distribution tax and other statutory levy, if any), else the dividend would be mandatorily reinvested.

The dividend would be reinvested in the same Scheme/Plan by issuing additional Units of the Scheme at the prevailing ex-dividend Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of dividend.

It may also be noted that the criteria for compulsory reinvestment of dividend declared under the dividend payout option in specific schemes, where the dividend amount is less than the minimum dividend payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if dividend is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only.

Dividend declared will be compulsorily paid out under the "dividend payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.

Further, with respect to open ended schemes [except Exchange Traded Funds and ICICI Prudential Long Term Equity Fund (Tax Saving) and (ii) Plans discontinued for further subscriptions] for folios where dividend is withheld, warrants are returned undelivered and/ or the dividend warrants remains unclaimed on 3 (three) consecutive occasions, the AMC reserves the right to compulsorily reinvest the future dividend amounts; wherein reinvestment option is available under the respective schemes. The dividend option of the scheme will be changed to 'Reinvestment' accordingly.

Additionally, in respect of schemes where both dividend payout and dividend reinvestment sub-option is available, any change in dividend sub-option, due to additional investment or on the basis of a request received from the investor, will be applicable to all existing units in the dividend option of the scheme under the respective folio.

**(xxv) Communication via Electronic Mail (e-mail)**

It is hereby notified that wherever the investor(s) has/have provided his/their e-mail address in the application form or any subsequent communication in any of the folio belonging to the investor(s), the Fund/Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication which include account statements for transactions done by the investor(s).

Investors are requested to update their own email address and mobile number for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

The investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Centre / Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavour to provide the account statement to the investor(s) within 5 business days from the receipt of such request.

The Fund shall comply with SEBI Circular No. IMD/CIR/12/80083/2006 dated November 20, 2006 with respect to despatch of the account statement.

**(xxvi) Non Acceptance/Processing of Purchase request(s) due to repeated Cheque Bounce**

With respect to purchase request submitted by any investor, if it is noticed that there are repeated instances of two or more cheque bounces, the AMC reserves the right to, not to accept/allot units for all future purchase of such investor(s).

**(xxvii) Investment through Third party cheque (s)/ pre funded instruments.**

Pursuant to AMFI Best Practice Guidelines Circular no. 135/BP/16/10-11 dated August 16, 2010 (the Circular), investors/unitholders of the Schemes of ICICI Prudential Mutual Fund are requested to note that investment/subscription made through third party cheque(s) will not be accepted with effect from November 15, 2010.

**Third party cheque(s) for this purpose are defined as:** (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- a. Payment by Parents/Grand-Parents/related persons for investment made on behalf of a minor, in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment). However, this restriction will not be applicable for payment made by a guardian, whose name is registered in the records of Mutual Fund, in that folio. (The investment limit of Rs. 50,000/- is not applicable for ICICI Prudential Child Care Plans).

- b. Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.
- c. Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
- d. Payment by an AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by such AMC through SIP or lump sum I one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- e. Payment by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal- agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum I one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI , from time to time.
- f. Payment by registered Stock brokers of recognized stock exchanges for their clients having demat accounts.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- a. Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- b. Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- c. Verifying the source of funds to ensure that funds have come from the drawer's account only.

The AMC/ Mutual Fund reserves the right to accept applications, over and above the circumstances listed above, subject to completion of requisite documentation and additional checks and verification as stipulated by the AMC/the Fund.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:

- a. If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for

investments of Rs.50,000/- or more. Such prefunded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.

- b. If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

For payments through net banking and debit cards, AMCs shall endeavour to obtain the details of the bank account debited from the payment gateway service provider and match the same with the registered pay-in accounts. In case it is found that the payment is not made from a registered bank account or from an account not belonging to the first named unit holder, the AMC/R&TA shall reject the transaction with due intimation to the investor. Currently, where the investor account details are not made available by the payment gateway service provider, the AMCs shall obtain the name of the bank making the payment for the subscription.

#### **(xxviii) Multiple Bank accounts**

The unit holder/ investor can register multiple bank account details under its existing folio by submitting separate form available on the website of the AMC at [www.icicipruamc.com](http://www.icicipruamc.com). Individuals/HUF can register up to 5 different bank accounts for a folio, whereas non-individuals can register up to 10 different bank accounts for a folio.

#### **(xxix) Multiple Requests**

In case an investor makes multiple requests in a transaction slip i.e. redemption/switch and Change of Address or redemption/switch and Change of Bank Mandate or any combination thereof, but the signature is appended only under one such request, then the AMC reserves the right to process the request under which signature is appended and reject the rest where signature is not appended.

Also, in case, multiple purchase transactions are submitted by investors in same option or sub-option of the scheme for the same Transaction Date / Net Asset Value (NAV) date applicability, the Fund reserves the right to aggregate all such multiple applications and consider them as a single transaction for considering NAV applicability.

**(xxx) Terms and conditions for SIP facility**

Also the following terms and conditions are applicable for SIP facility:

- a. New Investor - If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application. In case multiple schemes are mentioned in the main application form, Fund reserves the right to reject the SIP request.
- b. Existing Investor - If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP in the existing scheme (Eligible for SIP) available in the investor's Folio. In case Multiple Schemes or Equity Linked Savings Scheme (ELSS) are available in the folio then Fund reserves the right to reject the SIP request.
- c. In case SIP date is not selected, then the SIP will be registered on 10<sup>th</sup> (default date) of each Month/Quarter, as applicable. Further in cases SIP registration through NACH, if multiple SIP dates are opted SIP will be registered for all opted dates or if the selection is not clear, then the SIP will be registered for 10<sup>th</sup> of each Month/Quarter, as applicable.
- d. If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.

In case the SIP 'End period' is incorrect OR not mentioned by the investor in the SIP form, then 5 years from the start date shall be considered as default End Period.

Further, Investors/ unitholders subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 100 days from the date of submission of request for SIP, under all frequencies.

**(xxxii) Stamp Duty**

Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, a stamp duty @ 0.005% of the transaction value would be levied on applicable mutual fund transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including dividend reinvestment) to the unitholders would be reduced to that extent.

**(xxxiii) Transaction Charges**

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 the transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner, in addition to trail fees charged by the AMC and upfront commission, if any:

- i. The existing investors of the mutual fund industry may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;
- ii. A first time investor in any mutual fund may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/ subscriptions relating to new inflows.

However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on 'type of the Scheme'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

In case of investment through Systematic Investment Plan (SIP), transaction charges shall be deducted only if the total commitment through SIP amounts to Rs. 10,000/- and above. The transaction charges in such cases shall be deducted in 3-4 installments.

Transaction charges shall also be deducted on purchases/subscriptions received through non-demat mode from the investors investing through a valid ARN holder i.e. AMFI Registered Distributor (provided the distributor has opted-in to receive the transaction charges) in respect of transactions routed through Stock Exchange(s) platform viz. NSE Mutual Fund Platform ("NMF-II") and BSE Mutual Fund Platform ("BSE STAR MF").

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested subject to deduction of service tax. Unit holder's statement of account will reflect subscription amount, transaction charges and net investments.

**Transaction Charges shall not be deducted if:**

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/ subscription made in demat mode through stock Exchanges, irrespective of investment amount

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

**(xxxiii) Consolidated Account Statement (CAS)**



Pursuant to Securities and Exchange Board of India (Mutual Funds) (Amendment) Regulations, 2011 dated August 30, 2011 read with SEBI circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011, all the unit holders whose transactions\*\* have been accepted by the Fund shall note that–

1. The Consolidated Account Statement (CAS) for each calendar month will be issued on or before tenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN). Due to this regulatory change, AMC shall now cease to send physical account statement to the investors after every financial transaction\*\* including systematic transactions. Further, CAS will be sent via email where any of the folios consolidated has an email id or to the email id of the first unit holder as per KYC records.

\*\*The word 'financial transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions.

2. For folios not included in the Consolidated Account Statement (CAS), the AMC shall henceforth issue account statement to the investors on a monthly basis, pursuant to any financial transaction in such folios on or before tenth day of succeeding month. In case of a New Fund Offer Period (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and/or SMS's to the investor's registered address and/or mobile number not later than five business days from the date of closure of the NFO.
3. The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 Business Days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number.
4. In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investors within 5 business days from the receipt of such request.
5. In the case of joint holding in a folio, the first named Unit holder shall receive the CAS/account statement. The holding pattern has to be same in all folios across Mutual Funds for CAS.

Further, in case if no transaction has taken place in a folio during the period of six months ended September 30 and March 31, the CAS detailing the holdings across all Schemes of all mutual funds, shall be emailed at the registered email address of the unitholders on half yearly basis, on or before tenth day of succeeding month, unless a specific request is made to receive the same in physical form.

Each CAS issued to the investors shall also provide the total purchase value / cost of investment in each scheme.

Further, CAS issued for the half-year (September/ March) shall also provide:

- a. The amount of actual commission paid by AMCs/Mutual Funds (MFs) to distributors (in absolute terms) during the half-year period against the concerned

investor's total investments in each MF scheme. The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts / rewards, trips, event sponsorships etc. by AMCs/MFs to distributors. Further, a mention may be made in such CAS indicating that the commission disclosed is gross commission and does not exclude costs incurred by distributors such as service tax (wherever applicable, as per existing rates), operating expenses, etc.

- b. The scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and Other expenses for the period for each scheme's applicable plan where the concerned investor has actually invested in.

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

In case of the units are held in dematerialized (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

The AMC reserve the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

**CAS for investors having Demat account:**

- Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository.

The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations. However, the AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

**(xxxiv) Annual Report/ Abridged summary**

The scheme wise annual report shall be hosted on the website of the AMC and on the website of the AMFI soon as may be possible but not later than four months from the date of closure of the relevant accounts year. The AMC shall publish an advertisement every year in all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the scheme wise annual report on the website of the AMC.

The AMC shall display prominently on the AMC's website link of the scheme wise annual report and physical copy of the same shall be made available to the unitholders at the registered / corporate office of the AMC at all times.

The AMC shall email the annual report or an abridged summary thereof to the unitholders whose email addresses are registered with the Fund. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database. Physical copy of scheme wise annual report or abridged summary shall be provided to investors who have opted to receive the same.

The AMC shall also provide a physical copy of the abridged summary of the Annual Report, without charging any cost, on specific request received from unitholder.

As per regulation 56(3A) of the Regulations, copy of Schemewise Annual Report shall be also made available to unitholder on payment of nominal fees.

**(xxxv) Multiple purchase transactions**

In case, multiple purchase transactions are submitted by investors in same option or sub-option of the scheme for the same Transaction Date / Net Asset Value (NAV) date applicability, the Fund reserves the right to aggregate all such multiple applications and consider them as a single transaction for considering NAV applicability.

**(xxxvi) Option to hold units in Demat form**

Pursuant to SEBI circular No. CIR/IMD/DF/9/2011 dated May 19, 2011, with effect from October 1, 2011, the unit holders who wish to hold the units in the demat form, should mention the demat account details of the first holder in the application form while subscribing for units and submit other necessary documents. In case if the demat details are not mentioned or details mentioned are incorrect, then the units will be issued in physical form. Investors may use the forms available at the branches for providing demat details, while subscription.

Investors are requested to note that holding of units through Demat Option is also available under all open-ended equity and debt schemes wherein SIP facility is available. The units will be allotted based on the applicable NAV as per the SID and will be credited to investors' demat account on weekly basis upon realization of funds. For e.g. units will be credited to investors' demat account every Monday for realization status received in last week from Monday to Friday.

The option to hold the units in demat form shall not be available for daily/weekly/fortnightly dividend options. Unitholders who intend to avail of the facility to trade in units in demat mode are required to have a demat account.

The investors shall note that for holding the units in demat form, the provisions laid in the Scheme Information Document (SID) of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable.

In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s).

Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

**(xxxvii) Bank Mandate requirement**

For all fresh purchase transactions made by means of a cheque, if cheque provided alongwith fresh subscription/new folio creation does not belong to the bank mandate opted in the application form, any one of the following documents needs to be submitted.

1. Original cancelled cheque having the First Holder Name and bank account number printed on the cheque.
2. Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application.
3. Photocopy of the bank statement duly attested by the bank manager/ authorized personnel with designation, employee number and bank seal.
4. Photocopy of the bank pass book duly attested by the bank manager/ authorized personnel with designation, employee number and bank seal.
5. Photocopy of the bank statement/passbook/cheque duly attested by ICICI Prudential Asset Management Company Limited (the AMC) branch officials after verification of original bank statement/passbook shown by the investor or their representative.
6. Confirmation by the bank manager with seal, designation and employee number on the bank's letter head confirming the name of investor, account type, bank branch, MICR and IFSC code of the bank branch. The letter should not be older than 3 months.

This condition is also applicable to all purchase transactions made by means of a Demand Draft. In case the application is not accompanied by the aforesaid documents, the AMC reserves the right to reject the application, also the AMC will not be liable in case the redemption/dividend proceeds are credited to wrong account in absence of above documents.

**Updation of Bank details:**

- Updation of bank accounts in investor's folio shall be either through "Multiple Bank Account Registration Form" or a standalone separate "Change of Bank Mandate Form".
- Change of bank details or redemption request shall be accepted in two different standalone request forms and processed separately for all existing and new investors.
- In case of change of bank request, the investors shall be required to submit the below stated supporting documents to effect the change:

Documents required for updation of bank request:

Original of any one of the following documents or originals should be produced for verification or copy should be attested by the Bank:

- Cancelled original cheque of the existing and new bank mandate with first unit holder name and bank account number printed on the face of the cheque. Or
- Self attested copy of existing and new bank account statement issued by the concerned bank. (not older than 3 months). Or
- Bank passbook with current entries for new and existing bank account not older than 3 months. Or
- Bank letter, on the letterhead of the bank duly signed by branch manager/authorized personnel stating the investor's bank account number, name of investor, account type, bank branch, MICR and IFSC code of the bank branch. (the letter should be not older than 3 months).

**Note:**

- In case photocopies of the documents as stated above are submitted, investor must produce original for verification or a copy of the supporting documents duly attested by the concerned bank to any of the AMC branches or official point of acceptance of transactions.
- In absence of the old bank account proof, the unitholder shall visit the nearest AMC/ CAMS branch office for in person verification with above documents and identity proof.
- The AMC reserves the right to accept the request, subject to additional verifications, production of additional documents or In Person Verification of unit holder.

With effect from December 21, 2015, in case the bank account details are not mentioned or found to be incomplete or invalid in a purchase application, then ICICI Prudential Asset Management Company Limited (the AMC) may consider the account details as appearing in the investment amount cheque and the same shall be updated under the folio as the payout bank account for the payment of redemption/dividend amount etc. The aforementioned updation of bank account shall however be subject to compliance with the third party investment guidelines issued by Association of Mutual Funds in India (AMFI) from time to time.

The AMC reserves the right to call for any additional documents as may be required, for processing of such transactions with missing/incomplete/invalid bank account details. The AMC also reserves the right to reject such applications. Investors are requested to take note of the aforesaid changes.

However, the valid redemption transaction will be processed and the payout would be released as per the specified service standards and the last registered bank account shall be used for all the purposes.

Except otherwise expressly requested by the investor, the AMC endeavors to credit investor's bank account in electronic mode for redemption proceeds or any other payouts. The AMC/ Registrar will not be responsible for wrongful credit or non-receipt of credit by the unitholders owing to incorrect bank account details provided by the unit holder. Unit holders are advised to take due care while providing the bank details in the application form. Further, the AMC reserves right to credit payout in any of the modes available in electronic domain or issue cheque/DD, which would be despatched through courier or registered post.

**Cooling Period:**

If the investor submits redemption request accompanied with a standalone request for change of Bank mandate or submits a redemption request within seven days from the date submission of a request for change of Bank mandate details, the AMC will process the redemption. The entire activity of verification of cooling period cases and release of redemption payment shall be carried out within the period of 10 business days from the date of redemption.

**(xxxviii) Change of Address**

I. KYC Complied Folios/Investors: In case of change of address for KYC complied folios, the investors shall be required to submit the below stated documents to the designated intermediaries of the KYC Registration Agency:

- Proof of new address (POA) and,
- Any other document the KYC Registration Agency may specify from time to time.

II. In case of folios created before the implementation of KYC norms as amended from time to time: In case of change of address for KYC not complied folios, the investors shall be required to submit the below stated documents:

- Proof of new address and,
- Proof of Identity (POI): Only PAN card copy, if PAN is updated in the folio. In case where PAN is not updated, copy of PAN card or the other POI as may be prescribed.

However, it is advisable to these investors to complete the KYC process.

Note:

I. List of admissible documents for POA and POI as mentioned in the SEBI circular no. MIRSD/SE/Cir-21/2011 dated October 5, 2011 shall be considered or any other or additional documents as may be required by SEBI, AMFI or SEBI authorized KYC Registration Agency from time to time.

II. In case, the original of any of the aforesaid documents are not produced for verification, then the copies should be properly attested/verified by the authorities who are authorized to attest as per SEBI circular no. MIRSD/SE/ Cir-21/2011 dated October 5, 2011.

III. The AMC, if necessary, reserves the right to collect proof of old bank account or proof of investment (in case of Change of Bank) or proof of old address (in case of change of address) or do any additional verification depending upon case to case basis. For more details please visit our website [www.icicipruamc.com](http://www.icicipruamc.com).

**IV. Pursuant to SEBI circular dated August 13, 2012 and October 08, 2013, the Aadhaar Letter issued by Unique Identification Authority of India (UIDAI) shall be admissible as Proof of Address in addition to its presently being recognized as Proof of Identity.**

In consultation with Unique Identification Authority of India (UIDAI) and the market participants, it has now been decided to accept e-KYC service launched by UIDAI also, as a valid process for KYC verification. The information containing relevant client details and photograph made available from UIDAI as a result of e-KYC process shall be treated as sufficient proof of Identity and Address of the client. However, the client shall have to authorize the intermediary to access his data through UIDAI system.

**(xxxix) Aggregation of Split investment transaction of Rs. 2 Lakhs and above:**

Pursuant to AMFI Best practice Circular No. 135/BP/35/2012-13 dated February 18, 2013, the following practice of aggregating split transactions shall be followed and accordingly the closing Net Asset Value (NAV) of the day on which the funds are available for utilization has been implemented where the aggregated amount of investments is Rs. 2 lacs and above:

- b. All transactions received on the same day as per the cut off timing and time stamping rules prescribed under SEBI Mutual Fund Regulations, 1996 or circulars issued thereunder from time to time.
- c. Transactions shall include purchases, additional purchases, excluding Switches, Systematic Investment Plan/ Systematic Transfer Plan and triggered transactions.
- d. Aggregation shall be done on the basis of investor/s Permanent Account Number. In case of joint holding, transactions with similar holding structures shall be aggregated, similar to the principle applied for compilation of Consolidated Account Statement (CAS).
- e. All transactions shall be aggregated where investor holding pattern is same as stated above, irrespective of whether the amount of the individual transaction is above or below Rs 2 Lacs.
- f. Only transactions in the same scheme shall be clubbed. This will include transactions at option level (viz. Dividend and Growth).
- g. Transactions in the name of minor received through guardian shall not be aggregated with the transaction in the name of same guardian.

The Mutual Fund reserves right to change/modify any of the terms with respect to processing of transaction in line with guidelines issued by SEBI or AMFI from time to time.

**C. C. General Information**

**INTER-SCHEME TRANSFER OF INVESTMENTS:**

Inter-scheme transfers shall be governed by the Inter-scheme transfer policy/ Investment policy as formulated by the AMC from time to time.

**UNDERWRITING BY THE FUND**

Subject to the Regulations, the Scheme may enter into underwriting agreements after the Fund obtains a certificate of registration in terms of the Securities and Exchange Board of India (Underwriters) Rules and the Securities and Exchange Board of India (Underwriters) Regulations, 1993, authorizing it to carry on activities as underwriters.

The capital adequacy norms for the purpose of underwriting shall be the net assets of the Scheme and the underwriting obligation of the Scheme shall not at any time exceed the total net asset value of the Scheme.

**STOCK LENDING BY THE MUTUAL FUND**

Subject to the SEBI Regulations and the applicable guidelines, the Scheme and the Plans thereunder may, if the Trustee permits, engage in stock lending up to certain percentage of the net asset of the Scheme. Stock lending means the lending of stock to another

person or entity for a fixed period of time, at a negotiated compensation. The securities lent will be returned by the borrower on expiry of the stipulated period. The AMC shall report to the Trustee on a quarterly basis as to the level of lending in terms of value, volume and the names of the intermediaries and the earnings/losses arising out of the transactions, the value of collateral security offered etc. The Trustees shall offer their comments on the above aspect in the report filed with SEBI under sub-regulation 23(a) of Regulation 18.

### **BORROWING BY THE MUTUAL FUND**

Under the Regulations, the Fund is allowed to borrow to meet its temporary liquidity needs of the Fund for the purpose of repurchase, redemption of units or payment of interest or dividend to the Unitholders. Further, as per the Regulations, the Fund shall not borrow more than 20% of the Net Assets of the Scheme and the duration of such borrowing shall not exceed a period of six months. The Fund may raise such borrowings after approval by the Trustee from any of its Sponsors/Associate/Group Companies/Commercial Banks in India or any other entity at market related rates prevailing at the time and applicable to similar borrowings. The security for such borrowings, if required, will be as determined by the Trustee. Such borrowings, if raised, may result in a cost, which would be dealt with in consultation with the Trustees.

### **DISCLOSURE ON SOFT DOLLAR ARRANGEMENTS**

(Pursuant to SEBI Circular no. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016 (the SEBI Circular)).

In terms of Clause F of the SEBI Circular, soft dollar arrangements between the Asset Management companies (AMCs) and brokers should be limited to only benefits (like free research report, etc.) that are in the interest of investors and the same should be suitably disclosed.

In this regard, it may be noted that ICICI Prudential Asset Management Company Limited (the AMC) does not have any Soft-Dollar arrangements with any of its brokers. Separately, such brokers may provide research related services which can facilitate in investment decision making process (like research reports, meetings /calls with subject experts, etc.) and / or trade execution related services. Such arrangements are in the interest of investors of ICICI Prudential Mutual Fund (the Fund).

The AMC/Fund is not obliged to use the services of any these brokers for trade execution.

### **BENCHMARKING OF PERFORMANCE OF SCHEMES.**

Pursuant to the provisions of SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2018/04 dated January 04, 2018, the performance of the schemes of ICICI Prudential Mutual Fund shall be benchmarked to the Total Return variant of the Index (TRI) chosen as benchmark for the respective schemes, with effect from February 01, 2018. However for schemes where TRI data is not available since inception of the scheme, the Mutual funds shall use a composite CAGR figure of the performance of the PRI benchmark (till the date from which TRI is available) and the TRI (subsequently) to compare the performance of the schemes in case TRI is not available for that particular period(s).



## **REGISTRATION WITH SECURITIES AND COMMODITIES AUTHORITY (SCA) IN THE UNITED ARAB EMIRATES (UAE)**

With respect to ICICI Prudential Balanced Advantage Fund, ICICI Prudential Equity & Debt Fund, ICICI Prudential Bluechip Fund, ICICI Prudential Multicap Fund, ICICI Prudential Floating Interest Fund and ICICI Prudential Value Discovery Fund, the SIDs of the aforesaid schemes and the SAI have been registered with the Securities and Commodities Authority (SCA) in the United Arab Emirates (UAE). However, the distribution of these SIDs in certain jurisdictions may be restricted or subject to registration requirements, and accordingly, persons who come into possession of these SIDs and the SAI in such jurisdictions are required to inform themselves about, and to observe, any such restrictions. No person receiving a copy of these SIDs or any accompanying application form in such jurisdiction may treat these SIDs or such application form as constituting an invitation to them to subscribe for Units, nor should they in any event use any such application form, unless in the relevant jurisdiction such an invitation could lawfully be made to them and such application form could lawfully be used without compliance of any registration or other legal requirements.

## **SEGREGATION OF PORTFOLIOS**

The AMC may create a segregated portfolio of debt and money market instruments in a mutual fund scheme, wherein provisions for creation of segregated portfolios are available in the scheme information document of the scheme, in case of a credit event and to deal with liquidity risk.

In this regard, the term 'segregated portfolio' shall mean a portfolio comprising of debt or money market instrument affected by a credit event, that has been segregated in a mutual fund scheme and the term 'main portfolio' shall mean the scheme portfolio excluding the segregated portfolio. The term 'total portfolio' shall mean the scheme portfolio including the securities affected by the credit event.

A segregated portfolio may be created in a mutual fund scheme in case of a credit event at issuer level i.e. downgrade in credit rating by a SEBI registered Credit Rating Agency (CRA), as under:

- a. Downgrade of a debt or money market instrument to 'below investment grade', or
- b. Subsequent downgrades of the said instruments from 'below investment grade', or
- c. Similar such downgrades of a loan rating.

In case of difference in rating by multiple CRAs, the most conservative rating shall be considered. Creation of segregated portfolio shall be based on issuer level credit events as detailed above and implemented at the ISIN level.

### **Process for creation of segregated portfolio**

1. The AMC shall decide on creation of segregated portfolio on the day of credit event, as per the process laid down below:
  - i. The AMC shall seek approval of Trustees, prior to creation of the segregated portfolio.

- ii. The AMC shall immediately issue a press release disclosing its intention to segregate such debt and money market instrument and its impact on the investors. It shall also be disclosed that the segregation shall be subject to trustee approval. Additionally, the said press release shall be prominently disclosed on the website of the AMC.
  - iii. The AMC shall ensure that till the time the Trustee approval is received, the subscription and redemption in the scheme shall be suspended for processing with respect to creation of units and payment on redemptions.
2. Upon receipt of approval from Trustees:
- i. The segregated portfolio shall be effective from the day of credit event
  - ii. The AMC shall issue a press release immediately with all relevant information pertaining to the segregated portfolio. The said information shall also be submitted to SEBI.
  - iii. An e-mail or SMS should be sent to all unit holders of the concerned scheme.
  - iv. The NAV of both segregated and main portfolio shall be disclosed from the day of the credit event.
  - v. All existing investors in the scheme as on the day of the credit event shall be allotted equal number of units in the segregated portfolio as held in the main portfolio.
  - vi. No redemption and subscription shall be allowed in the segregated portfolio. However, in order to facilitate exit to unit holders in segregated portfolio, AMC shall enable listing of units of segregated portfolio on the recognized stock exchange within 10 working days of creation of segregated portfolio and also enable transfer of such units on receipt of transfer requests.
3. If the trustees do not approve the proposal to segregate portfolio, the AMC shall issue a press release immediately informing investors of the same.
4. Notwithstanding the decision to segregate the debt and money market instrument, the valuation shall take into account the credit event and the portfolio shall be valued based on the principles of fair valuation (i.e. realizable value of the assets) in terms of the relevant provisions of SEBI (Mutual Funds) Regulations, 1996 and Circular(s) issued thereunder.
5. All subscription and redemption requests for which NAV of the day of credit event or subsequent day is applicable will be processed as per the existing circular on applicability of NAV as under:
- a. Upon trustees' approval to create a segregated portfolio -
    - i. Investors redeeming their units will get redemption proceeds based on the NAV of main portfolio and will continue to hold the units of segregated portfolio.
    - ii. Investors subscribing to the scheme will be allotted units only in the main portfolio based on its NAV.
  - b. In case trustees do not approve the proposal of segregated portfolio, subscription and redemption applications will be processed based on the NAV of total portfolio.
6. In order to enable the existing as well as the prospective investors to take informed decision, the following shall be adhered to:
- a. A statement of holding indicating the units held by the investors in the segregated portfolio along with the NAV of both segregated portfolio and main portfolio as on the

day of the credit event shall be communicated to the investors within 5 working days of creation of the segregated portfolio.

- b. Adequate disclosure of the segregated portfolio shall appear in all scheme related documents, in monthly and half-yearly portfolio disclosures and in the annual report of the mutual fund and the scheme.
  - c. The Net Asset Value (NAV) of the segregated portfolio shall be declared on daily basis.
  - d. The information regarding number of segregated portfolios created in a scheme shall appear prominently under the name of the scheme at all relevant places such as SID, KIM-cum-Application Form, advertisement, AMC and AMFI websites, etc.
  - e. The scheme performance required to be disclosed at various places shall include the impact of creation of segregated portfolio. The scheme performance should clearly reflect the fall in NAV to the extent of the portfolio segregated due to the credit event and the said fall in NAV along with recovery(ies), if any, shall be disclosed as a footnote to the scheme performance.
  - f. The disclosures at paragraph (d) and (e) above regarding the segregated portfolio shall be carried out for a period of at least 3 years after the investments in segregated portfolio are fully recovered/ written-off.
  - g. The investors of the segregated portfolio shall be duly informed of the recovery proceedings of the investments of the segregated portfolio. Status update may be provided to the investors at the time of recovery and also at the time of writing-off of the segregated securities.
7. In order to ensure timely recovery of investments of the segregated portfolio, the Trustees to the fund would continuously monitor the progress and take suitable action as may be required.
8. TER for the Segregated Portfolio
- a. AMC shall not charge investment and advisory fees on the segregated portfolio. However, TER (excluding the investment and advisory fees) can be charged, on a pro-rata basis only upon recovery of the investments in segregated portfolio.
  - b. The TER so levied shall not exceed the simple average of such expenses (excluding the investment and advisory fees) charged on daily basis on the main portfolio (in % terms) during the period for which the segregated portfolio was in existence.
  - c. The legal charges related to recovery of the investments of the segregated portfolio may be charged to the segregated portfolio in proportion to the amount of recovery. However, the same shall be within the maximum TER limit as applicable to the main portfolio. The legal charges in excess of the TER limits, if any, shall be borne by the AMC.
  - d. The costs related to segregated portfolio shall in no case be charged to the main portfolio.

Investors may also note that the process followed by the AMC/Trust regarding creation of segregated portfolios shall be in accordance with the provisions laid down by SEBI in this regard, from time to time.

#### **Risk factors associated with creation of segregated portfolios**

- Liquidity risk – A segregated portfolio is created when a credit event occurs at an issuer level in the scheme. This may reduce the liquidity of the security issued by the said issuer, as demand for this security may reduce. This is also further accentuated by the

lack of secondary market liquidity for corporate papers in India. As per SEBI norms, the scheme is to be closed for redemption and subscriptions until the segregated portfolio is created, running the risk of investors being unable to redeem their investments. However, it may be noted that, the proposed segregated portfolio is required to be formed within one day from the occurrence of the credit event.

Investors may note that no redemption and subscription shall be allowed in the segregated portfolio. However, in order to facilitate exit to unit holders in segregated portfolio, AMC shall list the units of the segregated portfolio on a recognized stock exchange within 10 working days of creation of segregated portfolio and also enable transfer of such units on receipt of transfer requests. For the units listed on the exchange, it is possible that the market price at which the units are traded may be at a discount to the NAV of such Units. There is no assurance that a deep secondary market will develop for units of segregated portfolio listed on the stock exchange. This could limit the ability of the investors to resell them.

- Valuation risk - The valuation of the securities in the segregated portfolio is required to be carried out in line with the applicable SEBI guidelines. However, it may be difficult to ascertain the fair value of the securities due to absence of an active secondary market and difficulty to price in qualitative factors.
- Numerical illustration explaining how segregated portfolios will work

<b>Total Assets under DEBT instruments : 10 lakhs</b>				
Total 2 investors in the Scheme:	Units	Amount	Portfolio	Value
			DEBT A	5,00,000
Investor A	30000	375000	DEBT B	3,00,000
Investor B	50000	625000	DEBT C	2,00,000
Total	80000	1000000	Total	10,00,000
NAV (Full Portfolio): Rs. 12.5				
<b>Security DEBT B downgrades and value falls from 3,00,000 to 280,000</b>				
Post Segregation	Units	Amount	Main Portfolio	
Total 2 investors in the Scheme:			DEBT A	5,00,000
Investor A	30000	262500	DEBT C	2,00,000
Investor B	50000	437500		
Total	80000	700000	Total	7,00,000
NAV (Main Portfolio): Rs. 8.75				
Post Segregation	Units	Amount	Segregated Portfolio	
Total 2 investors in the Scheme:				
Investor A (units)	30000	105000	DEBT B	2,80,000
Investor B (units)	50000	175000		
Total	80000	280000	Total	2,80,000
NAV (Segregated Portfolio): Rs. 3.5				
Total Holding of Investor A	30000	367500		
Total Holding of Investor B	50000	612500		

		980000		
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Notes:

- Investors who invest / subscribe to the units of the Scheme post creation of segregated portfolio shall be allotted units in the Main Portfolio only.
- Investors redeeming their units post creation of segregated portfolio will get redemption proceeds based on NAV of main portfolio and will continue to hold units in Segregated portfolio.
- No redemption and / or subscription shall be allowed in the Segregated Portfolio.
- Units of Segregated portfolio shall be listed on a recognised stock exchange.

In order to ensure timely recovery of investments of the segregated portfolio, trustees shall ensure that:

- a. The AMC puts in sincere efforts to recover the investments of the segregated portfolio.
- b. Upon recovery of money, whether partial or full, it shall be immediately distributed to the investors in proportion to their holding in the segregated portfolio. Any recovery of amount of the security in the segregated portfolio even after the write off shall be distributed to the investors of the segregated portfolio.
- c. An Action Taken Report (ATR) on the efforts made by the AMC to recover the investments of the segregated portfolio is placed in every trustee meeting till the investments are fully recovered/ written-off.
- d. The trustees shall monitor the compliance of this circular and disclose in the half-yearly trustee reports filed with SEBI, the compliance in respect of every segregated portfolio created.

In order to avoid mis-use of segregated portfolio, trustees shall ensure to have a mechanism in place to negatively impact the performance incentives of Fund Managers, Chief Investment Officers (CIOs), etc. involved in the investment process of securities under the segregated portfolio, mirroring the existing mechanism for performance incentives of the AMC, including claw back of such amount to the segregated portfolio of the scheme.

## **Associate Transactions**

Details of Associate Transactions have been enclosed as **Annexure - II**.

### **Aggregate market value of securities of sponsors/ group companies/ AMC held by the Schemes of the Fund**

Aggregate market value of securities of sponsors/group companies/AMC held by the Schemes of ICICI Prudential Mutual Fund is enclosed as **Annexure – III**.

### **Payments Made To Group Companies**

Details on payments made to group companies are enclosed as **Annexure – IV**.

### **Underwriting obligations with respect to issues of Associate Companies:**

The Fund has, till date, not entered into any underwriting contracts in respect of any public issue made by any of its associate companies.

### **Transactions with associates/ group**

Associate transactions, if carried out, will be in the ordinary course of business at arm's length and as per the SEBI (Mutual Funds) Regulations, 1996 and the limits prescribed thereunder.

As per the Regulations, a Scheme should not make any investments in –

- any unlisted security of an associate or group company of the Sponsors; or
- any security issued by way of private placement by an associate or group company of the Sponsors; or
- the listed securities of group companies of the Sponsors which is in excess of 25% of the net assets.

### **Documents Available for Inspection**

The following documents will be available for inspection at the office of the Mutual Fund at 2<sup>nd</sup> Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai – 400 063 during business hours on any day (excluding Saturdays, Sundays and public holidays):

- Memorandum and Articles of Association of the AMC
- Investment Management Agreement
- Trust Deed and amendments thereto, if any
- Mutual Fund Registration Certificate
- Agreement between the Mutual Fund and the Custodian
- Agreement with Registrar and Share Transfer Agents
- Consent of Auditors to act in the said capacity
- Consent of Legal Advisors to act in the said capacity
- Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 and amendments from time to time thereto.
- Indian Trusts Act, 1882.

### **Investor Grievances Redressal Mechanism**

#### **a) Investor Services**

The Fund believes in providing the investors with a superior service to make the investors'

experience in dealing with the Fund an efficient and satisfactory one. In order to achieve these goals, the Fund will endeavour to continuously establish and upgrade systems to handle transactions efficiently and resolve any investor grievances promptly.

**b) Ease of Transactions**

The Fund intends to make every transaction for the investor a simple and convenient one. The Fund plans to provide the following services: -

**i) Customer Service Centres in major metros**

The AMC presently has Customer Service Centres in various cities. Over a period of time, the AMC may add further Customer Service Centres and/or sales offices in other cities. Unitholders can go to these Service Centres / Sales Offices for enquiries and transactions during business hours.

**ii) Process transactions in a timely manner**

Under the Regulations, the Fund/ the Registrar / AMC shall dispatch to the Unitholders the dividend warrants, if any, within thirty days of the date of declaration of dividend and the Redemption proceeds within ten Business Days from the date of acceptance / deemed acceptance of the request for Redemption or repurchase proceeds, as the case may be.

Under normal circumstances, the Fund will endeavour to complete all monetary transactions within T+3 Business Days from the date of acceptance of a transaction request. Ordinarily, non-monetary transactions or requests will be processed, (with the exception of issue of Unit certificates) within 7 Business Days. Investors should note that completion of monetary/ non-monetary transactions within 3/7 Business Days as indicated above would be done on "best efforts" basis and completion of all such transactions are subject to the time limits as prescribed under the Regulations.

**c) Problem Resolution**

The Fund will follow-up with Customer Service Centres and Registrar on complaints and enquiries received from investors for resolving them promptly.

For this purpose, Mr. Rajneesh Dwivedi, Associate Vice President – Operations has also been designated as the Investor Relations Officer of the Fund. He can be contacted at 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400 063. Tel No.:022-2685 2000, FAX No.: 022 -2686 8313, e-mail - enquiry@icicipruamc.com

Investor grievances are normally received at AMC office or at the Customer Service Centres or directly by the Registrar. All grievances are forwarded to the Registrar for their necessary action. The complaints are closely followed up with the Registrar to ensure timely redresses and prompt investor service.

Information on complaints received for the last three fiscal years is attached as **Annexure – V**.

Data on complaints is being regularly placed before the Board of the AMC and Trust Company on a bi-monthly basis.

F. Condensed financial information (CFI) for all the schemes launched by MF during the last three fiscal periods (excluding redeemed schemes) in the format given below:

BHARAT 22 ETF	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	24-Nov-17		
<b>NAV at the beginning of the period (₹)</b>			
Growth Option	38.1408	35.1830	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	4,652.27	8,456.26	6,037.91
<b>NAV at the end of the period (₹)</b>			
Growth Option	22.9888	38.1408	35.1830
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.01%	0.01%	0.01%

Confidential Capital Protection Oriented Fund - Series XII - Plan A 11	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	26-May-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.3653	10.4206	-
Direct Dividend Option	11.3653	10.4206	-
Dividend Option	11.0768	10.2881	-
Growth Option	11.0768	10.2881	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	31.02	29.84	27.70
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8619	11.3653	10.4206
Direct Dividend Option	11.8619	11.3653	10.4206
Dividend Option	11.51	11.0768	10.2881
Growth Option	11.51	11.0768	10.2881
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.03%	2.33%	2.22%

Confidential Capital Protection Oriented Fund - Series XII - Plan C 12	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	10-Oct-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.8927	10.2028	-
Direct Dividend Option	10.8927	10.2028	-
Dividend Option	10.6924	10.1300	-
Growth Option	10.6924	10.1300	-



<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	28.66	27.39	25.94
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.4423	10.8927	10.2028
Direct Dividend Option	11.4423	10.8927	10.2028
Dividend Option	11.1864	10.6924	10.1300
Growth Option	11.1864	10.6924	10.1300
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.05%	2.20%	2.18%

ICICI Prudential Fixed Maturity Plan-Series 81-1100 Days Plan O	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	14-Jul-17		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	11.1967	10.4801	-
Direct Dividend Option	11.1967	10.4801	-
Dividend Option	11.1235	10.4466	-
Growth Option	11.1235	10.4466	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	37.33	33.99	31.89
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	12.3236	11.1967	10.4801
Direct Dividend Option	12.3236	11.1967	10.4801
Dividend Option	12.2031	11.1235	10.4466
Growth Option	12.2031	11.1235	10.4466
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.31%	0.63%	0.41%

ICICI Prudential Fixed Maturity Plan - Series 81 - 1100 Days Plan F	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	16-Aug-17		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	11.1830	10.3946	-
Direct Dividend Option	11.1830	10.3946	-
Dividend Option	11.1213	10.3750	-
Growth Option	11.1213	10.3750	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	38.57	35.23	32.82
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	12.2579	11.1830	10.3946
Direct Dividend Option	12.2579	11.1830	10.3946

Dividend Option	12.171	11.1213	10.3750
Growth Option	12.171	11.1213	10.3750
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	<b>0.24%</b>	<b>0.30%</b>	<b>0.35%</b>

ICI Prudential Fixed Maturity Plan - Series 81 - 1100 Days Plan V	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	12-Oct-17		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	11.0688	10.2593	-
Direct Dividend Option	11.0688	10.2593	-
Dividend Option	11.0526	10.2547	-
Growth Option	11.0526	10.2547	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	<b>147.87</b>	<b>135.31</b>	<b>125.54</b>
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	12.1054	11.0688	10.2593
Direct Dividend Option	12.1054	11.0688	10.2593
Dividend Option	12.0782	11.0526	10.2547
Growth Option	12.0782	11.0526	10.2547
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	<b>0.11%</b>	<b>0.13%</b>	<b>0.15%</b>

ICI Prudential Fixed Maturity Plan Series 81 - 1101 Days Plan C	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	28-Apr-17		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	11.5409	10.7490	-
Direct Dividend Option	11.5409	10.7490	-
Dividend Option	11.3341	10.6156	-
Growth Option	11.3341	10.6156	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	<b>145.55</b>	<b>133.11</b>	<b>124.59</b>
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	12.6579	11.5409	10.7490
Direct Dividend Option	12.6579	11.5409	10.7490
Dividend Option	12.389	11.3341	10.6156
Growth Option	12.389	11.3341	10.6156
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	<b>0.32%</b>	<b>0.51%</b>	<b>1.25%</b>

ICI Prudential Fixed Maturity Plan Series 81 - 1101 Days Plan E	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	12-May-17		

<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.5048	10.7171	-
Direct Dividend Option	11.5048	10.7170	-
Dividend Option	11.3161	10.5912	-
Growth Option	11.3161	10.5912	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	108.16	98.92	92.49
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.5897	11.5048	10.7171
Direct Dividend Option	12.5897	11.5048	10.7170
Dividend Option	12.3692	11.3161	10.5912
Growth Option	12.3692	11.3161	10.5912
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.22%	0.38%	1.18%

<b>ICICI Prudential Fixed Maturity Plan Series 81 - 1116 Days Plan I</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	11-May-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.4747	10.6893	-
Direct Dividend Option	11.4747	10.6894	-
Growth Option	11.4174	10.6625	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	67.43	62.21	58.09
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.447	11.4747	10.6893
Direct Dividend Option	12.447	11.4747	10.6894
Growth Option	12.3756	11.4174	10.6625
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.13%	0.28%	0.29%

<b>ICICI Prudential Fixed Maturity Plan Series 81 - 1150 Days Plan K</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	21-Jun-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.2692	10.4611	-
Direct Dividend Option	11.2692	10.4610	-
Dividend Option	11.2238	10.4289	-
Growth Option	11.2238	10.4289	-
<b>Dividends (inclusive of distribution tax if, any)</b>			

<b>Net Assets end of period ( ` in Crore)</b>	24.90	23.08	21.44
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	12.1631	11.2692	10.4611
Direct Dividend Option	12.1631	11.2692	10.4610
Dividend Option	12.103	11.2238	10.4289
Growth Option	12.103	11.2238	10.4289
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.19%	0.10%	0.26%

<b>ICICI Prudential Fixed Maturity Plan - Series 81 -1154 Days Plan J</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	09-Jun-17		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	11.2783	10.4775	-
Direct Dividend Option	11.2783	10.4775	-
Dividend Option	11.2341	10.4583	-
Growth Option	11.2341	10.4583	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	64.30	59.53	55.34
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	12.1932	11.2783	10.4775
Direct Dividend Option	12.1932	11.2783	10.4775
Dividend Option	12.1129	11.2341	10.4583
Growth Option	12.1129	11.2341	10.4583
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.15%	0.26%	0.12%

<b>ICICI Prudential Fixed Maturity Plan-Series 81-1162 Days Plan P</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	19-Jul-17		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	11.1910	10.3802	-
Direct Dividend Option	11.1910	10.3802	-
Dividend Option	11.1688	10.3721	-
Growth Option	11.1688	10.3721	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	67.29	62.21	57.71
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	12.1049	11.1910	10.3802
Direct Dividend Option	12.1049	11.1910	10.3802
Dividend Option	12.0768	11.1688	10.3721
Growth Option	12.0768	11.1688	10.3721

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.14%	0.04%	0.05%
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<b>CICI Prudential Fixed Maturity Plan - Series 81 - 1163 Days Plan C</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	31-Jul-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.1507	10.3423	-
Direct Dividend Option	11.1507	10.3423	-
Dividend Option	11.1297	10.3350	-
Growth Option	11.1297	10.3350	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	48.88	45.16	41.90
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.0701	11.1507	10.3423
Direct Dividend Option	12.0701	11.1507	10.3423
Dividend Option	12.0382	11.1297	10.3350
Growth Option	12.0382	11.1297	10.3350
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.06%	0.06%

<b>ICICI Prudential Fixed Maturity Plan Series 81 - 1178 Days Plan H</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	23-May-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.4050	10.5713	-
Direct Dividend Option	11.4050	10.5713	-
Dividend Option	11.3269	10.5134	-
Growth Option	11.3269	10.5134	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	128.41	118.84	110.16
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.3247	11.4050	10.5713
Direct Dividend Option	12.3247	11.4050	10.5713
Dividend Option	12.23	11.3269	10.5134
Growth Option	12.23	11.3269	10.5134
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.04%	0.07%

<b>ICICI Prudential Fixed Maturity Plan Series 81 - 1185 Days Plan G</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	12-May-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.4237	10.5913	-

Dividend Option	11.3388	10.53	-
Growth Option	11.3388	10.53	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	49.44	45.75	42.42
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	12.347	11.4237	10.5913
Dividend Option	12.2599	11.3388	10.5289
Growth Option	12.2599	11.3388	10.5289
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.05%	0.09%

ICICI Prudential Fixed Maturity Plan Series 81 - 1190 Days Plan F	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	05-May-17		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	11.4466	10.6124	-
Dividend Option	11.3596	10.5478	-
Growth Option	11.3596	10.5478	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	49.55	45.87	42.54
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	12.3672	11.4466	10.6124
Dividend Option	12.271	11.3596	10.5478
Growth Option	12.271	11.3596	10.5478
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.14%	0.07%	0.22%

ICICI Prudential Fixed Maturity Plan Series 81 - 1195 Days Plan D	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	28-Apr-17		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	11.4634	10.6273	-
Dividend Option	11.3736	10.5627	-
Growth Option	11.3736	10.5617	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	122.51	113.40	105.16
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	12.3858	11.4634	10.6273
Dividend Option	12.2779	11.3736	10.5627
Growth Option	12.2779	11.3736	10.5617

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.11%	0.05%	0.13%

<b>ICICI Prudential Fixed Maturity Plan Series 81 - 1205 Days Plan B</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Apr-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.4695	10.6327	-
Direct Dividend Option	11.4695	10.6327	-
Dividend Option	11.4034	10.5878	-
Growth Option	11.4034	10.5878	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	51.38	47.63	44.17
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.3765	11.4695	10.6327
Direct Dividend Option	12.3765	11.4695	10.6327
Dividend Option	12.2912	11.4034	10.5878
Growth Option	12.2912	11.4034	10.5878
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.10%	0.06%	0.14%

<b>ICICI Prudential Fixed Maturity Plan Series 81 - 1211 Days Plan A</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	18-Apr-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.4815	10.6431	-
Direct Dividend Option	11.4815	10.6431	-
Dividend Option	11.4269	10.6026	-
Growth Option	11.4269	10.6026	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	37.90	35.14	32.59
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.388	11.4815	10.6431
Direct Dividend Option	12.388	11.4815	10.6431
Dividend Option	12.3179	11.4269	10.6026
Growth Option	12.3179	11.4269	10.6026
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.17%	0.07%	0.19%

<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan S</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	26-Mar-18		
<b>NAV at the beginning of the period (₹)</b>			

Direct Growth Option	10.7010	10.0152	-
Growth Option	10.6628	10.0151	-
Direct Quarterly Dividend Option	10.7009	10.0152	-
Half Yearly Dividend Option	10.6628	10.0151	-
Quarterly Dividend Option	10.6628	10.0151	-
Direct Half Yearly Dividend Option	10.7010	10.0152	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	341.82	315.76	296.31
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.6221	10.7010	10.0152
Growth Option	11.5294	10.6628	10.0151
Direct Quarterly Dividend Option	11.622	10.7009	10.0152
Half Yearly Dividend Option	11.5294	10.6628	10.0151
Quarterly Dividend Option	11.5294	10.6628	10.0151
Direct Half Yearly Dividend Option	11.6221	10.7010	10.0152
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.39%	0.44%	0.44%

CICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan U	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	22-Mar-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.8007	10.0296	-
Growth Option	10.7842	10.0294	-
Quarterly Dividend Option	10.7842	10.0294	-
Direct Half Yearly Dividend Option	10.8007	10.0296	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	615.86	564.35	524.09
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.7871	10.8007	10.0296
Growth Option	11.7531	10.7842	10.0294
Quarterly Dividend Option	11.7531	10.7842	10.0294
Direct Half Yearly Dividend Option	11.7871	10.8007	10.0296
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.04%	0.03%

CICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan U	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Mar-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.7401	10.0022	-
Growth Option	10.7131	10.0021	-
Direct Quarterly Dividend Option	10.7401	10.0022	-
Half Yearly Dividend Option	10.7131	10.0021	-



Quarterly Dividend Option	10.7131	10.0021	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	31.71	29.47	27.47
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.5722	10.7401	10.0022
Growth Option	11.5183	10.7131	10.0021
Direct Quarterly Dividend Option	11.5722	10.7401	10.0022
Half Yearly Dividend Option	11.5182	10.7131	10.0021
Quarterly Dividend Option	11.5183	10.7131	10.0021
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.26%	0.33%	0.35%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1136 Days Plan F</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	22-Mar-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.7194	10.0249	-
Growth Option	10.6953	10.0245	-
Direct Quarterly Dividend Option	10.7193	10.0249	-
Half Yearly Dividend Option	10.6953	10.0245	-
Quarterly Dividend Option	10.6953	10.0245	-
Direct Half Yearly Dividend Option	10.7194	10.0249	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	697.65	638.64	598.06
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.7284	10.7194	10.0249
Growth Option	11.6714	10.6953	10.0245
Direct Quarterly Dividend Option	11.7282	10.7193	10.0249
Half Yearly Dividend Option	11.6714	10.6953	10.0245
Quarterly Dividend Option	11.6714	10.6953	10.0245
Direct Half Yearly Dividend Option	11.7283	10.7194	10.0249
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.28%	0.30%	0.33%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1157 Days Plan J</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	01-Mar-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.7757	10.1099	-
Growth Option	10.7236	10.1066	-
Direct Quarterly Dividend Option	10.7756	10.1099	-
Half Yearly Dividend Option	10.7236	10.1066	-
Quarterly Dividend Option	10.7236	10.1066	-
Direct Half Yearly Dividend Option	10.7757	10.1099	-

<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	153.05	139.53	131.43
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.8545	10.7757	10.1099
Growth Option	11.7586	10.7236	10.1066
Direct Quarterly Dividend Option	11.8543	10.7756	10.1099
Half Yearly Dividend Option	11.7585	10.7236	10.1066
Quarterly Dividend Option	11.7585	10.7236	10.1066
Direct Half Yearly Dividend Option	11.8545	10.7757	10.1099
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.38%	0.44%	0.50%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1170 Days Plan F</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Mar-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.7680	10.0028	-
Growth Option	10.7517	10.0028	-
Direct Quarterly Dividend Option	10.7680	10.0028	-
Half Yearly Dividend Option	10.7517	10.0028	-
Quarterly Dividend Option	10.7517	10.0028	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	286.68	263.00	242.63
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.7403	10.7680	10.0028
Growth Option	11.706	10.7517	10.0028
Direct Quarterly Dividend Option	11.7403	10.7680	10.0028
Half Yearly Dividend Option	11.706	10.7517	10.0028
Quarterly Dividend Option	11.706	10.7517	10.0028
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.05%	0.05%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1175 Days Plan C</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	14-Mar-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.8161	10.0529	-
Growth Option	10.7880	10.0520	-
Direct Quarterly Dividend Option	10.8145	10.0529	-
Half Yearly Dividend Option	10.7880	10.0520	-
Quarterly Dividend Option	10.7880	10.0520	-
Direct Half Yearly Dividend Option	10.8162	10.0530	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>			

<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	587.71	538.51	500.65
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.8072	10.8161	10.0529
Growth Option	11.7489	10.7880	10.0520
Direct Quarterly Dividend Option	11.8058	10.8145	10.0529
Half Yearly Dividend Option	11.7489	10.7880	10.0520
Quarterly Dividend Option	11.7489	10.7880	10.0520
Direct Half Yearly Dividend Option	11.8072	10.8162	10.0530
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.05%	0.05%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1185 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	06-Feb-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.8986	10.1258	-
Growth Option	10.8799	10.1237	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	1,072.84	982.72	913.13
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.8991	10.8986	10.1258
Growth Option	11.8634	10.8799	10.1237
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.04%	0.03%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1185 Days Plan N</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	28-Feb-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.8624	10.0941	-
Growth Option	10.8565	10.0937	-
Direct Quarterly Dividend Option	10.8624	10.0941	-
Quarterly Dividend Option	10.8565	10.0937	-
Direct Half Yearly Dividend Option	10.8624	10.0941	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	515.37	471.98	438.60
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.8612	10.8624	10.0941
Growth Option	11.8495	10.8565	10.0937
Direct Quarterly Dividend Option	11.8612	10.8624	10.0941
Quarterly Dividend Option	11.8495	10.8565	10.0937

Direct Half Yearly Dividend Option	11.8612	10.8624	10.0941
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.05%	0.03%	0.02%

CICI Prudential Fixed Maturity Plan - Series 82 - 1185 Days Plan	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	08-Mar-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.8438	10.070	-
Growth Option	10.8209	10.069	-
Direct Quarterly Dividend Option	10.8453	10.070	-
Half Yearly Dividend Option	10.8209	10.069	-
Quarterly Dividend Option	10.8209	10.069	-
Direct Half Yearly Dividend Option	10.8438	10.070	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	300.79	275.63	256.03
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8362	10.8438	10.0701
Growth Option	11.7896	10.8209	10.0690
Direct Quarterly Dividend Option	11.8358	10.8453	10.0702
Half Yearly Dividend Option	11.7896	10.8209	10.0690
Quarterly Dividend Option	11.7896	10.8209	10.0690
Direct Half Yearly Dividend Option	11.8362	10.8438	10.0701
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.05%	0.04%

CICI Prudential Fixed Maturity Plan - Series 82 - 1187 Days Plan	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	30-Jan-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.7491	10.1693	-
Growth Option	10.7082	10.1641	-
Direct Quarterly Dividend Option	10.7491	10.1693	-
Half Yearly Dividend Option	10.7082	10.1641	-
Quarterly Dividend Option	10.7082	10.1641	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	541.48	490.68	465.31
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8879	10.7491	10.1693
Growth Option	11.8064	10.7082	10.1641
Direct Quarterly Dividend Option	11.8879	10.7491	10.1693
Half Yearly Dividend Option	11.8064	10.7082	10.1641
Quarterly Dividend Option	11.8064	10.7082	10.1641

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.32%	0.39%	0.41%
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<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1199 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	21-Feb-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.8972	10.1165	-
Growth Option	10.8792	10.1151	-
Half Yearly Dividend Option	10.8792	10.1151	-
Direct Half Yearly Dividend Option	10.8972	10.1165	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	752.80	690.45	640.99
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8814	10.8972	10.1165
Growth Option	11.8465	10.8792	10.1151
Half Yearly Dividend Option	11.8465	10.8792	10.1151
Direct Half Yearly Dividend Option	11.8814	10.8972	10.1165
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.05%	0.03%	0.02%

<b>CICI Prudential Fixed Maturity Plan – Series 82 – 1203 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Feb-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.9088	10.1225	-
Growth Option	10.8906	10.1208	-
Direct Quarterly Dividend Option	10.9088	10.1225	-
Half Yearly Dividend Option	10.8906	10.1211	-
Quarterly Dividend Option	10.8906	10.1208	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	620.07	568.55	527.60
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8978	10.9088	10.1225
Growth Option	11.863	10.8906	10.1208
Direct Quarterly Dividend Option	11.8978	10.9088	10.1225
Half Yearly Dividend Option	11.863	10.8906	10.1211
Quarterly Dividend Option	11.863	10.8906	10.1208
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.05%	0.04%	0.03%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1215 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	02-Feb-18		

<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.9243	10.1401	-
Growth Option	10.9055	10.1380	-
Direct Quarterly Dividend Option	10.9244	10.1402	-
Half Yearly Dividend Option	10.9054	10.1379	-
Quarterly Dividend Option	10.9055	10.1380	-
Direct Half Yearly Dividend Option	10.9242	10.1401	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	614.18	563.23	522.87
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.9139	10.9243	10.1401
Growth Option	11.8785	10.9055	10.1380
Direct Quarterly Dividend Option	11.9141	10.9244	10.1402
Half Yearly Dividend Option	11.8783	10.9054	10.1379
Quarterly Dividend Option	11.8785	10.9055	10.1380
Direct Half Yearly Dividend Option	11.9138	10.9242	10.1401
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.04%	0.02%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1217 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	04-Jan-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.9573	10.1611	-
Growth Option	10.9303	10.1565	-
Direct Quarterly Dividend Option	10.9573	10.1611	-
Half Yearly Dividend Option	10.9303	10.1565	-
Quarterly Dividend Option	10.9303	10.1565	-
Direct Half Yearly Dividend Option	10.9563	10.1609	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	152.26	139.80	129.68
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.9369	10.9573	10.1611
Growth Option	11.8878	10.9303	10.1565
Direct Quarterly Dividend Option	11.9369	10.9573	10.1611
Half Yearly Dividend Option	11.8878	10.9303	10.1565
Quarterly Dividend Option	11.8878	10.9303	10.1565
Direct Half Yearly Dividend Option	11.9349	10.9563	10.1609
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.10%	0.05%	0.04%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1219 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	11-Jan-18		

<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.9555	10.1666	-
Growth Option	10.9290	10.1624	-
Direct Quarterly Dividend Option	10.9555	10.1666	-
Half Yearly Dividend Option	10.9289	10.1624	-
Quarterly Dividend Option	10.9290	10.1624	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	257.24	236.03	219.04
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.9405	10.9555	10.1666
Growth Option	11.892	10.9290	10.1624
Direct Quarterly Dividend Option	11.9405	10.9555	10.1666
Half Yearly Dividend Option	11.892	10.9289	10.1624
Quarterly Dividend Option	11.892	10.9290	10.1624
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.03%	0.01%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1223 Days Plan B</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	18-Jan-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.9402	10.1520	-
Growth Option	10.9141	10.1482	-
Direct Quarterly Dividend Option	10.9402	10.1520	-
Half Yearly Dividend Option	10.9140	10.1481	-
Quarterly Dividend Option	10.9142	10.1482	-
Direct Half Yearly Dividend Option	10.9403	10.1520	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	175.49	161.09	149.53
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.9204	10.9402	10.1520
Growth Option	11.8723	10.9141	10.1482
Direct Quarterly Dividend Option	11.9204	10.9402	10.1520
Half Yearly Dividend Option	11.8719	10.9140	10.1481
Quarterly Dividend Option	11.8723	10.9142	10.1482
Direct Half Yearly Dividend Option	11.9205	10.9403	10.1520
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.08%	0.05%	0.04%

<b>CICI Prudential Fixed Maturity Plan - Series 82 - 1223 Days Plan C</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Jan-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.9266	10.1391	-

Growth Option	10.9010	10.1357	-
Half Yearly Dividend Option	10.9010	10.1357	-
Quarterly Dividend Option	10.9008	10.1356	-
Direct Half Yearly Dividend Option	10.9266	10.1391	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	203.00	186.28	172.89
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.909	10.9266	10.1391
Growth Option	11.8659	10.9010	10.1357
Half Yearly Dividend Option	11.8659	10.9010	10.1357
Quarterly Dividend Option	11.8654	10.9008	10.1356
Direct Half Yearly Dividend Option	11.909	10.9266	10.1391
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.04%	0.03%

CICI Prudential Fixed Maturity Plan - Series 82 - 1225 Days Plan B	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Dec-17		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.9679	10.1775	-
Growth Option	10.9405	10.1724	-
Direct Quarterly Dividend Option	10.9676	10.1774	-
Half Yearly Dividend Option	10.9405	10.1724	-
Quarterly Dividend Option	10.9405	10.1724	-
Direct Half Yearly Dividend Option	10.9675	10.1774	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	458.32	420.55	390.27
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.9532	10.9679	10.1775
Growth Option	11.9032	10.9405	10.1724
Direct Quarterly Dividend Option	11.9528	10.9676	10.1774
Half Yearly Dividend Option	11.9031	10.9405	10.1724
Quarterly Dividend Option	11.9031	10.9405	10.1724
Direct Half Yearly Dividend Option	11.9535	10.9675	10.1774
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.03%	0.01%

CICI Prudential Fixed Maturity Plan - Series 82 - 1236 Days Plan A	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	08-Dec-17		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.9520	10.1758	-
Growth Option	10.9377	10.1727	-
Direct Quarterly Dividend Option	10.9514	10.1757	-



Half Yearly Dividend Option	10.9376	10.1726	-
Quarterly Dividend Option	10.9377	10.1727	-
Direct Half Yearly Dividend Option	10.9519	10.1758	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	92.09	84.43	78.47
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.9485	10.9520	10.1758
Growth Option	11.9231	10.9377	10.1727
Direct Quarterly Dividend Option	11.9474	10.9514	10.1757
Half Yearly Dividend Option	11.9231	10.9376	10.1726
Quarterly Dividend Option	11.9231	10.9377	10.1727
Direct Half Yearly Dividend Option	11.9484	10.9519	10.1758
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.08%	0.07%	0.08%

ICICI Prudential Long Term Wealth Enhancement Fund	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	22-Mar-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.87	9.98	-
Direct Dividend Option	10.87	9.98	-
Dividend Option	10.70	9.98	-
Growth Option	10.70	9.98	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	29.93	40.86	38.33
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	8	10.87	9.98
Direct Dividend Option	8	10.87	9.98
Dividend Option	7.83	10.70	9.98
Growth Option	7.83	10.70	9.98
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.33%	2.51%	2.77%

ICICI Prudential Multiple Yield Fund – Series 14 – Plan A 1228 Day	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	21-Feb-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	11.00	10.0819	-
Direct Dividend Option	11.00	10.0819	-
Dividend Option	10.80	10.0659	-
Growth Option	10.80	10.0659	-
<b>Dividends (inclusive of distribution tax if, any)</b>			

<b>Net Assets end of period ( ` in Crore)</b>	40.02	38.37	35.75
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.4984	11.0010	10.0819
Direct Dividend Option	11.4984	11.0010	10.0819
Dividend Option	11.2629	10.7990	10.0659
Growth Option	11.2629	10.7990	10.0659
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.11%	2.06%	2.22%

<b>ICICI Prudential Nifty Low Vol 30 ETF</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	03-Jul-17		
<b>NAV at the beginning of the period ( `)</b>			
Growth Option	89.75	80.08	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	38.79	21.03	11.80
<b>NAV at the end of the period ( `)</b>			
Growth Option	73.42	89.75	80.08
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.42%	0.45%	0.50%

<b>ICICI Prudential Sensex Index Fund</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	21-Sep-17		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	12.02	10.2094	-
Direct Dividend Option	12.02	10.2094	-
Dividend Option	11.97	10.1964	-
Growth Option	11.97	10.1964	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	83.17	12.34	3.54
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	9.3077	12.0181	10.2094
Direct Dividend Option	9.3077	12.0181	10.2094
Dividend Option	9.2514	11.9706	10.1964
Growth Option	9.2514	11.9706	10.1964
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.17%	0.41%	0.36%

<b>ICICI Prudential Value Fund - Series 12</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	17-Apr-17		

<b>NAV at the beginning of the period (`)</b>			
Direct Dividend Option	11.23	10.10	-
Dividend Option	10.83	9.91	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	1.30
Dividend Option	-	-	1.28
<b>Net Assets end of period (` in Crore)</b>	545.57	717.20	656.12
<b>NAV at the end of the period (`)</b>			
Direct Dividend Option	8.59	11.23	10.10
Dividend Option	8.24	10.83	9.91
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.33%	2.17%	2.48%

ICICI Prudential Value Fund - Series 13	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	16-May-17		
<b>NAV at the beginning of the period (`)</b>			
Direct Dividend Option	10.90	10.07	-
Dividend Option	10.55	9.92	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	0.75
Dividend Option	-	-	0.73
<b>Net Assets end of period (` in Crore)</b>	520.79	716.99	673.21
<b>NAV at the end of the period (`)</b>			
Direct Dividend Option	7.96	10.90	10.07
Dividend Option	7.66	10.55	9.92
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.32%	2.15%	2.46%

ICICI Prudential Value Fund - Series 14	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	14-Jun-17		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	11.02	10.61	-
Direct Dividend Option	10.51	10.12	-
Dividend Option	10.14	9.95	-
Growth Option	10.64	10.44	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	0.50
Dividend Option	-	-	0.50

<b>Net Assets end of period ( ` in Crore)</b>	406.36	539.28	527.98
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	8.35	11.02	10.61
Direct Dividend Option	7.97	10.51	10.12
Dividend Option	7.64	10.14	9.95
Growth Option	8.01	10.64	10.44
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.25%	2.08%	2.41%

<b>ICICI Prudential Value Fund - Series 15</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	17-Jul-17		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	11.33	10.33	-
Direct Dividend Option	10.92	9.95	-
Dividend Option	10.75	9.94	-
Growth Option	11.01	10.18	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	0.38
Dividend Option	-	-	0.25
<b>Net Assets end of period ( ` in Crore)</b>	423.25	618.60	571.61
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	7.79	11.33	10.33
Direct Dividend Option	7.5	10.92	9.95
Dividend Option	7.35	10.75	9.94
Growth Option	7.53	11.01	10.18
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.34%	2.27%	2.55%

<b>ICICI Prudential Value Fund - Series 16</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	11-Aug-17		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	11.28	10.57	-
Direct Dividend Option	10.74	10.07	-
Dividend Option	10.55	10.04	-
Growth Option	10.99	10.46	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	0.50
Dividend Option	-	-	0.42
<b>Net Assets end of period ( ` in Crore)</b>	559.32	625.77	595.18
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	10.12	11.28	10.57

Direct Dividend Option	9.64	10.74	10.07
Dividend Option	9.43	10.55	10.04
Growth Option	9.82	10.99	10.46
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.34%	2.24%	2.44%

ICICI Prudential Value Fund - Series 17	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	18-Sep-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.93	10.26	-
Direct Dividend Option	10.67	10.01	-
Dividend Option	10.39	9.91	-
Growth Option	10.65	10.16	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	0.25
Dividend Option	-	-	0.25
<b>Net Assets end of period (₹ in Crore)</b>	142.17	197.37	188.16
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	7.91	10.93	10.26
Direct Dividend Option	7.72	10.67	10.01
Dividend Option	7.48	10.39	9.91
Growth Option	7.67	10.65	10.16
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.35%	2.42%	2.76%

ICICI Prudential Value Fund – Series 18	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Oct-17		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.62	10.35	-
Direct Dividend Option	11.34	10.10	-
Dividend Option	11.09	10.03	-
Growth Option	11.34	10.26	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Direct Dividend Option	-	-	0.25
Dividend Option	-	-	0.23
<b>Net Assets end of period (₹ in Crore)</b>	105.67	134.33	121.45
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	9.18	11.62	10.35
Direct Dividend Option	8.96	11.34	10.10
Dividend Option	8.72	11.09	10.03
Growth Option	8.92	11.34	10.26

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.34%	2.48%	2.80%
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<b>ICICI Prudential Value Fund - Series 19</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	10-Jan-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.74	9.62	-
Direct Dividend Option	10.74	9.62	-
Dividend Option	10.52	9.58	-
Growth Option	10.52	9.58	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	1,294.38	1,931.22	1,757.51
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	7.24	10.74	9.62
Direct Dividend Option	7.24	10.74	9.62
Dividend Option	7.05	10.52	9.58
Growth Option	7.05	10.52	9.58
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.30%	1.86%	2.21%

<b>ICICI Prudential Value Fund - Series 20</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	06-Feb-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	9.97	9.82	-
Direct Dividend Option	9.97	9.82	-
Dividend Option	9.82	9.80	-
Growth Option	9.82	9.80	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	381.12	508.68	507.05
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	7.51	9.97	9.82
Direct Dividend Option	7.51	9.97	9.82
Dividend Option	7.36	9.82	9.80
Growth Option	7.36	9.82	9.80
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.31%	2.35%	2.52%

<b>ICICI Prudential Bharat Consumption Fund - Series 1</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	11-Apr-18		
<b>NAV at the beginning of the period (₹)</b>			

Direct Growth Option	10.40	-	-
Direct Dividend Option	10.40	-	-
Dividend Option	10.24	-	-
Growth Option	10.24	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	754.23	941.17	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	8.37	10.40	-
Direct Dividend Option	8.37	10.40	-
Dividend Option	8.2	10.24	-
Growth Option	8.2	10.24	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.31%	2.32%	-

ICICI Prudential Bharat Consumption Fund - Series 2	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	04-May-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.16	-	-
Direct Dividend Option	10.16	-	-
Dividend Option	10.02	-	-
Growth Option	10.02	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	231.19	279.33	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	8.44	10.16	-
Direct Dividend Option	8.44	10.16	-
Dividend Option	8.29	10.02	-
Growth Option	8.29	10.02	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.35%	2.60%	-

ICICI Prudential Bharat Consumption Fund - Series 3	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	17-Jul-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.44	-	-
Direct Dividend Option	10.44	-	-
Dividend Option	10.31	-	-
Growth Option	10.31	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	100.49	125.77	-
<b>NAV at the end of the period ( `)</b>			

Direct Growth Option	8.39	10.44	-
Direct Dividend Option	8.39	10.44	-
Dividend Option	8.23	10.31	-
Growth Option	8.23	10.31	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.31%	2.77%	-

ICICI Prudential Bharat Consumption Fund - Series 4	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	20-Aug-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	9.87	-	-
Direct Dividend Option	9.87	-	-
Dividend Option	9.78	-	-
Growth Option	9.78	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	343.74	403.26	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	8.46	9.87	-
Direct Dividend Option	8.46	9.87	-
Dividend Option	8.33	9.78	-
Growth Option	8.33	9.78	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.32%	2.63%	-

ICICI Prudential Bharat Consumption Fund Series 5	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	28-Nov-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.66	-	-
Direct Dividend Option	10.66	-	-
Dividend Option	10.60	-	-
Growth Option	10.60	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	27.23	33.41	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	8.73	10.66	-
Direct Dividend Option	8.73	10.66	-
Dividend Option	8.64	10.60	-
Growth Option	8.64	10.60	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.35%	2.74%	-

ICICI Prudential Capital Protection Oriented Fund – Series XIV - Plan A 12	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	04-Dec-18		



<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.5362	-	-
Direct Dividend Option	10.5362	-	-
Dividend Option	10.4964	-	-
Growth Option	10.4964	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	430.34	416.00	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	10.9309	10.5362	-
Direct Dividend Option	10.9309	10.5362	-
Dividend Option	10.8581	10.4964	-
Growth Option	10.8581	10.4964	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.12%	1.74%	-

<b>ICICI Prudential Fixed Maturity Plan Series 82 - 1119 DaysPlan X</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Apr-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.7797	-	-
Growth Option	10.7693	-	-
Direct Quarterly Dividend Option	10.7797	-	-
Half Yearly Dividend Option	10.7693	-	-
Quarterly Dividend Option	10.7693	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	37.87	34.80	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.7345	10.7797	-
Growth Option	11.7138	10.7693	-
Direct Quarterly Dividend Option	11.7345	10.7797	-
Half Yearly Dividend Option	11.7137	10.7693	-
Quarterly Dividend Option	11.7138	10.7693	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.14%	0.10%	-

<b>ICI Prudential Fixed Maturity Plan - Series 82 - 1141 Days Plan X</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Apr-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.8425	-	-
Growth Option	10.8325	-	-
Half Yearly Dividend Option	10.8325	-	-
Quarterly Dividend Option	10.8325	-	-
Direct Half Yearly Dividend Option	10.8425	-	-

<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	80.89	74.28	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.8098	10.8425	-
Growth Option	11.7882	10.8325	-
Half Yearly Dividend Option	11.7881	10.8325	-
Quarterly Dividend Option	11.7881	10.8325	-
Direct Half Yearly Dividend Option	11.8098	10.8425	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.11%	0.06%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan C</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	05-Jul-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.7567	-	-
Growth Option	10.7308	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	268.08	245.44	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.751	10.7567	-
Growth Option	11.686	10.7308	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.05%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan F</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	19-Jul-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.6882	-	-
Growth Option	10.6697	-	-
Quarterly Dividend Option	10.6688	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	46.18	42.24	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.6903	10.6882	-
Growth Option	11.6422	10.6697	-
Quarterly Dividend Option	11.6422	10.6688	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.11%	0.10%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan S</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	02-Aug-18		

<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.6500	-	-
Growth Option	10.6331	-	-
Direct Quarterly Dividend Option	10.6509	-	-
Half Yearly Dividend Option	10.6331	-	-
Quarterly Dividend Option	10.6331	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	149.23	138.44	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.4915	10.6500	-
Growth Option	11.4447	10.6331	-
Direct Quarterly Dividend Option	11.4908	10.6509	-
Half Yearly Dividend Option	11.4447	10.6331	-
Quarterly Dividend Option	11.4447	10.6331	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.13%	0.12%	-

ICI Prudential Fixed Maturity Plan Series 83 - 1100 Days Plan X	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	31-Aug-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.5077	-	-
Growth Option	10.4866	-	-
Direct Quarterly Dividend Option	10.5077	-	-
Half Yearly Dividend Option	10.4866	-	-
Quarterly Dividend Option	10.4866	-	-
Direct Half Yearly Dividend Option	10.5077	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	122.44	113.30	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.3831	10.5077	-
Growth Option	11.3244	10.4866	-
Direct Quarterly Dividend Option	11.383	10.5077	-
Half Yearly Dividend Option	11.3244	10.4866	-
Quarterly Dividend Option	11.3244	10.4866	-
Direct Half Yearly Dividend Option	11.383	10.5077	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.33%	0.30%	-

ICI Prudential Fixed Maturity Plan - Series 83 - 1101 Days Plan Z	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	11-Sep-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.6322	-	-
Growth Option	10.6109	-	-

Direct Quarterly Dividend Option	10.6314	-	-
Half Yearly Dividend Option	10.6109	-	-
Quarterly Dividend Option	10.6109	-	-
Direct Half Yearly Dividend Option	10.6322	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	323.83	296.06	-
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	11.6351	10.6322	-
Growth Option	11.5729	10.6109	-
Direct Quarterly Dividend Option	11.6345	10.6314	-
Half Yearly Dividend Option	11.5732	10.6109	-
Quarterly Dividend Option	11.5729	10.6109	-
Direct Half Yearly Dividend Option	11.6351	10.6322	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.09%	0.09%	-

<b>CICI Prudential Fixed Maturity Plan – Series 83 – 1103 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	22-Jun-18		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.7921	-	-
Growth Option	10.7879	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	239.33	219.00	-
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	11.7943	10.7921	-
Growth Option	11.7854	10.7879	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.05%	0.04%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan A</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-May-18		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.8799	-	-
Growth Option	10.8451	-	-
Direct Quarterly Dividend Option	10.8799	-	-
Half Yearly Dividend Option	10.8447	-	-
Quarterly Dividend Option	10.8451	-	-
Direct Half Yearly Dividend Option	10.8799	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	186.36	171.16	-
<b>NAV at the end of the period (`)</b>			

Direct Growth Option	11.8529	10.8799	-
Growth Option	11.7788	10.8451	-
Direct Quarterly Dividend Option	11.8529	10.8799	-
Half Yearly Dividend Option	11.7788	10.8447	-
Quarterly Dividend Option	11.7788	10.8451	-
Direct Half Yearly Dividend Option	11.8529	10.8799	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.11%	0.10%	-

CICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan N	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	08-Jun-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.8369	-	-
Growth Option	10.8081	-	-
Half Yearly Dividend Option	10.8070	-	-
Quarterly Dividend Option	10.8081	-	-
Direct Half Yearly Dividend Option	10.8369	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	289.82	265.75	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8241	10.8369	-
Growth Option	11.7558	10.8081	-
Half Yearly Dividend Option	11.7511	10.8070	-
Quarterly Dividend Option	11.7558	10.8081	-
Direct Half Yearly Dividend Option	11.8241	10.8369	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.09%	0.08%	-

CICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan N	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Jul-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.5642	-	-
Growth Option	10.5395	-	-
Half Yearly Dividend Option	10.5395	-	-
Quarterly Dividend Option	10.5395	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	60.30	55.69	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.4625	10.5642	-
Growth Option	11.4028	10.5395	-
Half Yearly Dividend Option	11.4028	10.5395	-
Quarterly Dividend Option	11.4028	10.5395	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.25%	0.26%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1107 Days Plan C</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	12-Jul-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.7258	-	-
Growth Option	10.7006	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	249.36	228.43	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.7118	10.7258	-
Growth Option	11.6595	10.7006	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.07%	-

<b>ICICI Prudential Fixed Maturity Plan Series 83 - 1108 Days Plan H</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	12-Jun-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.8550	-	-
Growth Option	10.8266	-	-
Direct Quarterly Dividend Option	10.8549	-	-
Half Yearly Dividend Option	10.8266	-	-
Direct Half Yearly Dividend Option	10.8549	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	178.05	162.98	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.8631	10.8550	-
Growth Option	11.7944	10.8266	-
Direct Quarterly Dividend Option	11.863	10.8549	-
Half Yearly Dividend Option	11.7944	10.8266	-
Direct Half Yearly Dividend Option	11.8631	10.8549	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.11%	0.07%	-

<b>ICICI Prudential Fixed Maturity Plan Series 83 - 1110 Days Plan T</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Aug-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.5492	-	-
Growth Option	10.5294	-	-
Quarterly Dividend Option	10.5294	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			

<b>Net Assets end of period ( ` in Crore)</b>	41.46	38.28	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.449	10.5492	-
Growth Option	11.3943	10.5294	-
Quarterly Dividend Option	11.3943	10.5294	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.27%	0.29%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1111 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	23-Aug-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.6550	-	-
Growth Option	10.6405	-	-
Half Yearly Dividend Option	10.6405	-	-
Quarterly Dividend Option	10.6405	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	78.61	71.91	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.6524	10.6550	-
Growth Option	11.6122	10.6405	-
Half Yearly Dividend Option	11.6122	10.6405	-
Quarterly Dividend Option	11.6122	10.6405	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.09%	0.08%	-

<b>ICICI Prudential Fixed Maturity Plan Series 83 - 1113 Days Plan E</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	14-Jun-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.6870	-	-
Growth Option	10.6617	-	-
Direct Quarterly Dividend Option	10.6869	-	-
Half Yearly Dividend Option	10.6652	-	-
Quarterly Dividend Option	10.6617	-	-
Direct Half Yearly Dividend Option	10.6870	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	107.80	99.58	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.5903	10.6870	-
Growth Option	11.5292	10.6617	-
Direct Quarterly Dividend Option	11.5902	10.6869	-
Half Yearly Dividend Option	11.5318	10.6652	-
Quarterly Dividend Option	11.5292	10.6617	-
Direct Half Yearly Dividend Option	11.5901	10.6870	-

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.27%	0.26%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1392 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	20-Jun-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.9032	-	-
Growth Option	10.8713	-	-
Direct Quarterly Dividend Option	10.9045	-	-
Half Yearly Dividend Option	10.8713	-	-
Quarterly Dividend Option	10.8712	-	-
Direct Half Yearly Dividend Option	10.9032	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	98.13	89.15	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.0062	10.9032	-
Growth Option	11.9389	10.8713	-
Direct Quarterly Dividend Option	12.006	10.9045	-
Half Yearly Dividend Option	11.9389	10.8713	-
Quarterly Dividend Option	11.9389	10.8712	-
Direct Half Yearly Dividend Option	12.0061	10.9032	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.09%	0.08%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1406 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	31-May-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.9428	-	-
Growth Option	10.9084	-	-
Half Yearly Dividend Option	10.9082	-	-
Quarterly Dividend Option	10.9084	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	644.02	584.61	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.0566	10.9428	-
Growth Option	11.9832	10.9084	-
Half Yearly Dividend Option	11.983	10.9082	-
Quarterly Dividend Option	11.9832	10.9084	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.05%	-

<b>CICI Prudential Fixed Maturity Plan - Series 83 - 1412 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-May-18		



<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.9329	-	-
Growth Option	10.8979	-	-
Direct Quarterly Dividend Option	10.9329	-	-
Quarterly Dividend Option	10.8979	-	-
Direct Half Yearly Dividend Option	10.9328	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	537.61	488.03	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.0487	10.9329	-
Growth Option	11.9773	10.8979	-
Direct Quarterly Dividend Option	12.0487	10.9329	-
Quarterly Dividend Option	11.9773	10.8979	-
Direct Half Yearly Dividend Option	12.0487	10.9328	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.09%	0.08%	-

ICICI Prudential Fixed Maturity Plan Series 83 - 1735 Days Plan P	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	19-Jul-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.7735	-	-
Growth Option	10.7585	-	-
Direct Quarterly Dividend Option	10.7724	-	-
Half Yearly Dividend Option	10.7586	-	-
Quarterly Dividend Option	10.7586	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	28.14	25.30	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	12.0012	10.7735	-
Growth Option	11.9611	10.7585	-
Direct Quarterly Dividend Option	12.0002	10.7724	-
Half Yearly Dividend Option	11.9611	10.7586	-
Quarterly Dividend Option	11.9611	10.7586	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.22%	0.20%	-

ICICI Prudential Fixed Maturity Plan Series 84 - 1101 Days Plan A	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	06-Sep-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.6413	-	-
Growth Option	10.6234	-	-
Direct Quarterly Dividend Option	10.6404	-	-
Quarterly Dividend Option	10.6234	-	-

Direct Half Yearly Dividend Option	10.6413	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	56.59	51.85	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.6212	10.6413	-
Growth Option	11.5731	10.6234	-
Direct Quarterly Dividend Option	11.6198	10.6404	-
Quarterly Dividend Option	11.5731	10.6234	-
Direct Half Yearly Dividend Option	11.6212	10.6413	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.13%	0.11%	-

CICI Prudential Fixed Maturity Plan - Series 84 - 1103 Days Plan ( ` )	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Sep-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.5578	-	-
Growth Option	10.5426	-	-
Direct Quarterly Dividend Option	10.5578	-	-
Half Yearly Dividend Option	10.5426	-	-
Quarterly Dividend Option	10.5426	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	71.95	65.50	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.6252	10.5578	-
Growth Option	11.5729	10.5426	-
Direct Quarterly Dividend Option	11.6252	10.5578	-
Half Yearly Dividend Option	11.5729	10.5426	-
Quarterly Dividend Option	11.5729	10.5426	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.26%	0.27%	-

CICI Prudential Fixed Maturity Plan – Series 84 – 1188 Days Plan ( ` )	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	16-Jan-19		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.2511	-	-
Growth Option	10.2430	-	-
Half Yearly Dividend Option	10.2430	-	-
Quarterly Dividend Option	10.2430	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	239.11	217.75	-
<b>NAV at the end of the period ( ` )</b>			

Direct Growth Option	11.2742	10.2511	-
Growth Option	11.2219	10.2430	-
Half Yearly Dividend Option	11.222	10.2430	-
Quarterly Dividend Option	11.222	10.2430	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.25%	0.26%	-

<b>CICI Prudential Fixed Maturity Plan - Series 84 - 1204 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	17-Jan-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.2506	-	-
Growth Option	10.2456	-	-
Half Yearly Dividend Option	10.2455	-	-
Quarterly Dividend Option	10.2456	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	27.30	24.83	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.2778	10.2506	-
Growth Option	11.2439	10.2456	-
Half Yearly Dividend Option	11.2438	10.2455	-
Quarterly Dividend Option	11.2439	10.2456	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.11%	0.11%	-

<b>CICI Prudential Fixed Maturity Plan - Series 84 - 1224 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Dec-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.3680	-	-
Growth Option	10.3605	-	-
Half Yearly Dividend Option	10.3605	-	-
Quarterly Dividend Option	10.3605	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	48.67	44.28	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.4121	10.3680	-
Growth Option	11.3751	10.3605	-
Half Yearly Dividend Option	11.3751	10.3605	-
Quarterly Dividend Option	11.3752	10.3605	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.19%	0.19%	-

<b>CICI Prudential Fixed Maturity Plan - Series 84 - 1235 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	24-Dec-18		

<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.2642	-	-
Growth Option	10.2535	-	-
Direct Quarterly Dividend Option	10.2641	-	-
Half Yearly Dividend Option	10.2534	-	-
Quarterly Dividend Option	10.2535	-	-
Direct Half Yearly Dividend Option	10.2636	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	26.67	24.21	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.3281	10.2642	-
Growth Option	11.2819	10.2535	-
Direct Quarterly Dividend Option	11.328	10.2641	-
Half Yearly Dividend Option	11.2817	10.2534	-
Quarterly Dividend Option	11.2819	10.2535	-
Direct Half Yearly Dividend Option	11.3265	10.2636	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.24%	0.28%	-

CICI Prudential Fixed Maturity Plan - Series 84 - 1245 Days Plan N	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Nov-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.5139	-	-
Growth Option	10.5042	-	-
Direct Quarterly Dividend Option	10.5139	-	-
Quarterly Dividend Option	10.5042	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	348.69	316.49	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.5856	10.5139	-
Growth Option	11.5459	10.5042	-
Direct Quarterly Dividend Option	11.5856	10.5139	-
Quarterly Dividend Option	11.5459	10.5042	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.07%	-

CICI Prudential Fixed Maturity Plan – Series 84 - 1247 Days Plan N	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	06-Nov-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.5325	-	-
Growth Option	10.5229	-	-
Half Yearly Dividend Option	10.5228	-	-
Quarterly Dividend Option	10.5229	-	-

<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	47.25	42.99	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.5875	10.5325	-
Growth Option	11.5477	10.5229	-
Half Yearly Dividend Option	11.5476	10.5228	-
Quarterly Dividend Option	11.5477	10.5229	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.15%	0.15%	-

<b>ICICI Prudential FMP - Series 84 - 1247 Days Plan W</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	10-Jan-19		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.2734	-	-
Growth Option	10.2679	-	-
Direct Quarterly Dividend Option	10.2734	-	-
Half Yearly Dividend Option	10.2679	-	-
Quarterly Dividend Option	10.2679	-	-
Direct Half Yearly Dividend Option	10.2734	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	43.73	39.72	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.3241	10.2734	-
Growth Option	11.2891	10.2679	-
Direct Quarterly Dividend Option	11.3241	10.2734	-
Half Yearly Dividend Option	11.2891	10.2679	-
Quarterly Dividend Option	11.2891	10.2679	-
Direct Half Yearly Dividend Option	11.3241	10.2734	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.16%	0.16%	-

<b>ICICI Prudential Fixed Maturity Plan Series 84 - 1254 Days Plan U</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Dec-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.2989	-	-
Growth Option	10.2924	-	-
Half Yearly Dividend Option	10.2924	-	-
Quarterly Dividend Option	10.2924	-	-
Direct Half Yearly Dividend Option	10.2989	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	37.44	34.05	-

<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.3373	10.2989	-
Growth Option	11.3017	10.2924	-
Half Yearly Dividend Option	11.2972	10.2924	-
Quarterly Dividend Option	11.3016	10.2924	-
Direct Half Yearly Dividend Option	11.3373	10.2989	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.17%	0.17%	-

<b>CICI Prudential Fixed Maturity Plan – Series 84 – 1272 Days Plan K</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	04-Dec-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.3862	-	-
Growth Option	10.3781	-	-
Half Yearly Dividend Option	10.3781	-	-
Quarterly Dividend Option	10.3781	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	169.35	153.68	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.4461	10.3862	-
Growth Option	11.4084	10.3781	-
Half Yearly Dividend Option	11.4084	10.3781	-
Quarterly Dividend Option	11.4084	10.3781	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.05%	0.05%	-

<b>ICICI Prudential Fixed Maturity Plan Series 84 - 1275 Days Plan K</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Oct-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.6010	-	-
Growth Option	10.5869	-	-
Half Yearly Dividend Option	10.5869	-	-
Quarterly Dividend Option	10.5869	-	-
Direct Half Yearly Dividend Option	10.6010	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	85.00	77.39	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.6617	10.6010	-
Growth Option	11.6115	10.5869	-
Half Yearly Dividend Option	11.6115	10.5869	-
Quarterly Dividend Option	11.6115	10.5869	-
Direct Half Yearly Dividend Option	11.6617	10.6010	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.21%	0.22%	-

ICICI Prudential Fixed Maturity Plan Series 84 - 1279 Days Plan P	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	29-Nov-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.4177	-	-
Growth Option	10.4091	-	-
Half Yearly Dividend Option	10.4096	-	-
Quarterly Dividend Option	10.4091	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>			
	581.89	527.81	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.4858	10.4177	-
Growth Option	11.4475	10.4091	-
Half Yearly Dividend Option	11.4484	10.4096	-
Quarterly Dividend Option	11.4475	10.4091	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>			
	0.05%	0.05%	-

ICICI Prudential Fixed Maturity Plan - Series 84 - 1286 Days Plan P	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	09-Oct-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.6411	-	-
Growth Option	10.6274	-	-
Direct Quarterly Dividend Option	10.6411	-	-
Quarterly Dividend Option	10.6274	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>			
	52.42	47.76	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.6936	10.6411	-
Growth Option	11.6507	10.6274	-
Direct Quarterly Dividend Option	11.6936	10.6411	-
Quarterly Dividend Option	11.6507	10.6274	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>			
	0.17%	0.21%	-

ICICI Prudential Fixed Maturity Plan - Series 84 - 1287 Days Plan P	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	24-Oct-18		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.4735	-	-
Growth Option	10.4595	-	-
Half Yearly Dividend Option	10.4594	-	-
Quarterly Dividend Option	10.4595	-	-

<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	23.16	20.98	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.5822	10.4735	-
Growth Option	11.5316	10.4595	-
Half Yearly Dividend Option	11.5314	10.4594	-
Quarterly Dividend Option	11.5315	10.4595	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.27%	0.30%	-

<b>CICI Prudential Fixed Maturity Plan - Series 84 - 1288 Days Plan B</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	04-Oct-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.6501	-	-
Growth Option	10.6386	-	-
Half Yearly Dividend Option	10.6386	-	-
Quarterly Dividend Option	10.6386	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	47.43	43.18	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.7114	10.6501	-
Growth Option	11.6715	10.6386	-
Half Yearly Dividend Option	11.6715	10.6386	-
Quarterly Dividend Option	11.6714	10.6386	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.18%	0.17%	-

<b>ICICI Prudential Fixed Maturity Plan Series 84 - 1288 Days Plan O</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	20-Nov-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.4853	-	-
Growth Option	10.4818	-	-
Direct Quarterly Dividend Option	10.4853	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	195.51	177.29	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.5643	10.4853	-
Growth Option	11.5488	10.4818	-
Direct Quarterly Dividend Option	11.5643	10.4853	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.07%	-



CICI Prudential Fixed Maturity Plan - Series 84 - 1293 Days Plan I	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Sep-18		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.7189	-	-
Growth Option	10.6993	-	-
Half Yearly Dividend Option	10.6983	-	-
Quarterly Dividend Option	10.6993	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>			
	209.46	190.51	-
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	11.7898	10.7189	-
Growth Option	11.7346	10.6993	-
Half Yearly Dividend Option	11.7339	10.6983	-
Quarterly Dividend Option	11.7346	10.6993	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>			
	0.08%	0.08%	-

ICICI Prudential Fixed Maturity Plan – Series 85 – 10 Years Plan I	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Mar-19		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.1587	-	-
Growth Option	10.1575	-	-
Quarterly Dividend Option	10.1575	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>			
	316.83	278.54	-
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	11.5553	10.1587	-
Growth Option	11.5251	10.1575	-
Quarterly Dividend Option	11.5251	10.1575	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>			
	0.10%	0.10%	-

CICI Prudential Fixed Maturity Plan – Series 85 – 1127 Days Plan I	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	26-Mar-19		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.0044	-	-
Growth Option	10.0042	-	-
Half Yearly Dividend Option	10.0042	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			

<b>Net Assets end of period ( ` in Crore)</b>	40.45	36.81	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.0009	10.0044	-
Growth Option	10.973	10.0042	-
Half Yearly Dividend Option	10.973	10.0042	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.14%	0.12%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1129 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Mar-19		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.0021	-	-
Growth Option	10.0020	-	-
Quarterly Dividend Option	10.0020	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	229.37	208.71	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	10.9924	10.0021	-
Growth Option	10.9813	10.0020	-
Quarterly Dividend Option	10.9813	10.0020	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.06%	0.08%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1140 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	28-Mar-19		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.0025	-	-
Growth Option	10.0024	-	-
Quarterly Dividend Option	10.0024	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	83.08	75.54	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	11.0153	10.0025	-
Growth Option	10.9931	10.0024	-
Quarterly Dividend Option	10.9931	10.0024	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.24%	0.22%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1143 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Mar-19		
<b>NAV at the beginning of the period ( `)</b>			

Direct Growth Option	10.0389	-	-
Growth Option	10.0385	-	-
Direct Quarterly Dividend Option	10.0389	-	-
Half Yearly Dividend Option	10.0385	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	67.64	61.44	-
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	11.0518	10.0389	-
Growth Option	11.0402	10.0385	-
Direct Quarterly Dividend Option	11.0518	10.0389	-
Half Yearly Dividend Option	11.0402	10.0385	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.08%	0.10%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1156 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Feb-19		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.1229	-	-
Growth Option	10.1204	-	-
Half Yearly Dividend Option	10.1204	-	-
Quarterly Dividend Option	10.1204	-	-
Direct Half Yearly Dividend Option	10.1229	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	32.43	29.48	-
<b>NAV at the end of the period (`)</b>			
Direct Growth Option	11.1566	10.1229	-
Growth Option	11.1202	10.1204	-
Half Yearly Dividend Option	11.1202	10.1204	-
Quarterly Dividend Option	11.1202	10.1204	-
Direct Half Yearly Dividend Option	11.1566	10.1229	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.29%	0.29%	-

<b>CICI Prudential Fixed Maturity Plan - Series 85 - 1156 Days Plan C</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	07-Mar-19		
<b>NAV at the beginning of the period (`)</b>			
Direct Growth Option	10.0987	-	-
Growth Option	10.0981	-	-
Half Yearly Dividend Option	10.0981	-	-
Quarterly Dividend Option	10.0980	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (` in Crore)</b>	84.05	76.29	-

<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.1285	10.0987	-
Growth Option	11.1166	10.0981	-
Half Yearly Dividend Option	11.1166	10.0981	-
Quarterly Dividend Option	11.1166	10.0980	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.07%	0.07%	-

<b>CICI Prudential Fixed Maturity Plan - Series 85 - 1168 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	21-Feb-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.1441	-	-
Growth Option	10.1435	-	-
Direct Quarterly Dividend Option	10.1441	-	-
Quarterly Dividend Option	10.1435	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	75.56	68.64	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.1697	10.1441	-
Growth Option	11.1636	10.1435	-
Direct Quarterly Dividend Option	11.1697	10.1441	-
Quarterly Dividend Option	11.1636	10.1435	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.08%	0.08%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1175 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Feb-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.1651	-	-
Growth Option	10.1614	-	-
Direct Quarterly Dividend Option	10.1651	-	-
Half Yearly Dividend Option	10.1614	-	-
Quarterly Dividend Option	10.1614	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	68.88	62.56	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.2004	10.1651	-
Growth Option	11.1677	10.1614	-
Direct Quarterly Dividend Option	11.2004	10.1651	-
Half Yearly Dividend Option	11.1677	10.1614	-
Quarterly Dividend Option	11.1677	10.1614	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.12%	0.13%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1178 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	30-Jan-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.2195	-	-
Growth Option	10.2138	-	-
Direct Quarterly Dividend Option	10.2194	-	-
Half Yearly Dividend Option	10.2138	-	-
Quarterly Dividend Option	10.2139	-	-
Direct Half Yearly Dividend Option	10.2195	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	65.76	59.80	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.2562	10.2195	-
Growth Option	11.2127	10.2138	-
Direct Quarterly Dividend Option	11.256	10.2194	-
Half Yearly Dividend Option	11.2127	10.2138	-
Quarterly Dividend Option	11.2133	10.2139	-
Direct Half Yearly Dividend Option	11.2562	10.2195	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.22%	0.23%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1185 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	18-Feb-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.1449	-	-
Growth Option	10.1417	-	-
Half Yearly Dividend Option	10.1417	-	-
Quarterly Dividend Option	10.1417	-	-
Direct Half Yearly Dividend Option	10.1449	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	47.18	42.75	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.2219	10.1449	-
Growth Option	11.1845	10.1417	-
Half Yearly Dividend Option	11.1844	10.1417	-
Quarterly Dividend Option	11.1845	10.1417	-
Direct Half Yearly Dividend Option	11.2219	10.1449	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.28%	0.28%	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1197 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Jan-19		

<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.2462	-	-
Growth Option	10.2400	-	-
Direct Quarterly Dividend Option	10.2462	-	-
Half Yearly Dividend Option	10.2400	-	-
Quarterly Dividend Option	10.2400	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	50.83	46.19	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	11.2857	10.2462	-
Growth Option	11.2491	10.2400	-
Direct Quarterly Dividend Option	11.2857	10.2462	-
Half Yearly Dividend Option	11.2491	10.2400	-
Quarterly Dividend Option	11.2491	10.2400	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.14%	0.17%	-

<b>ICICI PRUDENTIAL INDIA OPPORTUNITIES FUND</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Jan-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.85	-	-
Direct Dividend Option	10.85	-	-
Dividend Option	10.81	-	-
Growth Option	10.81	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	1,954.87	1,543.30	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	7.3	10.85	-
Direct Dividend Option	7.3	10.85	-
Dividend Option	7.15	10.81	-
Growth Option	7.15	10.81	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	1.90%	2.13%	-

<b>ICICI Prudential Liquid ETF</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Sep-18		
<b>NAV at the beginning of the period (₹)</b>			
Daily Dividend Option	1,000.0000	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Daily Dividend Option	46.6789	30.4720	-
<b>Net Assets end of period (₹ in Crore)</b>	33.80	106.64	-

<b>NAV at the end of the period (₹)</b>			
Daily Dividend Option	1000	1,000.0000	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.49%	0.50%	-

ICICI Prudential Manufacture in India Fund	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	11-Oct-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.61	-	-
Direct Dividend Option	10.61	-	-
Dividend Option	10.53	-	-
Growth Option	10.53	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	592.71	1,243.73	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	7.39	10.61	-
Direct Dividend Option	7.39	10.61	-
Dividend Option	7.22	10.53	-
Growth Option	7.21	10.53	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.05%	2.24%	-

ICICI Prudential Nifty Next 50 ETF	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	23-Aug-18		
<b>NAV at the beginning of the period (₹)</b>			
Growth Option	28.33	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	28.92	3.44	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	21.32	28.33	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.15%	0.21%	-

ICICI Prudential Overnight Fund	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Nov-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	102.3400	-	-
Growth Option	102.3022	-	-
Quarterly Dividend Option	100.2499	-	-
Direct Half Yearly Dividend Option	101.7231	-	-
Daily Dividend Option	100.0005	-	-

Weekly Dividend Option	100.0682	-	-
Direct Daily Dividend Option	100.0005	-	-
Direct Weekly Dividend Option	100.0690	-	-
Direct Fortnightly Dividend Option	100.0194	-	-
Direct Monthly Dividend Option	100.0195	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
Quarterly Dividend Option	4.8092	-	-
Direct Half Yearly Dividend Option	5.2032	-	-
Daily Dividend Option	5.0502	2.2603	-
Weekly Dividend Option	5.1186	0.8808	-
Direct Daily Dividend Option	5.1507	2.3129	-
Direct Weekly Dividend Option	4.6487	1.4471	-
Direct Fortnightly Dividend Option	5.1735	0.4681	-
Direct Monthly Dividend Option	5.171	2.1606	-
Direct Annual Dividend	1.528	-	-
Annual Dividend	1.5236	-	-
Monthly Dividend	4.5493	-	-
<b>Net Assets end of period ( ` in Crore)</b>	10,321.14	816.90	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	107.7488	102.3400	-
Growth Option	107.6006	102.3022	-
Quarterly Dividend Option	100.5212	100.2499	-
Direct Half Yearly Dividend Option	101.736	101.7231	-
Daily Dividend Option	100.0005	100.0005	-
Weekly Dividend Option	100.0021	100.0682	-
Direct Daily Dividend Option	100.0005	100.0005	-
Direct Weekly Dividend Option	100.0024	100.0690	-
Direct Fortnightly Dividend Option	100.0024	100.0194	-
Direct Monthly Dividend Option	100.0024	100.0195	-
Direct Annual Dividend	101.7371	-	-
Annual Dividend	101.7004	-	-
Monthly Dividend	100.0021	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.12%	0.10%	-

ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Jul-18		
<b>NAV at the beginning of the period ( `)</b>			
Direct Growth Option	10.26	-	-
Direct Dividend Option	10.26	-	-
Dividend Option	10.14	-	-
Growth Option	10.14	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	1,073.47	1,498.32	-
<b>NAV at the end of the period ( `)</b>			
Direct Growth Option	9.79	10.26	-



Direct Dividend Option	9.79	10.26	-
Dividend Option	9.54	10.14	-
Growth Option	9.54	10.14	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.01%	2.19%	-

ICICI Prudential Retirement Fund - Hybrid – Aggressive Plan	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Feb-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.38	-	-
Direct Dividend Option	10.38	-	-
Dividend Option	10.36	-	-
Growth Option	10.36	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	65.54	56.57	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	8.54	10.38	-
Direct Dividend Option	8.54	10.38	-
Dividend Option	8.36	10.36	-
Growth Option	8.36	10.36	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.35%	2.72%	-

ICICI Prudential Retirement Fund - Hybrid – Conservative Plan	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Feb-19		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	10.2106	-	-
Direct Dividend Option	10.2106	-	-
Dividend Option	10.1934	-	-
Growth Option	10.1935	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	62.06	60.37	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	10.3566	10.2106	-
Direct Dividend Option	10.3565	10.2106	-
Dividend Option	10.1541	10.1934	-
Growth Option	10.1541	10.1935	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.00%	2.44%	-

ICICI Prudential Retirement Fund - Pure Debt Plan	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Feb-19		

<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.1445	-	-
Direct Dividend Option	10.1445	-	-
Dividend Option	10.1276	-	-
Growth Option	10.1275	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	353.89	131.00	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	11.3802	10.1445	-
Direct Dividend Option	11.3802	10.1445	-
Dividend Option	11.1522	10.1276	-
Growth Option	11.152	10.1275	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.00%	2.38%	-

ICICI Prudential Retirement Fund - Pure Equity Plan	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	27-Feb-19		
<b>NAV at the beginning of the period ( ` )</b>			
Direct Growth Option	10.43	-	-
Direct Dividend Option	10.43	-	-
Dividend Option	10.41	-	-
Growth Option	10.41	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	49.67	45.40	-
<b>NAV at the end of the period ( ` )</b>			
Direct Growth Option	7.62	10.43	-
Direct Dividend Option	7.62	10.43	-
Dividend Option	7.44	10.41	-
Growth Option	7.44	10.41	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.46%	2.71%	-

ICICI Prudential S&P BSE 500 ETF	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	09-May-18		
<b>NAV at the beginning of the period ( ` )</b>			
Growth Option	154.47	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	69.35	4.21	-
<b>NAV at the end of the period ( ` )</b>			
Growth Option	113.63	154.47	-

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.29%	0.26%	-
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<b>ICICI Prudential BHARAT 22 FOF</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	29-Jun-18		
<b>NAV at the beginning of the period (₹)</b>			
Direct Growth Option	11.2148	-	-
Growth Option	11.2148	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	12.97	17.74	-
<b>NAV at the end of the period (₹)</b>			
Direct Growth Option	6.7905	11.2148	-
Growth Option	6.7905	11.2148	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.01%	0.01%	-

<b>ICICI Prudential Bank ETF</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	10-Jul-19		
<b>NAV at the beginning of the period (₹)</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	532.32	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	190.43	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.16%	-	-

<b>ICICI Prudential Bharat Consumption Fund</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	12-Apr-19		
<b>NAV at the beginning of the period (₹)</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	389.80	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	8.40	-	-
Direct Dividend Option	8.53	-	-
Direct Growth Option	8.53	-	-
Dividend Option	8.40	-	-

<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.53%	-	-

<b>CICI Prudential Fixed Maturity Plan – Series 85 – 1127 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	29-Mar-19		
NAV at the beginning of the period (₹)	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	98.57	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	10.9597	-	-
Direct Growth Option	10.9817	-	-
Half Yearly Dividend Option	10.9599	-	-
Quarterly Dividend Option	10.9597	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.14%	-	-

<b>CICI Prudential Fixed Maturity Plan – Series 86 – 1099 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	26-Apr-19		
NAV at the beginning of the period (₹)	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	37.95	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	10.9734	-	-
Direct Growth Option	10.9989	-	-
Half Yearly Dividend Option	10.9681	-	-
Quarterly Dividend Option	10.9734	-	-
Direct Half Yearly Dividend Option	10.9989	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.19%	-	-

<b>ICICI PRUDENTIAL MNC FUND</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	17-Jun-19		
NAV at the beginning of the period (₹)	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	478.09	-	-

<b>NAV at the end of the period (₹)</b>			
Growth Option	8.14	-	-
Direct Dividend Option	8.23	-	-
Direct Growth Option	8.23	-	-
Dividend Option	8.14	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.51%	-	-

ICICI Prudential Private Banks ETF	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	09-Aug-19		
<b>NAV at the beginning of the period (₹)</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	538.63	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	100.39	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.16%	-	-

ICICI Prudential Commodities Fund	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	15-Oct-19		
<b>NAV at the beginning of the period (₹)</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	20.59	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	6.74	-	-
Direct Dividend Option	6.78	-	-
Direct Growth Option	6.78	-	-
Dividend Option	6.74	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	2.10%	-	-

ICICI Prudential Fixed Maturity Plan – Series 87 – 1141 Days Plan C	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	13-Mar-20		
<b>NAV at the beginning of the period (₹)</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			

<b>Net Assets end of period ( ` in Crore)</b>	165.81	-	-
<b>NAV at the end of the period ( ` )</b>			
Growth Option	10.1593	-	-
Direct Growth Option	10.1598	-	-
Half Yearly Dividend Option	10.1593	-	-
Quarterly Dividend Option	10.1593	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.25%	-	-

<b>CICI Prudential Fixed Maturity Plan – Series 87 – 1174 Days Plan</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	30-Jan-20		
<b>NAV at the beginning of the period ( ` )</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	40.82	-	-
<b>NAV at the end of the period ( ` )</b>			
Growth Option	10.1183	-	-
Direct Growth Option	10.1209	-	-
Half Yearly Dividend Option	10.1183	-	-
Quarterly Dividend Option	10.1183	-	-
Direct Half Yearly Dividend Option	10.1209	-	-
Direct Quarterly Dividend Option	10.1209	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.08%	-	-

<b>CICI Prudential Fixed Maturity Plan - Series 87 - 1214 Days Plan A</b>	<b>FY-2019-2020</b>	<b>FY-2018-2019</b>	<b>FY-2017-2018</b>
<b>Historical Per Unit Statistics</b>			
Date of Allotment	31-Dec-19		
<b>NAV at the beginning of the period ( ` )</b>	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period ( ` in Crore)</b>	21.30	-	-
<b>NAV at the end of the period ( ` )</b>			
Growth Option	10.2381	-	-
Direct Growth Option	10.2419	-	-
Half Yearly Dividend Option	10.2381	-	-
Quarterly Dividend Option	10.2381	-	-
Direct Half Yearly Dividend Option	10.2419	-	-
Direct Quarterly Dividend Option	10.2419	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.16%	-	-

ICICI Prudential India Equity FOF	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	25-Feb-20		
NAV at the beginning of the period (₹)	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	17.27	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	7.4628	-	-
Direct Dividend Option	7.4676	-	-
Direct Growth Option	7.4676	-	-
Dividend Option	7.4628	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.40%	-	-

ICICI Prudential Midcap 150 ETF	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	24-Jan-20		
NAV at the beginning of the period (₹)	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	20.37	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	45.49	-	-
<b>Ratio of Recurring Expenses to Net Assets for the Scheme</b>	0.27%	-	-

ICICI Prudential Global Advantage Fund (FOF)	FY-2019-2020	FY-2018-2019	FY-2017-2018
<b>Historical Per Unit Statistics</b>			
Date of Allotment	07-Oct-19		
NAV at the beginning of the period (₹)	Scheme Launched during the Year	-	-
<b>Dividends (inclusive of distribution tax if, any)</b>			
<b>Net Assets end of period (₹ in Crore)</b>	21.67	-	-
<b>NAV at the end of the period (₹)</b>			
Growth Option	10.0202	-	-
Direct Dividend Option	10.0446	-	-
Direct Growth Option	10.0446	-	-
Dividend Option	10.0202	-	-

Ratio of Recurring Expenses to Net Assets for the Scheme	0.83%	-	-
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PERFORMANCE OF THE SCHEMES AS ON MARCH 2020

		March 31,2019 to March 31,2020	March 31,2018 to March 31,2019	March 31,2017 to March 31,2018	Inception Date
Scheme Code	Scheme Name	Absolute Returns (%)	Absolute Returns (%)	Absolute Returns (%)	Inception Date
<b>BHACON1</b>	<b>ICICI Prudential Bharat Consumption Fund - Series 1</b>	<b>-19.92</b>	-	-	<b>11-Apr-18</b>
	Nifty India Consumption TRI	-14.88	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.20)	10.24	-	-	
<b>BHACON2</b>	<b>ICICI Prudential Bharat Consumption Fund - Series 2</b>	<b>-17.27</b>	-	-	<b>04-May-18</b>
	Nifty India Consumption TRI	-14.88	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.29)	10.02	-	-	
<b>BHACON3</b>	<b>ICICI Prudential Bharat Consumption Fund - Series 3</b>	<b>-20.17</b>	-	-	<b>17-Jul-18</b>
	Nifty India Consumption TRI	-14.88	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.23)	10.31	-	-	
<b>BHACON4</b>	<b>ICICI Prudential Bharat Consumption Fund - Series 4</b>	<b>-14.83</b>	-	-	<b>20-Aug-18</b>
	Nifty India Consumption TRI	-14.88	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.33)	9.78	-	-	
<b>BHACON5</b>	<b>ICICI Prudential Bharat Consumption Fund - Series 5</b>	<b>-18.49</b>	-	-	<b>28-Nov-18</b>
	Nifty India Consumption TRI	-14.88	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.64)	10.6	-	-	
<b>BHARATETF</b>	<b>BHARAT 22 ETF</b>	<b>-39.73</b>	<b>8.41</b>	-	<b>24-Nov-17</b>
	S&P BSE Bharat 22 TRI	-39.85	8.48	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 22.9888)	38.1408	35.183	-	
<b>BHARATFOF</b>	<b>ICICI Prudential BHARAT 22 FOF</b>	<b>-39.45</b>	-	-	<b>29-Jun-18</b>
	S&P BSE Bharat 22 TRI	-39.85	-	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 6.7905)	11.2148	-	-	
<b>CAPIT14A</b>	<b>ICICI Prudential Capital Protection Oriented Fund - Series XIV - Plan</b>	<b>3.45</b>	-	-	<b>04-Dec-18</b>
	CRISIL Composite Bond Fund Index (85%) + Nifty 50 TRI (15%)	6.48	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 10.8581)	10.4964	-	-	
<b>CAPITA12A</b>	<b>ICICI Prudential Capital Protection Oriented Fund - Series XII - Plan</b>	<b>3.91</b>	<b>7.67</b>	-	<b>26-May-17</b>
	CRISIL Composite Bond Fund Index (85%) + Nifty 50 TRI (15%)	6.48	8.25	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5100)	11.0768	10.2881	-	
<b>CAPITA12C</b>	<b>ICICI Prudential Capital Protection Oriented Fund - Series XII - Plan</b>	<b>4.62</b>	<b>5.55</b>	-	<b>10-Oct-17</b>
	CRISIL Composite Bond Fund Index (85%) + Nifty 50 TRI (15%)	6.48	8.25	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1864)	10.6924	10.13	-	
<b>F811100O</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1100 Days Plan O</b>	<b>9.71</b>	<b>6.48</b>	-	<b>14-Jul-17</b>
	CRISIL Short Term Bond Fund Index	9.86	7.57	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.2031)	11.1235	10.4466	-	
<b>F811100R</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1100 Days Plan R</b>	<b>9.44</b>	<b>7.19</b>	-	<b>16-Aug-17</b>
	CRISIL Short Term Bond Fund Index	9.86	7.57	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.1710)	11.1213	10.375	-	
<b>F811100W</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1100 Days Plan W</b>	<b>9.28</b>	<b>7.78</b>	-	<b>12-Oct-17</b>
	CRISIL Short Term Bond Fund Index	9.86	7.57	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.0782)	11.0526	10.2547	-	
<b>F811101C</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1101 Days Plan C</b>	<b>9.31</b>	<b>6.77</b>	-	<b>28-Apr-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.3890)	11.3341	10.6156	-	
<b>F811101E</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1101 Days Plan E</b>	<b>9.31</b>	<b>6.84</b>	-	<b>12-May-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.3692)	11.3161	10.5912	-	
<b>F811116I</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1116 Days Plan I</b>	<b>8.39</b>	<b>7.08</b>	-	<b>11-May-17</b>

	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.3756)	11.4174	10.6625	-	
<b>F811150K</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1150 Days Plan K</b>	<b>7.83</b>	<b>7.62</b>	-	<b>21-Jun-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.1030)	11.2238	10.4289	-	
<b>F811154J</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1154 Days Plan J</b>	<b>7.82</b>	<b>7.42</b>	-	<b>09-Jun-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.1129)	11.2341	10.4583	-	
<b>F811162P</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1162 Days Plan P</b>	<b>8.13</b>	<b>7.68</b>	-	<b>19-Jul-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.0768)	11.1688	10.3721	-	
<b>F811163Q</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1163 Days Plan Q</b>	<b>8.16</b>	<b>7.69</b>	-	<b>31-Jul-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.0382)	11.1297	10.335	-	
<b>F811178H</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1178 Days Plan H</b>	<b>7.97</b>	<b>7.74</b>	-	<b>23-May-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.2300)	11.3269	10.5134	-	
<b>F811185G</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1185 Days Plan G</b>	<b>8.12</b>	<b>7.69</b>	-	<b>12-May-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.2599)	11.3388	10.5289	-	
<b>F811190F</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1190 Days Plan F</b>	<b>8.02</b>	<b>7.70</b>	-	<b>05-May-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.2710)	11.3596	10.5478	-	
<b>F811195D</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1195 Days Plan D</b>	<b>7.95</b>	<b>7.69</b>	-	<b>28-Apr-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 12.2779)	11.3736	10.5617	-	
<b>F811205B</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1205 Days Plan B</b>	<b>7.79</b>	<b>7.70</b>	-	<b>25-Apr-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.2912)	11.4034	10.5878	-	
<b>F811211A</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 81 - 1211 Days Plan A</b>	<b>7.80</b>	<b>7.77</b>	-	<b>18-Apr-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 12.3179)	11.4269	10.6026	-	
<b>F821119X</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1119 Days Plan X</b>	<b>8.77</b>	-	-	<b>13-Apr-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7138)	10.7693	-	-	
<b>F821135S</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan S</b>	<b>8.13</b>	<b>6.47</b>	-	<b>26-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5294)	10.6628	10.0151	-	
<b>F821135U</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan U</b>	<b>8.98</b>	<b>7.53</b>	-	<b>22-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7531)	10.7842	10.0294	-	
<b>F821135V</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan V</b>	<b>7.52</b>	<b>7.11</b>	-	<b>27-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5183)	10.7131	10.0021	-	
<b>F821136P</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1136 Days Plan P</b>	<b>9.13</b>	<b>6.69</b>	-	<b>22-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6714)	10.6953	10.0245	-	
<b>F821141Y</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1141 Days Plan Y</b>	<b>8.82</b>	-	-	<b>27-Apr-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7882)	10.8325	-	-	
<b>F821157J</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1157 Days Plan J</b>	<b>9.65</b>	<b>6.10</b>	-	<b>01-Mar-18</b>

	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7586)	10.7236	10.1066	-	
<b>F821170R</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1170 Days Plan R</b>	<b>8.88</b>	<b>7.49</b>	-	<b>27-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7060)	10.7517	10.0028	-	
<b>F821175Q</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1175 Days Plan Q</b>	<b>8.91</b>	<b>7.32</b>	-	<b>14-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7489)	10.788	10.052	-	
<b>F821185I</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1185 Days Plan I</b>	<b>9.04</b>	<b>7.47</b>	-	<b>06-Feb-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8634)	10.8799	10.1237	-	
<b>F821185M</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1185 Days Plan M</b>	<b>9.15</b>	<b>7.56</b>	-	<b>28-Feb-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8495)	10.8565	10.0937	-	
<b>F821185N</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1185 Days Plan N</b>	<b>8.95</b>	<b>7.47</b>	-	<b>08-Mar-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7896)	10.8209	10.069	-	
<b>F821187F</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1187 Days Plan F</b>	<b>10.26</b>	<b>5.35</b>	-	<b>30-Jan-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8064)	10.7082	10.1641	-	
<b>F821199L</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1199 Days Plan L</b>	<b>8.89</b>	<b>7.55</b>	-	<b>21-Feb-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8465)	10.8792	10.1151	-	
<b>F821203K</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1203 Days Plan K</b>	<b>8.93</b>	<b>7.61</b>	-	<b>15-Feb-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8630)	10.8906	10.1208	-	
<b>F821215H</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1215 Days Plan H</b>	<b>8.92</b>	<b>7.57</b>	-	<b>02-Feb-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8785)	10.9055	10.138	-	
<b>F821217C</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1217 Days Plan C</b>	<b>8.76</b>	<b>7.62</b>	-	<b>04-Jan-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8878)	10.9303	10.1565	-	
<b>F821219D</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1219 Days Plan D</b>	<b>8.81</b>	<b>7.54</b>	-	<b>11-Jan-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8920)	10.929	10.1624	-	
<b>F821223E</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1223 Days Plan E</b>	<b>8.78</b>	<b>7.55</b>	-	<b>18-Jan-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8723)	10.9141	10.1482	-	
<b>F821223G</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1223 Days Plan G</b>	<b>8.85</b>	<b>7.55</b>	-	<b>25-Jan-18</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.8659)	10.901	10.1357	-	
<b>F821225B</b>	<b>ICICI Prudential Fixed Maturity Plan – Series 82 – 1225 Days Plan B</b>	<b>8.80</b>	<b>7.55</b>	-	<b>27-Dec-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.9032)	10.9405	10.1724	-	
<b>F821236A</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 82 - 1236 Days Plan A</b>	<b>9.01</b>	<b>7.52</b>	-	<b>08-Dec-17</b>
	CRISIL Medium Term Debt Index	11.70	6.42	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.9231)	10.9377	10.1727	-	
<b>F831100O</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan O</b>	<b>8.90</b>	-	-	<b>05-Jul-18</b>
	CRISIL Short Term Bond Fund Index	9.86	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6860)	10.7308	-	-	
<b>F831100R</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan R</b>	<b>9.11</b>	-	-	<b>19-Jul-18</b>

	CRISIL Short Term Bond Fund Index	9.86	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6422)	10.6697	-	-	
<b>F831100S</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan S</b>	<b>7.63</b>	-	-	<b>02-Aug-18</b>
	CRISIL Short Term Bond Fund Index	9.86	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.4447)	10.6331	-	-	
<b>F831100X</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1100 Days Plan X</b>	<b>7.99</b>	-	-	<b>31-Aug-18</b>
	CRISIL Short Term Bond Fund Index	9.86	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.3244)	10.4866	-	-	
<b>F831101Z</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1101 Days Plan Z</b>	<b>9.07</b>	-	-	<b>11-Sep-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5729)	10.6109	-	-	
<b>F831103L</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1103 Days Plan L</b>	<b>9.25</b>	-	-	<b>22-Jun-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7854)	10.7879	-	-	
<b>F831105A</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan A</b>	<b>8.61</b>	-	-	<b>25-May-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7788)	10.8451	-	-	
<b>F831105F</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan F</b>	<b>8.77</b>	-	-	<b>08-Jun-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7558)	10.8081	-	-	
<b>F831105M</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan M</b>	<b>8.19</b>	-	-	<b>13-Jul-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.4028)	10.5395	-	-	
<b>F831107Q</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1107 Days Plan Q</b>	<b>8.96</b>	-	-	<b>12-Jul-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6595)	10.7006	-	-	
<b>F831108H</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1108 Days Plan H</b>	<b>8.94</b>	-	-	<b>12-Jun-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7944)	10.8266	-	-	
<b>F831110T</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1110 Days Plan T</b>	<b>8.21</b>	-	-	<b>13-Aug-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.3943)	10.5294	-	-	
<b>F831111Y</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1111 Days Plan Y</b>	<b>9.13</b>	-	-	<b>23-Aug-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6122)	10.6405	-	-	
<b>F831113E</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1113 Days Plan E</b>	<b>8.14</b>	-	-	<b>14-Jun-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5292)	10.6617	-	-	
<b>F831392J</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1392 Days Plan J</b>	<b>9.82</b>	-	-	<b>20-Jun-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.9389)	10.8713	-	-	
<b>F831406D</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1406 Days Plan D</b>	<b>9.85</b>	-	-	<b>31-May-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.9832)	10.9084	-	-	
<b>F831412B</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1412 Days Plan B</b>	<b>9.90</b>	-	-	<b>25-May-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.9773)	10.8979	-	-	
<b>F831735P</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 83 - 1735 Days Plan P</b>	<b>11.18</b>	-	-	<b>19-Jul-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.9611)	10.7585	-	-	
<b>F841101A</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1101 Days Plan A</b>	<b>8.94</b>	-	-	<b>06-Sep-18</b>



	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5731)	10.6234	-	-	
<b>F841103C</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1103 Days Plan C</b>	<b>9.77</b>	-	-	<b>27-Sep-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5729)	10.5426	-	-	
<b>F841188Z</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1188 Days Plan Z</b>	<b>9.56</b>	-	-	<b>16-Jan-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2219)	10.243	-	-	
<b>F841204Y</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1204 Days Plan Y</b>	<b>9.74</b>	-	-	<b>17-Jan-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2439)	10.2456	-	-	
<b>F841224S</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1224 Days Plan S</b>	<b>9.79</b>	-	-	<b>13-Dec-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.3751)	10.3605	-	-	
<b>F841235R</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1235 Days Plan R</b>	<b>10.03</b>	-	-	<b>24-Dec-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2819)	10.2535	-	-	
<b>F841245N</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1245 Days Plan N</b>	<b>9.92</b>	-	-	<b>15-Nov-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5459)	10.5042	-	-	
<b>F841247M</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1247 Days Plan M</b>	<b>9.74</b>	-	-	<b>06-Nov-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5477)	10.5229	-	-	
<b>F841247W</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1247 Days Plan W</b>	<b>9.95</b>	-	-	<b>10-Jan-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2891)	10.2679	-	-	
<b>F841254U</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1254 Days Plan U</b>	<b>9.81</b>	-	-	<b>27-Dec-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.3017)	10.2924	-	-	
<b>F841272Q</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1272 Days Plan Q</b>	<b>9.93</b>	-	-	<b>04-Dec-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.4084)	10.3781	-	-	
<b>F841275K</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1275 Days Plan K</b>	<b>9.68</b>	-	-	<b>25-Oct-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6115)	10.5869	-	-	
<b>F841279P</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1279 Days Plan P</b>	<b>9.98</b>	-	-	<b>29-Nov-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.4475)	10.4091	-	-	
<b>F841286F</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1286 Days Plan F</b>	<b>9.63</b>	-	-	<b>09-Oct-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6507)	10.6274	-	-	
<b>F841287I</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1287 Days Plan I</b>	<b>10.25</b>	-	-	<b>24-Oct-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5316)	10.4595	-	-	
<b>F841288E</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1288 Days Plan E</b>	<b>9.71</b>	-	-	<b>04-Oct-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.6715)	10.6386	-	-	
<b>F841288O</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1288 Days Plan O</b>	<b>10.18</b>	-	-	<b>20-Nov-18</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5488)	10.4818	-	-	
<b>F841293D</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 84 - 1293 Days Plan D</b>	<b>9.68</b>	-	-	<b>25-Sep-18</b>

	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.7346)	10.6993	-	-	
<b>F8510I</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 10 Years Plan I</b>	<b>13.46</b>	-	-	<b>15-Mar-19</b>
	CRISIL Composite Bond Fund Index	12.68	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.5251)	10.1575	-	-	
<b>F851127O</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1127 Days Plan O</b>	<b>9.68</b>	-	-	<b>26-Mar-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 10.9730)	10.0042	-	-	
<b>F851127Q</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1127 Days Plan Q</b>	<b>9.60</b>	-	-	<b>29-Mar-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 10.9597)	10	-	-	
<b>F851129P</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1129 Days Plan P</b>	<b>9.79</b>	-	-	<b>27-Mar-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 10.9813)	10.002	-	-	
<b>F851140R</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1140 Days Plan R</b>	<b>9.90</b>	-	-	<b>28-Mar-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 10.9931)	10.0024	-	-	
<b>F851143J</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1143 Days Plan J</b>	<b>9.98</b>	-	-	<b>15-Mar-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.0402)	10.0385	-	-	
<b>F851156F</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1156 Days Plan F</b>	<b>9.88</b>	-	-	<b>27-Feb-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1202)	10.1204	-	-	
<b>F851156G</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1156 Days Plan G</b>	<b>10.09</b>	-	-	<b>07-Mar-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1166)	10.0981	-	-	
<b>F851168E</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1168 Days Plan E</b>	<b>10.06</b>	-	-	<b>21-Feb-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1636)	10.1435	-	-	
<b>F851175D</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1175 Days Plan D</b>	<b>9.90</b>	-	-	<b>13-Feb-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1677)	10.1614	-	-	
<b>F851178B</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1178 Days Plan B</b>	<b>9.78</b>	-	-	<b>30-Jan-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2127)	10.2138	-	-	
<b>F851185C</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1185 Days Plan C</b>	<b>10.28</b>	-	-	<b>18-Feb-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1845)	10.1417	-	-	
<b>F851197A</b>	<b>ICICI Prudential Fixed Maturity Plan - Series 85 - 1197 Days Plan A</b>	<b>9.85</b>	-	-	<b>25-Jan-19</b>
	CRISIL Medium Term Debt Index	11.70	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2491)	10.24	-	-	
<b>INDOPP</b>	<b>ICICI Prudential India Opportunities Fund</b>	<b>-33.86</b>	-	-	<b>15-Jan-19</b>
	Nifty 500 TRI	-26.62	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.15)	10.81	-	-	
<b>LIQUIDETF</b>	<b>ICICI Prudential Liquid ETF</b>	<b>4.78</b>	-	-	<b>25-Sep-18</b>
	S&P BSE Liquid Rate Index	5.26	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 1080.1962)	1030.9370	-	-	
<b>LTWEF</b>	<b>ICICI Prudential Long Term Wealth Enhancement Fund</b>	<b>-26.82</b>	<b>7.21</b>	-	<b>22-Mar-18</b>
	Nifty LargeMidcap 250 TRI	-27.48	6.46	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.83)	10.7	9.98	-	
<b>MGFINDIA</b>	<b>ICICI Prudential Manufacture in India Fund</b>	<b>-31.53</b>	-	-	<b>11-Oct-18</b>

	S&P BSE INDIA MANUFACTURING TRI	-23.06	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.21)	10.53	-	-	
<b>MULTIPL14A</b>	<b>ICICI Prudential Multiple Yield Fund Series 14 - Plan A 1228 Days</b>	<b>4.30</b>	<b>7.28</b>	-	<b>21-Feb-18</b>
	CRISIL Composite Bond Fund Index (80%) + Nifty 50 TRI (20%)	4.46	8.75	-	
	1 Year T Bill	7.51	7.38	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.2629)	10.799	10.0659	-	
<b>NN50ETF</b>	<b>ICICI Prudential Nifty Next 50 ETF</b>	<b>-24.74</b>	-	-	<b>23-Aug-18</b>
	Nifty Next 50 TRI	-24.37	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 21.32)	28.33	-	-	
<b>NV30ETF</b>	<b>ICICI Prudential Nifty Low Vol 30 ETF</b>	<b>-18.19</b>	<b>12.08</b>	-	<b>03-Jul-17</b>
	Nifty 100 Low Volatility 30 TRI	-18.01	12.62	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 73.42)	89.75	80.08	-	
<b>OVERNITE</b>	<b>ICICI Prudential Overnight Fund</b>	<b>5.18</b>	-	-	<b>15-Nov-18</b>
	CRISIL Overnight Index	5.32	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 107.6006)	102.3022	-	-	
<b>PHARMA</b>	<b>ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund</b>	<b>-5.92</b>	-	-	<b>13-Jul-18</b>
	S&P BSE Healthcare TRI	-14.74	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 9.54)	10.14	-	-	
<b>RETIREAG</b>	<b>ICICI Prudential Retirement Fund - Hybrid Aggressive Plan</b>	<b>-19.31</b>	-	-	<b>27-Feb-19</b>
	CRISIL Hybrid 35+65 - Aggressive Index	-12.93	-	-	
	Nifty 50 TRI	-25.02	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.3600)	10.36	-	-	
<b>RETIRECO</b>	<b>ICICI Prudential Retirement Fund - Hybrid Conservative Plan</b>	<b>-0.39</b>	-	-	<b>27-Feb-19</b>
	Nifty 50 Hybrid Composite Debt 15:85 Index	5.90	-	-	
	Nifty 50 TRI	-25.02	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 10.1541)	10.1935	-	-	
<b>RETIREDE</b>	<b>ICICI Prudential Retirement Fund - Pure Debt Plan</b>	<b>10.12</b>	-	-	<b>27-Feb-19</b>

	Nifty Composite Debt Index	11.86	-	-	
	1 Year T Bill	7.51	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 11.1520)	10.1275	-	-	
<b>RETIREEQ</b>	<b>ICICI Prudential Retirement Fund - Pure Equity Plan</b>	<b>-28.53</b>	-	-	<b>27-Feb-19</b>
	Nifty 500 TRI	-26.62	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.44)	10.41	-	-	
<b>SINDEX</b>	<b>ICICI Prudential Sensex Index Fund</b>	<b>-22.72</b>	<b>17.40</b>	-	<b>21-Sep-17</b>
	S&P BSE SENSEX TRI	-22.86	18.77	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 9.2514)	11.9706	10.1964	-	
<b>SPBSEETF</b>	<b>ICICI Prudential S&amp;P BSE 500 ETF</b>	<b>-26.44</b>	-	-	<b>09-May-18</b>
	S&P BSE 500 TRI	-26.46	-	-	
	Nifty 50 TRI	-25.02	-	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 113.63)	154.47	-	-	
<b>VALUE12</b>	<b>ICICI Prudential Value Fund - Series 12</b>	<b>-23.92</b>	<b>9.28</b>	-	<b>17-Apr-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.24)	10.83	9.91	-	
<b>VALUE13</b>	<b>ICICI Prudential Value Fund - Series 13</b>	<b>-27.39</b>	<b>6.35</b>	-	<b>16-May-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.66)	10.55	9.92	-	
<b>VALUE14</b>	<b>ICICI Prudential Value Fund - Series 14</b>	<b>-24.72</b>	<b>1.92</b>	-	<b>14-Jun-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.01)	10.64	10.44	-	
<b>VALUE15</b>	<b>ICICI Prudential Value Fund - Series 15</b>	<b>-31.61</b>	<b>8.15</b>	-	<b>17-Jul-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.53)	11.01	10.18	-	
<b>VALUE16</b>	<b>ICICI Prudential Value Fund - Series 16</b>	<b>-10.65</b>	<b>5.07</b>	-	<b>11-Aug-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	

	NAV (Rs.) Per Unit (as on March 31,2020 : 9.82)	10.99	10.46	-	
<b>VALUE17</b>	<b>ICICI Prudential Value Fund - Series 17</b>	<b>-27.98</b>	<b>4.82</b>	-	<b>18-Sep-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.67)	10.65	10.16	-	
<b>VALUE18</b>	<b>ICICI Prudential Value Fund - Series 18</b>	<b>-21.34</b>	<b>10.53</b>	-	<b>25-Oct-17</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 8.92)	11.34	10.26	-	
<b>VALUE19</b>	<b>ICICI Prudential Value Fund - Series 19</b>	<b>-32.98</b>	<b>9.81</b>	-	<b>10-Jan-18</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.05)	10.52	9.58	-	
<b>VALUE20</b>	<b>ICICI Prudential Value Fund - Series 20</b>	<b>-25.05</b>	<b>0.20</b>	-	<b>06-Feb-18</b>
	S&P BSE 500 TRI	-26.46	9.67	-	
	Nifty 50 TRI	-25.02	16.45	-	
	NAV (Rs.) Per Unit (as on March 31,2020 : 7.36)	9.82	9.8	-	





			61,110	-	-	1.10	-	-	-	-	-	1.10	
			67,001	-	-	1.21	-	-	-	-	-	1.21	
			81,621	-	-	1.49	-	-	-	-	-	1.49	
			127,627	-	-	2.30	-	-	-	-	-	2.30	
			149,811	-	-	-	-	4.91	-	-	-	4.91	
			233,929	-	-	4.20	-	-	-	-	-	4.20	
			269,837	-	-	4.89	-	-	-	-	-	4.89	
			300,000	-	-	5.43	-	-	-	-	-	5.43	
			474,655	-	-	-	-	14.98	-	-	-	14.98	
			1,104,536	-	-	-	-	34.97	-	-	-	34.97	
BALCD	Debentures and Bonds	PUR	500	-	-	-	-	51.59	-	-	-	51.59	
			750	-	-	-	-	75.12	-	-	-	75.12	
			1,000	-	-	-	-	100.16	-	-	-	100.16	
		SAL	1,000	-	-	-	-	103.72	-	-	-	-	103.72
			1,000	-	-	-	-	104.33	-	-	-	-	104.33
			1,000	-	-	-	-	100.59	-	-	-	-	100.59
	Equity Shares	PUR	5,000	-	-	-	-	-	0.14	-	-	-	0.14
			6,622	-	-	-	-	-	0.18	-	-	-	0.18
			7,335	-	-	-	-	-	-	0.21	-	-	0.21
			8,923	-	-	-	-	-	-	0.27	-	-	0.27
			25,121	-	-	-	-	-	-	0.67	-	-	0.67
			50,075	-	-	-	-	-	-	1.56	-	-	1.56
			60,209	-	-	-	-	-	-	1.91	-	-	1.91
			66,433	-	-	-	-	-	-	-	-	2.52	2.52
			67,145	-	-	-	-	-	-	1.96	-	-	1.96
			73,577	-	-	-	-	-	-	2.04	-	-	2.04
			88,990	-	-	-	-	-	-	2.80	-	-	2.80
			108,464	-	-	-	-	-	-	3.42	-	-	3.42
			111,059	-	-	-	-	-	-	-	-	4.09	4.09
			145,857	-	-	-	-	-	-	3.89	-	-	3.89
146,588	-	-	-	-	-	-	-	-	5.43	5.43			
178,952	-	-	-	-	-	-	5.63	-	-	5.63			
201,989	-	-	-	-	-	-	5.47	-	-	5.47			
228,292	-	-	-	-	-	-	7.15	-	-	7.15			
230,932	-	-	-	-	-	-	7.27	-	-	7.27			
271,275	-	-	-	-	-	-	7.87	-	-	7.87			
273,816	-	-	-	-	-	-	8.64	-	-	8.64			
276,758	-	-	-	-	-	-	8.32	-	-	8.32			
279,604	-	-	-	-	-	-	8.29	-	-	8.29			
288,147	-	-	-	-	-	-	-	-	10.97	10.97			
298,011	-	-	-	-	-	-	8.06	-	-	8.06			
307,000	-	-	-	-	-	-	8.62	-	-	8.62			
308,398	-	-	-	-	-	-	9.01	-	-	9.01			
311,222	-	-	-	-	-	-	9.11	-	-	9.11			
316,473	-	-	-	-	-	-	-	-	12.05	12.05			
337,203	-	-	-	-	-	-	9.75	-	-	9.75			
351,337	-	-	-	-	-	-	-	-	13.34	13.34			
374,684	-	-	-	-	-	-	11.49	-	-	11.49			
390,116	-	-	-	-	-	-	12.28	-	-	12.28			
395,500	-	-	-	-	-	-	-	-	14.99	14.99			
443,000	-	-	-	-	-	-	12.30	-	-	12.30			
462,918	-	-	-	-	-	-	14.51	-	-	14.51			
487,007	-	-	-	-	-	-	15.35	-	-	15.35			

	1,000,000	-	-	-	-	14.82	-	-	19.04	-	33.86
	500,000	-	-	-	-	13.93	-	-	-	-	13.93
	500,000	-	-	-	-	14.49	-	-	-	-	14.49
	500,000	-	-	-	-	14.43	-	-	-	-	14.43
	512,632	-	-	-	-	-	-	33.88	-	-	33.88
	575,500	-	-	-	-	15.35	-	-	-	-	15.35
	580,491	-	-	-	-	18.26	-	-	-	-	18.26
	582,110	-	-	-	-	-	-	-	22.37	-	22.37
	585,453	-	-	-	-	18.04	-	-	-	-	18.04
	617,940	-	-	-	-	16.79	-	-	-	-	16.79
	693,039	-	-	-	-	20.22	-	-	-	-	20.22
	700,000	-	-	-	-	21.71	-	-	-	-	21.71
	700,000	-	-	-	-	22.13	-	-	-	-	22.13
	740,932	-	-	-	-	20.64	-	-	-	-	20.64
	749,304	-	-	-	-	20.10	-	-	-	-	20.10
	750,000	-	-	-	-	20.37	-	-	-	-	20.37
	750,000	-	-	-	-	20.78	-	-	-	-	20.78
	750,000	-	-	-	-	20.78	-	-	-	-	20.78
	842,949	-	-	-	-	24.29	-	-	-	-	24.29
	850,000	-	-	-	-	23.68	-	-	-	-	23.68
	856,000	-	-	-	-	27.65	-	-	-	-	27.65
	890,875	-	-	-	-	25.26	-	-	-	-	25.26
	1,000,000	-	-	-	-	29.35	-	-	-	-	29.35
	1,000,000	-	-	-	-	27.62	-	-	-	-	27.62
	1,000,000	-	-	-	-	30.71	-	-	-	-	30.71
	1,000,000	-	-	-	-	28.85	-	-	-	-	28.85
	1,000,000	-	-	-	-	28.83	-	-	-	-	28.83
	1,000,000	-	-	-	-	27.58	-	-	-	-	27.58
	1,153,824	-	-	-	-	-	-	-	-	60.00	60.00
	1,453,005	-	-	-	-	42.12	-	-	-	-	42.12
	2,000,000	-	-	-	-	62.74	-	-	-	-	62.74
	2,480,500	-	-	-	-	68.49	-	-	-	-	68.49
	2,884,588	-	-	-	-	-	-	-	-	150.00	150.00
SAL	271	-	-	-	-	-	-	-	0.01	-	0.01
	2,473	-	-	-	-	-	-	-	0.10	-	0.10
	3,349	-	-	-	-	-	-	0.28	-	-	0.28
	8,771	-	-	-	-	-	-	-	0.36	-	0.36
	13,121	-	-	-	-	0.44	-	-	-	-	0.44
	18,551	-	-	-	-	-	-	1.57	-	-	1.57
	25,518	-	-	-	-	-	-	2.15	-	-	2.15
	41,865	-	-	-	-	-	-	3.53	-	-	3.53
	41,977	-	-	-	-	1.34	-	-	-	-	1.34
	44,872	-	-	-	-	-	-	3.07	-	-	3.07
	46,089	-	-	-	-	-	-	3.91	-	-	3.91
	61,493	-	-	-	-	-	-	4.20	-	-	4.20
	77,317	-	-	-	-	-	-	-	3.21	-	3.21
	98,800	-	-	-	-	-	-	-	3.95	-	3.95
	125,402	-	-	-	-	-	-	10.57	-	-	10.57
	162,701	-	-	-	-	4.96	-	-	-	-	4.96
	230,853	-	-	-	-	-	-	-	9.46	-	9.46
	326,552	-	-	-	-	10.59	-	-	-	-	10.59
	330,156	-	-	-	-	10.51	-	-	-	-	10.51
	432,500	-	-	-	-	13.72	-	-	-	-	13.72

			1,270,500	-	-	-	-	40.39	-	-	-	-	40.39
			1,749,243	-	-	-	-	59.63	-	-	-	-	59.63
			1,801,075	-	-	-	-	57.15	-	-	-	-	57.15
			2,000,000	-	-	-	-	65.22	-	-	-	-	65.22
BANPSU	Debentures and Bonds	PUR	250	-	-	-	-	25.45	-	-	-	-	25.45
			400	-	-	-	-	40.97	-	-	-	-	40.97
			500	-	-	-	-	50.09	-	-	-	-	50.09
			750	-	-	-	-	76.35	-	-	-	-	76.35
			2,000	-	-	-	-	200.35	-	-	-	-	200.35
		SAL	15	-	-	-	-	1.62	-	-	-	-	1.62
			50	-	-	-	-	5.13	-	-	-	-	5.13
			100	-	-	-	-	10.79	-	-	-	-	10.79
			100	-	-	-	-	10.25	-	-	-	-	10.25
			100	-	-	-	-	10.25	-	-	-	-	10.25
			150	-	-	-	-	16.19	-	-	-	-	16.19
			150	-	-	-	-	15.48	-	-	-	-	15.48
			200	-	-	-	-	20.51	-	-	-	-	20.51
			235	-	-	-	-	25.39	-	-	-	-	25.39
			250	-	-	-	-	25.76	-	-	-	-	25.76
			250	-	-	-	-	25.63	-	-	-	-	25.63
			250	-	-	-	-	25.79	-	-	-	-	25.79
			500	-	-	-	-	54.05	-	-	-	-	54.05
BUSINESS1	Equity Shares	PUR	2,155	-	-	-	-	0.07	-	-	-	-	0.07
			68,338	-	-	-	-	2.14	-	-	-	-	2.14
			107,480	-	-	-	-	3.37	-	-	-	-	3.37
			264,000	-	-	-	-	7.75	-	-	-	-	7.75
			349,250	-	-	-	-	9.95	-	-	-	-	9.95
		SAL	107,411	-	-	-	-	3.88	-	-	-	-	3.88
			156,532	-	-	-	-	4.82	-	-	-	-	4.82
			349,250	-	-	-	-	10.54	-	-	-	-	10.54
BUSINESS2	Equity Shares	PUR	89,918	-	-	-	-	2.63	-	-	-	-	2.63
			110,887	-	-	-	-	3.09	-	-	-	-	3.09
		SAL	29,149	-	-	-	-	0.88	-	-	-	-	0.88
			47,893	-	-	-	-	1.44	-	-	-	-	1.44
			212,434	-	-	-	-	6.71	-	-	-	-	6.71
			342,106	-	-	-	-	10.17	-	-	-	-	10.17
CAPITA3B60	Equity Shares	SAL	41,567	-	-	-	-	1.31	-	-	-	-	1.31
			81,347	-	-	-	-	2.55	-	-	-	-	2.55
CAPITA3E60	Equity Shares	SAL	179,791	-	-	-	-	5.90	-	-	-	-	5.90
CAPITA3G60	Equity Shares	SAL	47,487	-	-	-	-	1.53	-	-	-	-	1.53
CAPITA3H60	Equity Shares	SAL	62,727	-	-	-	-	1.85	-	-	-	-	1.85
CAPITA5E	Equity Shares	SAL	65,590	-	-	-	-	1.84	-	-	-	-	1.84
CAPITA5F	Equity Shares	SAL	30,038	-	-	-	-	0.92	-	-	-	-	0.92
CAPITA6B	Equity Shares	SAL	35,277	-	-	-	-	1.03	-	-	-	-	1.03
CAPITA6D	Equity Shares	SAL	58,483	-	-	-	-	1.69	-	-	-	-	1.69
CAPITA6E	Equity Shares	SAL	66,105	-	-	-	-	2.00	-	-	-	-	2.00
CAPITA6F	Equity Shares	SAL	36,550	-	-	-	-	1.07	-	-	-	-	1.07
CAPITA6G	Equity Shares	SAL	40,244	-	-	-	-	1.20	-	-	-	-	1.20
CAPITA6H	Equity Shares	SAL	52,536	-	-	-	-	1.53	-	-	-	-	1.53
CNX100	Equity Shares	PUR	3	-	-	-	-	-	-	-	^^	-	^^
			22	-	-	-	-	-	-	-	^^	-	^^
			69	-	-	-	-	^^	-	-	-	-	^^
			688	-	-	-	-	-	-	-	0.03	-	0.03





29,083	2.71	-	-	-	-	-	-	-	-	2.71
29,289	2.96	-	-	-	-	-	-	-	-	2.96
29,683	2.99	-	-	-	-	-	-	-	-	2.99
30,494	2.36	-	-	-	-	-	-	-	-	2.36
31,749	3.07	-	-	-	-	-	-	-	-	3.07
35,970	3.45	-	-	-	-	-	-	-	-	3.45
40,743	-	-	-	-	1.19	-	-	-	-	1.19
46,188	3.58	-	-	-	-	-	-	-	-	3.58
47,639	-	-	-	-	1.54	-	-	-	-	1.54
48,199	-	-	-	-	1.47	-	-	-	-	1.47
50,000	-	-	-	0.09	-	-	-	-	-	0.09
50,646	-	-	-	-	1.62	-	-	-	-	1.62
50,751	4.69	-	-	-	-	-	-	-	-	4.69
52,427	5.08	-	-	-	-	-	-	-	-	5.08
52,522	3.93	-	-	-	-	-	-	-	-	3.93
54,205	5.19	-	-	-	-	-	-	-	-	5.19
54,771	-	-	-	-	-	-	4.41	-	-	4.41
57,340	-	-	-	-	1.67	-	-	-	-	1.67
75,989	7.23	-	-	-	-	-	-	-	-	7.23
77,856	-	-	-	-	-	-	6.34	-	-	6.34
89,485	-	-	-	-	-	-	7.16	-	-	7.16
91,569	-	-	-	-	-	-	7.23	-	-	7.23
93,811	8.99	-	-	-	-	-	-	-	-	8.99
100,000	-	-	-	-	-	-	7.99	-	-	7.99
100,000	-	-	-	-	-	-	8.12	-	-	8.12
111,684	-	-	-	-	3.57	-	-	-	-	3.57
112,450	-	-	-	-	-	-	9.10	-	-	9.10
118,679	10.07	-	-	-	-	-	-	-	-	10.07
126,203	11.88	-	-	-	-	-	-	-	-	11.88
129,006	13.40	-	-	-	-	-	-	-	-	13.40
155,646	-	-	-	-	-	-	12.35	-	-	12.35
170,654	15.92	-	-	-	-	-	-	-	-	15.92
171,622	-	-	-	-	6.05	-	-	-	-	6.05
192,108	-	-	-	-	5.56	-	-	-	-	5.56
195,075	-	-	-	-	6.24	-	-	-	-	6.24
200,000	-	-	-	-	5.79	-	-	-	-	5.79
222,854	-	-	-	-	-	-	18.03	-	-	18.03
232,651	20.45	-	-	-	-	-	-	-	-	20.45
244,815	-	-	-	-	8.31	-	-	-	-	8.31
500,000	-	-	-	-	7.30	-	19.58	-	-	26.88
263,912	-	-	-	-	7.65	-	-	-	-	7.65
280,542	-	-	-	-	8.22	-	-	-	-	8.22
282,660	-	-	-	-	9.97	-	-	-	-	9.97
290,612	-	-	-	-	9.77	-	-	-	-	9.77
355,845	-	-	-	-	10.34	-	-	-	-	10.34
368,177	-	-	-	-	11.76	-	-	-	-	11.76
400,000	-	-	-	-	11.73	-	-	-	-	11.73
400,000	-	-	-	-	14.10	-	-	-	-	14.10
400,775	-	-	-	-	11.63	-	-	-	-	11.63
428,169	-	-	-	-	13.67	-	-	-	-	13.67
450,000	-	-	-	-	14.66	-	-	-	-	14.66
450,000	-	-	-	-	13.77	-	-	-	-	13.77
450,000	-	-	-	-	13.03	-	-	-	-	13.03

			472,761	-	-	-	-	16.40	-	-	-	-	16.40
			481,019	-	-	-	0.88	-	-	-	-	-	0.88
			481,467	-	-	-	-	16.65	-	-	-	-	16.65
			500,000	-	-	-	-	15.97	-	-	-	-	15.97
			500,000	-	-	-	-	16.01	-	-	-	-	16.01
			500,000	-	-	-	-	14.53	-	-	-	-	14.53
			500,000	-	-	-	-	14.50	-	-	-	-	14.50
			500,000	-	-	-	-	14.47	-	-	-	-	14.47
			500,494	-	-	-	-	14.67	-	-	-	-	14.67
			501,801	-	-	-	-	15.23	-	-	-	-	15.23
			517,547	-	-	-	-	16.58	-	-	-	-	16.58
			529,500	-	-	-	-	15.33	-	-	-	-	15.33
			540,485	-	-	-	-	17.43	-	-	-	-	17.43
			550,000	-	-	-	-	17.69	-	-	-	-	17.69
			560,617	-	-	-	-	19.77	-	-	-	-	19.77
			601,161	-	-	-	-	17.61	-	-	-	-	17.61
			604,673	-	-	-	-	19.37	-	-	-	-	19.37
			625,400	-	-	-	-	18.11	-	-	-	-	18.11
			648,750	-	-	-	-	18.88	-	-	-	-	18.88
			654,926	-	-	-	-	22.81	-	-	-	-	22.81
			695,211	-	-	-	-	20.13	-	-	-	-	20.13
			697,700	-	-	-	-	22.29	-	-	-	-	22.29
			697,700	-	-	-	-	22.38	-	-	-	-	22.38
			697,700	-	-	-	-	22.36	-	-	-	-	22.36
			697,700	-	-	-	-	22.29	-	-	-	-	22.29
			700,000	-	-	-	-	20.35	-	-	-	-	20.35
			714,114	-	-	-	-	21.20	-	-	-	-	21.20
			736,509	-	-	-	-	23.54	-	-	-	-	23.54
			750,000	-	-	-	-	22.25	-	-	-	-	22.25
			750,453	-	-	-	-	24.07	-	-	-	-	24.07
			759,929	-	-	-	-	22.08	-	-	-	-	22.08
			801,549	-	-	-	-	23.42	-	-	-	-	23.42
			966,187	-	-	-	-	29.25	-	-	-	-	29.25
			994,758	-	-	-	-	31.82	-	-	-	-	31.82
			1,000,000	-	-	-	-	30.28	-	-	-	-	30.28
			1,000,000	-	-	-	-	31.84	-	-	-	-	31.84
			1,073,196	-	-	-	-	32.78	-	-	-	-	32.78
			1,165,831	-	-	-	2.10	-	-	-	-	-	2.10
			1,189,919	-	-	-	2.38	-	-	-	-	-	2.38
			1,200,000	-	-	-	-	38.55	-	-	-	-	38.55
			1,317,275	-	-	-	-	42.09	-	-	-	-	42.09
			1,434,626	-	-	-	-	48.05	-	-	-	-	48.05
			1,457,657	-	-	-	-	47.74	-	-	-	-	47.74
			3,500,000	-	-	-	6.32	-	-	-	-	-	6.32
			3,988,206	-	-	-	7.18	-	-	-	-	-	7.18
			5,000,000	-	-	-	9.06	-	-	-	-	-	9.06
			5,490,873	-	-	-	9.87	-	-	-	-	-	9.87
			8,577,435	-	-	-	16.28	-	-	-	-	-	16.28
			8,952,998	-	-	-	16.74	-	-	-	-	-	16.74
			14,967,692	-	-	-	27.29	-	-	-	-	-	27.29
			21,445,174	-	-	-	40.58	-	-	-	-	-	40.58
DIVYLD	Equity Shares	PUR	29,838	-	-	-	-	0.87	-	-	-	-	0.87
		SAL	27,752	-	-	-	-	0.86	-	-	-	-	0.86

			65,462	-	-	-	-	1.98	-	-	-	-	1.98		
DYNMIC	Equity Shares	PUR	5,491	-	-	-	-	-	-	-	0.21	-	0.21		
			13,288	-	-	-	-	0.36	-	-	-	-	0.36		
			37,660	-	-	-	-	-	-	-	1.45	-	-	1.45	
			77,153	-	-	-	-	-	2.06	-	-	-	-	2.06	
			140,278	-	-	-	-	-	4.46	-	-	-	-	4.46	
			190,000	-	-	-	-	-	-	-	-	7.31	-	7.31	
			212,139	-	-	-	-	-	-	-	-	8.18	-	8.18	
			236,010	-	-	-	-	-	7.44	-	-	-	-	7.44	
			287,654	-	-	-	-	-	9.08	-	-	-	-	9.08	
			336,873	-	-	-	-	-	-	-	22.27	-	-	22.27	
			341,048	-	-	-	-	-	10.73	-	-	-	-	10.73	
			440,111	-	-	-	-	-	13.85	-	-	-	-	13.85	
			575,000	-	-	-	-	-	17.29	-	-	-	-	17.29	
			726,184	-	-	-	-	-	22.92	-	-	-	-	22.92	
			743,484	-	-	-	-	-	23.40	-	-	-	-	23.40	
			874,040	-	-	-	-	-	23.44	-	-	-	-	23.44	
			914,547	-	-	-	-	-	28.17	-	-	-	-	28.17	
		1,539,509	-	-	-	-	-	48.42	-	-	-	-	48.42		
				SAL	6,561	-	-	-	-	0.22	-	-	-	-	0.22
					25,634	-	-	-	-	0.78	-	-	-	-	0.78
					29,487	-	-	-	-	-	-	2.02	-	-	2.02
					40,410	-	-	-	-	-	-	2.76	-	-	2.76
					42,439	-	-	-	-	-	-	3.43	-	-	3.43
					95,079	-	-	-	-	2.90	-	-	-	-	2.90
					118,084	-	-	-	-	3.60	-	-	-	-	3.60
					205,084	-	-	-	-	7.23	-	-	-	-	7.23
					224,537	-	-	-	-	-	-	18.16	-	-	18.16
					230,853	-	-	-	-	-	-	-	9.43	-	9.43
					330,362	-	-	-	-	10.48	-	-	-	-	10.48
					337,769	-	-	-	-	11.91	-	-	-	-	11.91
					348,086	-	-	-	-	11.05	-	-	-	-	11.05
					500,000	-	-	-	-	14.89	-	-	-	-	14.89
					500,000	-	-	-	-	16.17	-	-	-	-	16.17
		508,987	-		-	-	-	15.55	-	-	-	-	15.55		
		606,404	-	-	-	-	19.14	-	-	-	-	19.14			
		627,249	-	-	-	-	19.13	-	-	-	-	19.13			
		1,000,000	-	-	-	-	32.61	-	-	-	-	32.61			
		1,133,954	-	-	-	-	34.59	-	-	-	-	34.59			
		1,991,013	-	-	-	-	60.28	-	-	-	-	60.28			
EQDINO	Equity Shares	PUR	1,798	-	-	-	-	0.05	-	-	-	-	0.05		
			2,750	-	-	-	-	0.08	-	-	-	-	0.08		
			2,750	-	-	-	-	0.08	-	-	-	-	0.08		
			2,750	-	-	-	-	0.08	-	-	-	-	0.08		
			5,500	-	-	-	-	0.16	-	-	-	-	0.16		
			5,500	-	-	-	-	0.16	-	-	-	-	0.16		
			8,250	-	-	-	-	0.24	-	-	-	-	0.24		
			9,860	-	-	-	-	0.27	-	-	-	-	0.27		
			23,727	-	-	-	-	0.68	-	-	-	-	0.68		
			24,750	-	-	-	-	0.73	-	-	-	-	0.73		
			27,300	-	-	-	-	-	-	-	1.17	-	-	1.17	
			30,250	-	-	-	-	0.86	-	-	-	-	-	0.86	
			30,250	-	-	-	-	0.92	-	-	-	-	-	0.92	



	54,882	-	-	-	-	1.68	-	-	-	-	1.68
	61,326	-	-	-	-	1.82	-	-	-	-	1.82
	70,984	-	-	-	-	2.04	-	-	-	-	2.04
	84,424	-	-	-	-	2.50	-	-	-	-	2.50
	85,250	-	-	-	-	2.47	-	-	-	-	2.47
	88,000	-	-	-	-	2.59	-	-	-	-	2.59
	100,000	-	-	-	-	3.03	-	-	-	-	3.03
	135,702	-	-	-	-	4.13	-	-	-	-	4.13
	158,926	-	-	-	-	4.92	-	-	-	-	4.92
	250,000	-	-	-	-	7.64	-	-	-	-	7.64
	250,766	-	-	-	-	7.18	-	-	-	-	7.18
	276,023	-	-	-	-	7.95	-	-	-	-	7.95
	300,000	-	-	-	-	9.16	-	-	-	-	9.16
	310,750	-	-	-	-	9.22	-	-	-	-	9.22
	321,868	-	-	-	-	9.81	-	-	-	-	9.81
	354,750	-	-	-	-	10.81	-	-	-	-	10.81
	497,750	-	-	-	-	15.46	-	-	-	-	15.46
	676,500	-	-	-	-	21.61	-	-	-	-	21.61
	759,000	-	-	-	-	23.04	-	-	-	-	23.04
	913,000	-	-	-	-	24.93	-	-	-	-	24.93
	930,000	-	-	-	-	29.42	-	-	-	-	29.42
	959,750	-	-	-	-	29.12	-	-	-	-	29.12
	1,097,824	-	-	-	-	33.70	-	-	-	-	33.70
	1,100,000	-	-	-	-	34.91	-	-	-	-	34.91
	1,500,000	-	-	-	-	45.90	-	-	-	-	45.90
	1,800,000	-	-	-	3.24	-	-	-	-	-	3.24
	2,401,890	-	-	-	-	65.40	-	-	-	-	65.40
	3,255,000	-	-	-	-	99.53	-	-	-	-	99.53
	3,915,000	-	-	-	7.54	-	-	-	-	-	7.54
	6,390,000	-	-	-	13.64	-	-	-	-	-	13.64
SAL	2,500	-	-	-	-	0.07	-	-	-	-	0.07
	3,000	-	-	-	-	0.09	-	-	-	-	0.09
	6,500	-	-	-	-	0.20	-	-	-	-	0.20
	8,250	-	-	-	-	0.24	-	-	-	-	0.24
	9,133	-	-	-	-	0.29	-	-	-	-	0.29
	10,000	-	-	-	-	0.32	-	-	-	-	0.32
	12,500	-	-	-	-	0.39	-	-	-	-	0.39
	12,836	-	-	-	-	0.40	-	-	-	-	0.40
	13,694	-	-	-	-	0.40	-	-	-	-	0.40
	46,750	-	-	-	-	1.56	-	-	-	-	1.56
	60,800	-	-	-	-	1.81	-	-	-	-	1.81
	82,500	-	-	-	-	2.44	-	-	-	-	2.44
	102,500	-	-	-	-	3.14	-	-	-	-	3.14
	122,500	-	-	-	-	3.95	-	-	-	-	3.95
	132,000	-	-	-	-	4.04	-	-	-	-	4.04
	195,000	-	-	-	-	5.81	-	-	-	-	5.81
	198,000	-	-	-	-	6.18	-	-	-	-	6.18
	200,750	-	-	-	-	6.08	-	-	-	-	6.08
	220,914	-	-	-	-	6.88	-	-	-	-	6.88
	335,556	-	-	-	-	9.71	-	-	-	-	9.71
	337,500	-	-	-	-	10.39	-	-	-	-	10.39
	343,750	-	-	-	-	10.11	-	-	-	-	10.11
	390,867	-	-	-	-	12.51	-	-	-	-	12.51



			299	-	0.01	-	-	-	-	-	-	0.01
			300	-	0.01	-	-	-	-	-	-	0.01
			399	-	0.01	-	-	-	-	-	-	0.01
			594	-	0.02	-	-	-	-	-	-	0.02
			828	-	0.02	-	-	-	-	-	-	0.02
			1,687	-	0.05	-	-	-	-	-	-	0.05
			1,782	-	0.05	-	-	-	-	-	-	0.05
			4,497	-	0.14	-	-	-	-	-	-	0.14
			5,802	-	0.17	-	-	-	-	-	-	0.17
			10,722	-	0.31	-	-	-	-	-	-	0.31
			37,545	-	1.07	-	-	-	-	-	-	1.07
			145,645	-	4.36	-	-	-	-	-	-	4.36
			465,828	-	-	-	-	15.11	-	-	-	15.11
			512,289	-	-	-	-	18.16	-	-	-	18.16
			529,418	-	-	-	-	16.80	-	-	-	16.80
			827,457	-	-	-	-	24.54	-	-	-	24.54
			838,029	-	-	-	-	25.11	-	-	-	25.11
			975,729	-	-	-	-	34.64	-	-	-	34.64
			1,250,000	-	-	-	-	37.51	-	-	-	37.51
			1,330,663	-	-	-	-	40.75	-	-	-	40.75
			1,570,154	-	-	-	-	47.57	-	-	-	47.57
			2,573,344	-	-	-	-	73.59	-	-	-	73.59
EQTYINC	Debentures and Bonds	SAL	250	-	-	-	-	27.15	-	-	-	27.15
	Equity Shares	PUR	6,325	-	-	-	-	0.19	-	-	-	0.19
			56,250	-	-	-	-	1.62	-	-	-	1.62
			83,027	-	-	-	-	2.49	-	-	-	2.49
			95,425	-	-	-	-	2.88	-	-	-	2.88
			216,973	-	-	-	-	6.56	-	-	-	6.56
			297,990	-	-	-	-	9.34	-	-	-	9.34
			311,487	-	-	-	-	8.68	-	-	-	8.68
			612,750	-	-	-	-	17.49	-	-	-	17.49
			748,000	-	-	-	-	21.62	-	-	-	21.62
		SAL	74,250	-	-	-	-	2.45	-	-	-	2.45
			442,750	-	-	-	-	15.03	-	-	-	15.03
			451,000	-	-	-	-	14.76	-	-	-	14.76
			962,500	-	-	-	-	30.78	-	-	-	30.78
ESTAR	Equity Shares	PUR	146,465	-	-	-	-	-	9.68	-	-	9.68
		SAL	355,855	-	-	-	0.71	-	-	-	-	0.71
			2,565,150	-	-	-	4.87	-	-	-	-	4.87
			2,677,464	-	-	-	5.01	-	-	-	-	5.01
F821135S	Debentures and Bonds	PUR	270	-	-	-	-	13.52	-	-	-	13.52
F821136P	Debentures and Bonds	PUR	1,230	-	-	-	-	61.59	-	-	-	61.59
FOCUS	Equity Shares	PUR	9,297	-	-	-	-	0.25	-	-	-	0.25
			35,269	-	-	-	-	0.94	-	-	-	0.94
			204,784	-	-	-	-	5.46	-	-	-	5.46
			292,934	-	-	-	-	-	19.36	-	-	19.36
			303,289	-	-	-	-	9.25	-	-	-	9.25
			456,585	-	-	-	-	14.30	-	-	-	14.30
			498,432	-	-	-	-	14.14	-	-	-	14.14
			500,000	-	-	-	-	14.46	-	-	-	14.46
			526,711	-	-	-	-	16.09	-	-	-	16.09
			543,003	-	-	-	-	15.18	-	-	-	15.18
			643,442	-	-	-	-	18.24	-	-	-	18.24

			670,496	-	-	-	-	20.49	-	-	-	-	20.49
			769,300	-	-	-	-	-	-	-	-	40.00	40.00
			1,250,000	-	-	-	-	34.98	-	-	-	-	34.98
			1,677,752	-	-	-	-	49.26	-	-	-	-	49.26
			1,923,068	-	-	-	-	-	-	-	-	100.00	100.00
			2,042,474	-	-	-	-	65.84	-	-	-	-	65.84
		SAL	4,384	-	-	-	-	0.14	-	-	-	-	0.14
			12,193	-	-	-	-	0.39	-	-	-	-	0.39
			12,961	-	-	-	-	0.41	-	-	-	-	0.41
			15,130	-	-	-	-	0.51	-	-	-	-	0.51
			25,641	-	-	-	-	-	-	1.75	-	-	1.75
			35,139	-	-	-	-	-	-	2.40	-	-	2.40
			49,925	-	-	-	-	1.60	-	-	-	-	1.60
			50,000	-	-	-	-	1.75	-	-	-	-	1.75
			94,226	-	-	-	-	3.01	-	-	-	-	3.01
			132,453	-	-	-	-	4.24	-	-	-	-	4.24
			140,000	-	-	-	-	4.33	-	-	-	-	4.33
			175,000	-	-	-	-	6.11	-	-	-	-	6.11
			188,491	-	-	-	-	6.02	-	-	-	-	6.02
			337,125	-	-	-	-	10.77	-	-	-	-	10.77
			460,865	-	-	-	-	14.65	-	-	-	-	14.65
			492,597	-	-	-	-	15.25	-	-	-	-	15.25
			561,274	-	-	-	-	17.81	-	-	-	-	17.81
GIFT	Equity Shares	PUR	298	-	-	^^	-	-	-	-	-	-	^^
			1,300	-	-	0.02	-	-	-	-	-	-	0.02
			7,520	-	-	0.12	-	-	-	-	-	-	0.12
			8,300	-	-	0.13	-	-	-	-	-	-	0.13
			26,184	-	-	-	-	0.76	-	-	-	-	0.76
			56,039	-	-	0.91	-	-	-	-	-	-	0.91
			57,336	-	-	0.92	-	-	-	-	-	-	0.92
			65,845	-	-	-	-	1.90	-	-	-	-	1.90
			155,262	-	-	2.52	-	-	-	-	-	-	2.52
			295,280	-	-	-	-	8.67	-	-	-	-	8.67
			341,700	-	-	-	-	10.17	-	-	-	-	10.17
		SAL	9,918	-	-	0.17	-	-	-	-	-	-	0.17
			89,001	-	-	1.54	-	-	-	-	-	-	1.54
			187,136	-	-	3.35	-	-	-	-	-	-	3.35
			305,999	-	-	-	-	9.68	-	-	-	-	9.68
			423,010	-	-	-	-	14.43	-	-	-	-	14.43
			655,092	-	-	-	-	20.34	-	-	-	-	20.34
GROWTH	Equity Shares	PUR	25,646	-	-	-	-	0.80	-	-	-	-	0.80
			74,250	-	-	-	-	2.18	-	-	-	-	2.18
			156,750	-	-	-	-	4.60	-	-	-	-	4.60
			184,250	-	-	-	-	5.43	-	-	-	-	5.43
			186,359	-	-	-	-	6.06	-	-	-	-	6.06
			237,082	-	-	-	-	7.43	-	-	-	-	7.43
			249,420	-	-	-	-	7.86	-	-	-	-	7.86
			563,750	-	-	-	-	16.82	-	-	-	-	16.82
		SAL	11,855	-	-	-	-	0.38	-	-	-	-	0.38
			100,167	-	-	-	-	3.05	-	-	-	-	3.05
			163,506	-	-	-	-	5.08	-	-	-	-	5.08
			166,945	-	-	-	-	5.09	-	-	-	-	5.09
			500,000	-	-	-	-	14.94	-	-	-	-	14.94



			1,520	-	0.04	-	-	-	-	-	-	-	0.04
			3,732	-	0.11	-	-	-	-	-	-	-	0.11
			8,805	-	0.27	-	-	-	-	-	-	-	0.27
			78,509	-	-	-	-	2.38	-	-	-	-	2.38
			112,844	-	-	-	-	3.56	-	-	-	-	3.56
GROWTH7	Equity Shares	PUR	99,000	-	-	-	-	2.76	-	-	-	-	2.76
		SAL	10,000	-	-	-	-	0.32	-	-	-	-	0.32
			11,000	-	-	-	-	0.32	-	-	-	-	0.32
			16,500	-	-	-	-	0.49	-	-	-	-	0.49
			17,492	-	-	-	-	0.63	-	-	-	-	0.63
			19,557	-	-	-	-	0.55	-	-	-	-	0.55
			20,696	-	-	-	-	0.66	-	-	-	-	0.66
			29,367	-	-	-	-	1.02	-	-	-	-	1.02
			72,000	-	-	-	-	2.11	-	-	-	-	2.11
IMPL	Debentures and Bonds	PUR	500	-	-	-	-	51.59	-	-	-	-	51.59
	Equity Shares	SAL	20,681	-	-	0.31	-	-	-	-	-	-	0.31
INASIA	Equity Shares	PUR	25,605	-	0.64	-	-	-	-	-	-	-	0.64
			40,000	-	-	-	-	1.26	-	-	-	-	1.26
		SAL	96	-	^^	-	-	-	-	-	-	-	^^
			2,101	-	0.06	-	-	-	-	-	-	-	0.06
			2,557	-	0.07	-	-	-	-	-	-	-	0.07
			2,605	-	0.06	-	-	-	-	-	-	-	0.06
			2,622	-	0.06	-	-	-	-	-	-	-	0.06
			9,590	-	0.23	-	-	-	-	-	-	-	0.23
			10,300	-	0.25	-	-	-	-	-	-	-	0.25
			14,509	-	0.43	-	-	-	-	-	-	-	0.43
			20,000	-	-	-	-	0.64	-	-	-	-	0.64
			24,544	-	0.75	-	-	-	-	-	-	-	0.75
			25,743	-	0.63	-	-	-	-	-	-	-	0.63
			27,009	-	0.66	-	-	-	-	-	-	-	0.66
			28,461	-	0.69	-	-	-	-	-	-	-	0.69
			37,116	-	-	-	-	1.29	-	-	-	-	1.29
			50,975	-	1.48	-	-	-	-	-	-	-	1.48
			53,343	-	-	-	-	1.70	-	-	-	-	1.70
INCOPP	Debentures and Bonds	SAL	250	-	-	-	-	25.76	-	-	-	-	25.76
			250	-	-	-	-	25.76	-	-	-	-	25.76
INDEX	Equity Shares	PUR	398	-	-	-	-	0.01	-	-	-	-	0.01
			399	-	-	-	-	0.01	-	-	-	-	0.01
			405	-	-	-	-	0.01	-	-	-	-	0.01
			408	-	-	-	-	0.01	-	-	-	-	0.01
			418	-	-	-	-	0.01	-	-	-	-	0.01
			419	-	-	-	-	0.01	-	-	-	-	0.01
			430	-	-	-	-	0.01	-	-	-	-	0.01
			483	-	-	-	-	0.02	-	-	-	-	0.02
			494	-	-	-	-	0.02	-	-	-	-	0.02
			505	-	-	-	-	0.01	-	-	-	-	0.01
			511	-	-	-	-	0.02	-	-	-	-	0.02
			529	-	-	-	-	0.02	-	-	-	-	0.02
			573	-	-	-	-	0.02	-	-	-	-	0.02
			668	-	-	-	-	0.02	-	-	-	-	0.02
			685	-	-	-	-	0.02	-	-	-	-	0.02
			694	-	-	-	-	0.02	-	-	-	-	0.02
			791	-	-	-	-	0.03	-	-	-	-	0.03

797	-	-	-	-	0.03	-	-	-	-	0.03
816	-	-	-	-	0.02	-	-	-	-	0.02
819	-	-	-	-	0.03	-	-	-	-	0.03
823	-	-	-	-	0.02	-	-	-	-	0.02
847	-	-	-	-	0.03	-	-	-	-	0.03
850	-	-	-	-	0.03	-	-	-	-	0.03
863	-	-	-	-	0.03	-	-	-	-	0.03
892	-	-	-	-	0.03	-	-	-	-	0.03
934	-	-	-	-	0.03	-	-	-	-	0.03
1,057	-	-	-	-	0.04	-	-	-	-	0.04
1,059	-	-	-	-	0.03	-	-	-	-	0.03
1,207	-	-	-	-	0.04	-	-	-	-	0.04
1,288	-	-	-	-	0.04	-	-	-	-	0.04
1,293	-	-	-	-	0.04	-	-	-	-	0.04
1,325	-	-	-	-	0.04	-	-	-	-	0.04
1,482	-	-	-	-	0.05	-	-	-	-	0.05
1,592	-	-	-	-	0.05	-	-	-	-	0.05
1,614	-	-	-	-	0.05	-	-	-	-	0.05
1,616	-	-	-	-	0.05	-	-	-	-	0.05
1,649	-	-	-	-	0.05	-	-	-	-	0.05
1,681	-	-	-	-	0.05	-	-	-	-	0.05
1,681	-	-	-	-	0.05	-	-	-	-	0.05
1,932	-	-	-	-	0.06	-	-	-	-	0.06
2,097	-	-	-	-	0.07	-	-	-	-	0.07
2,173	-	-	-	-	0.06	-	-	-	-	0.06
2,203	-	-	-	-	0.06	-	-	-	-	0.06
2,224	-	-	-	-	0.06	-	-	-	-	0.06
2,383	-	-	-	-	0.07	-	-	-	-	0.07
2,447	-	-	-	-	0.08	-	-	-	-	0.08
2,458	-	-	-	-	0.07	-	-	-	-	0.07
2,563	-	-	-	-	0.08	-	-	-	-	0.08
2,642	-	-	-	-	0.09	-	-	-	-	0.09
2,683	-	-	-	-	0.08	-	-	-	-	0.08
2,699	-	-	-	-	0.08	-	-	-	-	0.08
2,737	-	-	-	-	0.08	-	-	-	-	0.08
2,984	-	-	-	-	0.09	-	-	-	-	0.09
3,248	-	-	-	-	0.10	-	-	-	-	0.10
3,333	-	-	-	-	0.09	-	-	-	-	0.09
3,446	-	-	-	-	0.10	-	-	-	-	0.10
3,608	-	-	-	-	0.11	-	-	-	-	0.11
3,706	-	-	-	-	0.12	-	-	-	-	0.12
4,105	-	-	-	-	0.12	-	-	-	-	0.12
4,495	-	-	-	-	0.13	-	-	-	-	0.13
4,778	-	-	-	-	0.15	-	-	-	-	0.15
5,040	-	-	-	-	0.14	-	-	-	-	0.14
5,192	-	-	-	-	0.16	-	-	-	-	0.16
6,515	-	-	-	-	0.21	-	-	-	-	0.21
7,258	-	-	-	-	0.24	-	-	-	-	0.24
7,981	-	-	-	-	0.24	-	-	-	-	0.24
8,794	-	-	-	-	0.26	-	-	-	-	0.26
8,919	-	-	-	-	0.26	-	-	-	-	0.26
15,922	-	-	-	-	0.51	-	-	-	-	0.51
20,322	-	-	-	-	0.63	-	-	-	-	0.63





			72,239	-	-	-	-	2.51	-	-	-	-	2.51	
			73,569	-	-	-	-	2.54	-	-	-	-	2.54	
			89,946	-	-	-	-	2.48	-	-	-	-	2.48	
			100,000	-	-	-	-	3.61	-	-	-	-	3.61	
			100,074	-	-	-	-	3.49	-	-	-	-	3.49	
			113,799	-	-	-	-	3.16	-	-	-	-	3.16	
			137,711	-	-	-	-	4.88	-	-	-	-	4.88	
			150,000	-	-	-	-	4.21	-	-	-	-	4.21	
			159,893	-	-	-	-	5.15	-	-	-	-	5.15	
			181,981	-	-	-	-	5.84	-	-	-	-	5.84	
			200,000	-	-	-	-	6.01	-	-	-	-	6.01	
			200,000	-	-	-	-	7.25	-	-	-	-	7.25	
			262,289	-	-	-	-	9.31	-	-	-	-	9.31	
			698,500	-	-	-	-	19.42	-	-	-	-	19.42	
LIQUID	Commercial Paper	PUR	1,000	49.48	-	-	-	-	-	-	-	-	49.48	
LNGFLT	Debentures and Bonds	PUR	100	-	-	-	-	10.02	-	-	-	-	10.02	
			500	-	-	-	-	50.10	-	-	-	-	50.10	
			650	-	-	-	-	65.10	-	-	-	-	65.10	
		SAL	15	-	-	-	-	1.62	-	-	-	-	-	1.62
			20	-	-	-	-	2.16	-	-	-	-	-	2.16
			180	-	-	-	-	19.46	-	-	-	-	-	19.46
			235	-	-	-	-	25.39	-	-	-	-	-	25.39
			300	-	-	-	-	32.43	-	-	-	-	-	32.43
			500	-	-	-	-	54.03	-	-	-	-	-	54.03
500	-	-	-	-	54.36	-	-	-	-	-	54.36			
LNGTEM	Debentures and Bonds	PUR	50	-	-	-	-	5.38	-	-	-	-	5.38	
			100	-	-	-	-	10.76	-	-	-	-	10.76	
MULTIPLE6B	Equity Shares	SAL	38,535	-	-	-	-	1.07	-	-	-	1.07		
MULTIPLE6E	Equity Shares	SAL	54,044	-	-	-	-	1.51	-	-	-	-	1.51	
MULTIPLE6F	Equity Shares	SAL	63,505	-	-	-	-	1.80	-	-	-	-	1.80	
MULTIPLE7F	Equity Shares	SAL	58,427	-	-	-	-	1.63	-	-	-	-	1.63	
MULTIPLE8C	Equity Shares	SAL	55,850	-	-	-	-	1.78	-	-	-	-	1.78	
MULTIPLE8F	Equity Shares	SAL	49,788	-	-	-	-	1.76	-	-	-	-	1.76	
NIFETF	Equity Shares	PUR	10	-	-	-	-	^^	-	-	-	-	^^	
			788	-	-	-	-	0.03	-	-	-	-	0.03	
			789	-	-	-	-	0.03	-	-	-	-	0.03	
			789	-	-	-	-	0.03	-	-	-	-	0.03	
			789	-	-	-	-	0.03	-	-	-	-	0.03	
			790	-	-	-	-	0.03	-	-	-	-	0.03	
			790	-	-	-	-	0.03	-	-	-	-	0.03	
			790	-	-	-	-	0.03	-	-	-	-	0.03	
			790	-	-	-	-	0.03	-	-	-	-	0.03	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			791	-	-	-	-	0.02	-	-	-	-	0.02	
			792	-	-	-	-	0.02	-	-	-	-	0.02	
			793	-	-	-	-	0.02	-	-	-	-	0.02	
			793	-	-	-	-	0.02	-	-	-	-	0.02	
793	-	-	-	-	0.02	-	-	-	-	0.02				
793	-	-	-	-	0.02	-	-	-	-	0.02				





1,710	-	-	-	-	0.06	-	-	-	-	0.06
1,712	-	-	-	-	0.05	-	-	-	-	0.05
1,712	-	-	-	-	0.05	-	-	-	-	0.05
1,712	-	-	-	-	0.05	-	-	-	-	0.05
1,712	-	-	-	-	0.05	-	-	-	-	0.05
1,714	-	-	-	-	0.05	-	-	-	-	0.05
1,730	-	-	-	-	0.05	-	-	-	-	0.05
1,732	-	-	-	-	0.05	-	-	-	-	0.05
1,734	-	-	-	-	0.05	-	-	-	-	0.05
1,734	-	-	-	-	0.05	-	-	-	-	0.05
1,734	-	-	-	-	0.05	-	-	-	-	0.05
1,734	-	-	-	-	0.05	-	-	-	-	0.05
1,736	-	-	-	-	0.05	-	-	-	-	0.05
1,736	-	-	-	-	0.05	-	-	-	-	0.05
1,736	-	-	-	-	0.05	-	-	-	-	0.05
1,736	-	-	-	-	0.05	-	-	-	-	0.05
1,738	-	-	-	-	0.05	-	-	-	-	0.05
1,738	-	-	-	-	0.05	-	-	-	-	0.05
1,738	-	-	-	-	0.05	-	-	-	-	0.05
2,367	-	-	-	-	0.08	-	-	-	-	0.08
2,370	-	-	-	-	0.08	-	-	-	-	0.08
2,373	-	-	-	-	0.07	-	-	-	-	0.07
2,379	-	-	-	-	0.07	-	-	-	-	0.07
2,559	-	-	-	-	0.08	-	-	-	-	0.08
2,562	-	-	-	-	0.07	-	-	-	-	0.07
2,562	-	-	-	-	0.09	-	-	-	-	0.09
2,562	-	-	-	-	0.09	-	-	-	-	0.09
2,562	-	-	-	-	0.07	-	-	-	-	0.07
2,565	-	-	-	-	0.08	-	-	-	-	0.08
2,565	-	-	-	-	0.08	-	-	-	-	0.08
2,565	-	-	-	-	0.08	-	-	-	-	0.08
2,565	-	-	-	-	0.08	-	-	-	-	0.08
2,565	-	-	-	-	0.08	-	-	-	-	0.08
2,565	-	-	-	-	0.09	-	-	-	-	0.09
2,565	-	-	-	-	0.08	-	-	-	-	0.08
2,568	-	-	-	-	0.08	-	-	-	-	0.08
2,571	-	-	-	-	0.07	-	-	-	-	0.07
2,571	-	-	-	-	0.07	-	-	-	-	0.07
2,595	-	-	-	-	0.08	-	-	-	-	0.08
2,598	-	-	-	-	0.08	-	-	-	-	0.08
2,601	-	-	-	-	0.08	-	-	-	-	0.08
2,601	-	-	-	-	0.08	-	-	-	-	0.08
2,601	-	-	-	-	0.08	-	-	-	-	0.08
2,601	-	-	-	-	0.08	-	-	-	-	0.08
2,601	-	-	-	-	0.07	-	-	-	-	0.07
2,604	-	-	-	-	0.08	-	-	-	-	0.08
2,607	-	-	-	-	0.08	-	-	-	-	0.08
2,607	-	-	-	-	0.08	-	-	-	-	0.08
2,607	-	-	-	-	0.08	-	-	-	-	0.08
2,607	-	-	-	-	0.08	-	-	-	-	0.08
3,160	-	-	-	-	0.10	-	-	-	-	0.10
3,160	-	-	-	-	0.10	-	-	-	-	0.10

	3,164	-	-	-	-	0.10	-	-	-	-	0.10
	3,394	-	-	-	-	0.11	-	-	-	-	0.11
	3,412	-	-	-	-	0.11	-	-	-	-	0.11
	3,416	-	-	-	-	0.09	-	-	-	-	0.09
	3,420	-	-	-	-	0.11	-	-	-	-	0.11
	3,420	-	-	-	-	0.11	-	-	-	-	0.11
	3,420	-	-	-	-	0.11	-	-	-	-	0.11
	3,420	-	-	-	-	0.10	-	-	-	-	0.10
	3,420	-	-	-	-	0.11	-	-	-	-	0.11
	3,420	-	-	-	-	0.10	-	-	-	-	0.10
	3,420	-	-	-	-	0.11	-	-	-	-	0.11
	3,420	-	-	-	-	0.10	-	-	-	-	0.10
	3,420	-	-	-	-	0.11	-	-	-	-	0.11
	3,420	-	-	-	-	0.10	-	-	-	-	0.10
	3,424	-	-	-	-	0.10	-	-	-	-	0.10
	3,424	-	-	-	-	0.10	-	-	-	-	0.10
	3,424	-	-	-	-	0.10	-	-	-	-	0.10
	3,428	-	-	-	-	0.10	-	-	-	-	0.10
	3,428	-	-	-	-	0.10	-	-	-	-	0.10
	3,468	-	-	-	-	0.10	-	-	-	-	0.10
	3,468	-	-	-	-	0.10	-	-	-	-	0.10
	3,472	-	-	-	-	0.11	-	-	-	-	0.11
	3,950	-	-	-	-	0.13	-	-	-	-	0.13
	3,955	-	-	-	-	0.12	-	-	-	-	0.12
	4,275	-	-	-	-	0.14	-	-	-	-	0.14
	4,330	-	-	-	-	0.12	-	-	-	-	0.12
	4,340	-	-	-	-	0.13	-	-	-	-	0.13
	4,345	-	-	-	-	0.13	-	-	-	-	0.13
	4,345	-	-	-	-	0.13	-	-	-	-	0.13
	4,746	-	-	-	-	0.14	-	-	-	-	0.14
	4,758	-	-	-	-	0.13	-	-	-	-	0.13
	5,124	-	-	-	-	0.14	-	-	-	-	0.14
	5,130	-	-	-	-	0.16	-	-	-	-	0.16
	5,130	-	-	-	-	0.16	-	-	-	-	0.16
	5,202	-	-	-	-	0.15	-	-	-	-	0.15
	5,208	-	-	-	-	0.15	-	-	-	-	0.15
	5,558	-	-	-	-	0.16	-	-	-	-	0.16
	6,920	-	-	-	-	0.20	-	-	-	-	0.20
	6,936	-	-	-	-	0.21	-	-	-	-	0.21
	7,686	-	-	-	-	0.21	-	-	-	-	0.21
	7,785	-	-	-	-	0.22	-	-	-	-	0.22
	7,910	-	-	-	-	0.24	-	-	-	-	0.24
	8,670	-	-	-	-	0.24	-	-	-	-	0.24
	8,670	-	-	-	-	0.24	-	-	-	-	0.24
	8,690	-	-	-	-	0.26	-	-	-	-	0.26
	9,405	-	-	-	-	0.30	-	-	-	-	0.30
	10,260	-	-	-	-	0.32	-	-	-	-	0.32
	11,141	-	-	-	-	0.32	-	-	-	-	0.32
	12,825	-	-	-	-	0.42	-	-	-	-	0.42
	13,872	-	-	-	-	0.39	-	-	-	-	0.39
	15,642	-	-	-	-	0.45	-	-	-	-	0.45
	47,880	-	-	-	-	1.49	-	-	-	-	1.49
SAL	855	-	-	-	-	0.03	-	-	-	-	0.03
	855	-	-	-	-	0.03	-	-	-	-	0.03
	855	-	-	-	-	0.03	-	-	-	-	0.03

						855	-	-	-	-	0.03	-	-	-	-	0.03
						855	-	-	-	-	0.03	-	-	-	-	0.03
						855	-	-	-	-	0.03	-	-	-	-	0.03
						866	-	-	-	-	0.03	-	-	-	-	0.03
						866	-	-	-	-	0.03	-	-	-	-	0.03
						868	-	-	-	-	0.03	-	-	-	-	0.03
						868	-	-	-	-	0.03	-	-	-	-	0.03
						869	-	-	-	-	0.03	-	-	-	-	0.03
						869	-	-	-	-	0.03	-	-	-	-	0.03
						1,706	-	-	-	-	0.05	-	-	-	-	0.05
						1,708	-	-	-	-	0.05	-	-	-	-	0.05
						1,710	-	-	-	-	0.06	-	-	-	-	0.06
						1,710	-	-	-	-	0.05	-	-	-	-	0.05
						1,734	-	-	-	-	0.05	-	-	-	-	0.05
						2,178	-	-	-	-	0.07	-	-	-	-	0.07
						2,562	-	-	-	-	0.07	-	-	-	-	0.07
						2,598	-	-	-	-	0.08	-	-	-	-	0.08
						2,601	-	-	-	-	0.08	-	-	-	-	0.08
						3,416	-	-	-	-	0.10	-	-	-	-	0.10
						3,420	-	-	-	-	0.11	-	-	-	-	0.11
						3,420	-	-	-	-	0.11	-	-	-	-	0.11
						3,420	-	-	-	-	0.11	-	-	-	-	0.11
						3,464	-	-	-	-	0.10	-	-	-	-	0.10
						3,468	-	-	-	-	0.10	-	-	-	-	0.10
						4,335	-	-	-	-	0.13	-	-	-	-	0.13
						4,513	-	-	-	-	0.12	-	-	-	-	0.12
						5,130	-	-	-	-	0.16	-	-	-	-	0.16
						5,202	-	-	-	-	0.15	-	-	-	-	0.15
						5,891	-	-	-	-	0.17	-	-	-	-	0.17
						6,832	-	-	-	-	0.21	-	-	-	-	0.21
						6,832	-	-	-	-	0.19	-	-	-	-	0.19
						6,840	-	-	-	-	0.22	-	-	-	-	0.22
						6,936	-	-	-	-	0.21	-	-	-	-	0.21
						8,540	-	-	-	-	0.26	-	-	-	-	0.26
						8,540	-	-	-	-	0.23	-	-	-	-	0.23
						8,617	-	-	-	-	0.28	-	-	-	-	0.28
						8,806	-	-	-	-	0.25	-	-	-	-	0.25
						10,404	-	-	-	-	0.31	-	-	-	-	0.31
						13,712	-	-	-	-	0.39	-	-	-	-	0.39
						17,060	-	-	-	-	0.54	-	-	-	-	0.54
						18,788	-	-	-	-	0.49	-	-	-	-	0.49
						29,232	-	-	-	-	0.82	-	-	-	-	0.82
						30,780	-	-	-	-	0.96	-	-	-	-	0.96
						40,113	-	-	-	-	1.12	-	-	-	-	1.12
						40,185	-	-	-	-	1.28	-	-	-	-	1.28
						47,880	-	-	-	-	1.46	-	-	-	-	1.46
						284,715	-	-	-	-	8.99	-	-	-	-	8.99
						395,010	-	-	-	-	12.31	-	-	-	-	12.31
NIFJUN	Equity Shares	PUR				13	-	-	-	-	-	-	-	^^	-	^^
						15	-	-	-	-	-	-	-	^^	-	^^
						49	-	-	-	-	-	-	-	^^	-	^^
						64	-	-	-	-	-	-	-	^^	-	^^
						66	-	-	-	-	-	-	-	^^	-	^^

66	-	-	-	-	-	-	-	-	^^	-	^^
67	-	-	-	-	-	-	-	-	^^	-	^^
68	-	-	-	-	-	-	-	-	^^	-	^^
68	-	-	-	-	-	-	-	-	^^	-	^^
68	-	-	-	-	-	-	-	-	^^	-	^^
68	-	-	-	-	-	-	-	-	^^	-	^^
69	-	-	-	-	-	-	-	-	^^	-	^^
72	-	-	-	-	-	-	-	-	^^	-	^^
75	-	-	-	-	-	-	-	-	^^	-	^^
79	-	-	-	-	-	-	-	-	^^	-	^^
81	-	-	-	-	-	-	-	-	^^	-	^^
81	-	-	-	-	-	-	-	-	^^	-	^^
82	-	-	-	-	-	-	-	-	^^	-	^^
83	-	-	-	-	-	-	-	-	^^	-	^^
83	-	-	-	-	-	-	-	-	^^	-	^^
83	-	-	-	-	-	-	-	-	^^	-	^^
84	-	-	-	-	-	-	-	-	^^	-	^^
85	-	-	-	-	-	-	-	-	^^	-	^^
85	-	-	-	-	-	-	-	-	^^	-	^^
89	-	-	-	-	-	-	-	-	^^	-	^^
94	-	-	-	-	-	-	-	-	^^	-	^^
95	-	-	-	-	-	-	-	-	^^	-	^^
95	-	-	-	-	-	-	-	-	^^	-	^^
96	-	-	-	-	-	-	-	-	^^	-	^^
97	-	-	-	-	-	-	-	-	^^	-	^^
97	-	-	-	-	-	-	-	-	^^	-	^^
98	-	-	-	-	-	-	-	-	^^	-	^^
98	-	-	-	-	-	-	-	-	^^	-	^^
109	-	-	-	-	-	-	-	-	^^	-	^^
109	-	-	-	-	-	-	-	-	^^	-	^^
110	-	-	-	-	-	-	-	-	^^	-	^^
110	-	-	-	-	-	-	-	-	^^	-	^^
112	-	-	-	-	-	-	-	-	^^	-	^^
114	-	-	-	-	-	-	-	-	^^	-	^^
128	-	-	-	-	-	-	-	-	^^	-	^^
128	-	-	-	-	-	-	-	-	0.01	-	0.01
128	-	-	-	-	-	-	-	-	0.01	-	0.01
130	-	-	-	-	-	-	-	-	0.01	-	0.01
130	-	-	-	-	-	-	-	-	0.01	-	0.01
132	-	-	-	-	-	-	-	-	0.01	-	0.01
132	-	-	-	-	-	-	-	-	0.01	-	0.01
134	-	-	-	-	-	-	-	-	0.01	-	0.01
134	-	-	-	-	-	-	-	-	0.01	-	0.01
134	-	-	-	-	-	-	-	-	0.01	-	0.01
134	-	-	-	-	-	-	-	-	0.01	-	0.01
135	-	-	-	-	-	-	-	-	0.01	-	0.01
137	-	-	-	-	-	-	-	-	0.01	-	0.01
137	-	-	-	-	-	-	-	-	0.01	-	0.01
139	-	-	-	-	-	-	-	-	0.01	-	0.01
139	-	-	-	-	-	-	-	-	0.01	-	0.01
140	-	-	-	-	-	-	-	-	0.01	-	0.01
140	-	-	-	-	-	-	-	-	0.01	-	0.01
141	-	-	-	-	-	-	-	-	0.01	-	0.01

142	-	-	-	-	-	-	-	0.01	-	0.01
143	-	-	-	-	-	-	-	0.01	-	0.01
144	-	-	-	-	-	-	-	0.01	-	0.01
145	-	-	-	-	-	-	-	0.01	-	0.01
150	-	-	-	-	-	-	-	0.01	-	0.01
153	-	-	-	-	-	-	-	0.01	-	0.01
155	-	-	-	-	-	-	-	0.01	-	0.01
157	-	-	-	-	-	-	-	0.01	-	0.01
161	-	-	-	-	-	-	-	0.01	-	0.01
162	-	-	-	-	-	-	-	0.01	-	0.01
162	-	-	-	-	-	-	-	0.01	-	0.01
166	-	-	-	-	-	-	-	0.01	-	0.01
169	-	-	-	-	-	-	-	0.01	-	0.01
170	-	-	-	-	-	-	-	0.01	-	0.01
177	-	-	-	-	-	-	-	0.01	-	0.01
180	-	-	-	-	-	-	-	0.01	-	0.01
181	-	-	-	-	-	-	-	0.01	-	0.01
183	-	-	-	-	-	-	-	0.01	-	0.01
185	-	-	-	-	-	-	-	0.01	-	0.01
191	-	-	-	-	-	-	-	0.01	-	0.01
191	-	-	-	-	-	-	-	0.01	-	0.01
192	-	-	-	-	-	-	-	0.01	-	0.01
192	-	-	-	-	-	-	-	0.01	-	0.01
192	-	-	-	-	-	-	-	0.01	-	0.01
193	-	-	-	-	-	-	-	0.01	-	0.01
193	-	-	-	-	-	-	-	0.01	-	0.01
193	-	-	-	-	-	-	-	0.01	-	0.01
194	-	-	-	-	-	-	-	0.01	-	0.01
194	-	-	-	-	-	-	-	0.01	-	0.01
195	-	-	-	-	-	-	-	0.01	-	0.01
195	-	-	-	-	-	-	-	0.01	-	0.01
197	-	-	-	-	-	-	-	0.01	-	0.01
198	-	-	-	-	-	-	-	0.01	-	0.01
201	-	-	-	-	-	-	-	0.01	-	0.01
202	-	-	-	-	-	-	-	0.01	-	0.01
205	-	-	-	-	-	-	-	0.01	-	0.01
212	-	-	-	-	-	-	-	0.01	-	0.01
213	-	-	-	-	-	-	-	0.01	-	0.01
213	-	-	-	-	-	-	-	0.01	-	0.01
213	-	-	-	-	-	-	-	0.01	-	0.01
235	-	-	-	-	-	-	-	0.01	-	0.01
243	-	-	-	-	-	-	-	0.01	-	0.01
249	-	-	-	-	-	-	-	0.01	-	0.01
257	-	-	-	-	-	-	-	0.01	-	0.01
257	-	-	-	-	-	-	-	0.01	-	0.01
269	-	-	-	-	-	-	-	0.01	-	0.01
275	-	-	-	-	-	-	-	0.01	-	0.01
277	-	-	-	-	-	-	-	0.01	-	0.01
279	-	-	-	-	-	-	-	0.01	-	0.01
283	-	-	-	-	-	-	-	0.01	-	0.01
284	-	-	-	-	-	-	-	0.01	-	0.01
286	-	-	-	-	-	-	-	0.01	-	0.01
293	-	-	-	-	-	-	-	0.01	-	0.01



312	-	-	-	-	-	-	-	0.01	-	0.01
316	-	-	-	-	-	-	-	0.01	-	0.01
350	-	-	-	-	-	-	-	0.01	-	0.01
354	-	-	-	-	-	-	-	0.01	-	0.01
354	-	-	-	-	-	-	-	0.01	-	0.01
355	-	-	-	-	-	-	-	0.01	-	0.01
358	-	-	-	-	-	-	-	0.02	-	0.02
358	-	-	-	-	-	-	-	0.02	-	0.02
363	-	-	-	-	-	-	-	0.01	-	0.01
364	-	-	-	-	-	-	-	0.01	-	0.01
366	-	-	-	-	-	-	-	0.01	-	0.01
381	-	-	-	-	-	-	-	0.01	-	0.01
385	-	-	-	-	-	-	-	0.01	-	0.01
388	-	-	-	-	-	-	-	0.01	-	0.01
388	-	-	-	-	-	-	-	0.02	-	0.02
390	-	-	-	-	-	-	-	0.02	-	0.02
390	-	-	-	-	-	-	-	0.01	-	0.01
410	-	-	-	-	-	-	-	0.02	-	0.02
423	-	-	-	-	-	-	-	0.02	-	0.02
423	-	-	-	-	-	-	-	0.02	-	0.02
451	-	-	-	-	-	-	-	0.02	-	0.02
480	-	-	-	-	-	-	-	0.02	-	0.02
480	-	-	-	-	-	-	-	0.02	-	0.02
485	-	-	-	-	-	-	-	0.02	-	0.02
505	-	-	-	-	-	-	-	0.02	-	0.02
534	-	-	-	-	-	-	-	0.02	-	0.02
534	-	-	-	-	-	-	-	0.02	-	0.02
534	-	-	-	-	-	-	-	0.02	-	0.02
535	-	-	-	-	-	-	-	0.02	-	0.02
552	-	-	-	-	-	-	-	0.02	-	0.02
568	-	-	-	-	-	-	-	0.02	-	0.02
577	-	-	-	-	-	-	-	0.02	-	0.02
583	-	-	-	-	-	-	-	0.02	-	0.02
590	-	-	-	-	-	-	-	0.02	-	0.02
594	-	-	-	-	-	-	-	0.02	-	0.02
643	-	-	-	-	-	-	-	0.03	-	0.03
658	-	-	-	-	-	-	-	0.03	-	0.03
674	-	-	-	-	-	-	-	0.03	-	0.03
731	-	-	-	-	-	-	-	0.03	-	0.03
741	-	-	-	-	-	-	-	0.03	-	0.03
744	-	-	-	-	-	-	-	0.03	-	0.03
757	-	-	-	-	-	-	-	0.03	-	0.03
761	-	-	-	-	-	-	-	0.03	-	0.03
769	-	-	-	-	-	-	-	0.03	-	0.03
815	-	-	-	-	-	-	-	0.03	-	0.03
858	-	-	-	-	-	-	-	0.03	-	0.03
1,083	-	-	-	-	-	-	-	0.05	-	0.05
1,122	-	-	-	-	-	-	-	0.04	-	0.04
1,153	-	-	-	-	-	-	-	0.05	-	0.05
1,159	-	-	-	-	-	-	-	0.05	-	0.05
1,680	-	-	-	-	-	-	-	0.07	-	0.07
3,483	-	-	-	-	-	-	-	0.14	-	0.14
13,606	-	-	-	-	-	-	-	0.55	-	0.55

		SAL	481	-	-	-	-	-	-	-	0.02	-	0.02
			661	-	-	-	-	-	-	-	0.03	-	0.03
			818	-	-	-	-	-	-	-	0.03	-	0.03
			898	-	-	-	-	-	-	-	0.04	-	0.04
			1,026	-	-	-	-	-	-	-	0.04	-	0.04
			1,046	-	-	-	-	-	-	-	0.04	-	0.04
NV20ETF	Equity Shares	PUR	79	-	-	-	-	^^	-	-	-	-	^^
			240	-	-	-	-	0.01	-	-	-	-	0.01
			371	-	-	-	-	0.01	-	-	-	-	0.01
			406	-	-	-	-	0.01	-	-	-	-	0.01
			460	-	-	-	-	0.01	-	-	-	-	0.01
			461	-	-	-	-	0.02	-	-	-	-	0.02
			461	-	-	-	-	0.02	-	-	-	-	0.02
			463	-	-	-	-	0.01	-	-	-	-	0.01
			923	-	-	-	-	0.03	-	-	-	-	0.03
			1,386	-	-	-	-	0.04	-	-	-	-	0.04
		SAL	36	-	-	-	-	^^	-	-	-	-	^^
			48	-	-	-	-	^^	-	-	-	-	^^
			200	-	-	-	-	0.01	-	-	-	-	0.01
			296	-	-	-	-	0.01	-	-	-	-	0.01
			353	-	-	-	-	0.01	-	-	-	-	0.01
			403	-	-	-	-	0.01	-	-	-	-	0.01
			442	-	-	-	-	0.01	-	-	-	-	0.01
POWER	Equity Shares	PUR	10,563	-	-	-	-	0.31	-	-	-	-	0.31
			16,380	-	-	-	-	0.45	-	-	-	-	0.45
			24,258	-	-	-	-	0.70	-	-	-	-	0.70
			115,097	-	-	-	-	3.16	-	-	-	-	3.16
			174,482	-	-	-	-	5.11	-	-	-	-	5.11
			216,097	-	-	-	-	6.03	-	-	-	-	6.03
			223,888	-	-	-	-	6.76	-	-	-	-	6.76
			326,832	-	-	-	-	9.60	-	-	-	-	9.60
			388,543	-	-	-	-	11.34	-	-	-	-	11.34
			492,337	-	-	-	-	13.60	-	-	-	-	13.60
			549,910	-	-	-	-	15.25	-	-	-	-	15.25
			600,000	-	-	-	-	15.93	-	-	-	-	15.93
			682,255	-	-	-	-	18.24	-	-	-	-	18.24
			814,445	-	18.53	-	-	-	-	-	-	-	18.53
			923,242	-	-	-	-	26.47	-	-	-	-	26.47
		SAL	66	-	^^	-	-	-	-	-	-	-	^^
			500	-	0.01	-	-	-	-	-	-	-	0.01
			1,104	-	0.03	-	-	-	-	-	-	-	0.03
			1,792	-	0.05	-	-	-	-	-	-	-	0.05
			2,739	-	0.08	-	-	-	-	-	-	-	0.08
			3,033	-	0.09	-	-	-	-	-	-	-	0.09
			3,382	-	0.09	-	-	-	-	-	-	-	0.09
			4,575	-	0.12	-	-	-	-	-	-	-	0.12
			5,034	-	0.13	-	-	-	-	-	-	-	0.13
			8,393	-	0.22	-	-	-	-	-	-	-	0.22
			14,008	-	0.38	-	-	-	-	-	-	-	0.38
			14,769	-	0.40	-	-	-	-	-	-	-	0.40
			15,347	-	0.44	-	-	-	-	-	-	-	0.44
			17,067	-	0.44	-	-	-	-	-	-	-	0.44
			18,749	-	-	-	-	0.57	-	-	-	-	0.57

			23,454	-	0.63	-	-	-	-	-	-	0.63
			51,747	-	1.40	-	-	-	-	-	-	1.40
			69,106	-	1.86	-	-	-	-	-	-	1.86
			69,544	-	-	-	-	2.12	-	-	-	2.12
			86,370	-	-	-	-	2.64	-	-	-	2.64
			95,420	-	-	-	-	2.94	-	-	-	2.94
			100,000	-	2.95	-	-	-	-	-	-	2.95
			112,797	-	2.98	-	-	-	-	-	-	2.98
			113,800	-	-	-	-	3.47	-	-	-	3.47
			156,196	-	4.21	-	-	-	-	-	-	4.21
			163,256	-	-	-	-	4.91	-	-	-	4.91
			169,748	-	-	-	-	5.99	-	-	-	5.99
			233,579	-	6.67	-	-	-	-	-	-	6.67
			268,239	-	-	-	-	8.08	-	-	-	8.08
			279,571	-	-	-	-	9.86	-	-	-	9.86
			314,349	-	-	-	-	9.62	-	-	-	9.62
			348,720	-	9.30	-	-	-	-	-	-	9.30
			390,155	-	10.39	-	-	-	-	-	-	10.39
			390,489	-	10.53	-	-	-	-	-	-	10.53
			458,790	-	-	-	-	13.99	-	-	-	13.99
			479,191	-	-	-	-	14.60	-	-	-	14.60
			479,543	-	-	-	-	16.59	-	-	-	16.59
			829,411	-	-	-	-	25.30	-	-	-	25.30
			869,058	-	-	-	-	31.19	-	-	-	31.19
			1,051,135	-	-	-	-	35.66	-	-	-	35.66
			1,112,442	-	-	-	-	36.36	-	-	-	36.36
RECOVERY1	Equity Shares	PUR	7,471	-	-	-	-	0.24	-	-	-	0.24
			236,940	-	-	-	-	7.44	-	-	-	7.44
			372,646	-	-	-	-	11.69	-	-	-	11.69
		SAL	17,066	-	-	-	-	0.52	-	-	-	0.52
			114,719	-	-	-	-	4.14	-	-	-	4.14
			124,291	-	-	-	-	3.81	-	-	-	3.81
RECOVERY2	Equity Shares	PUR	2,655	-	-	-	-	0.08	-	-	-	0.08
			84,182	-	-	-	-	2.64	-	-	-	2.64
			132,398	-	-	-	-	4.16	-	-	-	4.16
			299,750	-	-	-	-	8.95	-	-	-	8.95
		SAL	21,436	-	-	-	-	0.66	-	-	-	0.66
			142,503	-	-	-	-	5.14	-	-	-	5.14
			156,118	-	-	-	-	4.79	-	-	-	4.79
RECOVERY3	Equity Shares	PUR	2,756	-	-	-	-	0.09	-	-	-	0.09
			87,411	-	-	-	-	2.74	-	-	-	2.74
			137,476	-	-	-	-	4.31	-	-	-	4.31
		SAL	9,312	-	-	-	-	0.29	-	-	-	0.29
			58,319	-	-	-	-	2.10	-	-	-	2.10
			67,816	-	-	-	-	2.08	-	-	-	2.08
RECOVERY4	Equity Shares	SAL	21,030	-	-	-	-	0.63	-	-	-	0.63
			23,475	-	-	-	-	0.68	-	-	-	0.68
			39,960	-	-	-	-	1.26	-	-	-	1.26
RECOVERY5	Equity Shares	PUR	47,846	-	-	-	-	1.37	-	-	-	1.37
			54,159	-	-	-	-	1.50	-	-	-	1.50
			72,300	-	-	-	-	2.11	-	-	-	2.11
		SAL	53,901	-	-	-	-	1.66	-	-	-	1.66
			72,046	-	-	-	-	2.24	-	-	-	2.24

			110,412	-	-	-	-	3.40	-	-	-	-	3.40	
			120,146	-	-	-	-	4.10	-	-	-	-	4.10	
RECOVERY7	Equity Shares	PUR	19,000	-	-	-	-	0.60	-	-	-	-	0.60	
		SAL	5,000	-	-	-	-	0.16	-	-	-	-	0.16	
			11,154	-	-	-	-	0.40	-	-	-	-	0.40	
			12,660	-	-	-	-	0.44	-	-	-	-	0.44	
			12,801	-	-	-	-	0.41	-	-	-	-	0.41	
			16,158	-	-	-	-	0.56	-	-	-	-	0.56	
REGULAR	Debentures and Bonds	PUR	900	-	-	-	-	90.18	-	-	-	-	90.18	
			2,100	-	-	-	-	210.32	-	-	-	-	210.32	
RIGHT	Equity Shares	PUR	47,974	-	-	-	-	1.45	-	-	-	-	1.45	
		SAL	37,092	-	-	-	-	1.08	-	-	-	-	1.08	
			39,234	-	-	-	-	1.26	-	-	-	-	1.26	
			41,860	-	-	-	-	1.17	-	-	-	-	1.17	
SERVE	Equity Shares	SAL	12	-	^^	-	-	-	-	-	-	-	^^	
			838	-	0.02	-	-	-	-	-	-	-	-	0.02
			1,274	-	0.04	-	-	-	-	-	-	-	-	0.04
			1,747	-	0.05	-	-	-	-	-	-	-	-	0.05
			1,889	-	0.05	-	-	-	-	-	-	-	-	0.05
			2,705	-	0.07	-	-	-	-	-	-	-	-	0.07
			4,295	-	0.11	-	-	-	-	-	-	-	-	0.11
			5,500	-	0.16	-	-	-	-	-	-	-	-	0.16
			6,421	-	0.17	-	-	-	-	-	-	-	-	0.17
			10,025	-	0.29	-	-	-	-	-	-	-	-	0.29
			19,468	-	0.53	-	-	-	-	-	-	-	-	0.53
			25,998	-	0.70	-	-	-	-	-	-	-	-	0.70
			28,605	-	0.77	-	-	-	-	-	-	-	-	0.77
			36,048	-	1.04	-	-	-	-	-	-	-	-	1.04
			62,717	-	-	-	-	-	2.00	-	-	-	-	2.00
			63,864	-	1.70	-	-	-	-	-	-	-	-	1.70
			71,451	-	1.90	-	-	-	-	-	-	-	-	1.90
			71,513	-	1.93	-	-	-	-	-	-	-	-	1.93
			108,677	-	3.10	-	-	-	-	-	-	-	-	3.10
	134,379	-	3.94	-	-	-	-	-	-	-	-	3.94		
	172,581	-	-	-	-	-	-	5.52	-	-	-	5.52		
	693,355	-	-	-	-	-	-	22.03	-	-	-	22.03		
SHTERM	Debentures and Bonds	PUR	250	-	-	-	-	26.89	-	-	-	-	26.89	
		SAL	50	-	-	-	-	5.34	-	-	-	-	5.34	
			100	-	-	-	-	10.27	-	-	-	-	10.27	
			250	-	-	-	-	25.61	-	-	-	-	25.61	
			400	-	-	-	-	42.66	-	-	-	-	42.66	
SINDEX	Equity Shares	PUR	36	-	-	-	-	^^	-	-	-	-	^^	
			57	-	-	-	-	^^	-	-	-	-	^^	
			72	-	-	-	-	^^	-	-	-	-	^^	
			76	-	-	-	-	^^	-	-	-	-	^^	
			100	-	-	-	-	^^	-	-	-	-	^^	
			102	-	-	-	-	^^	-	-	-	-	^^	
			110	-	-	-	-	^^	-	-	-	-	^^	
			123	-	-	-	-	^^	-	-	-	-	^^	
			124	-	-	-	-	^^	-	-	-	-	^^	
			134	-	-	-	-	^^	-	-	-	-	^^	
			135	-	-	-	-	^^	-	-	-	-	^^	
			137	-	-	-	-	^^	-	-	-	-	^^	

			141	-	-	-	-	^^	-	-	-	-	^^
			193	-	-	-	-	0.01	-	-	-	-	0.01
			193	-	-	-	-	0.01	-	-	-	-	0.01
			196	-	-	-	-	0.01	-	-	-	-	0.01
			238	-	-	-	-	0.01	-	-	-	-	0.01
			302	-	-	-	-	0.01	-	-	-	-	0.01
			441	-	-	-	-	0.01	-	-	-	-	0.01
			455	-	-	-	-	0.01	-	-	-	-	0.01
			501	-	-	-	-	0.02	-	-	-	-	0.02
			514	-	-	-	-	0.02	-	-	-	-	0.02
			28,221	-	-	-	-	0.81	-	-	-	-	0.81
		SAL	22	-	-	-	-	^^	-	-	-	-	^^
			130	-	-	-	-	^^	-	-	-	-	^^
			138	-	-	-	-	^^	-	-	-	-	^^
			424	-	-	-	-	0.01	-	-	-	-	0.01
			673	-	-	-	-	0.02	-	-	-	-	0.02
			4,741	-	-	-	-	0.13	-	-	-	-	0.13
			5,193	-	-	-	-	0.14	-	-	-	-	0.14
			14,238	-	-	-	-	0.39	-	-	-	-	0.39
SPICE	Equity Shares	PUR	1	-	-	-	-	^^	-	-	-	-	^^
			680	-	-	-	-	0.02	-	-	-	-	0.02
			681	-	-	-	-	0.02	-	-	-	-	0.02
			681	-	-	-	-	0.02	-	-	-	-	0.02
			700	-	-	-	-	0.02	-	-	-	-	0.02
			701	-	-	-	-	0.02	-	-	-	-	0.02
			701	-	-	-	-	0.02	-	-	-	-	0.02
			702	-	-	-	-	0.02	-	-	-	-	0.02
		SAL	3	-	-	-	-	^^	-	-	-	-	^^
			8	-	-	-	-	^^	-	-	-	-	^^
			25	-	-	-	-	^^	-	-	-	-	^^
			162	-	-	-	-	^^	-	-	-	-	^^
			236	-	-	-	-	0.01	-	-	-	-	0.01
			657	-	-	-	-	0.02	-	-	-	-	0.02
			682	-	-	-	-	0.02	-	-	-	-	0.02
			702	-	-	-	-	0.02	-	-	-	-	0.02
			1,314	-	-	-	-	0.04	-	-	-	-	0.04
			1,360	-	-	-	-	0.05	-	-	-	-	0.05
			1,400	-	-	-	-	0.04	-	-	-	-	0.04
			1,400	-	-	-	-	0.04	-	-	-	-	0.04
			1,402	-	-	-	-	0.04	-	-	-	-	0.04
			1,971	-	-	-	-	0.06	-	-	-	-	0.06
STUDY	Equity Shares	PUR	19,018	-	-	-	-	0.59	-	-	-	-	0.59
TARGET	Equity Shares	SAL	69,458	-	-	-	-	2.04	-	-	-	-	2.04
			82,394	-	-	-	-	2.39	-	-	-	-	2.39
			99,225	-	-	-	-	2.88	-	-	-	-	2.88
			148,839	-	-	-	-	4.36	-	-	-	-	4.36
			188,147	-	-	-	-	5.47	-	-	-	-	5.47
			198,451	-	-	-	-	5.80	-	-	-	-	5.80
			411,393	-	-	-	-	11.91	-	-	-	-	11.91
			480,831	-	-	-	-	13.98	-	-	-	-	13.98
			650,000	-	-	-	-	18.84	-	-	-	-	18.84
TAX	Equity Shares	PUR	1,445	-	-	-	-	-	-	0.10	-	-	0.10
			1,498	-	-	-	-	-	-	0.10	-	-	0.10

	4,999	-	-	-	-	-	0.34	-	-	0.34
	6,827	-	-	-	-	-	0.47	-	-	0.47
	12,973	-	-	-	-	0.38	-	-	-	0.38
	16,166	-	-	-	-	0.43	-	-	-	0.43
	16,204	-	-	-	-	-	1.13	-	-	1.13
	38,658	-	-	-	-	1.12	-	-	-	1.12
	47,688	-	-	-	-	-	3.27	-	-	3.27
	75,592	-	-	-	-	2.37	-	-	-	2.37
	79,516	-	-	-	-	2.49	-	-	-	2.49
	250,000	-	-	-	-	3.43	-	8.64	-	12.07
	196,151	-	-	-	-	5.35	-	-	-	5.35
	214,296	-	-	-	-	6.27	-	-	-	6.27
	257,261	-	-	-	-	7.53	-	-	-	7.53
	296,498	-	-	-	-	-	19.60	-	-	19.60
	477,203	-	-	-	-	13.93	-	-	-	13.93
	481,265	-	-	-	-	13.21	-	-	-	13.21
	609,338	-	-	-	-	17.76	-	-	-	17.76
	819,448	-	-	-	-	22.64	-	-	-	22.64
	1,139,495	-	-	-	-	31.70	-	-	-	31.70
	1,234,390	-	-	-	-	36.26	-	-	-	36.26
	1,471,342	-	-	-	-	42.19	-	-	-	42.19
SAL	3,020	-	-	-	-	-	0.24	-	-	0.24
	4,650	-	-	-	-	-	0.39	-	-	0.39
	25,760	-	-	-	-	-	2.19	-	-	2.19
	28,075	-	-	-	-	0.86	-	-	-	0.86
	29,884	-	-	-	-	-	2.46	-	-	2.46
	35,436	-	-	-	-	-	2.99	-	-	2.99
	58,135	-	-	-	-	-	4.90	-	-	4.90
	67,083	-	-	-	-	-	5.47	-	-	5.47
	91,095	-	-	-	-	2.95	-	-	-	2.95
	102,051	-	-	-	-	-	8.34	-	-	8.34
	104,136	-	-	-	-	3.18	-	-	-	3.18
	129,332	-	-	-	-	3.95	-	-	-	3.95
	153,489	-	-	-	-	4.72	-	-	-	4.72
	164,700	-	-	-	-	5.02	-	-	-	5.02
	172,520	-	-	-	-	5.53	-	-	-	5.53
	174,140	-	-	-	-	-	14.68	-	-	14.68
	176,391	-	-	-	-	5.98	-	-	-	5.98
	209,388	-	-	-	-	7.04	-	-	-	7.04
	264,061	-	-	-	-	7.94	-	-	-	7.94
	343,722	-	-	-	-	11.21	-	-	-	11.21
	381,807	-	-	-	-	12.43	-	-	-	12.43
	391,872	-	-	-	-	12.86	-	-	-	12.86
	422,067	-	-	-	-	13.81	-	-	-	13.81
	433,868	-	-	-	-	13.06	-	-	-	13.06
	500,000	-	-	-	-	16.15	-	-	-	16.15
	505,651	-	-	-	-	15.47	-	-	-	15.47
	681,957	-	-	-	-	21.73	-	-	-	21.73
	686,997	-	-	-	-	20.96	-	-	-	20.96
	770,809	-	-	-	-	23.49	-	-	-	23.49
	1,033,655	-	-	-	-	34.62	-	-	-	34.62
	1,241,968	-	-	-	-	37.88	-	-	-	37.88
	1,704,125	-	-	-	-	56.17	-	-	-	56.17



			16,570	-	0.47	-	-	-	-	-	-	-	0.47
			22,056	-	0.59	-	-	-	-	-	-	-	0.59
			22,935	-	0.62	-	-	-	-	-	-	-	0.62
			24,654	-	0.66	-	-	-	-	-	-	-	0.66
			25,000	-	0.67	-	-	-	-	-	-	-	0.67
			25,000	-	0.67	-	-	-	-	-	-	-	0.67
			34,436	-	0.94	-	-	-	-	-	-	-	0.94
			36,458	-	-	-	-	1.18	-	-	-	-	1.18
			652,896	-	-	-	-	21.42	-	-	-	-	21.42
			1,094,500	-	-	-	-	34.45	-	-	-	-	34.45
VALUE13	Equity Shares	PUR	386,419	-	-	-	-	10.46	-	-	-	-	10.46
			500,000	-	-	-	-	14.92	-	-	-	-	14.92
			570,114	-	-	-	-	15.43	-	-	-	-	15.43
			600,000	-	-	-	-	18.13	-	-	-	-	18.13
		SAL	151,439	-	-	-	-	4.90	-	-	-	-	4.90
VALUE14	Equity Shares	PUR	17,590	-	-	-	-	0.53	-	-	-	-	0.53
			485,660	-	-	-	-	14.59	-	-	-	-	14.59
VALUE15	Equity Shares	PUR	16,608	-	-	-	-	0.49	-	-	-	-	0.49
			33,392	-	-	-	-	0.99	-	-	-	-	0.99
			41,628	-	-	-	-	1.12	-	-	-	-	1.12
			42,041	-	-	-	-	1.21	-	-	-	-	1.21
			100,000	-	-	-	-	2.96	-	-	-	-	2.96
			100,000	-	-	-	-	2.96	-	-	-	-	2.96
			114,908	-	-	-	-	3.60	-	-	-	-	3.60
			200,000	-	-	-	-	6.03	-	-	-	-	6.03
			250,000	-	-	-	-	7.40	-	-	-	-	7.40
			457,959	-	-	-	-	13.07	-	-	-	-	13.07
		SAL	30,250	-	-	-	-	0.98	-	-	-	-	0.98
			41,628	-	-	-	-	1.32	-	-	-	-	1.32
			100,000	-	-	-	-	3.62	-	-	-	-	3.62
			114,908	-	-	-	-	3.95	-	-	-	-	3.95
			167,750	-	-	-	-	5.41	-	-	-	-	5.41
VALUE16	Equity Shares	PUR	168,602	-	-	-	-	4.88	-	-	-	-	4.88
			423,974	-	-	-	-	12.22	-	-	-	-	12.22
			500,000	-	-	-	-	14.65	-	-	-	-	14.65
		SAL	2,523	-	-	-	-	0.08	-	-	-	-	0.08
			19,844	-	-	-	-	0.63	-	-	-	-	0.63
			108,256	-	-	-	-	3.43	-	-	-	-	3.43
VALUE17	Equity Shares	PUR	8,606	-	0.22	-	-	-	-	-	-	-	0.22
			20,000	-	0.50	-	-	-	-	-	-	-	0.50
			20,689	-	0.54	-	-	-	-	-	-	-	0.54
			55,502	-	-	-	-	1.51	-	-	-	-	1.51
			70,705	-	1.87	-	-	-	-	-	-	-	1.87
			99,000	-	-	-	-	2.73	-	-	-	-	2.73
			118,886	-	-	-	-	3.30	-	-	-	-	3.30
			176,612	-	-	-	-	5.19	-	-	-	-	5.19
		SAL	40,000	-	-	-	-	1.43	-	-	-	-	1.43
			50,000	-	-	-	-	1.53	-	-	-	-	1.53
			71,836	-	-	-	-	2.42	-	-	-	-	2.42
			80,000	-	-	-	-	2.54	-	-	-	-	2.54
VALUE18	Equity Shares	PUR	10,845	-	-	-	-	0.34	-	-	-	-	0.34
			34,990	-	-	-	-	1.11	-	-	-	-	1.11
			79,867	-	-	-	-	2.40	-	-	-	-	2.40





			10,000	-	-	-	-	0.32	-	-	-	-	0.32
			16,500	-	-	-	-	0.49	-	-	-	-	0.49
			27,150	-	0.75	-	-	-	-	-	-	-	0.75
			61,505	-	1.69	-	-	-	-	-	-	-	1.69
			61,662	-	-	-	-	1.98	-	-	-	-	1.98
			63,931	-	-	-	-	2.23	-	-	-	-	2.23
			173,250	-	-	-	-	5.09	-	-	-	-	5.09
VALUE7	Equity Shares	PUR	7,652	-	-	-	-	0.24	-	-	-	-	0.24
		SAL	2,000	-	-	-	-	0.06	-	-	-	-	0.06
			3,447	-	-	-	-	0.11	-	-	-	-	0.11
			4,596	-	-	-	-	0.16	-	-	-	-	0.16
			5,020	-	-	-	-	0.17	-	-	-	-	0.17
			11,649	-	-	-	-	0.35	-	-	-	-	0.35
VALUE8	Equity Shares	PUR	39,409	-	-	-	-	1.13	-	-	-	-	1.13
			429,291	-	-	-	-	12.25	-	-	-	-	12.25
		SAL	7	-	^^	-	-	-	-	-	-	-	^^
			127	-	^^	-	-	-	-	-	-	-	^^
			240	-	0.01	-	-	-	-	-	-	-	0.01
			445	-	0.01	-	-	-	-	-	-	-	0.01
			466	-	0.01	-	-	-	-	-	-	-	0.01
			595	-	0.02	-	-	-	-	-	-	-	0.02
			677	-	0.02	-	-	-	-	-	-	-	0.02
			928	-	0.03	-	-	-	-	-	-	-	0.03
			992	-	0.03	-	-	-	-	-	-	-	0.03
			1,003	-	0.03	-	-	-	-	-	-	-	0.03
			1,209	-	0.03	-	-	-	-	-	-	-	0.03
			1,251	-	0.03	-	-	-	-	-	-	-	0.03
			1,437	-	0.04	-	-	-	-	-	-	-	0.04
			1,508	-	0.04	-	-	-	-	-	-	-	0.04
			2,282	-	0.06	-	-	-	-	-	-	-	0.06
			2,960	-	0.08	-	-	-	-	-	-	-	0.08
			3,666	-	0.10	-	-	-	-	-	-	-	0.10
			3,941	-	0.11	-	-	-	-	-	-	-	0.11
			4,896	-	0.13	-	-	-	-	-	-	-	0.13
			5,327	-	0.15	-	-	-	-	-	-	-	0.15
			7,854	-	0.21	-	-	-	-	-	-	-	0.21
			7,991	-	0.21	-	-	-	-	-	-	-	0.21
			13,262	-	0.36	-	-	-	-	-	-	-	0.36
			15,071	-	0.44	-	-	-	-	-	-	-	0.44
			15,199	-	0.41	-	-	-	-	-	-	-	0.41
			19,154	-	0.55	-	-	-	-	-	-	-	0.55
			33,933	-	0.90	-	-	-	-	-	-	-	0.90
			37,965	-	1.01	-	-	-	-	-	-	-	1.01
			37,998	-	1.02	-	-	-	-	-	-	-	1.02
			57,744	-	1.65	-	-	-	-	-	-	-	1.65
			71,401	-	2.09	-	-	-	-	-	-	-	2.09
			150,000	-	4.04	-	-	-	-	-	-	-	4.04
			225,085	-	6.14	-	-	-	-	-	-	-	6.14
			468,700	-	-	-	-	14.10	-	-	-	-	14.10
VALUE9	Equity Shares	PUR	107,795	-	-	-	-	3.37	-	-	-	-	3.37
		SAL	50,000	-	-	-	-	1.59	-	-	-	-	1.59
			57,010	-	-	-	-	1.83	-	-	-	-	1.83
			63,884	-	-	-	-	2.22	-	-	-	-	2.22

			81,501	-	-	-	-	2.84	-	-	-	-	2.84
<b>Grand Total</b>				<b>258.40</b>	<b>226.14</b>	<b>41.72</b>	<b>177.26</b>	<b>10,842.21</b>	<b>75.11</b>	<b>414.42</b>	<b>216.18</b>	<b>640.00</b>	<b>12,891.44</b>

^^ Amount less than Rs. 0.01 crores

**Note:** Above data does not include derivatives (futures & options), Interscheme transactions and corporate actions.

The above transactions were considered sound. Before making an investment, AMC evaluated the same on merits and on arms' length basis and in accordance with the objectives of the scheme.

Associate Transactions

Investment in Associates / Group Companies

During the period April 01, 2018 to March 31, 2019, the following investments were made in the securities of Sponsors / Group Companies / Associate Companies

(Rs. In Crs)

Scheme Code*	Particulars	Type	Quantity	Aster DM Healthcare Ltd	Birla Corporation Limited	Brigade Enterprises Limited	Gammon Infrastructure Projects Ltd	GMR Infrastructure Ltd	ICICI Bank Limited	ICICI Home Finance Company Limited	ICICI Lombard General Insurance Company Limited	ICICI Prudential Life Insurance Company Limited	ICICI Securities Ltd	Grand Total
BAFIN	Equity Shares	PUR	102	-	-	-	-	-	-	-	0.01	-	-	0.01
			370	-	-	-	-	-	-	-	0.03	-	-	0.03
			473	-	-	-	-	-	-	-	0.04	-	-	0.04
			545	-	-	-	-	-	-	-	0.04	-	-	0.04
			1,794	-	-	-	-	-	-	-	0.13	-	-	0.13
			1,827	-	-	-	-	-	-	-	-	0.06	-	0.06
			1,987	-	-	-	-	-	-	-	0.15	-	-	0.15
			2,047	-	-	-	-	-	-	-	0.15	-	-	0.15
			2,600	-	-	-	-	-	-	-	0.21	-	-	0.21
			6,258	-	-	-	-	-	-	-	0.46	-	-	0.46
			7,118	-	-	-	-	-	-	-	0.54	-	-	0.54
			7,880	-	-	-	-	-	-	-	0.60	-	-	0.60
			7,886	-	-	-	-	-	-	-	0.61	-	-	0.61
			8,298	-	-	-	-	-	-	-	0.64	-	-	0.64
			9,176	-	-	-	-	-	-	-	0.67	-	-	0.67
			30,000	-	-	-	-	-	-	-	2.30	-	-	2.30
			10,264	-	-	-	-	-	-	-	0.75	-	-	0.75
			11,171	-	-	-	-	-	-	-	-	0.39	-	0.39
			11,281	-	-	-	-	-	-	-	-	0.35	-	0.35
			15,045	-	-	-	-	-	-	-	1.16	-	-	1.16
			15,651	-	-	-	-	-	-	-	1.14	-	-	1.14
			18,354	-	-	-	-	-	-	-	1.41	-	-	1.41
			19,887	-	-	-	-	-	-	-	1.46	-	-	1.46
			21,408	-	-	-	-	-	-	-	1.64	-	-	1.64
			21,493	-	-	-	-	-	-	-	1.50	-	-	1.50
			25,000	-	-	-	-	-	-	-	-	0.88	-	0.88
			25,095	-	-	-	-	-	-	-	1.94	-	-	1.94
			25,867	-	-	-	-	-	-	-	2.33	-	-	2.33
			38,500	-	-	-	-	-	1.37	-	-	-	-	1.37
			39,562	-	-	-	-	-	-	-	-	1.30	-	1.30
			41,270	-	-	-	-	-	-	-	-	1.47	-	1.47
			50,000	-	-	-	-	-	-	-	4.08	-	-	4.08
			64,023	-	-	-	-	-	-	-	-	2.02	-	2.02
			65,754	-	-	-	-	-	2.25	-	-	-	-	2.25
			75,000	-	-	-	-	-	-	-	-	2.65	-	2.65
			85,959	-	-	-	-	-	-	-	-	2.87	-	2.87
			93,654	-	-	-	-	-	-	-	-	3.08	-	3.08
			94,338	-	-	-	-	-	-	-	7.19	-	-	7.19
			125,148	-	-	-	-	-	-	-	-	5.25	-	5.25
			152,784	-	-	-	-	-	-	-	11.65	-	-	11.65
			161,101	-	-	-	-	-	4.54	-	-	-	-	4.54
			171,940	-	-	-	-	-	-	-	-	5.26	-	5.26
			174,798	-	-	-	-	-	-	-	14.08	-	-	14.08
			191,105	-	-	-	-	-	5.35	-	-	-	-	5.35
			280,086	-	-	-	-	-	-	-	-	11.33	-	11.33
			684,750	-	-	-	-	-	24.84	-	-	-	-	24.84
			2,194,500	-	-	-	-	-	77.87	-	-	-	-	77.87
		SAL	134	-	-	-	-	-	-	-	0.01	-	-	0.01

			2,837	-	-	-	-	-	-	0.29	-	-	0.29
			4,510	-	-	-	-	-	-	0.41	-	-	0.41
			7,771	-	-	-	-	-	-	-	-	0.26	0.26
			7,998	-	-	-	-	-	-	0.81	-	-	0.81
			9,214	-	-	-	-	-	-	0.93	-	-	0.93
			10,533	-	-	-	-	-	-	1.06	-	-	1.06
			25,000	-	-	-	-	-	-	1.97	-	-	1.97
			30,180	-	-	-	-	-	-	2.74	-	-	2.74
			46,542	-	-	-	-	-	-	4.79	-	-	4.79
			75,309	-	-	-	-	-	-	6.81	-	-	6.81
			75,977	-	-	-	-	-	-	7.75	-	-	7.75
			111,469	-	-	-	-	-	-	-	-	3.65	3.65
			165,992	-	-	-	-	5.72	-	-	-	-	5.72
			235,162	-	-	-	-	8.07	-	-	-	-	8.07
			649,976	-	-	-	-	-	-	-	-	21.40	21.40
			600,838	-	-	-	-	18.59	-	-	-	-	18.59
BALCD	Debentures and Bonds	PUR	150	-	-	-	-	14.90	-	-	-	-	14.90
			500	-	-	-	-	50.27	-	-	-	-	50.27
			300	-	-	-	-	29.15	-	-	-	-	29.15
			315	-	-	-	-	31.91	-	-	-	-	31.91
			500	-	-	-	-	50.63	-	-	-	-	50.63
	Equity Shares	PUR	297	-	-	-	-	-	-	0.02	-	-	0.02
			2,305	-	-	-	-	-	-	0.17	-	-	0.17
			3,995	-	-	-	-	-	-	-	-	0.14	0.14
			5,634	-	-	-	-	-	-	0.42	-	-	0.42
			20,019	-	-	-	-	-	-	1.47	-	-	1.47
			259,901	-	-	-	-	7.10	-	-	-	-	7.10
			275,675	-	-	-	-	7.24	-	-	-	-	7.24
			275,676	-	-	-	-	7.21	-	-	-	-	7.21
			298,649	-	-	-	-	7.80	-	-	-	-	7.80
			450,000	-	-	-	-	12.36	-	-	-	-	12.36
			461,784	-	-	-	-	12.58	-	-	-	-	12.58
			625,000	-	-	-	-	17.03	-	-	-	-	17.03
			675,000	-	-	-	-	18.60	-	-	-	-	18.60
			675,500	-	-	-	-	18.55	-	-	-	-	18.55
			687,135	-	-	-	-	18.87	-	-	-	-	18.87
			700,000	-	-	-	-	19.25	-	-	-	-	19.25
			825,000	-	-	-	-	22.44	-	-	-	-	22.44
			850,000	-	-	-	-	22.22	-	-	-	-	22.22
			1,000,000	-	-	-	-	27.09	-	-	-	-	27.09
			1,250,000	-	-	-	-	34.34	-	-	-	-	34.34
		SAL	11,656	-	-	-	-	-	-	-	-	0.38	0.38
			60,500	-	-	-	-	2.19	-	-	-	-	2.19
			63,172	-	-	-	-	-	-	6.29	-	-	6.29
			66,872	-	-	-	-	-	-	6.05	-	-	6.05
			150,000	-	-	-	-	5.09	-	-	-	-	5.09
			167,203	-	-	-	-	-	-	-	-	5.48	5.48
			188,000	-	-	-	-	6.48	-	-	-	-	6.48
			468,738	-	-	-	-	13.66	-	-	-	-	13.66
			487,482	-	-	-	-	-	-	-	-	16.05	16.05
			487,483	-	-	-	-	-	-	-	-	16.05	16.05
			490,919	-	-	-	-	-	-	-	21.62	-	21.62
			499,200	-	-	-	-	-	-	-	21.06	-	21.06
			850,000	-	-	-	-	28.80	-	-	-	-	28.80
			2,000,000	-	-	-	-	67.70	-	-	-	-	67.70
			1,243,000	-	-	-	-	38.06	-	-	-	-	38.06
			1,500,000	-	-	-	-	43.50	-	-	-	-	43.50
			1,537,208	-	-	-	-	52.32	-	-	-	-	52.32

			1,850,000	-	-	-	-	-	53.38	-	-	-	-	53.38
			1,907,896	-	-	-	-	-	57.21	-	-	-	-	57.21
			2,062,500	-	-	-	-	-	70.95	-	-	-	-	70.95
BANPSU	Debentures and Bonds	PUR	100	-	-	-	-	-	10.19	-	-	-	-	10.19
			1,000	-	-	-	-	-	101.86	-	-	-	-	101.86
		SAL	7	-	-	-	-	-	0.70	-	-	-	-	0.70
			12	-	-	-	-	-	1.20	-	-	-	-	1.20
			9	-	-	-	-	-	0.91	-	-	-	-	0.91
			16	-	-	-	-	-	1.57	-	-	-	-	1.57
			10	-	-	-	-	-	1.01	-	-	-	-	1.01
			14	-	-	-	-	-	1.38	-	-	-	-	1.38
			30	-	-	-	-	-	2.96	-	-	-	-	2.96
			16	-	-	-	-	-	1.58	-	-	-	-	1.58
			30	-	-	-	-	-	3.06	-	-	-	-	3.06
			100	-	-	-	-	-	10.04	-	-	-	-	10.04
			53	-	-	-	-	-	5.22	-	-	-	-	5.22
			900	-	-	-	-	-	90.98	-	-	-	-	90.98
			150	-	-	-	-	-	14.88	-	-	-	-	14.88
			170	-	-	-	-	-	17.35	-	-	-	-	17.35
			600	-	-	-	-	-	60.82	-	-	-	-	60.82
			2,000	-	-	-	-	-	-	107.83	-	-	-	107.83
BHACONS	Equity Shares	PUR	20	^^	-	-	-	-	-	-	-	-	-	^^
			62	^^	-	-	-	-	-	-	-	-	-	^^
			500	0.01	-	-	-	-	-	-	-	-	-	0.01
			658	0.01	-	-	-	-	-	-	-	-	-	0.01
			798	0.01	-	-	-	-	-	-	-	-	-	0.01
			830	0.01	-	-	-	-	-	-	-	-	-	0.01
			4,304	0.07	-	-	-	-	-	-	-	-	-	0.07
			5,221	0.08	-	-	-	-	-	-	-	-	-	0.08
			5,801	0.09	-	-	-	-	-	-	-	-	-	0.09
			11,254	0.18	-	-	-	-	-	-	-	-	-	0.18
			14,979	0.23	-	-	-	-	-	-	-	-	-	0.23
			40,250	0.64	-	-	-	-	-	-	-	-	-	0.64
BUSINESS1	Equity Shares	SAL	27,323	-	-	-	-	-	0.84	-	-	-	-	0.84
			77,177	-	-	-	-	-	2.37	-	-	-	-	2.37
			233,565	-	-	-	-	-	7.15	-	-	-	-	7.15
			283,250	-	-	-	-	-	11.33	-	-	-	-	11.33
CAPITA10B	Equity Shares	SAL	40,000	-	-	-	-	-	1.40	-	-	-	-	1.40
CAPITA11A	Equity Shares	SAL	3,097	-	-	-	-	-	0.11	-	-	-	-	0.11
CAPITA4A60	Equity Shares	SAL	67,611	-	-	-	-	-	1.86	-	-	-	-	1.86
CAPITA4C60	Equity Shares	SAL	51,298	-	-	-	-	-	1.74	-	-	-	-	1.74
CAPITA4D60	Equity Shares	SAL	140,679	-	-	-	-	-	4.31	-	-	-	-	4.31
CAPITA4F60	Equity Shares	SAL	139,339	-	-	-	-	-	4.95	-	-	-	-	4.95
CAPITA4G60	Equity Shares	SAL	97,801	-	-	-	-	-	3.48	-	-	-	-	3.48
CAPITA5A	Equity Shares	SAL	82,585	-	-	-	-	-	3.12	-	-	-	-	3.12
CAPITA5C	Equity Shares	SAL	66,130	-	-	-	-	-	2.27	-	-	-	-	2.27
CAPITA6A	Equity Shares	SAL	6,034	-	-	-	-	-	0.22	-	-	-	-	0.22
CAPITA6C	Equity Shares	PUR	7,500	-	-	-	-	-	0.23	-	-	-	-	0.23
		SAL	5,603	-	-	-	-	-	0.21	-	-	-	-	0.21
CAPITA7A	Equity Shares	SAL	45,375	-	-	-	-	-	1.31	-	-	-	-	1.31
CAPITA7B	Equity Shares	SAL	41,280	-	-	-	-	-	1.29	-	-	-	-	1.29
CAPITA7D	Equity Shares	SAL	3,488	-	-	-	-	-	0.13	-	-	-	-	0.13
CAPITA7E	Equity Shares	SAL	28,440	-	-	-	-	-	0.78	-	-	-	-	0.78
CAPITA7F	Equity Shares	SAL	30,933	-	-	-	-	-	0.84	-	-	-	-	0.84
CAPITA7G	Equity Shares	SAL	43,446	-	-	-	-	-	1.32	-	-	-	-	1.32
CAPITA7H	Equity Shares	SAL	43,209	-	-	-	-	-	1.43	-	-	-	-	1.43
CAPITA8C	Equity Shares	SAL	13,505	-	-	-	-	-	0.41	-	-	-	-	0.41
CAPITA8D	Equity Shares	SAL	1	-	-	-	-	-	^^	-	-	-	-	^^



			5,555	-	-	0.11	-	-	-	-	-	-	0.11
			6,543	-	-	0.12	-	-	-	-	-	-	0.12
			8,583	-	-	0.16	-	-	-	-	-	-	0.16
			9,076	-	-	0.17	-	-	-	-	-	-	0.17
			9,103	-	-	0.18	-	-	-	-	-	-	0.18
			9,354	-	-	0.18	-	-	-	-	-	-	0.18
			14,114	-	-	0.26	-	-	-	-	-	-	0.26
			14,600	-	-	0.29	-	-	-	-	-	-	0.29
			17,000	-	-	0.32	-	-	-	-	-	-	0.32
			19,000	-	-	0.38	-	-	-	-	-	-	0.38
			23,776	-	-	0.46	-	-	-	-	-	-	0.46
			42,933	-	-	0.83	-	-	-	-	-	-	0.83
			50,000	-	-	0.95	-	-	-	-	-	-	0.95
			51,145	-	-	1.00	-	-	-	-	-	-	1.00
			58,501	-	-	1.15	-	-	-	-	-	-	1.15
			66,590	-	-	-	-	-	1.80	-	-	-	1.80
			74,679	-	-	1.42	-	-	-	-	-	-	1.42
			300,000	-	-	5.20	-	-	-	-	-	-	5.20
			250,000	-	-	4.76	-	-	-	-	-	-	4.76
			324,324	-	-	-	-	-	8.48	-	-	-	8.48
			324,325	-	-	-	-	-	8.51	-	-	-	8.51
			351,351	-	-	-	-	-	9.18	-	-	-	9.18
			398,107	-	-	-	-	-	10.73	-	-	-	10.73
			500,000	-	-	9.72	-	-	-	-	-	-	9.72
			545,457	-	-	-	-	-	14.81	-	-	-	14.81
			2,639,836	-	-	-	-	-	71.45	-	-	-	71.45
		SAL	4,630	-	-	0.11	-	-	-	-	-	-	0.11
			6,447	-	-	0.16	-	-	-	-	-	-	0.16
			8,803	-	-	-	-	-	-	-	0.35	-	0.35
			10,120	-	-	0.25	-	-	-	-	-	-	0.25
			28,432	-	-	0.60	-	-	-	-	-	-	0.60
			79,294	-	-	1.94	-	-	-	-	-	-	1.94
			143,953	-	-	-	-	-	4.90	-	-	-	4.90
			436,487	-	-	-	-	-	-	-	17.48	-	17.48
			1,500,000	-	-	-	-	-	51.05	-	-	-	51.05
			1,850,000	-	-	-	-	-	53.38	-	-	-	53.38
EQDINO	Equity Shares	PUR	2,600	-	-	-	-	-	-	-	0.08	-	0.08
			2,750	-	-	-	-	-	0.10	-	-	-	0.10
			5,200	-	-	-	-	-	-	-	0.17	-	0.17
			55,000	-	-	-	-	-	1.59	-	-	-	1.59
			58,500	-	-	-	-	-	-	-	2.09	-	2.09
			93,500	-	-	-	-	-	3.34	-	-	-	3.34
			110,000	-	-	-	-	-	3.94	-	-	-	3.94
			313,500	-	-	-	-	-	10.66	-	-	-	10.66
			198,000	-	-	-	-	-	7.40	-	-	-	7.40
			206,250	-	-	-	-	-	6.66	-	-	-	6.66
			319,000	-	-	-	-	-	9.98	-	-	-	9.98
			363,000	-	-	-	-	-	12.53	-	-	-	12.53
			580,250	-	-	-	-	-	16.40	-	-	-	16.40
			632,500	-	-	-	-	-	17.87	-	-	-	17.87
			748,000	-	-	-	-	-	20.52	-	-	-	20.52
			764,500	-	-	-	-	-	21.35	-	-	-	21.35
			838,750	-	-	-	-	-	28.99	-	-	-	28.99
		SAL	500	-	-	-	-	-	0.01	-	-	-	0.01
			1,000	-	-	-	-	-	-	-	0.03	-	0.03
			1,800	-	-	-	-	-	-	-	0.06	-	0.06
			2,600	-	-	-	-	-	-	-	0.11	-	0.11
			5,500	-	-	-	-	-	0.17	-	-	-	0.17





			11,504	-	-	-	-	-	-	0.99	-	-	0.99
			13,920	-	-	0.27	-	-	-	-	-	-	0.27
			14,118	-	-	-	-	-	-	1.12	-	-	1.12
			16,754	-	-	0.34	-	-	-	-	-	-	0.34
			20,865	-	-	-	-	-	-	1.53	-	-	1.53
			22,000	-	-	-	-	-	-	1.75	-	-	1.75
			23,524	-	-	-	-	-	-	1.88	-	-	1.88
			25,916	-	-	-	-	-	-	2.08	-	-	2.08
			26,276	-	-	-	-	-	-	2.32	-	-	2.32
			28,347	-	-	-	-	-	-	2.50	-	-	2.50
			29,128	-	-	-	-	-	-	2.56	-	-	2.56
			33,600	-	-	0.66	-	-	-	-	-	-	0.66
			36,080	-	-	0.70	-	-	-	-	-	-	0.70
			36,800	-	-	-	-	-	-	3.24	-	-	3.24
			43,830	-	-	0.90	-	-	-	-	-	-	0.90
			62,177	-	-	-	-	-	-	4.65	-	-	4.65
			82,320	-	-	-	-	-	-	7.26	-	-	7.26
			200,000	-	-	-	-	6.84	-	-	-	-	6.84
			232,671	-	-	-	-	-	-	18.41	-	-	18.41
			400,000	-	-	-	-	11.75	-	-	-	-	11.75
			429,000	-	-	-	-	13.80	-	-	-	-	13.80
			1,500,000	-	-	-	-	45.15	-	-	-	-	45.15
			525,000	-	-	-	-	15.24	-	-	-	-	15.24
			528,358	-	-	-	-	15.54	-	-	-	-	15.54
			634,013	-	-	-	-	22.15	-	-	-	-	22.15
			725,000	-	-	-	-	21.05	-	-	-	-	21.05
			881,253	-	-	-	-	28.12	-	-	-	-	28.12
		SAL	11,656	-	-	-	-	-	-	-	-	0.38	0.38
			167,203	-	-	-	-	-	-	-	-	5.48	5.48
			487,482	-	-	-	-	-	-	-	-	16.05	16.05
			487,483	-	-	-	-	-	-	-	-	16.05	16.05
			1,000,000	-	-	-	-	33.30	-	-	-	-	33.30
			1,000,000	-	-	-	-	35.50	-	-	-	-	35.50
			1,713,250	-	-	-	-	61.98	-	-	-	-	61.98
			1,719,750	-	-	-	-	56.70	-	-	-	-	56.70
EQTYINC	Equity Shares	PUR	177	-	-	-	-	-	-	0.01	-	-	0.01
			3,500	-	-	-	-	-	-	0.28	-	-	0.28
			10,300	-	-	-	-	-	-	0.81	-	-	0.81
			19,823	-	-	-	-	-	-	1.55	-	-	1.55
			88,899	-	-	-	-	-	-	6.77	-	-	6.77
		SAL	3,629	-	-	-	-	-	-	0.32	-	-	0.32
			56,452	-	-	-	-	2.00	-	-	-	-	2.00
			106,933	-	-	-	-	4.08	-	-	-	-	4.08
			200,000	-	-	-	-	5.84	-	-	-	-	5.84
			222,750	-	-	-	-	8.81	-	-	-	-	8.81
			247,500	-	-	-	-	9.80	-	-	-	-	9.80
			259,557	-	-	-	-	9.14	-	-	-	-	9.14
			888,250	-	-	-	-	30.00	-	-	-	-	30.00
ESTAR	Equity Shares	PUR	370	-	-	0.01	-	-	-	-	-	-	0.01
			773	-	-	0.01	-	-	-	-	-	-	0.01
			3,813	-	-	0.07	-	-	-	-	-	-	0.07
			11,666	-	-	0.22	-	-	-	-	-	-	0.22
			90,000	-	-	-	-	0.16	-	-	-	-	0.16
			495,000	-	-	-	-	0.85	-	-	-	-	0.85
			585,000	-	-	-	-	1.01	-	-	-	-	1.01
		SAL	1,170,000	-	-	-	-	1.96	-	-	-	-	1.96
F821170R	Debentures and Bonds	PUR	200	-	-	-	-	-	10.13	-	-	-	10.13
F831105F	Debentures and Bonds	PUR	300	-	-	-	-	-	15.08	-	-	-	15.08

FLOAT	Debentures and Bonds	PUR	650	-	-	-	-	-	61.27	-	-	-	-	61.27	
FOCUS	Equity Shares	PUR	42,737	-	-	-	-	-	1.16	-	-	-	-	1.16	
			43,536	-	-	-	-	-	-	-	-	1.41	-	1.41	
		SAL	7,772	-	-	-	-	-	-	-	-	-	-	0.26	0.26
			26,080	-	-	-	-	-	-	0.74	-	-	-	-	0.74
			40,587	-	-	-	-	-	-	1.16	-	-	-	-	1.16
			43,536	-	-	-	-	-	-	-	-	-	-	1.49	1.49
			111,481	-	-	-	-	-	-	-	-	-	-	-	3.65
			181,869	-	-	-	-	-	-	5.17	-	-	-	-	5.17
			259,952	-	-	-	-	-	-	7.41	-	-	-	-	7.41
			325,023	-	-	-	-	-	-	-	-	-	-	-	10.70
			325,024	-	-	-	-	-	-	-	-	-	-	-	10.70
			327,282	-	-	-	-	-	-	9.31	-	-	-	-	9.31
			361,593	-	-	-	-	-	-	10.29	-	-	-	-	10.29
			393,750	-	-	-	-	-	-	11.21	-	-	-	-	11.21
			469,125	-	-	-	-	-	-	13.41	-	-	-	-	13.41
			975,000	-	-	-	-	-	-	27.78	-	-	-	-	27.78
			500,000	-	-	-	-	-	-	14.77	-	-	-	-	14.77
2,000,000	-	-	-	-	-	-	57.37	-	-	-	-	57.37			
1,007,499	-	-	-	-	-	-	31.24	-	-	-	-	31.24			
1,500,000	-	-	-	-	-	-	43.88	-	-	-	-	43.88			
GIFT	Equity Shares	SAL	39,949	-	-	-	-	-	1.18	-	-	-	1.18		
GROWTH	Equity Shares	PUR	454,613	-	-	-	-	-	-	-	36.44	-	-	36.44	
			SAL	8,693	-	-	-	-	-	0.25	-	-	-	-	0.25
		13,529		-	-	-	-	-	0.39	-	-	-	-	0.39	
		18,215		-	-	-	-	-	-	-	-	1.75	-	1.75	
		86,651		-	-	-	-	-	2.47	-	-	-	-	2.47	
		109,094		-	-	-	-	-	3.10	-	-	-	-	3.10	
		120,531		-	-	-	-	-	3.43	-	-	-	-	3.43	
		131,250		-	-	-	-	-	3.74	-	-	-	-	3.74	
		156,375		-	-	-	-	-	4.47	-	-	-	-	4.47	
		325,000		-	-	-	-	-	9.26	-	-	-	-	9.26	
		257,374		-	-	-	-	-	7.32	-	-	-	-	7.32	
		500,000		-	-	-	-	-	14.48	-	-	-	-	14.48	
		1,126,359	-	-	-	-	-	34.84	-	-	-	-	34.84		
GROWTH1	Equity Shares	SAL	2,925	-	-	-	-	0.09	-	-	-	-	0.09		
			39,339	-	-	-	-	1.21	-	-	-	-	1.21		
GROWTH2	Equity Shares	SAL	4,085	-	-	-	-	0.11	-	-	-	-	0.11		
GROWTH4	Equity Shares	SAL	74,702	-	-	-	-	-	2.16	-	-	-	2.16		
			82,500	-	-	-	-	-	2.34	-	-	-	2.34		
			249,528	-	-	-	-	-	6.95	-	-	-	6.95		
GROWTH5	Equity Shares	SAL	5,500	-	-	-	-	-	0.16	-	-	-	0.16		
			18,969	-	-	-	-	-	0.53	-	-	-	0.53		
			60,500	-	-	-	-	-	1.85	-	-	-	1.85		
			318,266	-	-	-	-	-	9.22	-	-	-	9.22		
GROWTH6	Equity Shares	SAL	349	-	-	0.01	-	-	-	-	-	-	0.01		
			2,093	-	-	0.04	-	-	-	-	-	-	0.04		
			3,439	-	-	0.07	-	-	-	-	-	-	0.07		
			4,223	-	-	0.09	-	-	-	-	-	-	0.09		
			5,425	-	-	0.11	-	-	-	-	-	-	0.11		
			7,235	-	-	0.15	-	-	-	-	-	-	0.15		
			22,542	-	-	0.46	-	-	-	-	-	-	0.46		
			41,607	-	-	-	-	-	1.17	-	-	-	-	1.17	
			116,997	-	-	2.39	-	-	-	-	-	-	-	2.39	
423,037	-	-	-	-	-	11.57	-	-	-	-	11.57				
GROWTH7	Equity Shares	SAL	3,313	-	-	-	-	-	0.10	-	-	-	0.10		
			34,992	-	-	-	-	-	1.07	-	-	-	1.07		
			63,893	-	-	-	-	-	1.75	-	-	-	1.75		

			139,765	-	-	-	-	-	3.81	-	-	-	-	3.81
IMPL	Debentures and Bonds	PUR	250	-	-	-	-	-	25.08	-	-	-	-	25.08
		Equity Shares	88,000	-	-	-	-	-	2.51	-	-	-	-	2.51
			138,291	-	-	-	-	-	3.97	-	-	-	-	3.97
			149,029	-	-	-	-	-	4.42	-	-	-	-	4.42
INASIA	Equity Shares	SAL	71,528	-	-	-	-	-	2.02	-	-	-	-	2.02
			113,458	-	-	-	-	-	3.48	-	-	-	-	3.48
			140,805	-	-	-	-	-	4.19	-	-	-	-	4.19
			160,000	-	-	-	-	-	4.51	-	-	-	-	4.51
INCOPP	Debentures and Bonds	SAL	50	-	-	-	-	-	5.05	-	-	-	-	5.05
			400	-	-	-	-	-	41.57	-	-	-	-	41.57
			250	-	-	-	-	-	24.60	-	-	-	-	24.60
INDEX	Equity Shares	PUR	356	-	-	-	-	-	0.01	-	-	-	-	0.01
			362	-	-	-	-	-	0.01	-	-	-	-	0.01
			370	-	-	-	-	-	0.01	-	-	-	-	0.01
			372	-	-	-	-	-	0.01	-	-	-	-	0.01
			756	-	-	-	-	-	0.02	-	-	-	-	0.02
			386	-	-	-	-	-	0.01	-	-	-	-	0.01
			457	-	-	-	-	-	0.01	-	-	-	-	0.01
			497	-	-	-	-	-	0.01	-	-	-	-	0.01
			608	-	-	-	-	-	0.02	-	-	-	-	0.02
			707	-	-	-	-	-	0.02	-	-	-	-	0.02
			732	-	-	-	-	-	0.02	-	-	-	-	0.02
			737	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,478	-	-	-	-	-	0.06	-	-	-	-	0.06
			741	-	-	-	-	-	0.03	-	-	-	-	0.03
			743	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,488	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,490	-	-	-	-	-	0.06	-	-	-	-	0.06
			754	-	-	-	-	-	0.03	-	-	-	-	0.03
			755	-	-	-	-	-	0.03	-	-	-	-	0.03
			757	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,520	-	-	-	-	-	0.05	-	-	-	-	0.05
			763	-	-	-	-	-	0.02	-	-	-	-	0.02
			764	-	-	-	-	-	0.02	-	-	-	-	0.02
			765	-	-	-	-	-	0.03	-	-	-	-	0.03
			768	-	-	-	-	-	0.02	-	-	-	-	0.02
			769	-	-	-	-	-	0.02	-	-	-	-	0.02
			772	-	-	-	-	-	0.02	-	-	-	-	0.02
			774	-	-	-	-	-	0.02	-	-	-	-	0.02
			780	-	-	-	-	-	0.02	-	-	-	-	0.02
			783	-	-	-	-	-	0.02	-	-	-	-	0.02
			931	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,056	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,111	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,118	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,131	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,134	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,139	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,144	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,193	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,403	-	-	-	-	-	0.06	-	-	-	-	0.06
1,414	-	-	-	-	-	0.05	-	-	-	-	0.05			
1,478	-	-	-	-	-	0.05	-	-	-	-	0.05			
1,486	-	-	-	-	-	0.05	-	-	-	-	0.05			
1,487	-	-	-	-	-	0.05	-	-	-	-	0.05			
1,492	-	-	-	-	-	0.06	-	-	-	-	0.06			
1,496	-	-	-	-	-	0.05	-	-	-	-	0.05			

			3,000	-	-	-	-	-	0.10	-	-	-	-	0.10
			1,510	-	-	-	-	-	0.06	-	-	-	-	0.06
			1,523	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,532	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,542	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,544	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,560	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,570	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,611	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,886	-	-	-	-	-	0.07	-	-	-	-	0.07
			1,908	-	-	-	-	-	0.06	-	-	-	-	0.06
			2,111	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,143	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,213	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,226	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,237	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,364	-	-	-	-	-	0.07	-	-	-	-	0.07
			2,367	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,660	-	-	-	-	-	0.07	-	-	-	-	0.07
			2,795	-	-	-	-	-	0.11	-	-	-	-	0.11
			2,868	-	-	-	-	-	0.09	-	-	-	-	0.09
			2,898	-	-	-	-	-	0.09	-	-	-	-	0.09
			2,912	-	-	-	-	-	0.10	-	-	-	-	0.10
			3,074	-	-	-	-	-	0.09	-	-	-	-	0.09
			3,112	-	-	-	-	-	0.11	-	-	-	-	0.11
			3,137	-	-	-	-	-	0.11	-	-	-	-	0.11
			3,165	-	-	-	-	-	0.11	-	-	-	-	0.11
			3,182	-	-	-	-	-	0.10	-	-	-	-	0.10
			3,419	-	-	-	-	-	0.12	-	-	-	-	0.12
			4,134	-	-	-	-	-	0.17	-	-	-	-	0.17
			4,429	-	-	-	-	-	0.14	-	-	-	-	0.14
			6,338	-	-	-	-	-	0.25	-	-	-	-	0.25
			7,515	-	-	-	-	-	0.22	-	-	-	-	0.22
			8,404	-	-	-	-	-	0.33	-	-	-	-	0.33
			11,425	-	-	-	-	-	0.30	-	-	-	-	0.30
			71,879	-	-	-	-	-	2.19	-	-	-	-	2.19
		SAL	310	-	-	-	-	-	0.01	-	-	-	-	0.01
			372	-	-	-	-	-	0.01	-	-	-	-	0.01
			530	-	-	-	-	-	0.02	-	-	-	-	0.02
			704	-	-	-	-	-	0.03	-	-	-	-	0.03
			736	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,065	-	-	-	-	-	0.04	-	-	-	-	0.04
			1,125	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,459	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,477	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,830	-	-	-	-	-	0.07	-	-	-	-	0.07
			2,184	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,836	-	-	-	-	-	0.09	-	-	-	-	0.09
			4,883	-	-	-	-	-	0.19	-	-	-	-	0.19
			5,611	-	-	-	-	-	0.22	-	-	-	-	0.22
			7,686	-	-	-	-	-	0.22	-	-	-	-	0.22
			8,800	-	-	-	-	-	0.27	-	-	-	-	0.27
			19,929	-	-	-	-	-	0.66	-	-	-	-	0.66
			20,123	-	-	-	-	-	0.59	-	-	-	-	0.59
			20,744	-	-	-	-	-	0.73	-	-	-	-	0.73
			33,840	-	-	-	-	-	1.14	-	-	-	-	1.14
			35,150	-	-	-	-	-	1.18	-	-	-	-	1.18
INDOPP	Equity Shares	PUR	286	-	-	-	-	-	-	-	-	-	0.01	0.01

			210,062	-	-	-	-	-	-	-	6.41	-	6.41		
			386,610	-	-	-	-	13.56	-	-	-	-	13.56		
			436,923	-	-	-	-	-	-	-	13.36	-	13.36		
			1,000,000	-	-	-	-	37.47	-	-	-	-	37.47		
INFRA	Equity Shares	PUR	40,000	-	-	-	-	1.08	-	-	-	-	1.08		
			68,433	-	-	1.47	-	-	-	-	-	-	-	1.47	
			116,997	-	-	2.40	-	-	-	-	-	-	-	-	2.40
		SAL	15,227	-	-	-	-	-	0.43	-	-	-	-	-	0.43
			23,696	-	-	-	-	-	0.68	-	-	-	-	-	0.68
			40,000	-	-	-	-	-	1.10	-	-	-	-	-	1.10
			70,000	-	-	-	-	-	2.08	-	-	-	-	-	2.08
			92,060	-	-	-	-	-	3.06	-	-	-	-	-	3.06
			100,000	-	-	-	-	-	3.19	-	-	-	-	-	3.19
			107,940	-	-	-	-	-	3.65	-	-	-	-	-	3.65
			150,359	-	-	-	-	-	4.32	-	-	-	-	-	4.32
			151,768	-	-	-	-	-	4.32	-	-	-	-	-	4.32
			211,111	-	-	-	-	-	6.01	-	-	-	-	-	6.01
			400,000	-	-	-	-	-	12.78	-	-	-	-	-	12.78
			500,000	-	-	-	-	-	14.50	-	-	-	-	-	14.50
			679,339	-	-	-	-	-	19.35	-	-	-	-	-	19.35
LNGFLT	Debentures and Bonds	PUR	750	-	-	-	-	75.30	-	-	-	-	75.30		
LNGTEM	Debentures and Bonds	SAL	150	-	-	-	-	14.18	-	-	-	-	14.18		
LTWEF	Equity Shares	PUR	20,419	-	-	-	-	0.75	-	-	-	-	0.75		
MULTIPL10A	Equity Shares	SAL	16,338	-	-	-	-	0.60	-	-	-	-	0.60		
MULTIPL10B	Equity Shares	SAL	6,733	-	-	-	-	0.25	-	-	-	-	0.25		
			15,000	-	-	-	-	0.53	-	-	-	-	0.53		
MULTIPL11D	Equity Shares	SAL	2,086	-	-	-	-	0.08	-	-	-	-	0.08		
MULTIPLE4A	Equity Shares	SAL	108,761	-	-	-	-	3.34	-	-	-	-	3.34		
MULTIPLE4B	Equity Shares	SAL	70,045	-	-	-	-	1.98	-	-	-	-	1.98		
MULTIPLE4D	Equity Shares	SAL	40,001	-	-	-	-	1.13	-	-	-	-	1.13		
MULTIPLE5C	Equity Shares	SAL	49,863	-	-	-	-	1.72	-	-	-	-	1.72		
MULTIPLE6C	Equity Shares	SAL	66,594	-	-	-	-	2.63	-	-	-	-	2.63		
MULTIPLE7B	Equity Shares	SAL	3,783	-	-	-	-	0.14	-	-	-	-	0.14		
MULTIPLE7C	Equity Shares	SAL	2,400	-	-	-	-	0.08	-	-	-	-	0.08		
			6,126	-	-	-	-	0.23	-	-	-	-	0.23		
MULTIPLE7D	Equity Shares	SAL	53,135	-	-	-	-	1.66	-	-	-	-	1.66		
MULTIPLE7E	Equity Shares	SAL	4,159	-	-	-	-	0.15	-	-	-	-	0.15		
MULTIPLE8A	Equity Shares	SAL	4,593	-	-	-	-	0.17	-	-	-	-	0.17		
MULTIPLE8D	Equity Shares	SAL	1,300	-	-	-	-	0.05	-	-	-	-	0.05		
			4,316	-	-	-	-	0.16	-	-	-	-	0.16		
NIFETF	Equity Shares	PUR	7,533	-	-	-	-	0.27	-	-	-	-	0.27		
			9,218	-	-	-	-	0.33	-	-	-	-	0.33		
			5,873	-	-	-	-	0.21	-	-	-	-	0.21		
			15,120	-	-	-	-	0.48	-	-	-	-	0.48		
			4,205	-	-	-	-	0.11	-	-	-	-	0.11		
			5,052	-	-	-	-	0.12	-	-	-	-	0.12		
			2,529	-	-	-	-	0.06	-	-	-	-	0.06		
			5,064	-	-	-	-	0.14	-	-	-	-	0.14		
			5,070	-	-	-	-	0.18	-	-	-	-	0.18		
			1,670	-	-	-	-	0.07	-	-	-	-	0.07		
			3,348	-	-	-	-	0.12	-	-	-	-	0.12		
			11,732	-	-	-	-	0.39	-	-	-	-	0.39		
			6,712	-	-	-	-	0.26	-	-	-	-	0.26		
			6,720	-	-	-	-	0.24	-	-	-	-	0.24		
			1,682	-	-	-	-	0.05	-	-	-	-	0.05		
			3,368	-	-	-	-	0.10	-	-	-	-	0.10		
3,372	-	-	-	-	0.10	-	-	-	-	0.10					
1,688	-	-	-	-	0.05	-	-	-	-	0.05					

			3,380	-	-	-	-	-	0.11	-	-	-	-	0.11
			5,022	-	-	-	-	-	0.19	-	-	-	-	0.19
			7,542	-	-	-	-	-	0.26	-	-	-	-	0.26
			2,517	-	-	-	-	-	0.10	-	-	-	-	0.10
			10,080	-	-	-	-	-	0.33	-	-	-	-	0.33
			7,578	-	-	-	-	-	0.21	-	-	-	-	0.21
			2,529	-	-	-	-	-	0.07	-	-	-	-	0.07
			5,064	-	-	-	-	-	0.15	-	-	-	-	0.15
			2,535	-	-	-	-	-	0.09	-	-	-	-	0.09
			6,696	-	-	-	-	-	0.25	-	-	-	-	0.25
			10,056	-	-	-	-	-	0.32	-	-	-	-	0.32
			3,356	-	-	-	-	-	0.12	-	-	-	-	0.12
			3,368	-	-	-	-	-	0.09	-	-	-	-	0.09
			8,370	-	-	-	-	-	0.30	-	-	-	-	0.30
			4,190	-	-	-	-	-	0.16	-	-	-	-	0.16
			4,205	-	-	-	-	-	0.12	-	-	-	-	0.12
			8,440	-	-	-	-	-	0.27	-	-	-	-	0.27
			4,225	-	-	-	-	-	0.14	-	-	-	-	0.14
			4,245	-	-	-	-	-	0.17	-	-	-	-	0.17
			5,028	-	-	-	-	-	0.20	-	-	-	-	0.20
			5,046	-	-	-	-	-	0.15	-	-	-	-	0.15
			5,647	-	-	-	-	-	0.20	-	-	-	-	0.20
			11,760	-	-	-	-	-	0.39	-	-	-	-	0.39
			5,915	-	-	-	-	-	0.19	-	-	-	-	0.19
			6,720	-	-	-	-	-	0.24	-	-	-	-	0.24
			6,760	-	-	-	-	-	0.23	-	-	-	-	0.23
			7,578	-	-	-	-	-	0.22	-	-	-	-	0.22
			8,390	-	-	-	-	-	0.29	-	-	-	-	0.29
			9,367	-	-	-	-	-	0.26	-	-	-	-	0.26
			10,068	-	-	-	-	-	0.39	-	-	-	-	0.39
			10,920	-	-	-	-	-	0.40	-	-	-	-	0.40
			11,760	-	-	-	-	-	0.40	-	-	-	-	0.40
			16,055	-	-	-	-	-	0.54	-	-	-	-	0.54
			23,604	-	-	-	-	-	0.69	-	-	-	-	0.69
			27,687	-	-	-	-	-	1.09	-	-	-	-	1.09
			40,560	-	-	-	-	-	1.31	-	-	-	-	1.31
		SAL	837	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,676	-	-	-	-	-	0.06	-	-	-	-	0.06
			1,680	-	-	-	-	-	0.05	-	-	-	-	0.05
			844	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,680	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,688	-	-	-	-	-	0.05	-	-	-	-	0.05
			2,514	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,517	-	-	-	-	-	0.08	-	-	-	-	0.08
			2,520	-	-	-	-	-	0.07	-	-	-	-	0.07
			3,360	-	-	-	-	-	0.09	-	-	-	-	0.09
			8,400	-	-	-	-	-	0.24	-	-	-	-	0.24
			5,064	-	-	-	-	-	0.15	-	-	-	-	0.15
			5,908	-	-	-	-	-	0.18	-	-	-	-	0.18
			6,720	-	-	-	-	-	0.25	-	-	-	-	0.25
			20,950	-	-	-	-	-	0.74	-	-	-	-	0.74
			28,696	-	-	-	-	-	0.90	-	-	-	-	0.90
			29,794	-	-	-	-	-	0.91	-	-	-	-	0.91
			40,224	-	-	-	-	-	1.39	-	-	-	-	1.39
			43,044	-	-	-	-	-	1.42	-	-	-	-	1.42
NIFJUN	Equity Shares	PUR	38	-	-	-	-	-	-	^^	-	-	-	^^
			59	-	-	-	-	-	-	-	0.01	-	-	0.01
			60	-	-	-	-	-	-	-	0.01	-	-	0.01

62	-	-	-	-	-	-	-	-	0.01	-	-	0.01
70	-	-	-	-	-	-	-	-	0.01	-	-	0.01
142	-	-	-	-	-	-	-	-	0.02	-	-	0.02
144	-	-	-	-	-	-	-	-	0.01	^^	-	0.01
73	-	-	-	-	-	-	-	-	0.01	-	-	0.01
75	-	-	-	-	-	-	-	-	0.01	-	-	0.01
198	-	-	-	-	-	-	-	-	-	^^	-	^^
106	-	-	-	-	-	-	-	-	-	^^	-	^^
214	-	-	-	-	-	-	-	-	0.01	^^	-	0.01
108	-	-	-	-	-	-	-	-	-	^^	-	^^
113	-	-	-	-	-	-	-	-	-	^^	-	^^
228	-	-	-	-	-	-	-	-	0.01	^^	-	0.01
115	-	-	-	-	-	-	-	-	-	^^	-	^^
118	-	-	-	-	-	-	-	-	-	^^	-	^^
120	-	-	-	-	-	-	-	-	0.01	-	-	0.01
121	-	-	-	-	-	-	-	-	0.01	-	-	0.01
244	-	-	-	-	-	-	-	-	0.02	-	-	0.02
128	-	-	-	-	-	-	-	-	-	0.01	-	0.01
136	-	-	-	-	-	-	-	-	0.01	-	-	0.01
138	-	-	-	-	-	-	-	-	0.01	-	-	0.01
140	-	-	-	-	-	-	-	-	0.01	-	-	0.01
426	-	-	-	-	-	-	-	-	0.03	-	-	0.03
286	-	-	-	-	-	-	-	-	0.02	-	-	0.02
144	-	-	-	-	-	-	-	-	0.01	-	-	0.01
145	-	-	-	-	-	-	-	-	0.01	-	-	0.01
298	-	-	-	-	-	-	-	-	0.02	-	-	0.02
151	-	-	-	-	-	-	-	-	0.01	-	-	0.01
182	-	-	-	-	-	-	-	-	0.02	-	-	0.02
190	-	-	-	-	-	-	-	-	0.02	-	-	0.02
193	-	-	-	-	-	-	-	-	-	0.01	-	0.01
195	-	-	-	-	-	-	-	-	-	0.01	-	0.01
198	-	-	-	-	-	-	-	-	-	0.01	-	0.01
201	-	-	-	-	-	-	-	-	-	0.01	-	0.01
203	-	-	-	-	-	-	-	-	-	0.01	-	0.01
210	-	-	-	-	-	-	-	-	-	0.01	-	0.01
211	-	-	-	-	-	-	-	-	-	0.01	-	0.01
428	-	-	-	-	-	-	-	-	-	0.02	-	0.02
438	-	-	-	-	-	-	-	-	0.04	-	-	0.04
220	-	-	-	-	-	-	-	-	-	0.01	-	0.01
223	-	-	-	-	-	-	-	-	-	0.01	-	0.01
226	-	-	-	-	-	-	-	-	0.02	-	-	0.02
456	-	-	-	-	-	-	-	-	-	0.02	-	0.02
458	-	-	-	-	-	-	-	-	0.02	0.01	-	0.03
231	-	-	-	-	-	-	-	-	-	0.01	-	0.01
232	-	-	-	-	-	-	-	-	-	0.01	-	0.01
233	-	-	-	-	-	-	-	-	-	0.01	-	0.01
236	-	-	-	-	-	-	-	-	-	0.01	-	0.01
474	-	-	-	-	-	-	-	-	0.02	0.01	-	0.03
476	-	-	-	-	-	-	-	-	0.04	-	-	0.04
239	-	-	-	-	-	-	-	-	0.02	-	-	0.02
480	-	-	-	-	-	-	-	-	0.04	-	-	0.04
964	-	-	-	-	-	-	-	-	0.06	0.01	-	0.07
242	-	-	-	-	-	-	-	-	0.02	-	-	0.02
976	-	-	-	-	-	-	-	-	0.06	0.01	-	0.07
245	-	-	-	-	-	-	-	-	0.02	-	-	0.02
248	-	-	-	-	-	-	-	-	0.02	-	-	0.02
249	-	-	-	-	-	-	-	-	-	0.01	-	0.01
753	-	-	-	-	-	-	-	-	0.06	-	-	0.06



506	-	-	-	-	-	-	-	-	0.04	-	-	0.04
254	-	-	-	-	-	-	-	-	0.02	-	-	0.02
256	-	-	-	-	-	-	-	-	0.02	-	-	0.02
257	-	-	-	-	-	-	-	-	0.02	-	-	0.02
516	-	-	-	-	-	-	-	-	0.04	-	-	0.04
264	-	-	-	-	-	-	-	-	0.02	-	-	0.02
280	-	-	-	-	-	-	-	-	0.02	-	-	0.02
282	-	-	-	-	-	-	-	-	0.02	-	-	0.02
568	-	-	-	-	-	-	-	-	0.04	-	-	0.04
290	-	-	-	-	-	-	-	-	-	0.01	-	0.01
293	-	-	-	-	-	-	-	-	0.03	-	-	0.03
294	-	-	-	-	-	-	-	-	-	0.01	-	0.01
304	-	-	-	-	-	-	-	-	-	0.01	-	0.01
309	-	-	-	-	-	-	-	-	-	0.01	-	0.01
314	-	-	-	-	-	-	-	-	-	0.01	-	0.01
339	-	-	-	-	-	-	-	-	-	0.01	-	0.01
345	-	-	-	-	-	-	-	-	-	0.01	-	0.01
708	-	-	-	-	-	-	-	-	0.03	0.01	-	0.04
358	-	-	-	-	-	-	-	-	0.04	-	-	0.04
360	-	-	-	-	-	-	-	-	-	0.01	-	0.01
373	-	-	-	-	-	-	-	-	0.03	-	-	0.03
378	-	-	-	-	-	-	-	-	-	0.01	-	0.01
382	-	-	-	-	-	-	-	-	0.03	-	-	0.03
383	-	-	-	-	-	-	-	-	-	0.01	-	0.01
384	-	-	-	-	-	-	-	-	-	0.01	-	0.01
390	-	-	-	-	-	-	-	-	0.04	-	-	0.04
393	-	-	-	-	-	-	-	-	-	0.02	-	0.02
398	-	-	-	-	-	-	-	-	-	0.02	-	0.02
399	-	-	-	-	-	-	-	-	-	0.02	-	0.02
406	-	-	-	-	-	-	-	-	-	0.02	-	0.02
814	-	-	-	-	-	-	-	-	0.04	0.02	-	0.06
408	-	-	-	-	-	-	-	-	-	0.02	-	0.02
414	-	-	-	-	-	-	-	-	-	0.02	-	0.02
422	-	-	-	-	-	-	-	-	-	0.02	-	0.02
424	-	-	-	-	-	-	-	-	-	0.02	-	0.02
425	-	-	-	-	-	-	-	-	0.03	-	-	0.03
426	-	-	-	-	-	-	-	-	-	0.02	-	0.02
432	-	-	-	-	-	-	-	-	-	0.02	-	0.02
434	-	-	-	-	-	-	-	-	-	0.01	-	0.01
438	-	-	-	-	-	-	-	-	0.03	-	-	0.03
882	-	-	-	-	-	-	-	-	0.04	0.02	-	0.06
442	-	-	-	-	-	-	-	-	-	0.01	-	0.01
447	-	-	-	-	-	-	-	-	-	0.02	-	0.02
448	-	-	-	-	-	-	-	-	0.03	-	-	0.03
449	-	-	-	-	-	-	-	-	-	0.02	-	0.02
900	-	-	-	-	-	-	-	-	0.05	0.02	-	0.07
451	-	-	-	-	-	-	-	-	-	0.02	-	0.02
452	-	-	-	-	-	-	-	-	-	0.02	-	0.02
906	-	-	-	-	-	-	-	-	0.03	0.01	-	0.04
908	-	-	-	-	-	-	-	-	-	0.03	-	0.03
455	-	-	-	-	-	-	-	-	-	0.02	-	0.02
456	-	-	-	-	-	-	-	-	-	0.01	-	0.01
1,828	-	-	-	-	-	-	-	-	-	0.07	-	0.07
918	-	-	-	-	-	-	-	-	-	0.04	-	0.04
460	-	-	-	-	-	-	-	-	-	0.02	-	0.02
462	-	-	-	-	-	-	-	-	-	0.02	-	0.02
463	-	-	-	-	-	-	-	-	-	0.02	-	0.02
928	-	-	-	-	-	-	-	-	-	0.03	-	0.03

465	-	-	-	-	-	-	-	-	-	0.01	-	0.01
940	-	-	-	-	-	-	-	-	-	0.03	-	0.03
472	-	-	-	-	-	-	-	-	-	0.02	-	0.02
473	-	-	-	-	-	-	-	-	-	0.02	-	0.02
474	-	-	-	-	-	-	-	-	-	0.02	-	0.02
475	-	-	-	-	-	-	-	-	-	0.01	-	0.01
476	-	-	-	-	-	-	-	-	-	0.02	-	0.02
954	-	-	-	-	-	-	-	-	-	0.02	-	0.02
478	-	-	-	-	-	-	-	-	-	0.01	-	0.01
1,440	-	-	-	-	-	-	-	-	-	0.04	-	0.04
962	-	-	-	-	-	-	-	-	0.09	-	-	0.09
482	-	-	-	-	-	-	-	-	-	0.02	-	0.02
485	-	-	-	-	-	-	-	-	-	0.01	-	0.01
488	-	-	-	-	-	-	-	-	-	0.01	-	0.01
489	-	-	-	-	-	-	-	-	-	0.02	-	0.02
490	-	-	-	-	-	-	-	-	-	0.01	-	0.01
493	-	-	-	-	-	-	-	-	-	0.02	-	0.02
496	-	-	-	-	-	-	-	-	-	0.02	-	0.02
501	-	-	-	-	-	-	-	-	-	0.02	-	0.02
502	-	-	-	-	-	-	-	-	-	0.02	-	0.02
505	-	-	-	-	-	-	-	-	-	0.02	-	0.02
506	-	-	-	-	-	-	-	-	0.04	-	-	0.04
509	-	-	-	-	-	-	-	-	0.04	-	-	0.04
524	-	-	-	-	-	-	-	-	0.05	-	-	0.05
579	-	-	-	-	-	-	-	-	-	0.02	-	0.02
580	-	-	-	-	-	-	-	-	-	0.02	-	0.02
590	-	-	-	-	-	-	-	-	-	0.02	-	0.02
596	-	-	-	-	-	-	-	-	0.05	-	-	0.05
613	-	-	-	-	-	-	-	-	-	0.02	-	0.02
622	-	-	-	-	-	-	-	-	-	0.02	-	0.02
627	-	-	-	-	-	-	-	-	-	0.02	-	0.02
680	-	-	-	-	-	-	-	-	-	0.02	-	0.02
707	-	-	-	-	-	-	-	-	-	0.02	-	0.02
713	-	-	-	-	-	-	-	-	-	0.03	-	0.03
715	-	-	-	-	-	-	-	-	0.07	-	-	0.07
1,434	-	-	-	-	-	-	-	-	0.14	-	-	0.14
718	-	-	-	-	-	-	-	-	0.07	-	-	0.07
725	-	-	-	-	-	-	-	-	-	0.02	-	0.02
726	-	-	-	-	-	-	-	-	-	0.03	-	0.03
728	-	-	-	-	-	-	-	-	-	0.02	-	0.02
737	-	-	-	-	-	-	-	-	0.07	-	-	0.07
741	-	-	-	-	-	-	-	-	-	0.02	-	0.02
750	-	-	-	-	-	-	-	-	-	0.02	-	0.02
761	-	-	-	-	-	-	-	-	-	0.02	-	0.02
762	-	-	-	-	-	-	-	-	-	0.03	-	0.03
774	-	-	-	-	-	-	-	-	-	0.03	-	0.03
791	-	-	-	-	-	-	-	-	0.07	-	-	0.07
795	-	-	-	-	-	-	-	-	-	0.03	-	0.03
799	-	-	-	-	-	-	-	-	-	0.03	-	0.03
847	-	-	-	-	-	-	-	-	-	0.03	-	0.03
878	-	-	-	-	-	-	-	-	-	0.03	-	0.03
904	-	-	-	-	-	-	-	-	-	0.03	-	0.03
908	-	-	-	-	-	-	-	-	-	0.04	-	0.04
1,826	-	-	-	-	-	-	-	-	-	0.06	-	0.06
915	-	-	-	-	-	-	-	-	-	0.03	-	0.03
929	-	-	-	-	-	-	-	-	-	0.03	-	0.03
935	-	-	-	-	-	-	-	-	-	0.03	-	0.03
942	-	-	-	-	-	-	-	-	-	0.03	-	0.03

			944	-	-	-	-	-	-	-	0.03	-	0.03
			960	-	-	-	-	-	-	-	0.03	-	0.03
			965	-	-	-	-	-	-	-	0.03	-	0.03
			974	-	-	-	-	-	-	-	0.03	-	0.03
			994	-	-	-	-	-	-	-	0.03	-	0.03
			1,177	-	-	-	-	-	-	-	0.04	-	0.04
			1,236	-	-	-	-	-	-	-	0.05	-	0.05
			1,273	-	-	-	-	-	-	-	0.05	-	0.05
			1,351	-	-	-	-	-	-	-	0.04	-	0.04
			1,356	-	-	-	-	-	-	-	0.05	-	0.05
			1,360	-	-	-	-	-	-	-	0.05	-	0.05
			1,361	-	-	-	-	-	-	-	0.05	-	0.05
			1,363	-	-	-	-	-	-	-	0.05	-	0.05
			1,397	-	-	-	-	-	-	-	0.05	-	0.05
			1,410	-	-	-	-	-	-	-	0.05	-	0.05
			1,446	-	-	-	-	-	-	0.13	-	-	0.13
			1,453	-	-	-	-	-	-	-	0.05	-	0.05
			1,465	-	-	-	-	-	-	-	0.05	-	0.05
			1,489	-	-	-	-	-	-	-	0.05	-	0.05
			1,500	-	-	-	-	-	-	-	0.05	-	0.05
			1,504	-	-	-	-	-	-	-	0.05	-	0.05
			1,579	-	-	-	-	-	-	-	0.07	-	0.07
			1,706	-	-	-	-	-	-	-	0.07	-	0.07
			1,809	-	-	-	-	-	-	-	0.06	-	0.06
			1,980	-	-	-	-	-	-	-	0.06	-	0.06
			2,054	-	-	-	-	-	-	-	0.09	-	0.09
			2,744	-	-	-	-	-	-	-	0.10	-	0.10
			2,752	-	-	-	-	-	-	-	0.12	-	0.12
			3,199	-	-	-	-	-	-	-	0.13	-	0.13
			3,609	-	-	-	-	-	-	0.33	-	-	0.33
			3,641	-	-	-	-	-	-	-	0.12	-	0.12
			8,809	-	-	-	-	-	-	-	0.33	-	0.33
			10,935	-	-	-	-	-	-	-	0.44	-	0.44
			11,981	-	-	-	-	-	-	-	0.38	-	0.38
			17,126	-	-	-	-	-	-	-	0.67	-	0.67
			34,560	-	-	-	-	-	-	3.00	-	-	3.00
			39,202	-	-	-	-	-	-	3.32	-	-	3.32
		SAL	115	-	-	-	-	-	-	^^	-	-	^^
			225	-	-	-	-	-	-	-	0.01	-	0.01
			318	-	-	-	-	-	-	-	0.01	-	0.01
			792	-	-	-	-	-	-	-	0.03	-	0.03
			793	-	-	-	-	-	-	-	0.03	-	0.03
			2,225	-	-	-	-	-	-	-	0.07	-	0.07
			2,330	-	-	-	-	-	-	0.23	-	-	0.23
			2,376	-	-	-	-	-	-	-	0.10	-	0.10
			2,518	-	-	-	-	-	-	0.21	-	-	0.21
			4,968	-	-	-	-	-	-	-	0.21	-	0.21
			7,532	-	-	-	-	-	-	-	0.26	-	0.26
			8,356	-	-	-	-	-	-	-	0.27	-	0.27
			16,061	-	-	-	-	-	-	-	0.63	-	0.63
NN50ETF	Equity Shares	PUR	9	-	-	-	-	-	-	^^	-	-	^^
			26	-	-	-	-	-	-	^^	-	-	^^
			38	-	-	-	-	-	-	^^	-	-	^^
			66	-	-	-	-	-	-	-	0.01	-	0.01
			134	-	-	-	-	-	-	-	0.02	-	0.02
			381	-	-	-	-	-	-	-	^^	-	^^
			256	-	-	-	-	-	-	0.01	^^	-	0.01
			195	-	-	-	-	-	-	0.02	-	-	0.02





			64,958	1.16	-	-	-	-	-	-	-	-	-	1.16		
			66,463	1.03	-	-	-	-	-	-	-	-	-	1.03		
			70,225	1.16	-	-	-	-	-	-	-	-	-	1.16		
			75,000	1.26	-	-	-	-	-	-	-	-	-	1.26		
			204,139	3.31	-	-	-	-	-	-	-	-	-	3.31		
POWER	Equity Shares	PUR	87	-	-	^^	-	-	-	-	-	-	-	^^		
			181	-	-	^^	-	-	-	-	-	-	-	^^		
			208	-	-	-	-	-	0.01	-	-	-	-	-	-	0.01
			698	-	-	-	0.01	-	-	-	-	-	-	-	-	0.01
			893	-	-	-	0.02	-	-	-	-	-	-	-	-	0.02
			2,734	-	-	-	0.05	-	-	-	-	-	-	-	-	0.05
			3,505	-	-	-	0.06	-	-	-	-	-	-	-	-	0.06
			28,767	-	-	-	0.46	-	-	-	-	-	-	-	-	0.46
			79,385	-	-	-	-	-	-	-	-	-	2.93	-	-	2.93
			300,000	-	-	-	1.60	-	-	3.14	-	-	3.73	-	-	8.47
			400,000	-	-	-	-	-	-	5.81	-	-	7.69	-	-	13.50
			236,678	-	-	-	-	-	-	-	-	-	8.89	-	-	8.89
			266,430	-	-	-	-	-	-	-	-	-	9.85	-	-	9.85
			269,184	-	-	-	-	-	-	7.82	-	-	-	-	-	7.82
			290,938	-	-	-	-	-	-	-	-	-	11.33	-	-	11.33
			300,000	-	-	-	-	-	-	9.40	-	-	-	-	-	9.40
			300,620	-	-	-	-	-	-	-	-	-	11.21	-	-	11.21
			329,727	-	-	-	-	-	-	-	-	-	12.24	-	-	12.24
			1,200,000	-	-	-	-	-	-	34.06	-	-	-	-	-	34.06
			464,189	-	-	-	-	-	-	12.88	-	-	-	-	-	12.88
			500,000	-	-	-	-	-	-	15.52	-	-	-	-	-	15.52
			618,279	-	-	-	-	-	-	17.51	-	-	-	-	-	17.51
			650,000	-	-	-	-	-	-	18.11	-	-	-	-	-	18.11
			700,000	-	-	-	-	-	-	21.87	-	-	-	-	-	21.87
			900,000	-	-	-	-	-	-	28.32	-	-	-	-	-	28.32
1,540,647	-	-	-	-	-	-	42.61	-	-	-	-	-	42.61			
		SAL	41,792	-	-	-	-	-	1.25	-	-	-	-	1.25		
			46,041	-	-	-	-	-	-	1.41	-	-	-	1.41		
			50,000	-	-	-	-	-	-	-	1.75	-	-	1.75		
			160,317	-	-	-	-	-	-	4.89	-	-	-	4.89		
			257,698	-	-	-	-	-	-	7.68	-	-	-	7.68		
			343,665	-	-	-	-	-	-	10.42	-	-	-	10.42		
			961,902	-	-	-	-	-	-	29.11	-	-	-	29.11		
			605,988	-	-	-	-	-	-	18.75	-	-	-	18.75		
			1,250,695	-	-	-	-	-	-	37.19	-	-	-	37.19		
			1,274,409	-	-	-	-	-	-	38.23	-	-	-	38.23		
RECOVERY1	Equity Shares	SAL	16,202	-	-	-	-	-	0.46	-	-	-	-	0.46		
			44,000	-	-	-	-	-	1.34	-	-	-	-	1.34		
			277,924	-	-	-	-	-	8.50	-	-	-	-	8.50		
			380,769	-	-	-	-	-	11.65	-	-	-	-	11.65		
			1,180,879	-	-	-	0.08	-	-	-	-	-	-	-	0.08	
RECOVERY2	Equity Shares	SAL	1,812	-	-	-	-	-	0.06	-	-	-	-	0.06		
			24,857	-	-	-	-	-	0.70	-	-	-	-	0.70		
			58,688	-	-	-	-	-	1.80	-	-	-	-	1.80		
			307,878	-	-	-	-	-	9.42	-	-	-	-	9.42		
			505,194	-	-	-	-	-	15.14	-	-	-	-	15.14		
RECOVERY3	Equity Shares	SAL	183,164	-	-	-	-	-	5.60	-	-	-	5.60			
			203,597	-	-	-	-	-	6.92	-	-	-	6.92			
RECOVERY4	Equity Shares	PUR	44,586	-	-	-	-	-	1.67	-	-	-	1.67			
		SAL	44,586	-	-	-	-	-	1.59	-	-	-	1.59			
RECOVERY5	Equity Shares	PUR	15,000	-	-	-	-	-	0.53	-	-	-	0.53			
			25,000	-	-	-	-	-	0.88	-	-	-	0.88			
			40,000	-	-	-	-	-	1.42	-	-	-	-	1.42		

RECOVERY7	Equity Shares	PUR	9,223	-	-	-	-	-	0.26	-	-	-	-	0.26			
		SAL	1,157	-	-	-	-	-	0.04	-	-	-	-	0.04			
			9,825	-	-	-	-	-	0.30	-	-	-	-	0.30			
			12,459	-	-	-	-	-	0.38	-	-	-	-	0.38			
			32,935	-	-	-	-	-	1.02	-	-	-	-	1.02			
REGULAR	Debentures and Bonds	PUR	250	-	-	-	-	-	25.10	-	-	-	-	25.10			
			500	-	-	-	-	-	-	50.21	-	-	-	50.21			
			2,250	-	-	-	-	-	-	225.91	-	-	-	225.91			
RETIREAG	Debentures and Bonds	PUR	30	-	-	-	-	-	3.14	-	-	-	-	3.14			
	Equity Shares	PUR	1,285	-	-	-	-	-	0.05	-	-	-	-	0.05			
			18,468	-	-	-	-	-	-	0.65	-	-	-	0.65			
RETIRECO	Debentures and Bonds	PUR	50	-	-	-	-	-	5.23	-	-	-	-	5.23			
	Equity Shares	PUR	5,076	-	-	-	-	-	0.18	-	-	-	-	0.18			
RETIREDE	Debentures and Bonds	PUR	126	-	-	-	-	-	13.19	-	-	-	-	13.19			
RETIREEQ	Equity Shares	PUR	1,713	-	-	-	-	-	0.07	-	-	-	-	0.07			
			5,750	-	-	-	-	-	-	0.23	-	-	-	0.23			
			36,291	-	-	-	-	-	-	1.30	-	-	-	-	1.30		
SERVE	Equity Shares	PUR	185,000	-	-	-	-	-	6.76	-	-	-	-	6.76			
			222,341	-	-	-	-	-	-	8.10	-	-	-	8.10			
			444,976	-	-	-	-	-	-	16.36	-	-	-	-	16.36		
			500,000	-	-	-	-	-	-	18.26	-	-	-	-	18.26		
SHTERM	Debentures and Bonds	PUR	410	-	-	-	-	-	40.43	-	-	-	-	40.43			
			840	-	-	-	-	-	-	86.19	-	-	-	86.19			
		SAL	40	-	-	-	-	-	-	3.78	-	-	-	3.78			
SINDEX	Equity Shares	PUR	35	-	-	-	-	-	^^	-	-	-	-	^^			
			86	-	-	-	-	-	-	^^	-	-	-	-	^^		
			91	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			186	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			94	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			100	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			106	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			113	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			114	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			121	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			128	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			139	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			146	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01	
			148	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			149	-	-	-	-	-	-	-	^^	-	-	-	-	^^	
			166	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			172	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			173	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			174	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			175	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			352	-	-	-	-	-	-	-	-	0.02	-	-	-	-	0.02
			177	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			178	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			179	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			182	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			372	-	-	-	-	-	-	-	-	0.02	-	-	-	-	0.02
			187	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			188	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			190	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
			210	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01
246	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01			
254	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01			
256	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01			
264	-	-	-	-	-	-	-	-	0.01	-	-	-	-	0.01			

			530	-	-	-	-	-	0.02	-	-	-	-	0.02
			532	-	-	-	-	-	0.02	-	-	-	-	0.02
			268	-	-	-	-	-	0.01	-	-	-	-	0.01
			269	-	-	-	-	-	0.01	-	-	-	-	0.01
			272	-	-	-	-	-	0.01	-	-	-	-	0.01
			275	-	-	-	-	-	0.01	-	-	-	-	0.01
			282	-	-	-	-	-	0.01	-	-	-	-	0.01
			335	-	-	-	-	-	0.01	-	-	-	-	0.01
			341	-	-	-	-	-	0.01	-	-	-	-	0.01
			342	-	-	-	-	-	0.01	-	-	-	-	0.01
			356	-	-	-	-	-	0.01	-	-	-	-	0.01
			376	-	-	-	-	-	0.01	-	-	-	-	0.01
			393	-	-	-	-	-	0.01	-	-	-	-	0.01
			430	-	-	-	-	-	0.01	-	-	-	-	0.01
			435	-	-	-	-	-	0.02	-	-	-	-	0.02
			440	-	-	-	-	-	0.02	-	-	-	-	0.02
			442	-	-	-	-	-	0.02	-	-	-	-	0.02
			444	-	-	-	-	-	0.01	-	-	-	-	0.01
			446	-	-	-	-	-	0.01	-	-	-	-	0.01
			1,034	-	-	-	-	-	0.04	-	-	-	-	0.04
			548	-	-	-	-	-	0.02	-	-	-	-	0.02
			857	-	-	-	-	-	0.02	-	-	-	-	0.02
			871	-	-	-	-	-	0.03	-	-	-	-	0.03
			886	-	-	-	-	-	0.03	-	-	-	-	0.03
			1,323	-	-	-	-	-	0.04	-	-	-	-	0.04
			6,214	-	-	-	-	-	0.23	-	-	-	-	0.23
		SAL	18	-	-	-	-	-	^^	-	-	-	-	^^
			34	-	-	-	-	-	^^	-	-	-	-	^^
			110	-	-	-	-	-	^^	-	-	-	-	^^
			84	-	-	-	-	-	^^	-	-	-	-	^^
			172	-	-	-	-	-	^^	-	-	-	-	^^
			88	-	-	-	-	-	^^	-	-	-	-	^^
			89	-	-	-	-	-	^^	-	-	-	-	^^
			184	-	-	-	-	-	^^	-	-	-	-	^^
			190	-	-	-	-	-	^^	-	-	-	-	^^
			106	-	-	-	-	-	^^	-	-	-	-	^^
			110	-	-	-	-	-	^^	-	-	-	-	^^
			111	-	-	-	-	-	^^	-	-	-	-	^^
			348	-	-	-	-	-	0.02	-	-	-	-	0.02
			184	-	-	-	-	-	0.01	-	-	-	-	0.01
			194	-	-	-	-	-	0.01	-	-	-	-	0.01
			339	-	-	-	-	-	0.01	-	-	-	-	0.01
			617	-	-	-	-	-	0.02	-	-	-	-	0.02
			648	-	-	-	-	-	0.02	-	-	-	-	0.02
			878	-	-	-	-	-	0.03	-	-	-	-	0.03
			7,450	-	-	-	-	-	0.26	-	-	-	-	0.26
SPBSEETF	Equity Shares	PUR	16	-	-	-	-	-	-	-	^^	-	-	^^
			48	-	^^	-	-	-	-	-	-	-	-	^^
			69	-	-	-	-	-	-	-	^^	-	-	^^
			73	-	-	-	-	-	-	-	0.01	-	-	0.01
			101	-	-	-	-	-	-	-	-	^^	-	^^
			316	-	-	-	-	-	-	-	-	0.01	-	0.01
			350	0.01	-	-	-	-	-	-	-	-	-	0.01
			3,881	-	-	-	-	0.01	-	-	-	-	-	0.01
			10,854	-	-	-	-	-	0.33	-	-	-	-	0.33
		SAL	2	-	^^	-	-	-	-	-	^^	-	-	^^
			4	-	^^	-	-	-	-	-	-	^^	-	^^
			3	-	-	-	-	-	-	-	-	^^	-	^^



			16	-	-	-	-	^^	^^	-	-	-	-	^^
			28	-	^^	-	-	-	-	-	-	-	-	^^
			15	-	-	-	-	^^	-	-	-	-	-	^^
			33	-	-	-	-	-	-	^^	-	-	-	^^
			60	-	-	-	-	-	-	-	-	^^	-	^^
			69	-	-	-	-	-	^^	-	-	-	-	^^
			71	-	-	-	-	^^	-	-	-	-	-	^^
			97	-	-	-	-	-	-	-	-	^^	-	^^
			98	-	-	-	-	-	-	-	-	^^	-	^^
			122	-	-	-	-	-	^^	-	-	-	-	^^
			288	^^	-	-	-	-	-	-	-	-	-	^^
			1,199	-	-	-	-	^^	-	-	-	-	-	^^
			1,204	-	-	-	-	^^	-	-	-	-	-	^^
			3,355	-	-	-	-	-	0.10	-	-	-	-	0.10
			3,370	-	-	-	-	-	0.10	-	-	-	-	0.10
SPICE	Equity Shares	PUR	137	-	-	-	-	-	^^	-	-	-	-	^^
			1,330	-	-	-	-	-	0.05	-	-	-	-	0.05
			1,332	-	-	-	-	-	0.04	-	-	-	-	0.04
			2,025	-	-	-	-	-	0.06	-	-	-	-	0.06
			3,390	-	-	-	-	-	0.10	-	-	-	-	0.10
			2,040	-	-	-	-	-	0.06	-	-	-	-	0.06
			681	-	-	-	-	-	0.02	-	-	-	-	0.02
			683	-	-	-	-	-	0.02	-	-	-	-	0.02
			1,332	-	-	-	-	-	0.05	-	-	-	-	0.05
			4,725	-	-	-	-	-	0.17	-	-	-	-	0.17
			18,306	-	-	-	-	-	0.57	-	-	-	-	0.57
		SAL	22	-	-	-	-	-	^^	-	-	-	-	^^
			55	-	-	-	-	-	^^	-	-	-	-	^^
			249	-	-	-	-	-	0.01	-	-	-	-	0.01
			697	-	-	-	-	-	0.02	-	-	-	-	0.02
			2,040	-	-	-	-	-	0.06	-	-	-	-	0.06
			2,732	-	-	-	-	-	0.08	-	-	-	-	0.08
			7,992	-	-	-	-	-	0.31	-	-	-	-	0.31
TAX	Equity Shares	PUR	617	-	-	-	-	-	0.02	-	-	-	-	0.02
			5,250	-	-	-	-	-	0.18	-	-	-	-	0.18
			7,496	-	-	-	-	-	0.28	-	-	-	-	0.28
			25,402	-	-	-	-	-	0.93	-	-	-	-	0.93
			146,282	-	-	-	-	-	5.11	-	-	-	-	5.11
			155,385	-	-	-	-	-	5.51	-	-	-	-	5.51
			277,304	-	-	-	-	-	7.64	-	-	-	-	7.64
			306,373	-	-	-	-	-	10.86	-	-	-	-	10.86
			400,000	-	-	-	-	-	11.13	-	-	-	-	11.13
			432,500	-	-	-	-	-	11.76	-	-	-	-	11.76
			485,125	-	-	-	-	-	17.21	-	-	-	-	17.21
			1,000,000	-	-	-	-	-	35.88	-	-	-	-	35.88
			545,500	-	-	-	-	-	14.97	-	-	-	-	14.97
			568,491	-	-	-	-	-	16.02	-	-	-	-	16.02
			597,559	-	-	-	-	-	-	-	47.90	-	-	47.90
			641,372	-	-	-	-	-	17.84	-	-	-	-	17.84
			665,163	-	-	-	-	-	18.32	-	-	-	-	18.32
			750,000	-	-	-	-	-	21.00	-	-	-	-	21.00
			800,431	-	-	-	-	-	21.56	-	-	-	-	21.56
			987,518	-	-	-	-	-	37.11	-	-	-	-	37.11
			1,052,500	-	-	-	-	-	37.33	-	-	-	-	37.33
			1,450,000	-	-	-	-	-	40.49	-	-	-	-	40.49
			1,515,969	-	-	-	-	-	41.92	-	-	-	-	41.92
			1,994,750	-	-	-	-	-	67.56	-	-	-	-	67.56
		SAL	25,755	-	-	-	-	-	0.79	-	-	-	-	0.79



			9,332	-	-	0.22	-	-	-	-	-	-	-	0.22
			10,472	-	-	0.25	-	-	-	-	-	-	-	0.25
			33,734	-	-	0.78	-	-	-	-	-	-	-	0.78
			36,871	-	-	0.91	-	-	-	-	-	-	-	0.91
			48,054	-	-	1.10	-	-	-	-	-	-	-	1.10
			58,075	-	-	1.45	-	-	-	-	-	-	-	1.45
			100,000	-	-	2.04	-	-	-	-	-	-	-	2.04
			146,507	-	-	2.83	-	-	-	-	-	-	-	2.83
			150,000	-	-	2.80	-	-	-	-	-	-	-	2.80
		SAL	92,000	-	-	-	-	-	2.89	-	-	-	-	2.89
			110,000	-	-	-	-	-	3.26	-	-	-	-	3.26
VALUE16	Equity Shares	PUR	21,856	-	-	-	-	-	-	1.75	-	-	-	1.75
		SAL	1,629	-	-	-	-	-	0.05	-	-	-	-	0.05
			2,265	-	-	-	-	-	0.06	-	-	-	-	0.06
			14,901	-	-	-	-	-	0.42	-	-	-	-	0.42
			40,480	-	-	-	-	-	1.15	-	-	-	-	1.15
			108,323	-	-	-	-	-	3.08	-	-	-	-	3.08
VALUE17	Equity Shares	PUR	21,856	-	-	-	-	-	-	1.75	-	-	-	1.75
			100,000	-	-	-	-	-	3.57	-	-	-	-	3.57
		SAL	100,000	-	-	-	-	-	3.42	-	-	-	-	3.42
VALUE18	Equity Shares	PUR	20,000	-	-	-	-	-	0.71	-	-	-	-	0.71
			20,108	-	-	-	-	-	-	1.61	-	-	-	1.61
VALUE19	Equity Shares	PUR	183,998	-	-	-	-	-	4.83	-	-	-	-	4.83
			1,000,000	-	-	-	-	-	26.23	-	-	-	-	26.23
			1,000,000	-	-	-	-	-	37.19	-	-	-	-	37.19
		SAL	7,772	-	-	-	-	-	-	-	-	-	0.26	0.26
			22,000	-	-	-	-	-	0.76	-	-	-	-	0.76
			111,481	-	-	-	-	-	-	-	-	-	3.65	3.65
			283,250	-	-	-	-	-	9.74	-	-	-	-	9.74
			325,023	-	-	-	-	-	-	-	-	-	10.70	10.70
			325,024	-	-	-	-	-	-	-	-	-	10.70	10.70
			1,694,000	-	-	-	-	-	58.80	-	-	-	-	58.80
VALUE2	Equity Shares	SAL	4,279	-	-	-	-	-	0.13	-	-	-	-	0.13
			21,042	-	-	-	-	-	0.65	-	-	-	-	0.65
			80,493	-	-	-	-	-	2.85	-	-	-	-	2.85
			80,679	-	-	-	-	-	2.47	-	-	-	-	2.47
			90,486	-	-	-	-	-	2.80	-	-	-	-	2.80
			150,000	-	-	-	-	-	5.32	-	-	-	-	5.32
VALUE3	Equity Shares	PUR	9,008	-	-	-	-	-	-	0.72	-	-	-	0.72
			10,000	-	-	-	-	-	0.32	-	-	-	-	0.32
			20,000	-	-	-	-	-	0.71	-	-	-	-	0.71
		SAL	9,008	-	-	-	-	-	-	0.81	-	-	-	0.81
			11,508	-	-	-	-	-	0.39	-	-	-	-	0.39
			12,681	-	-	-	-	-	0.50	-	-	-	-	0.50
			47,319	-	-	-	-	-	1.87	-	-	-	-	1.87
VALUE5	Equity Shares	SAL	458	-	-	-	-	-	0.01	-	-	-	-	0.01
			4,074	-	-	-	-	-	0.13	-	-	-	-	0.13
			15,623	-	-	-	-	-	0.48	-	-	-	-	0.48
			26,452	-	-	-	-	-	0.93	-	-	-	-	0.93
VALUE6	Equity Shares	SAL	3,738	-	-	-	-	-	0.11	-	-	-	-	0.11
			26,592	-	-	-	-	-	0.82	-	-	-	-	0.82
			68,433	-	-	1.46	-	-	-	-	-	-	-	1.46
			101,961	-	-	-	-	-	3.12	-	-	-	-	3.12
			177,690	-	-	-	-	-	5.50	-	-	-	-	5.50
			353,511	-	-	-	-	-	9.68	-	-	-	-	9.68
VALUE7	Equity Shares	SAL	1,130	-	-	-	-	-	0.03	-	-	-	-	0.03
			4,332	-	-	-	-	-	0.13	-	-	-	-	0.13
			17,751	-	-	-	-	-	0.51	-	-	-	-	0.51

VALUE9	Equity Shares	SAL	36,999	-	-	-	-	-	1.14	-	-	-	-	1.14
			87,652	-	-	-	-	-	2.71	-	-	-	-	2.71
			117,911	-	-	-	-	-	3.61	-	-	-	-	3.61
			141,864	-	-	-	-	-	4.35	-	-	-	-	4.35
<b>Grand Total</b>				<b>22.97</b>	<sup>^^</sup>	<b>73.27</b>	<b>0.08</b>	<b>33.21</b>	<b>5653.46</b>	<b>168.95</b>	<b>264.88</b>	<b>202.99</b>	<b>151.99</b>	<b>6,571.80</b>

^^ Amount less than Rs. 0.01 crores

**Note:** Above data does not include derivatives (futures & options), Interscheme transactions and corporate actions.

The above transactions were considered sound. Before making an investment, AMC evaluated the same on merits and on arms' length basis and in accordance with the objectives of the scheme.

**Associate Transactions**

**Investment in Associates / Group Companies**

During the period April 01, 2019 to March 31, 2020, the following investments were made in the securities of Sponsors / Group Companies / Associate Companies

(Rs. In Crs)

Scheme Code	Particulars	Type	Quantity	Aster DM Healthcare Ltd	Birla Corporation Limited	Brigade Enterprises Limited	GMR Infrastructure Ltd	ICICI Bank Limited	ICICI Home Finance Company Limited	ICICI Lombard General Insurance Company Limited	ICICI Prudential Life Insurance Company Limited	ICICI Securities Ltd	Shriram City Union Finance Ltd.	Grand Total		
BAFIN	Equity Shares	PUR	20	-	-	-	-					^^		^^		
			655	-	-	-	-						0.01		0.01	
			1359	-	-	-	-						0.03		0.03	
			4897	-	-	-	-				0.54					0.54
			6034	-	-	-	-							0.13		0.13
			6126	-	-	-	-							0.13		0.13
			8923	-	-	-	-					1.00				1.00
			9647	-	-	-	-							0.22		0.22
			10898	-	-	-	-							0.24		0.24
			12098	-	-	-	-					1.36				1.36
			16585	-	-	-	-							0.36		0.36
			16784	-	-	-	-							0.38		0.38
			18253	-	-	-	-			0.72						0.72
			20766	-	-	-	-							0.46		0.46
			28810	-	-	-	-							0.63		0.63
			45983	-	-	-	-							1.04		1.04
			200000	-	-	-	-							4.33		4.33
			50809	-	-	-	-							1.14		1.14
			54404	-	-	-	-							1.21		1.21
			56257	-	-	-	-							1.22		1.22
			62184	-	-	-	-						6.61			6.61
			67211	-	-	-	-							1.51		1.51
			70000	-	-	-	-							7.02		7.02
			78423	-	-	-	-							1.76		1.76
			88981	-	-	-	-				3.52					3.52
			93657	-	-	-	-				3.62					3.62
			200000	-	-	-	-				3.98				2.16	6.15
			107857	-	-	-	-								2.37	2.37
			124712	-	-	-	-						14.05			14.05
			128113	-	-	-	-						15.08			15.08
132690	-	-	-	-				5.07					5.07			
151486	-	-	-	-				6.00					6.00			
151911	-	-	-	-								3.41	3.41			
153170	-	-	-	-								3.45	3.45			
162798	-	-	-	-								3.55	3.55			
442750	-	-	-	-						50.09			50.09			

			461000	-	-	-	-	18.20					18.20
			472623	-	-	-	-				9.71		9.71
			819669	-	-	-	-	28.25					28.25
		SAL	1	-	-	-	-		^^				^^
			12	-	-	-	-		^^				^^
			600	-	-	-	-			0.07			0.07
			2084	-	-	-	-			0.28			0.28
			3707	-	-	-	-			0.52			0.52
			6095	-	-	-	-			0.71			0.71
			6600	-	-	-	-				0.20		0.20
			6695	-	-	-	-				0.33		0.33
			8703	-	-	-	-			0.94			0.94
			9869	-	-	-	-			1.08			1.08
			12182	-	-	-	-			1.62			1.62
			13005	-	-	-	-			1.83			1.83
			20887	-	-	-	-			2.95			2.95
			21421	-	-	-	-				1.04		1.04
			22768	-	-	-	-			2.47			2.47
			23497	-	-	-	-			2.58			2.58
			24691	-	-	-	-			2.88			2.88
			36537	-	-	-	-	2.01					2.01
			39005	-	-	-	-			5.36			5.36
			41073	-	-	-	-			5.80			5.80
			88962	-	-	-	-			12.60			12.60
			100000	-	-	-	-	5.35					5.35
			100570	-	-	-	-			11.05			11.05
			121184	-	-	-	-	6.51					6.51
			129527	-	-	-	-	7.08					7.08
			211715	-	-	-	-	11.42					11.42
			220289	-	-	-	-	12.09					12.09
			300000	-	-	-	-	16.09					16.09
			308662	-	-	-	-			36.98			36.98
			443062	-	-	-	-	24.16					24.16
			638000	-	-	-	-	34.34					34.34
BALCD	Debentures and Bonds	SAL	50	-	-	-	-	5.50					5.50
			150	-	-	-	-	16.55					16.55
			200	-	-	-	-	21.68					21.68
			500	-	-	-	-	54.36					54.36
			400	-	-	-	-	44.13					44.13
			1000	-	-	-	-	109.27					109.27
			750	-	-	-	-	81.69					81.69
			1000	-	-	-	-	109.58					109.58
	Equity Shares	SAL	1061	-	-	-	-				0.05		0.05
			3659	-	-	-	-	0.17					0.17
			43704	-	-	-	-			4.47			4.47
			56082	-	-	-	-				2.91		2.91
			67090	-	-	-	-				3.45		3.45

77923	-	-	-	-	3.93					3.93
87000	-	-	-	-				3.22		3.22
94450	-	-	-	-				3.54		3.54
94456	-	-	-	-				3.49		3.49
97377	-	-	-	-	4.89					4.89
116588	-	-	-	-	5.25					5.25
128481	-	-	-	-	5.78					5.78
133333	-	-	-	-	6.72					6.72
133412	-	-	-	-	6.01					6.01
159675	-	-	-	-	8.21					8.21
171519	-	-	-	-	7.67					7.67
181129	-	-	-	-	9.31					9.31
200000	-	-	-	-	10.09					10.09
235354	-	-	-	-				8.73		8.73
300000	-	-	-	-	14.69					14.69
317046	-	-	-	-	15.97					15.97
319318	-	-	-	-	16.05					16.05
342341	-	-	-	-	16.76					16.76
363636	-	-	-	-	18.24					18.24
396395	-	-	-	-	20.49					20.49
422077	-	-	-	-	21.32					21.32
422733	-	-	-	-	21.74					21.74
500000	-	-	-	-	25.46					25.46
666667	-	-	-	-	33.56					33.56
742691	-	-	-	-	38.27					38.27
750000	-	-	-	-	33.71					33.71
1000000	-	-	-	-	51.02					51.02
1068636	-	-	-	-				39.47		39.47
1500000	-	-	-	-	67.96					67.96
203	-	-	-	-	0.01					0.01
1192	-	-	-	-	0.06					0.06
1134	-	-	-	-	0.04					0.04
1138	-	-	-	-	0.04					0.04
5940	-	-	-	-	0.32					0.32
1192	-	-	-	-	0.06					0.06
3579	-	-	-	-	0.19					0.19
1226	-	-	-	-	0.06					0.06
8589	-	-	-	-	0.40					0.40
2532	-	-	-	-	0.11					0.11
1294	-	-	-	-	0.05					0.05
1788	-	-	-	-	0.09					0.09
2376	-	-	-	-	0.13					0.13
4768	-	-	-	-	0.25					0.25
11930	-	-	-	-	0.64					0.64
4904	-	-	-	-	0.25					0.25
4908	-	-	-	-	0.24					0.24
2500	-	-	-	-	0.10					0.10

BANKETF Equity Shares PUR

2532	-	-	-	-	0.11						0.11
2980	-	-	-	-	0.15						0.15
3564	-	-	-	-	0.19						0.19
3579	-	-	-	-	0.19						0.19
7356	-	-	-	-	0.38						0.38
3882	-	-	-	-	0.15						0.15
4732	-	-	-	-	0.26						0.26
4752	-	-	-	-	0.26						0.26
4772	-	-	-	-	0.26						0.26
9816	-	-	-	-	0.50						0.50
5000	-	-	-	-	0.20						0.20
5064	-	-	-	-	0.22						0.22
11830	-	-	-	-	0.64						0.64
5940	-	-	-	-	0.32						0.32
47720	-	-	-	-	2.55						2.55
18390	-	-	-	-	0.97						0.97
18405	-	-	-	-	0.87						0.87
6330	-	-	-	-	0.28						0.28
12940	-	-	-	-	0.53						0.53
7128	-	-	-	-	0.39						0.39
7152	-	-	-	-	0.39						0.39
7158	-	-	-	-	0.39						0.39
7356	-	-	-	-	0.39						0.39
7362	-	-	-	-	0.33						0.33
7500	-	-	-	-	0.31						0.31
7596	-	-	-	-	0.32						0.32
8316	-	-	-	-	0.45						0.45
25767	-	-	-	-	1.21						1.21
8862	-	-	-	-	0.37						0.37
9464	-	-	-	-	0.51						0.51
9504	-	-	-	-	0.51						0.51
19632	-	-	-	-	0.97						0.97
10352	-	-	-	-	0.44						0.44
23660	-	-	-	-	1.28						1.28
35640	-	-	-	-	1.94						1.94
47680	-	-	-	-	2.46						2.46
71580	-	-	-	-	3.78						3.78
36780	-	-	-	-	1.91						1.91
110430	-	-	-	-	5.37						5.37
25000	-	-	-	-	1.00						1.00
12660	-	-	-	-	0.54						0.54
13013	-	-	-	-	0.70						0.70
13028	-	-	-	-	0.47						0.47
14256	-	-	-	-	0.77						0.77
14304	-	-	-	-	0.73						0.73
14316	-	-	-	-	0.75						0.75
14479	-	-	-	-	0.65						0.65



14724	-	-	-	-	0.65						0.65
31902	-	-	-	-	1.55						1.55
16201	-	-	-	-	0.87						0.87
35640	-	-	-	-	1.92						1.92
17880	-	-	-	-	0.90						0.90
18990	-	-	-	-	0.82						0.82
19616	-	-	-	-	1.00						1.00
39264	-	-	-	-	1.98						1.98
19950	-	-	-	-	0.59						0.59
20111	-	-	-	-	1.09						1.09
20256	-	-	-	-	0.87						0.87
20859	-	-	-	-	0.95						0.95
20860	-	-	-	-	1.14						1.14
63750	-	-	-	-	2.66						2.66
21998	-	-	-	-	0.91						0.91
22086	-	-	-	-	1.12						1.12
23840	-	-	-	-	1.29						1.29
24540	-	-	-	-	1.21						1.21
25053	-	-	-	-	1.36						1.36
25355	-	-	-	-	1.37						1.37
25767	-	-	-	-	1.12						1.12
28608	-	-	-	-	1.55						1.55
29204	-	-	-	-	1.29						1.29
29825	-	-	-	-	1.61						1.61
30675	-	-	-	-	1.59						1.59
30992	-	-	-	-	1.70						1.70
32350	-	-	-	-	1.31						1.31
32819	-	-	-	-	1.77						1.77
33129	-	-	-	-	1.57						1.57
35000	-	-	-	-	1.38						1.38
35640	-	-	-	-	1.94						1.94
36250	-	-	-	-	1.63						1.63
36780	-	-	-	-	1.95						1.95
37500	-	-	-	-	1.65						1.65
38176	-	-	-	-	2.04						2.04
38740	-	-	-	-	2.11						2.11
39232	-	-	-	-	2.06						2.06
80982	-	-	-	-	3.91						3.91
42702	-	-	-	-	1.80						1.80
42912	-	-	-	-	2.21						2.21
85890	-	-	-	-	3.93						3.93
45296	-	-	-	-	2.04						2.04
50466	-	-	-	-	2.01						2.01
51250	-	-	-	-	2.12						2.12
55260	-	-	-	-	2.59						2.59
62480	-	-	-	-	2.16						2.16
62577	-	-	-	-	3.17						3.17

62580	-	-	-	-	2.94						2.94
67098	-	-	-	-	2.99						2.99
71170	-	-	-	-	2.98						2.98
73620	-	-	-	-	3.73						3.73
73966	-	-	-	-	3.92						3.92
75008	-	-	-	-	4.00						4.00
83436	-	-	-	-	4.24						4.24
89400	-	-	-	-	4.60						4.60
89877	-	-	-	-	3.88						3.88
95440	-	-	-	-	5.05						5.05
100614	-	-	-	-	4.43						4.43
102921	-	-	-	-	5.56						5.56
110430	-	-	-	-	5.24						5.24
111408	-	-	-	-	4.88						4.88
115721	-	-	-	-	6.12						6.12
121473	-	-	-	-	5.76						5.76
134696	-	-	-	-	6.94						6.94
182304	-	-	-	-	7.69						7.69
182454	-	-	-	-	7.47						7.47
189687	-	-	-	-	9.93						9.93
194934	-	-	-	-	10.36						10.36
279188	-	-	-	-	15.13						15.13
279528	-	-	-	-	14.79						14.79
390186	-	-	-	-	19.29						19.29
733131	-	-	-	-	30.04						30.04
SAL	6	-	-	-	^^						^^
	14	-	-	-	^^						^^
	4	-	-	-	^^						^^
	6	-	-	-	^^						^^
	9	-	-	-	^^						^^
	296	-	-	-	0.01						0.01
	568	-	-	-	0.02						0.02
	952	-	-	-	0.05						0.05
	993	-	-	-	0.04						0.04
	1183	-	-	-	0.06						0.06
	1193	-	-	-	0.06						0.06
	1226	-	-	-	0.06						0.06
	3681	-	-	-	0.17						0.17
	1293	-	-	-	0.05						0.05
	2264	-	-	-	0.08						0.08
	2384	-	-	-	0.13						0.13
	2386	-	-	-	0.13						0.13
	2454	-	-	-	0.11						0.11
	5176	-	-	-	0.22						0.22
	2980	-	-	-	0.16						0.16
	3750	-	-	-	0.16						0.16
	3882	-	-	-	0.16						0.16

3885	-	-	-	-	0.17						0.17
3906	-	-	-	-	0.17						0.17
9536	-	-	-	-	0.50						0.50
10352	-	-	-	-	0.43						0.43
5920	-	-	-	-	0.23						0.23
5965	-	-	-	-	0.31						0.31
6130	-	-	-	-	0.32						0.32
12270	-	-	-	-	0.59						0.59
18750	-	-	-	-	0.78						0.78
6475	-	-	-	-	0.28						0.28
7152	-	-	-	-	0.38						0.38
7158	-	-	-	-	0.38						0.38
7748	-	-	-	-	0.40						0.40
8351	-	-	-	-	0.45						0.45
8582	-	-	-	-	0.46						0.46
11043	-	-	-	-	0.53						0.53
36780	-	-	-	-	1.93						1.93
36810	-	-	-	-	1.73						1.73
12660	-	-	-	-	0.54						0.54
15496	-	-	-	-	0.84						0.84
52500	-	-	-	-	2.11						2.11
18390	-	-	-	-	0.97						0.97
36810	-	-	-	-	1.79						1.79
19072	-	-	-	-	0.98						0.98
19088	-	-	-	-	1.01						1.01
39232	-	-	-	-	2.06						2.06
19632	-	-	-	-	1.01						1.01
19668	-	-	-	-	0.80						0.80
19915	-	-	-	-	0.64						0.64
20704	-	-	-	-	0.86						0.86
41718	-	-	-	-	1.91						1.91
20876	-	-	-	-	0.96						0.96
21250	-	-	-	-	0.83						0.83
24540	-	-	-	-	1.11						1.11
25767	-	-	-	-	1.12						1.12
26246	-	-	-	-	1.39						1.39
31250	-	-	-	-	1.35						1.35
35640	-	-	-	-	1.92						1.92
35651	-	-	-	-	1.91						1.91
36780	-	-	-	-	1.92						1.92
39275	-	-	-	-	1.63						1.63
39683	-	-	-	-	1.56						1.56
80982	-	-	-	-	3.86						3.86
42500	-	-	-	-	1.70						1.70
42702	-	-	-	-	1.72						1.72
51906	-	-	-	-	2.26						2.26
54878	-	-	-	-	2.94						2.94

			55215	-	-	-	-	2.81					2.81
			59150	-	-	-	-	3.23					3.23
			119200	-	-	-	-	6.42					6.42
			60074	-	-	-	-	3.08					3.08
			61350	-	-	-	-	3.03					3.03
			63750	-	-	-	-	2.76					2.76
			95675	-	-	-	-	5.11					5.11
			122700	-	-	-	-	5.56					5.56
			141848	-	-	-	-	5.74					5.74
			189280	-	-	-	-	10.18					10.18
			239265	-	-	-	-	11.18					11.18
			241399	-	-	-	-	8.08					8.08
			670761	-	-	-	-	35.98					35.98
BANPSU	Debentures and Bonds	PUR	45	-	-	-	-	4.90					4.90
BHACON	Equity Shares	PUR	150000	-	-	-	-	6.49					6.49
		SAL	150000	-	-	-	-	7.52					7.52
BHACON1	Equity Shares	PUR	137	-	-	-	-			0.02			0.02
			150	-	-	-	-			0.02			0.02
			175	-	-	-	-			0.02			0.02
			376	-	-	-	-			0.05			0.05
			603	-	-	-	-			0.06			0.06
			3575	-	-	-	-			0.42			0.42
			20544	-	-	-	-			2.48			2.48
			52511	-	-	-	-			6.18			6.18
			300000	-	-	-	-	12.28					12.28
		SAL	9258	-	-	-	-			1.16			1.16
			67742	-	-	-	-			8.48			8.48
BHACON3	Equity Shares	PUR	19	-	-	-	-			^^			^^
			21	-	-	-	-			^^			^^
			22	-	-	-	-			^^			^^
			53	-	-	-	-			0.01			0.01
			2568	-	-	-	-			0.31			0.31
			8325	-	-	-	-			0.98			0.98
			40000	-	-	-	-	1.64					1.64
		SAL	1324	-	-	-	-			0.17			0.17
			9684	-	-	-	-			1.21			1.21
BHACON5	Equity Shares	SAL	830	0.02	-	-	-						0.02
BUSINESS1	Equity Shares	SAL	9353	-	-	-	-	0.36					0.36
			46645	-	-	-	-	1.82					1.82
			104754	-	-	-	-	4.06					4.06
CAPIT14A	Equity Shares	PUR	100000	-	-	-	-	3.50					3.50
			139336	-	-	-	-	4.88					4.88
CAPITA10A	Equity Shares	PUR	35819	-	-	-	-	1.49					1.49
		SAL	35819	-	-	-	-	1.53					1.53
CAPITA10B	Equity Shares	PUR	11772	-	-	-	-	0.49					0.49
		SAL	25000	-	-	-	-	1.27					1.27
CAPITA11A	Equity Shares	SAL	1544	-	-	-	-	0.08					0.08

CAPITA11B	Equity Shares	PUR	8208	-	-	-	-	0.34					0.34			
		SAL	1208	-	-	-	-	0.06					0.06			
CAPITA11C	Equity Shares	PUR	13455	-	-	-	-	0.56					0.56			
		SAL	2455	-	-	-	-	0.12					0.12			
CAPITA11D	Equity Shares	PUR	15415	-	-	-	-	0.64					0.64			
		SAL	1415	-	-	-	-	0.07					0.07			
CAPITA11E	Equity Shares	PUR	11669	-	-	-	-	0.49					0.49			
		SAL	2669	-	-	-	-	0.14					0.14			
CAPITA12A	Equity Shares	PUR	8375	-	-	-	-	0.35					0.35			
		SAL	1375	-	-	-	-	0.07					0.07			
CAPITA6A	Equity Shares	SAL	30332	-	-	-	-	1.28					1.28			
CAPITA6C	Equity Shares	SAL	40532	-	-	-	-	1.74					1.74			
CAPITA7D	Equity Shares	SAL	3000	-	-	-	-	0.15					0.15			
			17919	-	-	-	-	0.94					0.94			
CAPITA9D	Equity Shares	SAL	58995	-	-	-	-	2.52					2.52			
CAPITA9E	Equity Shares	SAL	44956	-	-	-	-	1.92					1.92			
CNX100	Equity Shares	PUR	3	-	-	-	-			^^	^^			^^		
			2	-	-	-	-					^^			^^	
			4	-	-	-	-						^^			^^
			15	-	-	-	-	^^								^^
			108	-	-	-	-			0.01						0.01
			44	-	-	-	-			0.01						0.01
			35	-	-	-	-						^^			^^
			240	-	-	-	-							0.01		0.01
			41	-	-	-	-						^^			^^
			46	-	-	-	-			0.01						0.01
			48	-	-	-	-			0.01						0.01
			49	-	-	-	-						^^			^^
			54	-	-	-	-			0.01						0.01
			67	-	-	-	-			0.01						0.01
			74	-	-	-	-	^^								^^
			164	-	-	-	-							0.01		0.01
			120	-	-	-	-							0.01		0.01
			588	-	-	-	-			0.02						0.02
			730	-	-	-	-			0.04						0.04
			732	-	-	-	-			0.04						0.04
			1466	-	-	-	-			0.08						0.08
			1472	-	-	-	-			0.06						0.06
			742	-	-	-	-			0.03						0.03
			748	-	-	-	-			0.03						0.03
			1462	-	-	-	-			0.08						0.08
			1464	-	-	-	-			0.07						0.07
2199	-	-	-	-			0.11						0.11			
SAL			2	-	-	-	-			^^	^^			^^		
			3	-	-	-	-					^^			^^	
			13	-	-	-	-					^^			^^	
			18	-	-	-	-					^^			^^	

			33	-	-	-	-	^^						^^
			40	-	-	-	-					^^		^^
			42	-	-	-	-	^^						^^
			52	-	-	-	-					^^		^^
			69	-	-	-	-	^^						^^
			108	-	-	-	-	^^						^^
			578	-	-	-	-	0.02						0.02
			736	-	-	-	-	0.03						0.03
COMFUND	Equity Shares	PUR	911	-	0.05	-	-							0.05
DIVYLD	Equity Shares	PUR	12324	-	-	-	-				1.45			1.45
		SAL	3213	-	-	-	-				0.45			0.45
			9111	-	-	-	-				1.21			1.21
DYNMIC	Equity Shares	SAL	197	-	-	^^	-							^^
			239	-	-	^^	-							^^
			345	-	-	0.01	-							0.01
			762	-	-	0.02	-							0.02
			1222	-	-	0.02	-							0.02
			1250	-	-	0.03	-							0.03
			1407	-	-	0.03	-							0.03
			1913	-	-	0.04	-							0.04
			2217	-	-	0.05	-							0.05
			2773	-	-	0.06	-							0.06
			3098	-	-	0.06	-							0.06
			4437	-	-	0.10	-							0.10
			4958	-	-	0.11	-							0.11
			6125	-	-	0.13	-							0.13
			6187	-	-	0.13	-							0.13
			9039	-	-	0.18	-							0.18
			9707	-	-	0.19	-							0.19
			13345	-	-	0.29	-							0.29
			17125	-	-	0.34	-							0.34
			19106	-	-	0.41	-							0.41
			20323	-	-	0.40	-							0.40
			22769	-	-	0.53	-							0.53
			22808	-	-	0.45	-							0.45
			26350	-	-	0.53	-							0.53
			30015	-	-	0.58	-							0.58
			31207	-	-	0.62	-							0.62
			31704	-	-	-	-	1.60						1.60
			31932	-	-	-	-	1.61						1.61
			36364	-	-	-	-	1.82						1.82
			40955	-	-	0.97	-							0.97
			41886	-	-	0.84	-							0.84
			42875	-	-	0.84	-							0.84
			50856	-	-	0.99	-							0.99
			58932	-	-	1.17	-							1.17
			66667	-	-	-	-	3.36						3.36

			96500	-	-	1.95	-						1.95
			300000	-	-	-	-	15.03					15.03
			118528	-	-	2.75	-						2.75
			177231	-	-	4.11	-						4.11
			333333	-	-	-	-	16.78					16.78
			556037	-	-	-	-	24.87					24.87
			2000000	-	-	-	-	101.55					101.55
EQDINO	Equity Shares	PUR	2750	-	-	-	-	0.14					0.14
			5500	-	-	-	-	0.29					0.29
			5500	-	-	-	-	0.29					0.29
			8250	-	-	-	-	0.44					0.44
			13750	-	-	-	-	0.70					0.70
			35750	-	-	-	-	1.71					1.71
			22000	-	-	-	-	1.20					1.20
			23038	-	-	-	-	0.88					0.88
			52250	-	-	-	-	2.83					2.83
			68750	-	-	-	-	3.73					3.73
			35750	-	-	-	-	1.67					1.67
			450000	-	-	-	0.98						0.98
			46750	-	-	-	-	1.79					1.79
			48125	-	-	-	-	2.62					2.62
			49500	-	-	-	-	2.68					2.68
			101750	-	-	-	-	4.52					4.52
			55000	-	-	-	-	2.43					2.43
			60500	-	-	-	-	2.32					2.32
			61875	-	-	-	-	2.06					2.06
			69000	-	-	-	-			2.52			2.52
			77000	-	-	-	-	4.08					4.08
			86625	-	-	-	-	3.06					3.06
			87000	-	-	-	-			3.22			3.22
			720000	-	-	-	1.63						1.63
			189750	-	-	-	-	7.13					7.13
			100375	-	-	-	-	3.98					3.98
			108625	-	-	-	-	4.02					4.02
			122375	-	-	-	-	6.40					6.40
			129250	-	-	-	-	6.77					6.77
			130625	-	-	-	-	4.51					4.51
			270000	-	-	-	0.55						0.55
			148500	-	-	-	-	5.46					5.46
			160875	-	-	-	-	6.67					6.67
			168000	-	-	-	-			5.95			5.95
			170500	-	-	-	-	8.97					8.97
			174625	-	-	-	-	9.29					9.29
			900000	-	-	-	1.94						1.94
			187000	-	-	-	-	10.06					10.06
			199375	-	-	-	-	10.50					10.50
			900000	-	-	-	1.89						1.89

	235125	-	-	-	-	12.49					12.49
	254375	-	-	-	-	13.79					13.79
	1080000	-	-	-	2.32						2.32
	288750	-	-	-	-	12.93					12.93
	298712	-	-	-	-	11.49					11.49
	309375	-	-	-	-	10.23					10.23
	630000	-	-	-	1.35						1.35
	347875	-	-	-	-	16.30					16.30
	720000	-	-	-	1.53						1.53
	404250	-	-	-	-	21.22					21.22
	418000	-	-	-	-	21.51					21.51
	450000	-	-	-	0.95						0.95
	473000	-	-	-	-	25.02					25.02
	1485000	-	-	-	3.34						3.34
	1080000	-	-	-	2.43						2.43
	572000	-	-	-	-	25.97					25.97
	1170000	-	-	-	2.62						2.62
	720000	-	-	-	1.55						1.55
	765000	-	-	-	1.58						1.58
	789250	-	-	-	-	30.39					30.39
	810000	-	-	-	1.87						1.87
	811250	-	-	-	-	40.41					40.41
	900000	-	-	-	2.11						2.11
	945000	-	-	-	2.46						2.46
	1980000	-	-	-	4.95						4.95
	1080000	-	-	-	2.35						2.35
	1485000	-	-	-	3.51						3.51
	1935000	-	-	-	4.06						4.06
	1999250	-	-	-	-	93.08					93.08
SAL	1375	-	-	-	-	0.07					0.07
	4000	-	-	-	-			0.15			0.15
	5500	-	-	-	-	0.27					0.27
	6875	-	-	-	-	0.34					0.34
	9000	-	-	-	-			0.34			0.34
	12000	-	-	-	-			0.43			0.43
	12375	-	-	-	-	0.68					0.68
	15000	-	-	-	-			0.58			0.58
	15125	-	-	-	-	0.78					0.78
	18500	-	-	-	-			0.68			0.68
	19500	-	-	-	-			0.75			0.75
	28875	-	-	-	-	1.40					1.40
	31625	-	-	-	-	1.54					1.54
	33000	-	-	-	-			1.21			1.21
	49500	-	-	-	-	2.06					2.06
	93000	-	-	-	-			3.23			3.23
	93500	-	-	-	-	4.73					4.73
	297000	-	-	-	-	14.81					14.81



									4.63					4.63
														6.90
														7.00
														8.48
														9.48
														7.59
														8.16
														23.84
														30.44
														11.09
														0.61
														15.68
														12.87
														13.81
														0.71
														32.80
														1.41
														1.61
														1.67
														1.84
														1.82
														42.49
														1.64
														1.73
														2.41
														2.21
														2.37
														2.77
														113.20
														10.50
														10.55
														51.14
														^^
														0.01
														0.01
														0.06
														0.14
														0.86
														0.29
														1.28
														3.21
														3.33
														3.27
														1.60
														3.64
														18.25
														9.93

EQDWTO

Debentures and Bonds

PUR

SAL

Equity Shares

PUR

^^

^^

			233243	-	-	-	-			26.39				26.39
			275365	-	-	-	-			32.41				32.41
			282648	-	-	-	-	14.04						14.04
			600000	-	-	3.61	-	14.61						18.21
			361006	-	-	-	-	15.35						15.35
			373600	-	-	-	-	15.50						15.50
			389055	-	-	-	-	20.13						20.13
			394782	-	-	-	-	16.80						16.80
			400000	-	-	-	-	18.63						18.63
			1000000	-	-	-	-	42.28						42.28
			551389	-	-	-	-	22.27						22.27
			566410	-	-	-	-	23.98						23.98
			633249	-	-	-	-	33.43						33.43
			638275	-	-	-	-	32.52						32.52
			649279	-	-	-	-	26.66						26.66
			684149	-	-	-	-	30.27						30.27
			706270	-	-	-	-	30.88						30.88
			739312	-	-	-	-	22.32						22.32
		SAL	516	-	-	0.01	-							0.01
			532	-	-	0.01	-							0.01
			3062	-	-	0.07	-							0.07
			3094	-	-	0.07	-							0.07
			4081	-	-	0.09	-							0.09
			5100	-	-	-	-				0.26			0.26
			10117	-	-	0.23	-							0.23
			15363	-	-	-	-		1.81					1.81
			15727	-	-	0.34	-							0.34
			17081	-	-	-	-		1.92					1.92
			23333	-	-	0.59	-							0.59
			24063	-	-	-	-			0.93				0.93
			34385	-	-	-	-			1.74				1.74
			34824	-	-	0.80	-							0.80
			37063	-	-	-	-		4.91					4.91
			44649	-	-	-	-		5.87					5.87
			44859	-	-	1.06	-							1.06
			50000	-	-	1.18	-							1.18
			55933	-	-	-	-			2.84				2.84
			64586	-	-	-	-		7.87					7.87
			116492	-	-	-	-			5.91				5.91
			131505	-	-	-	-			6.63				6.63
			159243	-	-	-	-		17.81					17.81
EQTYINC	Equity Shares	PUR	9481	-	-	-	-		0.95					0.95
			20000	-	-	-	-	0.83						0.83
			80000	-	-	-	-	3.44						3.44
			82808	-	-	-	-		9.75					9.75
			100000	-	-	-	-	3.30						3.30
			275000	-	-	-	-	11.13						11.13

		SAL	55	-	-	-	-			0.01			0.01
			1402	-	-	-	-			0.15			0.15
			7616	-	-	-	-			0.87			0.87
			10440	-	-	-	-			1.32			1.32
			12744	-	-	-	-			1.44			1.44
			37981	-	-	-	-			4.12			4.12
			48832	-	-	-	-			5.38			5.38
			85566	-	-	-	-	3.46					3.46
			118607	-	-	-	-	6.02					6.02
ESTAR	Equity Shares	PUR	54999	-	-	-	-			6.22			6.22
			96381	-	-	-	-			11.34			11.34
		SAL	410	-	-	-	-			0.06			0.06
			2207	-	-	-	-			0.30			0.30
			2676	-	-	-	-			0.27			0.27
			4471	-	-	-	-			0.46			0.46
			4699	-	-	0.12	-						0.12
			4978	-	-	-	-			0.69			0.69
			7465	-	-	-	-			1.03			1.03
			9200	-	-	-	-			1.07			1.07
			10029	-	-	-	-			1.17			1.17
			11923	-	-	0.29	-						0.29
			18131	-	-	-	-			2.12			2.12
			41158	-	-	-	-			4.21			4.21
			60800	-	-	-	-			7.11			7.11
F831103L	Debentures and Bonds	PUR	200	-	-	-	-	10.29					10.29
F831108H	Debentures and Bonds	PUR	100	-	-	-	-	5.14					5.14
FOCUS	Equity Shares	PUR	8068	-	-	-	-			0.36			0.36
			31703	-	-	-	-			0.96			0.96
			56738	-	-	-	-			2.04			2.04
			62144	-	-	-	-			1.83			1.83
			98845	-	-	-	-	2.78					2.78
			177000	-	-	-	-	5.13					5.13
			291000	-	-	-	-	9.38					9.38
			300000	-	-	-	-	8.42					8.42
			304258	-	-	-	-			35.81			35.81
			318635	-	-	-	-			14.26			14.26
			348524	-	-	-	-			10.50			10.50
			353253	-	-	-	-			15.75			15.75
			660493	-	-	-	-			29.35			29.35
		SAL	9131	-	-	-	-			1.04			1.04
			9165	-	-	-	-			1.05			1.05
			63975	-	-	-	-			7.35			7.35
			74257	-	-	-	-			8.54			8.54
			75626	-	-	-	-			8.65			8.65
GIFT	Equity Shares	PUR	87	-	-	-	-				^^		^^
			182	-	0.01	-	-						0.01
			500	-	0.04	-	-						0.04

			929	-	0.07	-	-	-					0.07
			2157	-	-	-	-	-			0.08		0.08
			2303	-	0.18	-	-	-					0.18
			10524	-	-	-	-	-			0.38		0.38
			26164	-	-	-	-	1.12					1.12
			37430	-	2.87	-	-	-					2.87
			37531	-	-	-	-	1.71					1.71
			41924	-	-	-	-	1.73					1.73
			61066	-	-	-	-	-			2.18		2.18
			82304	-	-	-	-	3.75					3.75
			95540	-	-	-	-	4.35					4.35
			180380	-	-	-	-	8.22					8.22
GROWTH	Equity Shares	PUR	1000	0.02	-	-	-	-					0.02
			1010	-	-	-	-	-		0.12			0.12
			1266	-	-	-	-	-		0.15			0.15
			1843	-	-	-	-	0.08					0.08
			41456	-	-	-	-	1.72					1.72
			200000	-	-	-	-	8.09					8.09
			108497	-	-	-	-	4.42					4.42
			141645	-	-	-	-	-		16.02			16.02
			600000	-	-	-	-	24.62					24.62
			209781	-	-	-	-	-		24.69			24.69
			250000	-	-	-	-	10.37					10.37
			300000	-	-	-	-	12.29					12.29
			600000	-	-	-	-	24.28					24.28
		SAL	7	-	-	-	-	-		^^			^^
			173	-	-	-	-	-		0.02			0.02
			1000	0.02	-	-	-	-					0.02
			4424	-	-	-	-	-		0.48			0.48
			7504	-	-	-	-	-		0.87			0.87
			19971	-	-	-	-	-		2.15			2.15
			30371	-	-	-	-	-		3.29			3.29
			42113	-	-	-	-	-		5.32			5.32
			51409	-	-	-	-	-		5.82			5.82
			90955	-	-	-	-	-		9.88			9.88
			149875	-	-	-	-	8.14					8.14
			196975	-	-	-	-	-		21.70			21.70
GROWTH1	Equity Shares	PUR	1196	-	-	-	-	-		0.16			0.16
			1760	-	-	-	-	-		0.24			0.24
			2100	-	-	-	-	-		0.28			0.28
			7044	-	-	-	-	-		0.83			0.83
		SAL	10000	-	-	-	-	0.50					0.50
			12100	-	-	-	-	-		1.51			1.51
GROWTH2	Equity Shares	PUR	3698	-	-	-	-	-		0.44			0.44
GROWTH3	Equity Shares	PUR	214	-	-	-	-	-			0.01		0.01
			1038	-	-	-	-	-			0.04		0.04
			5326	-	-	-	-	-			0.19		0.19



4300	-	-	-	-	0.21						0.21
4440	0.07	-	-	-							0.07
4510	-	-	0.10	-							0.10
5229	0.08	-	-	-							0.08
5702	-	-	0.11	-							0.11
5942	-	-	0.13	-							0.13
6042	-	0.25	-	-							0.25
6067	-	0.31	-	-							0.31
6722	0.11	-	-	-							0.11
7000	-	-	-	-	0.35						0.35
7683	0.12	-	-	-							0.12
8000	-	-	-	-	0.42						0.42
8275	0.14	-	-	-							0.14
8686	0.15	-	-	-							0.15
9000	-	-	-	-	0.47						0.47
20000	-	-	-	-	1.06						1.06
10790	-	-	0.25	-							0.25
11000	-	-	-	-	0.58						0.58
11532	0.19	-	-	-							0.19
12055	0.19	-	-	-							0.19
12723	0.15	-	-	-							0.15
12751	-	-	0.24	-							0.24
13358	-	-	0.30	-							0.30
14500	0.17	-	-	-							0.17
14667	-	-	0.23	-							0.23
15000	-	-	-	-	0.80						0.80
15266	-	-	0.30	-							0.30
15571	0.26	-	-	-							0.26
15828	0.27	-	-	-							0.27
16153	-	-	0.32	-							0.32
18000	0.23	-	-	-							0.23
19000	-	-	-	-	1.00						1.00
19353	0.32	-	-	-							0.32
19568	-	1.04	-	-							1.04
40000	-	-	0.44	-	0.76						1.21
22407	-	1.19	-	-							1.19
23420	-	1.33	-	-							1.33
24492	-	1.21	-	-							1.21
25000	0.31	-	-	-							0.31
27505	0.46	-	-	-							0.46
27513	-	-	0.52	-							0.52
27942	0.46	-	-	-							0.46
32945	0.53	-	-	-							0.53
33159	-	-	0.40	-							0.40
36522	-	-	-	-			4.30				4.30
40000	-	-	-	-	2.06						2.06
45000	-	-	-	-	2.24						2.24



702	-	-	-	-	0.03							0.03
704	-	-	-	-	0.03							0.03
713	-	-	-	-	0.03							0.03
722	-	-	-	-	0.03							0.03
725	-	-	-	-	0.03							0.03
729	-	-	-	-	0.03							0.03
730	-	-	-	-	0.03							0.03
734	-	-	-	-	0.03							0.03
793	-	-	-	-	0.04							0.04
798	-	-	-	-	0.04							0.04
832	-	-	-	-	0.03							0.03
845	-	-	-	-	0.03							0.03
904	-	-	-	-	0.05							0.05
979	-	-	-	-	0.05							0.05
1002	-	-	-	-	0.04							0.04
1039	-	-	-	-	0.06							0.06
1040	-	-	-	-	0.06							0.06
1046	-	-	-	-	0.04							0.04
1083	-	-	-	-	0.06							0.06
1207	-	-	-	-	0.06							0.06
1285	-	-	-	-	0.07							0.07
1292	-	-	-	-	0.07							0.07
1299	-	-	-	-	0.07							0.07
1312	-	-	-	-	0.07							0.07
1314	-	-	-	-	0.07							0.07
1325	-	-	-	-	0.07							0.07
1338	-	-	-	-	0.06							0.06
1348	-	-	-	-	0.06							0.06
1351	-	-	-	-	0.06							0.06
1352	-	-	-	-	0.06							0.06
1358	-	-	-	-	0.06							0.06
1361	-	-	-	-	0.06							0.06
1365	-	-	-	-	0.05							0.05
1366	-	-	-	-	0.06							0.06
1367	-	-	-	-	0.06							0.06
1375	-	-	-	-	0.05							0.05
1378	-	-	-	-	0.06							0.06
1387	-	-	-	-	0.06							0.06
1397	-	-	-	-	0.06							0.06
1402	-	-	-	-	0.06							0.06
1405	-	-	-	-	0.06							0.06
2828	-	-	-	-	0.12							0.12
1415	-	-	-	-	0.05							0.05
1419	-	-	-	-	0.05							0.05
1423	-	-	-	-	0.05							0.05
1424	-	-	-	-	0.06							0.06
1433	-	-	-	-	0.06							0.06



1435	-	-	-	-	0.05							0.05
1440	-	-	-	-	0.06							0.06
1441	-	-	-	-	0.06							0.06
1557	-	-	-	-	0.08							0.08
1697	-	-	-	-	0.07							0.07
1701	-	-	-	-	0.09							0.09
1792	-	-	-	-	0.06							0.06
1907	-	-	-	-	0.10							0.10
1925	-	-	-	-	0.10							0.10
1944	-	-	-	-	0.10							0.10
1989	-	-	-	-	0.09							0.09
2052	-	-	-	-	0.09							0.09
2126	-	-	-	-	0.08							0.08
2134	-	-	-	-	0.10							0.10
2146	-	-	-	-	0.09							0.09
2153	-	-	-	-	0.08							0.08
2207	-	-	-	-	0.09							0.09
2278	-	-	-	-	0.10							0.10
2323	-	-	-	-	0.12							0.12
2509	-	-	-	-	0.10							0.10
2578	-	-	-	-	0.14							0.14
2580	-	-	-	-	0.14							0.14
2600	-	-	-	-	0.13							0.13
2688	-	-	-	-	0.12							0.12
2732	-	-	-	-	0.12							0.12
2741	-	-	-	-	0.12							0.12
2752	-	-	-	-	0.11							0.11
2779	-	-	-	-	0.12							0.12
2794	-	-	-	-	0.12							0.12
2856	-	-	-	-	0.12							0.12
2946	-	-	-	-	0.12							0.12
3202	-	-	-	-	0.17							0.17
3258	-	-	-	-	0.18							0.18
3323	-	-	-	-	0.15							0.15
3353	-	-	-	-	0.18							0.18
3559	-	-	-	-	0.15							0.15
3561	-	-	-	-	0.15							0.15
3580	-	-	-	-	0.15							0.15
3626	-	-	-	-	0.20							0.20
3651	-	-	-	-	0.15							0.15
4114	-	-	-	-	0.17							0.17
4199	-	-	-	-	0.18							0.18
4219	-	-	-	-	0.18							0.18
4339	-	-	-	-	0.18							0.18
4467	-	-	-	-	0.17							0.17
4556	-	-	-	-	0.24							0.24
4875	-	-	-	-	0.19							0.19

	5025	-	-	-	-	0.21						0.21
	5207	-	-	-	-	0.27						0.27
	5236	-	-	-	-	0.28						0.28
	5581	-	-	-	-	0.24						0.24
	5599	-	-	-	-	0.22						0.22
	5795	-	-	-	-	0.24						0.24
	5825	-	-	-	-	0.23						0.23
	5838	-	-	-	-	0.29						0.29
	5874	-	-	-	-	0.31						0.31
	6507	-	-	-	-	0.35						0.35
	6545	-	-	-	-	0.34						0.34
	6575	-	-	-	-	0.35						0.35
	6677	-	-	-	-	0.34						0.34
	6762	-	-	-	-	0.31						0.31
	6957	-	-	-	-	0.36						0.36
	7199	-	-	-	-	0.30						0.30
	7276	-	-	-	-	0.39						0.39
	7478	-	-	-	-	0.32						0.32
	8139	-	-	-	-	0.36						0.36
	8367	-	-	-	-	0.37						0.37
	9201	-	-	-	-	0.46						0.46
	10149	-	-	-	-	0.29						0.29
	12848	-	-	-	-	0.66						0.66
	12934	-	-	-	-	0.69						0.69
	12938	-	-	-	-	0.70						0.70
	13213	-	-	-	-	0.67						0.67
	19509	-	-	-	-	1.02						1.02
	22469	-	-	-	-	1.05						1.05
	22580	-	-	-	-	1.14						1.14
	22775	-	-	-	-	0.80						0.80
	24086	-	-	-	-	0.72						0.72
	25270	-	-	-	-	1.02						1.02
	27937	-	-	-	-	1.27						1.27
	28691	-	-	-	-	0.98						0.98
	33492	-	-	-	-	1.60						1.60
	37693	-	-	-	-	1.26						1.26
	44730	-	-	-	-	1.65						1.65
	45596	-	-	-	-	2.05						2.05
	45717	-	-	-	-	2.43						2.43
	59359	-	-	-	-	1.86						1.86
	92132	-	-	-	-	3.19						3.19
SAL	596	-	-	-	-	0.03						0.03
	716	-	-	-	-	0.03						0.03
	1325	-	-	-	-	0.06						0.06
	1995	-	-	-	-	0.09						0.09
	2047	-	-	-	-	0.09						0.09
	2683	-	-	-	-	0.12						0.12

			2732	-	-	-	-	0.13					0.13
			3627	-	-	-	-	0.15					0.15
			3991	-	-	-	-	0.18					0.18
			5157	-	-	-	-	0.28					0.28
			5977	-	-	-	-	0.29					0.29
			6447	-	-	-	-	0.34					0.34
			6829	-	-	-	-	0.27					0.27
			7257	-	-	-	-	0.32					0.32
			7778	-	-	-	-	0.39					0.39
			9630	-	-	-	-	0.42					0.42
			12322	-	-	-	-	0.65					0.65
			12918	-	-	-	-	0.69					0.69
			17027	-	-	-	-	0.69					0.69
			27129	-	-	-	-	1.17					1.17
			42459	-	-	-	-	1.67					1.67
			67254	-	-	-	-	3.35					3.35
INDOPP	Equity Shares	PUR	12600	-	-	-	-					0.27	0.27
			20032	-	-	-	-					0.44	0.44
			27534	-	-	-	-					0.60	0.60
			33900	-	-	-	-					0.74	0.74
			44171	-	-	-	-					0.97	0.97
			730403	-	-	-	-					16.08	16.08
		SAL	600	-	-	-	-					0.02	0.02
			898	-	-	-	-					0.03	0.03
			1761	-	-	-	-					0.06	0.06
			2732	-	-	-	-					0.10	0.10
			6822	-	-	-	-					0.21	0.21
			6891	-	-	-	-					0.24	0.24
			8032	-	-	-	-					0.25	0.25
			10478	-	-	-	-					0.36	0.36
			12921	-	-	-	-					0.45	0.45
			17076	-	-	-	-					0.52	0.52
			18583	-	-	-	-					0.56	0.56
			20000	-	-	-	-					0.61	0.61
			20126	-	-	-	-					0.63	0.63
			24850	-	-	-	-			1.27			1.27
			28239	-	-	-	-					1.01	1.01
			28248	-	-	-	-					0.84	0.84
			37837	-	-	-	-					1.25	1.25
			39634	-	-	-	-					1.20	1.20
			40325	-	-	-	-	2.07					2.07
			44147	-	-	-	-			2.19			2.19
			44421	-	-	-	-					1.39	1.39
			45627	-	-	-	-					1.40	1.40
			50000	-	-	-	-			2.54			2.54
			61508	-	-	-	-			3.08			3.08
			75484	-	-	-	-					2.60	2.60

			92598	-	-	-	-	4.79					4.79			
			98750	-	-	-	-	5.08					5.08			
			100000	-	-	-	-				3.12		3.12			
			100627	-	-	-	-				3.37		3.37			
			101394	-	-	-	-			5.20			5.20			
			101552	-	-	-	-			5.12			5.12			
			109051	-	-	-	-				3.40		3.40			
			113820	-	-	-	-			5.77			5.77			
			132552	-	-	-	-				4.13		4.13			
			150000	-	-	-	-			7.43			7.43			
			154937	-	-	-	-	7.98					7.98			
INFRA	Equity Shares	PUR	500	-	0.03	-	-						0.03			
			782	-	0.05	-	-						0.05			
			2655	-	0.16	-	-							0.16		
			3149	-	-	0.04	-							0.04		
			8482	-	-	0.10	-							0.10		
			9756	-	0.60	-	-							0.60		
			22313	-	1.36	-	-							1.36		
			40095	-	-	0.47	-							0.47		
				SAL	20	-	-	^^	-						^^	
					91	-	-	^^	-						^^	
					98	-	-	^^	-						^^	
					112	-	-	^^	-						^^	
					161	-	-	^^	-						^^	
					175	-	-	^^	-						^^	
					225	-	-	0.01	-							0.01
					356	-	-	0.01	-							0.01
					571	-	-	0.01	-							0.01
					658	-	-	0.01	-							0.01
					928	-	-	0.02	-							0.02
					991	-	-	0.03	-							0.03
					1045	-	-	0.02	-							0.02
					1345	-	-	0.03	-							0.03
					1397	-	-	0.03	-							0.03
					1448	-	-	0.03	-							0.03
					1774	-	-	0.04	-							0.04
					2443	-	-	0.05	-							0.05
					2657	-	-	0.06	-							0.06
					3062	-	-	0.07	-							0.07
					3093	-	-	0.07	-							0.07
					4007	-	-	0.09	-							0.09
				4010	-	-	0.09	-							0.09	
				4226	-	-	0.08	-							0.08	
		4311	-	-	0.09	-							0.09			
		4421	-	-	0.10	-							0.10			
		4538	-	-	0.09	-							0.09			
		5000	-	-	0.11	-							0.11			

5457	-	-	0.11	-							0.11
5704	-	-	0.13	-							0.13
5830	-	-	0.13	-							0.13
6063	-	-	0.14	-							0.14
7269	-	-	0.15	-							0.15
8478	-	-	0.20	-							0.20
8562	-	-	0.17	-							0.17
9157	-	-	0.18	-							0.18
9265	-	-	0.21	-							0.21
9496	-	-	0.20	-							0.20
9501	-	-	0.19	-							0.19
10662	-	-	0.21	-							0.21
11023	-	-	0.25	-							0.25
11470	-	-	0.25	-							0.25
13395	-	-	0.27	-							0.27
14120	-	-	0.31	-							0.31
14457	-	-	0.29	-							0.29
14588	-	-	0.29	-							0.29
15008	-	-	0.29	-							0.29
17039	-	-	0.37	-							0.37
18192	-	-	0.38	-							0.38
18868	-	-	0.44	-							0.44
18999	-	-	0.44	-							0.44
19697	-	-	0.44	-							0.44
40000	-	-	0.43	-	0.94						1.37
21438	-	-	0.42	-							0.42
22283	-	-	0.46	-							0.46
24706	-	-	0.57	-							0.57
25401	-	-	0.53	-							0.53
25428	-	-	0.49	-							0.49
26521	-	-	0.56	-							0.56
26878	-	-	0.62	-							0.62
29466	-	-	0.59	-							0.59
29846	-	-	0.65	-							0.65
90000	-	-	1.31	-	1.37						2.68
35880	-	-	0.78	-							0.78
36006	-	2.69	-	-							2.69
36831	-	-	0.83	-							0.83
37145	-	-	0.74	-							0.74
50000	-	-	1.34	-							1.34
50219	-	-	1.04	-							1.04
52448	-	-	1.16	-							1.16
53696	-	-	1.12	-							1.12
91714	-	-	1.94	-							1.94
98121	-	-	2.08	-							2.08
100000	-	-	2.65	-							2.65
112125	-	-	2.22	-							2.22

			122688	-	-	3.32	-						3.32
LNGFLT	Debentures and Bonds	SAL	100	-	-	-	-	10.52					10.52
			150	-	-	-	-	15.82					15.82
			500	-	-	-	-	52.75					52.75
			1000	-	-	-	-	106.52					106.52
LTWEF	Equity Shares	PUR	17165	-	-	-	-	0.70					0.70
MD150ETF	Equity Shares	PUR	10	-	-	-	-				^^	^^	
			15	-	-	-	-				^^	^^	
			32	-	-	-	-			^^			^^
			20	-	-	-	-				^^	^^	
			70	-	-	-	-						0.01
			120	-	-	-	-						0.01
			135	-	-	-	-						0.02
			48	-	-	-	-			^^			^^
			224	-	-	-	-				0.01		0.01
			115	-	-	-	-					0.01	0.01
			123	-	-	-	-			^^			^^
			384	-	-	-	-				0.01		0.01
			432	-	-	-	-				0.02		0.02
			284	-	-	-	-					0.04	0.04
			368	-	-	-	-				0.01		0.01
			534	-	-	-	^^						^^
			535	-	-	-	^^						^^
			810	-	-	-	-				0.04		0.04
			1593	-	-	-	^^						^^
			1614	-	-	-	^^						^^
			7476	-	-	-	0.01						0.01
			8560	-	-	-	0.01						0.01
			4288	-	-	-	0.01						0.01
			4806	-	-	-	0.01						0.01
			4824	-	-	-	0.01						0.01
			4833	-	-	-	0.01						0.01
			12374	-	-	-	0.02						0.02
			26021	-	-	-	0.06						0.06
		SAL	10	-	-	-	-			^^	^^		^^
			16	-	-	-	-			^^			^^
			30	-	-	-	-				^^		^^
			40	-	-	-	-					0.01	0.01
			85	-	-	-	-			^^			^^
			96	-	-	-	-			^^			^^
			128	-	-	-	-				0.01		0.01
			532	-	-	-	^^						^^
			3186	-	-	-	0.01						0.01
			4248	-	-	-	0.01						0.01
MULTIPL10A	Equity Shares	PUR	5148	-	-	-	-	0.21					0.21
		SAL	12536	-	-	-	-	0.64					0.64
MULTIPL10B	Equity Shares	SAL	5471	-	-	-	-	0.28					0.28

			16297	-	-	-	-	0.76						0.76
MULTIPL11A	Equity Shares	PUR	19058	-	-	-	-	0.80						0.80
			51741	-	-	-	-	2.16					2.16	
			12799	-	-	-	-	0.65					0.65	
MULTIPL11B	Equity Shares	PUR	15000	-	-	-	-	0.63						0.63
			39673	-	-	-	-	1.65					1.65	
			9673	-	-	-	-	0.49					0.49	
MULTIPL11C	Equity Shares	PUR	13621	-	-	-	-	0.57						0.57
			2621	-	-	-	-	0.13					0.13	
MULTIPL11D	Equity Shares	SAL	1768	-	-	-	-	0.09						0.09
MULTIPL14A	Equity Shares	PUR	11322	-	-	-	-	0.47						0.47
			2322	-	-	-	-	0.12					0.12	
MULTIPLE6D	Equity Shares	SAL	46227	-	-	-	-	1.88						1.88
MULTIPLE7B	Equity Shares	PUR	1882	-	-	-	-	0.08						0.08
			16892	-	-	-	-	0.74					0.74	
MULTIPLE7C	Equity Shares	SAL	38866	-	-	-	-	1.60						1.60
MULTIPLE7E	Equity Shares	SAL	28500	-	-	-	-	1.28						1.28
MULTIPLE8A	Equity Shares	PUR	4114	-	-	-	-	0.17						0.17
			10000	-	-	-	-	0.42					0.42	
			31381	-	-	-	-	1.35					1.35	
MULTIPLE8D	Equity Shares	SAL	31405	-	-	-	-	1.56						1.56
NIFETF	Equity Shares	PUR	1230	-	-	-	-	0.04						0.04
			411	-	-	-	-	0.01					0.01	
			2478	-	-	-	-	0.13					0.13	
			2070	-	-	-	-	0.11					0.11	
			820	-	-	-	-	0.02					0.02	
			4120	-	-	-	-	0.19					0.19	
			10725	-	-	-	-	0.56					0.56	
			7434	-	-	-	-	0.35					0.35	
			9924	-	-	-	-	0.53					0.53	
			13248	-	-	-	-	0.61					0.61	
			4145	-	-	-	-	0.17					0.17	
			1660	-	-	-	-	0.07					0.07	
			10803	-	-	-	-	0.45					0.45	
			9152	-	-	-	-	0.37					0.37	
			1666	-	-	-	-	0.07					0.07	
			1668	-	-	-	-	0.07					0.07	
			6680	-	-	-	-	0.28					0.28	
			1672	-	-	-	-	0.07					0.07	
			1230	-	-	-	-	0.04					0.04	
			3717	-	-	-	-	0.19					0.19	
			1242	-	-	-	-	0.06					0.06	
			1640	-	-	-	-	0.05					0.05	
			1648	-	-	-	-	0.07					0.07	
8250	-	-	-	-	0.40					0.40				
3304	-	-	-	-	0.14					0.14				
8270	-	-	-	-	0.44					0.44				

6624	-	-	-	-	0.30							0.30
4974	-	-	-	-	0.21							0.21
6640	-	-	-	-	0.28							0.28
1662	-	-	-	-	0.07							0.07
11648	-	-	-	-	0.47							0.47
9996	-	-	-	-	0.39							0.39
3336	-	-	-	-	0.14							0.14
3340	-	-	-	-	0.13							0.13
1672	-	-	-	-	0.07							0.07
8260	-	-	-	-	0.44							0.44
2077	-	-	-	-	0.10							0.10
24750	-	-	-	-	1.26							1.26
7434	-	-	-	-	0.37							0.37
2481	-	-	-	-	0.13							0.13
2484	-	-	-	-	0.10							0.10
4980	-	-	-	-	0.21							0.21
2493	-	-	-	-	0.10							0.10
19992	-	-	-	-	0.77							0.77
5010	-	-	-	-	0.21							0.21
2870	-	-	-	-	0.08							0.08
6592	-	-	-	-	0.29							0.29
23100	-	-	-	-	1.19							1.19
6616	-	-	-	-	0.35							0.35
3320	-	-	-	-	0.14							0.14
9972	-	-	-	-	0.44							0.44
16640	-	-	-	-	0.67							0.67
3340	-	-	-	-	0.14							0.14
7452	-	-	-	-	0.36							0.36
3788	-	-	-	-	0.12							0.12
4120	-	-	-	-	0.22							0.22
20625	-	-	-	-	1.02							1.02
16520	-	-	-	-	0.74							0.74
8270	-	-	-	-	0.44							0.44
12420	-	-	-	-	0.60							0.60
12465	-	-	-	-	0.52							0.52
4160	-	-	-	-	0.17							0.17
4165	-	-	-	-	0.16							0.16
9900	-	-	-	-	0.53							0.53
19824	-	-	-	-	1.00							1.00
4968	-	-	-	-	0.21							0.21
4998	-	-	-	-	0.20							0.20
10008	-	-	-	-	0.43							0.43
5010	-	-	-	-	0.20							0.20
5740	-	-	-	-	0.18							0.18
5768	-	-	-	-	0.25							0.25
5775	-	-	-	-	0.31							0.31
5782	-	-	-	-	0.31							0.31



5789	-	-	-	-	0.31						0.31
11606	-	-	-	-	0.50						0.50
5831	-	-	-	-	0.23						0.23
13184	-	-	-	-	0.64						0.64
33000	-	-	-	-	1.72						1.72
13216	-	-	-	-	0.69						0.69
13232	-	-	-	-	0.71						0.71
6624	-	-	-	-	0.30						0.30
6640	-	-	-	-	0.27						0.27
6672	-	-	-	-	0.28						0.28
14958	-	-	-	-	0.62						0.62
7488	-	-	-	-	0.30						0.30
7710	-	-	-	-	0.42						0.42
8260	-	-	-	-	0.45						0.45
8270	-	-	-	-	0.45						0.45
16560	-	-	-	-	0.79						0.79
16640	-	-	-	-	0.68						0.68
8330	-	-	-	-	0.32						0.32
18150	-	-	-	-	0.92						0.92
9453	-	-	-	-	0.30						0.30
9816	-	-	-	-	0.35						0.35
9840	-	-	-	-	0.33						0.33
9864	-	-	-	-	0.28						0.28
9888	-	-	-	-	0.53						0.53
9900	-	-	-	-	0.50						0.50
9912	-	-	-	-	0.50						0.50
29808	-	-	-	-	1.62						1.62
9972	-	-	-	-	0.45						0.45
10350	-	-	-	-	0.48						0.48
10725	-	-	-	-	0.53						0.53
10803	-	-	-	-	0.44						0.44
11178	-	-	-	-	0.49						0.49
11550	-	-	-	-	0.62						0.62
24930	-	-	-	-	1.09						1.09
12773	-	-	-	-	0.47						0.47
13152	-	-	-	-	0.43						0.43
26368	-	-	-	-	1.41						1.41
26400	-	-	-	-	1.34						1.34
52928	-	-	-	-	2.88						2.88
13312	-	-	-	-	0.55						0.55
13530	-	-	-	-	0.48						0.48
14105	-	-	-	-	0.59						0.59
14850	-	-	-	-	0.78						0.78
14868	-	-	-	-	0.56						0.56
14976	-	-	-	-	0.58						0.58
15281	-	-	-	-	0.79						0.79
15808	-	-	-	-	0.66						0.66

16480	-	-	-	-	0.71						0.71
82500	-	-	-	-	4.37						4.37
99240	-	-	-	-	5.32						5.32
16640	-	-	-	-	0.65						0.65
18975	-	-	-	-	0.97						0.97
39600	-	-	-	-	2.02						2.02
19896	-	-	-	-	0.85						0.85
20550	-	-	-	-	0.66						0.66
20700	-	-	-	-	0.86						0.86
20775	-	-	-	-	0.91						0.91
20800	-	-	-	-	0.84						0.84
44172	-	-	-	-	1.55						1.55
22275	-	-	-	-	1.04						1.04
23296	-	-	-	-	0.97						0.97
24128	-	-	-	-	0.96						0.96
49200	-	-	-	-	1.43						1.43
24750	-	-	-	-	1.24						1.24
49620	-	-	-	-	2.67						2.67
24930	-	-	-	-	1.12						1.12
24960	-	-	-	-	1.01						1.01
79200	-	-	-	-	4.07						4.07
26432	-	-	-	-	1.44						1.44
26464	-	-	-	-	1.41						1.41
26592	-	-	-	-	1.16						1.16
26910	-	-	-	-	1.17						1.17
26994	-	-	-	-	0.97						0.97
27258	-	-	-	-	1.17						1.17
28220	-	-	-	-	1.15						1.15
29700	-	-	-	-	1.40						1.40
29880	-	-	-	-	1.27						1.27
30636	-	-	-	-	1.35						1.35
31878	-	-	-	-	1.43						1.43
96525	-	-	-	-	4.94						4.94
65920	-	-	-	-	3.20						3.20
66000	-	-	-	-	3.40						3.40
33240	-	-	-	-	1.44						1.44
34944	-	-	-	-	1.46						1.46
35475	-	-	-	-	1.62						1.62
38130	-	-	-	-	1.28						1.28
40590	-	-	-	-	1.40						1.40
82500	-	-	-	-	4.31						4.31
42900	-	-	-	-	2.16						2.16
44820	-	-	-	-	1.86						1.86
46144	-	-	-	-	2.00						2.00
46200	-	-	-	-	2.49						2.49
48852	-	-	-	-	2.10						2.10
53184	-	-	-	-	2.37						2.37

	61050	-	-	-	-	2.86						2.86
	61875	-	-	-	-	2.94						2.94
	64428	-	-	-	-	3.47						3.47
	73336	-	-	-	-	3.10						3.10
	74160	-	-	-	-	4.03						4.03
	267077	-	-	-	-	9.39						9.39
	298890	-	-	-	-	8.66						8.66
SAL	820	-	-	-	-	0.02						0.02
	824	-	-	-	-	0.04						0.04
	4950	-	-	-	-	0.25						0.25
	827	-	-	-	-	0.04						0.04
	833	-	-	-	-	0.03						0.03
	834	-	-	-	-	0.04						0.04
	835	-	-	-	-	0.03						0.03
	1648	-	-	-	-	0.09						0.09
	11550	-	-	-	-	0.57						0.57
	3304	-	-	-	-	0.17						0.17
	3308	-	-	-	-	0.18						0.18
	1656	-	-	-	-	0.09						0.09
	4950	-	-	-	-	0.26						0.26
	2505	-	-	-	-	0.10						0.10
	16500	-	-	-	-	0.84						0.84
	3304	-	-	-	-	0.18						0.18
	6656	-	-	-	-	0.27						0.27
	3336	-	-	-	-	0.14						0.14
	3718	-	-	-	-	0.15						0.15
	3942	-	-	-	-	0.21						0.21
	4100	-	-	-	-	0.14						0.14
	4125	-	-	-	-	0.20						0.20
	4130	-	-	-	-	0.17						0.17
	4155	-	-	-	-	0.17						0.17
	4441	-	-	-	-	0.18						0.18
	4944	-	-	-	-	0.26						0.26
	19800	-	-	-	-	1.00						1.00
	9912	-	-	-	-	0.48						0.48
	9924	-	-	-	-	0.53						0.53
	4992	-	-	-	-	0.20						0.20
	17325	-	-	-	-	0.87						0.87
	5796	-	-	-	-	0.31						0.31
	5824	-	-	-	-	0.24						0.24
	13184	-	-	-	-	0.56						0.56
	46200	-	-	-	-	2.24						2.24
	6616	-	-	-	-	0.35						0.35
	6624	-	-	-	-	0.36						0.36
	6648	-	-	-	-	0.28						0.28
	6656	-	-	-	-	0.27						0.27
	8220	-	-	-	-	0.26						0.26

16480	-	-	-	-	0.88						0.88
33000	-	-	-	-	1.74						1.74
9064	-	-	-	-	0.48						0.48
9430	-	-	-	-	0.30						0.30
9453	-	-	-	-	0.31						0.31
19680	-	-	-	-	0.65						0.65
9900	-	-	-	-	0.53						0.53
29736	-	-	-	-	1.60						1.60
9936	-	-	-	-	0.53						0.53
9972	-	-	-	-	0.43						0.43
10712	-	-	-	-	0.47						0.47
46200	-	-	-	-	2.42						2.42
11578	-	-	-	-	0.64						0.64
11634	-	-	-	-	0.49						0.49
11648	-	-	-	-	0.48						0.48
12330	-	-	-	-	0.39						0.39
12375	-	-	-	-	0.67						0.67
12390	-	-	-	-	0.67						0.67
13184	-	-	-	-	0.69						0.69
66000	-	-	-	-	3.36						3.36
39648	-	-	-	-	2.05						2.05
26496	-	-	-	-	1.42						1.42
13312	-	-	-	-	0.53						0.53
14051	-	-	-	-	0.63						0.63
14850	-	-	-	-	0.80						0.80
14976	-	-	-	-	0.58						0.58
33000	-	-	-	-	1.73						1.73
16540	-	-	-	-	0.89						0.89
33120	-	-	-	-	1.75						1.75
17325	-	-	-	-	0.93						0.93
17451	-	-	-	-	0.76						0.76
19776	-	-	-	-	0.84						0.84
19824	-	-	-	-	1.06						1.06
19968	-	-	-	-	0.83						0.83
20139	-	-	-	-	0.66						0.66
20625	-	-	-	-	1.11						1.11
20675	-	-	-	-	1.11						1.11
21528	-	-	-	-	0.88						0.88
23100	-	-	-	-	1.22						1.22
23925	-	-	-	-	1.30						1.30
24099	-	-	-	-	0.95						0.95
24392	-	-	-	-	1.08						1.08
24720	-	-	-	-	1.30						1.30
49500	-	-	-	-	2.52						2.52
24840	-	-	-	-	1.32						1.32
25792	-	-	-	-	1.00						1.00
52928	-	-	-	-	2.82						2.82

27555	-	-	-	-	1.08						1.08
28016	-	-	-	-	1.22						1.22
32960	-	-	-	-	1.76						1.76
66000	-	-	-	-	3.55						3.55
35475	-	-	-	-	1.73						1.73
37125	-	-	-	-	1.76						1.76
37530	-	-	-	-	1.58						1.58
39600	-	-	-	-	2.13						2.13
39840	-	-	-	-	1.71						1.71
41350	-	-	-	-	2.22						2.22
46480	-	-	-	-	1.94						1.94
99000	-	-	-	-	4.99						4.99
51975	-	-	-	-	2.57						2.57
53625	-	-	-	-	2.73						2.73
54384	-	-	-	-	2.36						2.36
55275	-	-	-	-	2.98						2.98
60976	-	-	-	-	2.68						2.68
65760	-	-	-	-	2.14						2.14
80730	-	-	-	-	3.33						3.33
82400	-	-	-	-	3.52						3.52
82600	-	-	-	-	3.53						3.53
85800	-	-	-	-	4.62						4.62
113988	-	-	-	-	4.78						4.78
42	-	-	-	-			0.01				0.01
96	-	-	-	-				^^			^^
103	-	-	-	-			0.01				0.01
104	-	-	-	-			0.01				0.01
105	-	-	-	-			0.01				0.01
318	-	-	-	-			0.04				0.04
108	-	-	-	-			0.01				0.01
112	-	-	-	-			0.01				0.01
117	-	-	-	-			0.01				0.01
119	-	-	-	-			0.01				0.01
125	-	-	-	-			0.02				0.02
127	-	-	-	-			0.02				0.02
147	-	-	-	-			0.01				0.01
302	-	-	-	-			0.04				0.04
156	-	-	-	-			0.02				0.02
167	-	-	-	-			0.02				0.02
168	-	-	-	-			0.02				0.02
176	-	-	-	-			0.02				0.02
179	-	-	-	-			0.02				0.02
199	-	-	-	-			0.03				0.03
200	-	-	-	-			0.03				0.03
209	-	-	-	-			0.03				0.03
213	-	-	-	-			0.03				0.03
222	-	-	-	-			0.03				0.03

NIFJUN

Equity Shares

PUR

446	-	-	-	-			0.05				0.05
225	-	-	-	-				0.01			0.01
226	-	-	-	-			0.03				0.03
227	-	-	-	-				0.01			0.01
684	-	-	-	-			0.08				0.08
458	-	-	-	-			0.05				0.05
460	-	-	-	-			0.05				0.05
924	-	-	-	-			0.11				0.11
233	-	-	-	-			0.03				0.03
936	-	-	-	-			0.05	0.02			0.08
470	-	-	-	-			0.05				0.05
714	-	-	-	-			0.08				0.08
717	-	-	-	-				0.04			0.04
1200	-	-	-	-			0.14				0.14
482	-	-	-	-			0.03	0.01			0.04
484	-	-	-	-			0.06				0.06
245	-	-	-	-				0.01			0.01
253	-	-	-	-			0.03				0.03
1016	-	-	-	-			0.10	0.01			0.11
263	-	-	-	-				0.01			0.01
268	-	-	-	-				0.01			0.01
269	-	-	-	-				0.01			0.01
540	-	-	-	-				0.03			0.03
297	-	-	-	-			0.04				0.04
305	-	-	-	-			0.04				0.04
313	-	-	-	-			0.04				0.04
316	-	-	-	-				0.02			0.02
318	-	-	-	-			0.04				0.04
324	-	-	-	-			0.04				0.04
341	-	-	-	-			0.04				0.04
351	-	-	-	-			0.04				0.04
706	-	-	-	-			0.04	0.02			0.06
357	-	-	-	-			0.04				0.04
716	-	-	-	-			0.04	0.02			0.06
359	-	-	-	-				0.02			0.02
360	-	-	-	-			0.04				0.04
362	-	-	-	-			0.04				0.04
366	-	-	-	-			0.04				0.04
754	-	-	-	-			0.04	0.02			0.06
378	-	-	-	-				0.02			0.02
381	-	-	-	-			0.05				0.05
403	-	-	-	-			0.05				0.05
408	-	-	-	-				0.02			0.02
422	-	-	-	-			0.06				0.06
430	-	-	-	-			0.06				0.06
433	-	-	-	-				0.02			0.02
868	-	-	-	-				0.03			0.03

436	-	-	-	-				0.02			0.02
1314	-	-	-	-				0.05			0.05
445	-	-	-	-				0.02			0.02
447	-	-	-	-				0.02			0.02
898	-	-	-	-			0.10				0.10
451	-	-	-	-				0.02			0.02
452	-	-	-	-			0.05				0.05
906	-	-	-	-			0.05	0.02			0.07
908	-	-	-	-			0.11				0.11
910	-	-	-	-			0.05	0.02			0.07
2280	-	-	-	-			0.15	0.05			0.20
1828	-	-	-	-			0.19				0.19
459	-	-	-	-			0.05				0.05
920	-	-	-	-			0.11				0.11
462	-	-	-	-			0.06				0.06
464	-	-	-	-			0.05				0.05
469	-	-	-	-			0.05				0.05
942	-	-	-	-			0.05	0.02			0.08
946	-	-	-	-			0.11				0.11
1422	-	-	-	-			0.16				0.16
478	-	-	-	-			0.05				0.05
480	-	-	-	-				0.02			0.02
489	-	-	-	-			0.05				0.05
1006	-	-	-	-				0.05			0.05
504	-	-	-	-				0.02			0.02
507	-	-	-	-			0.07				0.07
510	-	-	-	-				0.02			0.02
516	-	-	-	-				0.02			0.02
518	-	-	-	-				0.02			0.02
519	-	-	-	-				0.02			0.02
522	-	-	-	-				0.02			0.02
526	-	-	-	-				0.02			0.02
529	-	-	-	-				0.02			0.02
533	-	-	-	-				0.03			0.03
535	-	-	-	-				0.02			0.02
1072	-	-	-	-				0.04			0.04
1623	-	-	-	-				0.06			0.06
1084	-	-	-	-				0.05			0.05
543	-	-	-	-				0.02			0.02
545	-	-	-	-				0.02			0.02
546	-	-	-	-				0.02			0.02
547	-	-	-	-				0.03			0.03
561	-	-	-	-				0.03			0.03
598	-	-	-	-			0.07				0.07
606	-	-	-	-			0.07				0.07
607	-	-	-	-			0.08				0.08
616	-	-	-	-			0.08				0.08

649	-	-	-	-			0.09			0.09
650	-	-	-	-				0.02		0.02
665	-	-	-	-				0.02		0.02
673	-	-	-	-			0.08			0.08
676	-	-	-	-				0.03		0.03
683	-	-	-	-				0.03		0.03
695	-	-	-	-				0.02		0.02
715	-	-	-	-			0.08			0.08
717	-	-	-	-			0.08			0.08
720	-	-	-	-			0.08			0.08
722	-	-	-	-				0.04		0.04
723	-	-	-	-				0.04		0.04
731	-	-	-	-			0.08			0.08
735	-	-	-	-				0.04		0.04
774	-	-	-	-				0.03		0.03
797	-	-	-	-				0.03		0.03
806	-	-	-	-				0.03		0.03
808	-	-	-	-				0.04		0.04
811	-	-	-	-			0.11			0.11
818	-	-	-	-				0.03		0.03
851	-	-	-	-				0.03		0.03
852	-	-	-	-				0.03		0.03
857	-	-	-	-				0.03		0.03
859	-	-	-	-				0.03		0.03
862	-	-	-	-				0.03		0.03
1728	-	-	-	-				0.06		0.06
865	-	-	-	-				0.03		0.03
3468	-	-	-	-				0.13		0.13
873	-	-	-	-				0.03		0.03
876	-	-	-	-				0.03		0.03
890	-	-	-	-				0.03		0.03
897	-	-	-	-				0.03		0.03
899	-	-	-	-				0.03		0.03
900	-	-	-	-			0.12			0.12
907	-	-	-	-				0.03		0.03
911	-	-	-	-				0.04		0.04
926	-	-	-	-				0.03		0.03
1918	-	-	-	-			0.11	0.05		0.16
971	-	-	-	-				0.05		0.05
1016	-	-	-	-			0.14			0.14
1029	-	-	-	-				0.05		0.05
2060	-	-	-	-			0.14	0.04		0.18
1037	-	-	-	-				0.04		0.04
1044	-	-	-	-				0.05		0.05
1048	-	-	-	-				0.04		0.04
1049	-	-	-	-			0.14			0.14
1059	-	-	-	-			0.14			0.14



1063	-	-	-	-				0.04		0.04
1067	-	-	-	-				0.04		0.04
1069	-	-	-	-				0.05		0.05
1070	-	-	-	-				0.04		0.04
1073	-	-	-	-				0.05		0.05
1081	-	-	-	-		0.14				0.14
1090	-	-	-	-				0.05		0.05
1099	-	-	-	-		0.15				0.15
1100	-	-	-	-		0.13				0.13
1137	-	-	-	-		0.13				0.13
1148	-	-	-	-				0.04		0.04
1165	-	-	-	-				0.06		0.06
1189	-	-	-	-		0.10				0.10
1202	-	-	-	-		0.14				0.14
1239	-	-	-	-		0.16				0.16
1290	-	-	-	-		0.14				0.14
1386	-	-	-	-				0.05		0.05
1390	-	-	-	-				0.07		0.07
2878	-	-	-	-		0.35				0.35
1520	-	-	-	-				0.07		0.07
1614	-	-	-	-				0.06		0.06
1615	-	-	-	-				0.08		0.08
1618	-	-	-	-				0.06		0.06
1626	-	-	-	-				0.06		0.06
1749	-	-	-	-		0.21				0.21
1767	-	-	-	-		0.21				0.21
1825	-	-	-	-				0.09		0.09
1831	-	-	-	-				0.09		0.09
1850	-	-	-	-				0.09		0.09
1902	-	-	-	-				0.09		0.09
1940	-	-	-	-				0.09		0.09
1972	-	-	-	-				0.10		0.10
2041	-	-	-	-				0.09		0.09
2046	-	-	-	-		0.24				0.24
2134	-	-	-	-				0.05		0.05
2158	-	-	-	-				0.08		0.08
2164	-	-	-	-				0.09		0.09
2315	-	-	-	-				0.08		0.08
2368	-	-	-	-				0.12		0.12
2383	-	-	-	-				0.10		0.10
2494	-	-	-	-				0.12		0.12
2584	-	-	-	-				0.10		0.10
3248	-	-	-	-				0.13		0.13
3264	-	-	-	-		0.32				0.32
3295	-	-	-	-		0.27				0.27
3948	-	-	-	-				0.18		0.18
3987	-	-	-	-				0.19		0.19

	4620	-	-	-	-			0.20		0.20
	4883	-	-	-	-		0.52			0.52
	4955	-	-	-	-		0.66			0.66
	5504	-	-	-	-		0.63			0.63
	5916	-	-	-	-			0.14		0.14
	8504	-	-	-	-		0.86			0.86
	8767	-	-	-	-			0.29		0.29
	9882	-	-	-	-			0.38		0.38
	10210	-	-	-	-		1.11			1.11
	10520	-	-	-	-		1.40			1.40
	11255	-	-	-	-			0.56		0.56
	15266	-	-	-	-			0.46		0.46
	18595	-	-	-	-			0.72		0.72
	18886	-	-	-	-			0.89		0.89
	19250	-	-	-	-		2.25			2.25
	19363	-	-	-	-			0.66		0.66
	20380	-	-	-	-			0.80		0.80
	32857	-	-	-	-		4.69			4.69
	43447	-	-	-	-			1.74		1.74
SAL	42	-	-	-	-		0.01			0.01
	45	-	-	-	-		^^			^^
	72	-	-	-	-		0.01			0.01
	95	-	-	-	-			^^		^^
	102	-	-	-	-			^^		^^
	105	-	-	-	-		0.01			0.01
	125	-	-	-	-		0.02			0.02
	130	-	-	-	-			^^		^^
	210	-	-	-	-		0.03			0.03
	213	-	-	-	-		0.03			0.03
	215	-	-	-	-		0.03			0.03
	239	-	-	-	-			0.01		0.01
	283	-	-	-	-			0.01		0.01
	449	-	-	-	-		0.06			0.06
	452	-	-	-	-		0.05			0.05
	470	-	-	-	-		0.05			0.05
	478	-	-	-	-			0.02		0.02
	483	-	-	-	-			0.03		0.03
	489	-	-	-	-			0.02		0.02
	499	-	-	-	-		0.07			0.07
	538	-	-	-	-		0.07			0.07
	685	-	-	-	-			0.02		0.02
	708	-	-	-	-		0.08			0.08
	729	-	-	-	-		0.08			0.08
	763	-	-	-	-		0.11			0.11
	852	-	-	-	-			0.03		0.03
	858	-	-	-	-			0.03		0.03
	881	-	-	-	-		0.12			0.12

892	-	-	-	-				0.03			0.03
894	-	-	-	-			0.10				0.10
895	-	-	-	-				0.04			0.04
1178	-	-	-	-			0.16				0.16
1220	-	-	-	-				0.06			0.06
1369	-	-	-	-				0.06			0.06
1582	-	-	-	-				0.08			0.08
1598	-	-	-	-				0.06			0.06
1645	-	-	-	-				0.06			0.06
2017	-	-	-	-				0.08			0.08
2115	-	-	-	-				0.11			0.11
2250	-	-	-	-			0.30				0.30
2627	-	-	-	-			0.29				0.29
2732	-	-	-	-			0.36				0.36
2903	-	-	-	-			0.37				0.37
3676	-	-	-	-				0.11			0.11
4040	-	-	-	-				0.21			0.21
4905	-	-	-	-				0.26			0.26
6307	-	-	-	-			0.75				0.75
6580	-	-	-	-				0.34			0.34
8918	-	-	-	-			0.98				0.98
10505	-	-	-	-				0.52			0.52
14257	-	-	-	-				0.65			0.65
20128	-	-	-	-				0.80			0.80
22537	-	-	-	-			2.98				2.98
40461	-	-	-	-				2.10			2.10
16	-	-	-	-			^^				^^
17	-	-	-	-			^^				^^
36	-	-	-	-			^^				^^
304	-	-	-	-			0.03	^^			0.04
39	-	-	-	-				^^			^^
42	-	-	-	-			0.01				0.01
46	-	-	-	-			0.01				0.01
118	-	-	-	-			0.02				0.02
120	-	-	-	-			0.02				0.02
1302	-	-	-	-			0.15				0.15
693	-	-	-	-			0.08				0.08
128	-	-	-	-			0.01				0.01
66	-	-	-	-				^^			^^
402	-	-	-	-				0.02			0.02
70	-	-	-	-			0.01				0.01
73	-	-	-	-			0.01				0.01
76	-	-	-	-			0.01				0.01
82	-	-	-	-				^^			^^
95	-	-	-	-				^^			^^
104	-	-	-	-				^^			^^
1200	-	-	-	-			0.01	0.04			0.05

NN50ETF

Equity Shares

PUR

484	-	-	-	-				0.02			0.02
124	-	-	-	-			0.01				0.01
126	-	-	-	-			0.01				0.01
131	-	-	-	-				0.01			0.01
402	-	-	-	-				0.02			0.02
272	-	-	-	-				0.01			0.01
2660	-	-	-	-				0.11			0.11
282	-	-	-	-				0.01			0.01
304	-	-	-	-			0.04				0.04
170	-	-	-	-				^^			^^
190	-	-	-	-			0.02				0.02
242	-	-	-	-				0.01			0.01
248	-	-	-	-			0.03				0.03
264	-	-	-	-				0.01			0.01
268	-	-	-	-				0.01			0.01
272	-	-	-	-				0.01			0.01
280	-	-	-	-				0.01			0.01
310	-	-	-	-			0.04				0.04
315	-	-	-	-			0.03				0.03
330	-	-	-	-				0.01			0.01
360	-	-	-	-			0.04				0.04
365	-	-	-	-			0.05				0.05
434	-	-	-	-			0.05				0.05
441	-	-	-	-			0.05				0.05
456	-	-	-	-			0.06				0.06
560	-	-	-	-				0.02			0.02
600	-	-	-	-				0.02			0.02
646	-	-	-	-			0.09				0.09
655	-	-	-	-				0.03			0.03
660	-	-	-	-			0.08				0.08
700	-	-	-	-				0.03			0.03
2190	-	-	-	-			0.30				0.30
772	-	-	-	-				0.03			0.03
804	-	-	-	-				0.04			0.04
816	-	-	-	-				0.04			0.04
847	-	-	-	-				0.03			0.03
980	-	-	-	-				0.04			0.04
1139	-	-	-	-				0.05			0.05
1160	-	-	-	-				0.03			0.03
1241	-	-	-	-			0.17				0.17
1302	-	-	-	-			0.16				0.16
3930	-	-	-	-				0.21			0.21
1488	-	-	-	-			0.18				0.18
1496	-	-	-	-				0.07			0.07
2068	-	-	-	-			0.29				0.29
2160	-	-	-	-			0.26				0.26
2227	-	-	-	-				0.12			0.12

	2555	-	-	-	-			0.35				0.35
	2914	-	-	-	-			0.36				0.36
	2940	-	-	-	-				0.13			0.13
	3360	-	-	-	-				0.14			0.14
	4585	-	-	-	-				0.24			0.24
	4896	-	-	-	-				0.22			0.22
	6580	-	-	-	-				0.29			0.29
SAL	38	-	-	-	-		^^					^^
	45	-	-	-	-			0.01				0.01
	48	-	-	-	-			0.01				0.01
	59	-	-	-	-			0.01				0.01
	60	-	-	-	-			0.01				0.01
	68	-	-	-	-				^^			^^
	73	-	-	-	-			0.01				0.01
	118	-	-	-	-			0.02				0.02
	119	-	-	-	-				0.01			0.01
	131	-	-	-	-				0.01			0.01
	134	-	-	-	-				0.01			0.01
	136	-	-	-	-				0.01			0.01
	146	-	-	-	-			0.02				0.02
	212	-	-	-	-			0.03				0.03
	219	-	-	-	-			0.03				0.03
	244	-	-	-	-			0.03				0.03
	262	-	-	-	-				0.01			0.01
	268	-	-	-	-				0.01			0.01
	365	-	-	-	-			0.05				0.05
	380	-	-	-	-			0.05				0.05
	393	-	-	-	-				0.02			0.02
	456	-	-	-	-			0.04				0.04
	482	-	-	-	-				0.02			0.02
	564	-	-	-	-				0.03			0.03
	584	-	-	-	-			0.08				0.08
	587	-	-	-	-				0.03			0.03
	620	-	-	-	-				0.03			0.03
	646	-	-	-	-			0.07				0.07
	655	-	-	-	-				0.03			0.03
	670	-	-	-	-				0.03			0.03
	1460	-	-	-	-			0.19				0.19
	816	-	-	-	-				0.02			0.02
	876	-	-	-	-			0.12				0.12
	949	-	-	-	-			0.13				0.13
	1048	-	-	-	-				0.05			0.05
	1156	-	-	-	-				0.04			0.04
	1241	-	-	-	-			0.17				0.17
	2620	-	-	-	-				0.14			0.14
	1572	-	-	-	-				0.08			0.08
	1703	-	-	-	-				0.09			0.09

			2065	-	-	-	-			0.28				0.28			
			2100	-	-	-	-			0.28				0.28			
			2227	-	-	-	-				0.12			0.12			
			2555	-	-	-	-			0.34				0.34			
			4585	-	-	-	-				0.24			0.24			
			4690	-	-	-	-				0.24			0.24			
			4760	-	-	-	-				0.24			0.24			
PHARMA	Equity Shares	PUR	3840	0.06	-	-	-								0.06		
			5850	0.09	-	-	-									0.09	
			10140	0.16	-	-	-									0.16	
			11024	0.13	-	-	-									0.13	
			12596	0.19	-	-	-									0.19	
			21250	0.25	-	-	-									0.25	
			24297	0.29	-	-	-									0.29	
			24724	0.38	-	-	-									0.38	
			27726	0.33	-	-	-									0.33	
			40000	0.47	-	-	-									0.47	
			105736	0.95	-	-	-									0.95	
			200000	2.40	-	-	-									2.40	
					SAL	16586	0.35	-	-	-							0.35
						87965	1.57	-	-	-							1.57
POWER	Equity Shares	PUR	792	-	-	0.02	-								0.02		
			1117	-	-	-	-				0.15					0.15	
			1251	-	-	0.03	-									0.03	
			1821	-	-	-	-					0.25				0.25	
			3250	-	-	-	-					0.39				0.39	
			3341	-	-	-	-					0.41				0.41	
			3382	-	-	-	-					0.45				0.45	
			4717	-	-	-	-					0.64				0.64	
			8207	-	-	-	-					1.06				1.06	
			9370	-	-	-	-			0.49						0.49	
			9421	-	-	-	-					1.22				1.22	
			10573	-	-	-	-			0.42						0.42	
			11793	-	-	-	-					1.53				1.53	
			15173	-	-	0.34	-									0.34	
			16367	-	-	0.42	-									0.42	
			20000	-	-	-	-					2.69				2.69	
			21254	-	-	0.54	-									0.54	
			24137	-	-	-	-					3.25				3.25	
			25000	-	-	-	-			0.89						0.89	
			60000	-	-	-	-			1.19		4.02				5.21	
			32327	-	-	-	-					3.73				3.73	
			150000	-	-	-	-			4.41		6.36				10.77	
			75000	-	-	-	-			2.65						2.65	
79971	-	-	-	-			3.37						3.37				
160000	-	-	-	-			4.19		10.75				14.94				
180000	-	-	-	-			8.15						8.15				

			90579	-	-	-	-			11.76				11.76
			700000	-	-	-	-	29.16						29.16
			119709	-	-	-	-	4.64						4.64
			120000	-	-	-	-	4.02						4.02
			140630	-	-	-	-	7.35						7.35
			300000	-	-	-	-	11.73						11.73
			800000	-	-	-	-	33.20						33.20
			250000	-	-	-	-	13.07						13.07
			280291	-	-	-	-	10.92						10.92
			377187	-	-	-	-			44.39				44.39
			1200000	-	-	-	-	40.55						40.55
			420029	-	-	-	-	17.69						17.69
			450000	-	-	-	-	18.71						18.71
			500000	-	-	-	-	20.83						20.83
		SAL	9393	-	-	0.20	-							0.20
			15237	-	-	0.32	-							0.32
			16745	-	-	0.34	-							0.34
			66669	-	-	-	-			2.43				2.43
			83255	-	-	1.75	-							1.75
			133792	-	-	-	-			4.86				4.86
			135442	-	-	-	-			4.94				4.94
			162923	-	-	3.42	-							3.42
			191902	-	-	-	-			7.11				7.11
			216647	-	-	-	-			8.00				8.00
			221998	-	-	-	-			8.09				8.09
			250000	-	-	-	-			9.21				9.21
			537328	-	-	-	-			19.55				19.55
			687500	-	-	-	-	35.66						35.66
PVTETF	Equity Shares	PUR	243	-	-	-	-	0.01						0.01
			1566	-	-	-	-	0.08						0.08
			523	-	-	-	-	0.02						0.02
			3132	-	-	-	-	0.17						0.17
			2090	-	-	-	-	0.11						0.11
			1046	-	-	-	-	0.05						0.05
			2178	-	-	-	-	0.11						0.11
			1090	-	-	-	-	0.05						0.05
			1121	-	-	-	-	0.05						0.05
			1125	-	-	-	-	0.05						0.05
			1168	-	-	-	-	0.05						0.05
			2964	-	-	-	-	0.10						0.10
			2088	-	-	-	-	0.11						0.11
			2775	-	-	-	-	0.14						0.14
			2989	-	-	-	-	0.12						0.12
			2994	-	-	-	-	0.14						0.14
			3135	-	-	-	-	0.17						0.17
			3270	-	-	-	-	0.14						0.14
			3661	-	-	-	-	0.17						0.17

	4360	-	-	-	-	0.19						0.19
	4960	-	-	-	-	0.16						0.16
	5709	-	-	-	-	0.31						0.31
	6150	-	-	-	-	0.26						0.26
	6726	-	-	-	-	0.30						0.30
	7856	-	-	-	-	0.28						0.28
	33408	-	-	-	-	1.81						1.81
	16720	-	-	-	-	0.90						0.90
	9801	-	-	-	-	0.46						0.46
	10440	-	-	-	-	0.57						0.57
	10802	-	-	-	-	0.39						0.39
	11431	-	-	-	-	0.39						0.39
	13598	-	-	-	-	0.62						0.62
	14157	-	-	-	-	0.74						0.74
	14630	-	-	-	-	0.79						0.79
	17748	-	-	-	-	0.95						0.95
	19855	-	-	-	-	1.07						1.07
	22420	-	-	-	-	0.98						0.98
	23958	-	-	-	-	1.22						1.22
	26150	-	-	-	-	1.07						1.07
	29200	-	-	-	-	1.24						1.24
	29820	-	-	-	-	1.03						1.03
	39148	-	-	-	-	1.42						1.42
	56628	-	-	-	-	3.02						3.02
	60610	-	-	-	-	3.23						3.23
	65400	-	-	-	-	2.82						2.82
	67354	-	-	-	-	3.64						3.64
	79422	-	-	-	-	4.24						4.24
	98370	-	-	-	-	4.14						4.14
	106820	-	-	-	-	4.64						4.64
	107532	-	-	-	-	5.44						5.44
	109000	-	-	-	-	5.22						5.22
	140125	-	-	-	-	5.67						5.67
	170973	-	-	-	-	8.03						8.03
	201650	-	-	-	-	9.11						9.11
	222360	-	-	-	-	9.79						9.79
	227810	-	-	-	-	12.02						12.02
	237652	-	-	-	-	9.82						9.82
	262314	-	-	-	-	11.75						11.75
	306185	-	-	-	-	16.58						16.58
	341946	-	-	-	-	14.49						14.49
	368532	-	-	-	-	19.84						19.84
	371664	-	-	-	-	20.12						20.12
SAL	16	-	-	-	-	^^						^^
	21	-	-	-	-	^^						^^
	22	-	-	-	-	^^						^^
	1125	-	-	-	-	0.05						0.05



			2120	-	-	-	-	0.11						0.11
			3332	-	-	-	-	0.14						0.14
			3479	-	-	-	-	0.12						0.12
			4279	-	-	-	-	0.18						0.18
			4676	-	-	-	-	0.19						0.19
			5151	-	-	-	-	0.20						0.20
			24714	-	-	-	-	1.02						1.02
			70291	-	-	-	-	3.79						3.79
			96081	-	-	-	-	5.13						5.13
			263623	-	-	-	-	8.88						8.88
RECOVERY5	Equity Shares	SAL	17976	-	-	-	-	0.70						0.70
			18846	-	-	-	-	0.77						0.77
			19685	-	-	-	-	0.75						0.75
			23493	-	-	-	-	0.95						0.95
RECOVERY7	Equity Shares	SAL	33000	-	-	-	-	1.44						1.44
			33314	-	-	-	-	1.44						1.44
REGULAR	Debentures and Bonds	SAL	10	-	-	-	-	1.03						1.03
			200	-	-	-	-	21.10						21.10
			250	-	-	-	-	26.38						26.38
			400	-	-	-	-	42.63						42.63
			550	-	-	-	-	58.02						58.02
RETIREAG	Equity Shares	PUR	18689	-	-	-	-	0.80						0.80
			47927	-	-	-	-	2.07						2.07
RETIRECO	Debentures and Bonds	SAL	40	-	-	-	-	4.40						4.40
	Equity Shares	PUR	10571	-	-	-	-	0.46						0.46
			15706	-	-	-	-	0.67						0.67
RETIREEQ	Equity Shares	PUR	2826	-	-	-	-	0.11						0.11
			5414	-	-	-	-	0.23						0.23
			6671	-	-	-	-	0.29						0.29
RIGHT	Equity Shares	SAL	20774	-	-	-	-	0.82						0.82
			31133	-	-	-	-	1.27						1.27
SERVE	Equity Shares	PUR	284670	-	-	-	-	12.21						12.21
		SAL	26741	-	-	-	-	1.38						1.38
			28517	-	-	-	-	1.47						1.47
			44742	-	-	-	-	2.31						2.31
			236987	-	-	-	-	12.09						12.09
SHTERM	Debentures and Bonds	SAL	10	-	-	-	-	1.05						1.05
			250	-	-	-	-	26.77						26.77
			260	-	-	-	-	26.84						26.84
SINDEX	Equity Shares	PUR	29	-	-	-	-	^^						^^
			152	-	-	-	-	0.01						0.01
			154	-	-	-	-	0.01						0.01
			79	-	-	-	-	^^						^^
			160	-	-	-	-	0.01						0.01
			324	-	-	-	-	0.01						0.01
			252	-	-	-	-	0.01						0.01
			118	-	-	-	-	0.01						0.01

129	-	-	-	-	0.01						0.01
146	-	-	-	-	0.01						0.01
152	-	-	-	-	0.01						0.01
308	-	-	-	-	0.02						0.02
310	-	-	-	-	0.01						0.01
160	-	-	-	-	0.01						0.01
161	-	-	-	-	0.01						0.01
810	-	-	-	-	0.03						0.03
163	-	-	-	-	0.01						0.01
492	-	-	-	-	0.02						0.02
165	-	-	-	-	0.01						0.01
166	-	-	-	-	0.01						0.01
501	-	-	-	-	0.02						0.02
840	-	-	-	-	0.03						0.03
507	-	-	-	-	0.02						0.02
342	-	-	-	-	0.01						0.01
172	-	-	-	-	0.01						0.01
220	-	-	-	-	0.01						0.01
221	-	-	-	-	0.01						0.01
227	-	-	-	-	0.01						0.01
228	-	-	-	-	0.01						0.01
229	-	-	-	-	0.01						0.01
230	-	-	-	-	0.01						0.01
462	-	-	-	-	0.02						0.02
232	-	-	-	-	0.01						0.01
235	-	-	-	-	0.01						0.01
236	-	-	-	-	0.01						0.01
474	-	-	-	-	0.02						0.02
476	-	-	-	-	0.02						0.02
729	-	-	-	-	0.03						0.03
488	-	-	-	-	0.02						0.02
980	-	-	-	-	0.04						0.04
1020	-	-	-	-	0.04						0.04
257	-	-	-	-	0.01						0.01
873	-	-	-	-	0.05						0.05
293	-	-	-	-	0.02						0.02
294	-	-	-	-	0.02						0.02
295	-	-	-	-	0.02						0.02
307	-	-	-	-	0.02						0.02
309	-	-	-	-	0.02						0.02
310	-	-	-	-	0.02						0.02
636	-	-	-	-	0.03						0.03
319	-	-	-	-	0.01						0.01
320	-	-	-	-	0.01						0.01
321	-	-	-	-	0.01						0.01
322	-	-	-	-	0.01						0.01
975	-	-	-	-	0.04						0.04

332	-	-	-	-	0.01							0.01
333	-	-	-	-	0.01							0.01
336	-	-	-	-	0.01							0.01
344	-	-	-	-	0.01							0.01
348	-	-	-	-	0.01							0.01
371	-	-	-	-	0.02							0.02
748	-	-	-	-	0.04							0.04
792	-	-	-	-	0.03							0.03
406	-	-	-	-	0.02							0.02
420	-	-	-	-	0.02							0.02
422	-	-	-	-	0.02							0.02
438	-	-	-	-	0.02							0.02
440	-	-	-	-	0.02							0.02
443	-	-	-	-	0.02							0.02
444	-	-	-	-	0.02							0.02
445	-	-	-	-	0.02							0.02
449	-	-	-	-	0.02							0.02
464	-	-	-	-	0.02							0.02
958	-	-	-	-	0.04							0.04
483	-	-	-	-	0.02							0.02
980	-	-	-	-	0.04							0.04
1012	-	-	-	-	0.04							0.04
509	-	-	-	-	0.02							0.02
513	-	-	-	-	0.02							0.02
520	-	-	-	-	0.03							0.03
553	-	-	-	-	0.02							0.02
566	-	-	-	-	0.02							0.02
587	-	-	-	-	0.03							0.03
593	-	-	-	-	0.03							0.03
594	-	-	-	-	0.03							0.03
596	-	-	-	-	0.03							0.03
601	-	-	-	-	0.03							0.03
679	-	-	-	-	0.03							0.03
683	-	-	-	-	0.04							0.04
686	-	-	-	-	0.03							0.03
707	-	-	-	-	0.02							0.02
1470	-	-	-	-	0.08							0.08
741	-	-	-	-	0.04							0.04
746	-	-	-	-	0.04							0.04
788	-	-	-	-	0.03							0.03
789	-	-	-	-	0.04							0.04
793	-	-	-	-	0.03							0.03
801	-	-	-	-	0.03							0.03
812	-	-	-	-	0.04							0.04
849	-	-	-	-	0.03							0.03
858	-	-	-	-	0.03							0.03
921	-	-	-	-	0.05							0.05

			1033	-	-	-	-	0.06						0.06
			1179	-	-	-	-	0.06						0.06
			1190	-	-	-	-	0.05						0.05
			1202	-	-	-	-	0.06						0.06
			1290	-	-	-	-	0.05						0.05
			1300	-	-	-	-	0.06						0.06
			1313	-	-	-	-	0.07						0.07
			1662	-	-	-	-	0.07						0.07
			1687	-	-	-	-	0.07						0.07
			1735	-	-	-	-	0.09						0.09
			1747	-	-	-	-	0.09						0.09
			1794	-	-	-	-	0.10						0.10
			2229	-	-	-	-	0.10						0.10
			2400	-	-	-	-	0.12						0.12
			2436	-	-	-	-	0.11						0.11
			2936	-	-	-	-	0.16						0.16
			3406	-	-	-	-	0.14						0.14
			3952	-	-	-	-	0.15						0.15
			4653	-	-	-	-	0.13						0.13
			4772	-	-	-	-	0.19						0.19
			5129	-	-	-	-	0.24						0.24
			5721	-	-	-	-	0.26						0.26
			6064	-	-	-	-	0.21						0.21
			6415	-	-	-	-	0.20						0.20
			6751	-	-	-	-	0.23						0.23
			7934	-	-	-	-	0.24						0.24
			9258	-	-	-	-	0.40						0.40
			10976	-	-	-	-	0.37						0.37
			11923	-	-	-	-	0.52						0.52
		SAL	85	-	-	-	-	^^						^^
			123	-	-	-	-	0.01						0.01
			148	-	-	-	-	0.01						0.01
			155	-	-	-	-	0.01						0.01
			160	-	-	-	-	0.01						0.01
			164	-	-	-	-	0.01						0.01
			165	-	-	-	-	0.01						0.01
			230	-	-	-	-	0.01						0.01
			240	-	-	-	-	0.01						0.01
			304	-	-	-	-	0.01						0.01
			634	-	-	-	-	0.03						0.03
			491	-	-	-	-	0.02						0.02
			1122	-	-	-	-	0.06						0.06
			1166	-	-	-	-	0.04						0.04
			1442	-	-	-	-	0.08						0.08
			2820	-	-	-	-	0.12						0.12
			3020	-	-	-	-	0.12						0.12
SPBSEETF	Equity Shares	PUR	6	^^	^^	-	-			^^		^^	^^	^^

6	-	^^	-	-	-	-	-	-	-	^^	-	^^
9	^^	-	-	-	-	-	-	^^	-	-	^^	^^
15	-	-	-	-	-	-	-	-	^^	^^	-	^^
48	-	-	-	-	-	-	-	^^	-	-	0.01	0.01
56	-	^^	-	-	-	-	-	^^	-	-	-	^^
8	^^	-	-	-	-	-	-	-	-	-	-	^^
198	-	-	-	-	-	-	-	-	^^	-	0.03	0.03
48	-	-	-	-	-	-	-	-	-	-	0.01	0.01
308	^^	0.02	-	-	-	-	-	-	-	-	-	0.02
136	-	-	-	-	-	^^	-	-	-	^^	-	0.01
22	-	-	-	-	-	-	-	-	-	-	^^	^^
69	-	-	-	-	-	-	-	0.01	-	-	-	0.01
264	-	-	^^	-	-	-	-	0.01	-	-	-	0.01
216	^^	-	-	-	-	-	-	-	^^	-	-	^^
28	-	^^	-	-	-	-	-	-	-	-	-	^^
32	-	-	-	-	^^	-	-	-	-	-	-	^^
612	-	-	-	-	-	-	-	-	-	-	0.02	0.02
105	-	-	-	-	-	-	-	-	-	^^	-	^^
782	-	-	-	-	-	-	-	0.10	-	-	-	0.10
188	-	-	^^	-	-	-	-	0.01	-	-	-	0.01
288	-	-	^^	-	-	-	-	0.01	-	-	-	0.02
102	^^	-	-	-	-	-	-	-	-	-	-	^^
260	^^	-	-	-	-	-	-	-	-	-	-	^^
530	0.01	-	-	-	-	-	-	-	-	-	-	0.01
216	^^	-	-	-	-	-	-	-	-	^^	-	^^
68	-	-	-	-	-	-	-	-	-	^^	-	^^
86	-	-	-	-	-	-	-	-	^^	-	-	^^
90	-	-	-	-	^^	-	-	-	-	-	-	^^
91	-	-	-	-	-	-	-	-	^^	-	-	^^
644	-	-	-	-	-	-	-	0.01	0.02	-	-	0.03
97	-	-	-	-	-	^^	-	-	-	-	-	^^
102	^^	-	-	-	-	-	-	-	-	-	-	^^
308	-	-	-	-	-	-	-	-	0.01	-	-	0.01
310	-	-	-	-	-	-	-	-	0.01	-	-	0.01
468	-	-	-	-	-	-	-	-	0.02	-	-	0.02
158	-	-	-	-	-	-	-	-	0.01	-	-	0.01
169	-	-	-	-	^^	-	-	-	-	-	-	^^
1820	-	-	-	-	-	-	-	-	0.09	-	-	0.09
736	-	-	-	-	-	-	-	-	0.03	-	-	0.03
201	-	-	-	-	^^	-	-	-	-	-	-	^^
252	-	-	-	-	-	0.01	-	-	-	-	-	0.01
308	-	-	-	-	-	-	-	-	0.01	-	-	0.01
499	-	-	-	-	-	0.02	-	-	-	-	-	0.02
551	-	-	-	-	^^	-	-	-	-	-	-	^^
1106	-	-	-	-	^^	-	-	-	-	-	-	^^
1108	-	-	-	-	^^	-	-	-	-	-	-	^^
555	-	-	-	-	^^	-	-	-	-	-	-	^^





			19947	-	-	-	-	0.66					0.66
			22236	-	-	-	-	0.68					0.68
			30411	-	-	-	-	0.99					0.99
			37050	-	-	-	-	1.98					1.98
			41076	-	-	-	-	2.10					2.10
TAX	Equity Shares	PUR	1258	-	-	-	-		0.15				0.15
			2115	-	-	-	-		0.25				0.25
			6280	-	-	-	-		0.74				0.74
			12390	-	-	-	-	0.51					0.51
			100000	-	-	-	-	4.00					4.00
			129440	-	-	-	-		15.42				15.42
			170600	-	-	-	-	4.88					4.88
			193764	-	-	-	-	7.96					7.96
			400000	-	-	-	-	16.76					16.76
			260841	-	-	-	-	10.43					10.43
			367328	-	-	-	-		43.23				43.23
			1435984	-	-	-	-	60.59					60.59
		SAL	10	-	-	-	-		^^				^^
			2107	-	-	-	-		0.23				0.23
			2386	-	-	-	-		0.26				0.26
			31876	-	-	-	-		3.61				3.61
			22851	-	-	-	-		2.50				2.50
			35320	-	-	-	-		3.86				3.86
			36955	-	-	-	-		4.10				4.10
			42206	-	-	-	-		4.57				4.57
			126400	-	-	-	-		13.72				13.72
			128764	-	-	-	-		14.05				14.05
			168684	-	-	-	-		18.36				18.36
ULTRA	Debentures and Bonds	PUR	500	-	-	-	-	25.29					25.29
VALUE10	Equity Shares	PUR	360	-	-	-	-		0.04				0.04
			35674	-	-	-	-		4.20				4.20
			56307	-	-	-	-	2.31					2.31
			100000	-	-	-	-	3.90					3.90
		SAL	10938	-	-	-	-		1.50				1.50
			10980	-	-	-	-		1.50				1.50
			14116	-	-	-	-		1.94				1.94
			84111	-	-	-	-	4.45					4.45
			117055	-	-	-	-	6.30					6.30
VALUE11	Equity Shares	SAL	60049	-	-	-	-	3.20					3.20
			85346	-	-	-	-	4.48					4.48
VALUE12	Equity Shares	PUR	9099	-	-	0.23	-						0.23
			11700	-	-	0.30	-						0.30
			12243	-	-	0.30	-						0.30
			39345	-	-	-	-		4.45				4.45
			88329	-	-	-	-		10.40				10.40
			97600	-	-	-	-	4.11					4.11
			137400	-	-	-	-	5.79					5.79





			5755	-	-	0.11	-						0.11
			8340	-	-	0.18	-						0.18
			8563	-	-	0.17	-						0.17
			9938	-	-	0.20	-						0.20
			12051	-	-	0.24	-						0.24
			13522	-	-	0.27	-						0.27
			15008	-	-	0.29	-						0.29
			16312	-	-	0.35	-						0.35
			18501	-	-	0.37	-						0.37
			19458	-	-	0.45	-						0.45
			21437	-	-	0.42	-						0.42
			23747	-	-	0.56	-						0.56
			25428	-	-	0.49	-						0.49
			29466	-	-	0.59	-						0.59
			32400	-	-	0.86	-						0.86
			34315	-	-	0.71	-						0.71
			100000	-	-	2.49	-						2.49
			54535	-	-	1.52	-						1.52
			60000	-	-	1.37	-						1.37
			63241	-	-	1.23	-						1.23
			73427	-	-	1.73	-						1.73
			78875	-	-	1.56	-						1.56
			90000	-	-	2.06	-						2.06
VALUE16	Equity Shares	PUR	26996	-	-	-	-	1.14					1.14
			38004	-	-	-	-	1.60					1.60
			55084	-	-	-	-		6.23				6.23
			71767	-	-	-	-		8.45				8.45
		SAL	1	-	-	-	-		^^				^^
			9	-	-	-	-		^^				^^
			223	-	-	-	-		0.02				0.02
			1595	-	-	-	-		0.17				0.17
			2211	-	-	-	-		0.28				0.28
			2699	-	-	-	-		0.31				0.31
			4776	-	-	-	-		0.52				0.52
			10342	-	-	-	-		1.14				1.14
VALUE17	Equity Shares	PUR	15738	-	-	-	-		1.78				1.78
			22082	-	-	-	-		2.60				2.60
			24919	-	-	-	-	1.05					1.05
			35081	-	-	-	-	1.48					1.48
		SAL	9	-	-	-	-		^^				^^
			241	-	-	-	-		0.03				0.03
			1593	-	-	-	-		0.17				0.17
			2209	-	-	-	-		0.28				0.28
			2697	-	-	-	-		0.31				0.31
			4772	-	-	-	-		0.52				0.52
			10335	-	-	-	-		1.14				1.14
VALUE18	Equity Shares	PUR	3000	-	-	-	-		0.33				0.33

			12197	-	-	-	-			1.38				1.38
			16562	-	-	-	-			1.95				1.95
			18689	-	-	-	-	0.79						0.79
			26311	-	-	-	-	1.11						1.11
		SAL	8	-	-	-	-		^^					^^
			204	-	-	-	-			0.02				0.02
			1467	-	-	-	-			0.16				0.16
			2035	-	-	-	-			0.26				0.26
			2484	-	-	-	-			0.28				0.28
			4394	-	-	-	-			0.48				0.48
			9516	-	-	-	-			1.05				1.05
VALUE19	Equity Shares	PUR	103650	-	-	-	-	4.20						4.20
			457602	-	-	-	-	18.24						18.24
		SAL	23785	-	-	-	-	1.22						1.22
			976215	-	-	-	-	49.80						49.80
			1000000	-	-	-	-	50.76						50.76
VALUE20	Equity Shares	PUR	569	-	-	-	-			0.07				0.07
			42598	-	-	-	-	1.78						1.78
			54999	-	-	-	-			6.22				6.22
			58426	-	-	-	-	2.44						2.44
			75000	-	-	-	-	3.08						3.08
			94730	-	-	-	-			11.15				11.15
			178550	-	-	-	-	7.35						7.35
			330601	-	-	-	-	13.44						13.44
		SAL	1779	-	-	-	-			0.25				0.25
			4012	-	-	-	-			0.55				0.55
			6017	-	-	-	-			0.83				0.83
			13673	-	-	-	-			1.90				1.90
			25000	-	-	-	-			3.47				3.47
VALUE4	Equity Shares	SAL	81320	-	-	-	-	3.32						3.32
VALUE8	Equity Shares	PUR	2216	-	-	-	-					0.31		0.31
			10166	-	-	-	-	0.44						0.44
			13815	0.17	-	-	-							0.17
		SAL	135	^^	-	-	-						^^	
			2216	-	-	-	-					0.32		0.32
			10166	-	-	-	-	0.54						0.54
VALUE9	Equity Shares	SAL	81591	-	-	-	-	3.86						3.86
			100000	-	-	-	-	4.72						4.72
			118409	-	-	-	-	5.66						5.66
			126641	-	-	-	-	5.84						5.84
Grand Total				31.26	22.09	102.94	69.00	5,227.88	40.72	876.35	295.79	97.47	0.77	6,764.27

^^ Amount less than Rs. 0.01 crores

**Note:** Above data does not include derivatives (futures & options), Interscheme transactions and corporate actions.

The above transactions were considered sound. Before making an investment, AMC evaluated the same on merits and on arms' length basis and in accordance with the objectives of the scheme.

(₹ In Millions)

Scheme Name	FY 2019-2020		FY 2018-2019		FY 2017-2018	
	Market Value of Investment	% to AUM	Market Value of Investment	% to AUM	Market Value of Investment	% to AUM
ICICI Prudential All Seasons Bond Fund	-	-	-	-	147.76	0.63%
ICICI Prudential Balanced Advantage Fund	16,995.11	7.44%	15,306.61	5.24%	11,636.22	4.47%
ICICI Prudential Banking & Financial Services Fund	5,073.29	20.02%	5,297.57	17.45%	3,033.35	11.87%
ICICI Prudential Banking & PSU Debt Fund	3,984.05	5.34%	3,915.62	7.58%	4,836.88	8.21%
ICICI Prudential Bluechip Fund	12,803.20	6.78%	14,322.27	6.64%	13,275.03	8.24%
ICICI Prudential Bond Fund	1,619.27	4.82%	2,271.71	7.79%	2,973.95	7.56%
ICICI Prudential Business Cycle Fund - Series 1	-	-	64.38	2.31%	217.69	7.44%
ICICI Prudential Capital Protection Oriented Fund - Series X - 1375 Days Plan B	37.34	1.60%	51.49	2.24%	46.92	2.17%
ICICI Prudential Capital Protection Oriented Fund - Series XI - Plan A 2056 Days	3.89	1.35%	5.42	1.92%	4.63	1.77%
ICICI Prudential Capital Protection Oriented Fund IV - PLAN A - 60 Months	-	-	-	-	18.82	2.32%
ICICI Prudential Capital Protection Oriented Fund IV - PLAN C - 60 Months	-	-	-	-	14.28	2.95%
ICICI Prudential Capital Protection Oriented Fund IV - PLAN D - 60 Months	-	-	-	-	39.16	3.04%
ICICI Prudential Capital Protection Oriented Fund IV - PLAN F - 60 Months	-	-	-	-	38.79	2.88%
ICICI Prudential Capital Protection Oriented Fund IV - PLAN G - 60 Months	-	-	-	-	27.22	2.28%
ICICI Prudential Capital Protection Oriented Fund IX - PLAN B - 1103 Days	-	-	-	-	18.14	1.90%
ICICI Prudential Capital Protection Oriented Fund IX - PLAN C - 1195 Days	-	-	-	-	53.05	3.13%
ICICI Prudential Capital Protection Oriented Fund IX - PLAN D - 1378 Days	-	-	23.63	2.20%	22.92	2.26%
ICICI Prudential Capital Protection Oriented Fund IX - PLAN E - 1325 Days	-	-	18.00	2.32%	15.47	2.13%
ICICI Prudential Capital Protection Oriented Fund IX - PLAN F - 1120 Days	-	-	-	-	25.17	7.79%
ICICI Prudential Capital Protection Oriented Fund V - PLAN A - 5 Years	-	-	-	-	22.99	3.40%
ICICI Prudential Capital Protection Oriented Fund V - PLAN C - 1825 Days	-	-	-	-	18.41	2.71%
ICICI Prudential Capital Protection Oriented Fund VI - PLAN A - 1825 Days	-	-	12.15	2.58%	10.12	2.31%
ICICI Prudential Capital Protection Oriented Fund VI - PLAN C - 1825 Days	-	-	16.23	3.43%	10.75	2.45%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN A - 1285 Days	-	-	-	0.00%	12.63	1.50%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN B - 1285 Days	-	-	-	-	11.49	1.46%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN D - 1822 Days	-	-	8.38	2.53%	6.79	2.19%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN E - 1284 Days	-	-	-	-	7.92	2.10%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN F - 1288 Days	-	-	-	-	8.61	1.94%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN G - 1285 Days	-	-	-	-	12.09	1.71%
ICICI Prudential Capital Protection Oriented Fund VII - PLAN H - 1284 Days	-	-	-	-	12.03	1.70%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN C - 1103 Days	-	-	-	-	3.76	1.03%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN D - 1101 Days	-	-	-	-	26.36	6.58%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN E - 1107 Days	-	-	-	-	19.89	3.31%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN F - 1115 Days	-	-	-	-	75.20	2.27%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN G - 1101 Days	-	-	-	-	6.13	1.75%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN H - 1105 Days	-	-	-	-	3.18	0.86%
ICICI Prudential Capital Protection Oriented Fund VIII - PLAN I - 1290 Days	-	-	-	-	4.77	1.75%
ICICI Prudential Child Care Fund (Gift Plan)	170.58	3.33%	-	-	-	-
ICICI Prudential Child Care Plan (Study Plan)	-	-	-	-	11.12	0.85%
ICICI Prudential Corporate Bond Fund	250.58	0.21%	-	-	2,866.01	4.31%
ICICI Prudential Credit Risk Fund	4,539.63	3.79%	5,898.50	5.29%	3,022.94	2.98%
ICICI Prudential Dividend Yield Equity Fund	-	-	-	-	92.97	4.50%
ICICI Prudential Equity - Arbitrage Fund	163.82	0.23%	-	-	855.68	0.98%
ICICI Prudential Equity & Debt Fund	13,220.71	8.15%	24,147.41	9.09%	20,089.36	7.28%
ICICI Prudential Equity Savings Fund	1,039.29	8.65%	1,056.72	5.83%	1,360.13	4.62%
ICICI Prudential Exports & Services Fund	420.88	9.37%	541.60	6.73%	-	-
ICICI Prudential Fixed Maturity Plan - Series 77 - 1130 Days Plan D	-	-	-	-	20.05	1.26%
ICICI Prudential Fixed Maturity Plan - Series 77 - 1132 Days Plan A	-	-	-	-	50.13	3.45%
ICICI Prudential Fixed Maturity Plan - Series 77 - 1134 Days Plan H	-	-	-	-	29.08	2.01%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1102 Days Plan Z	-	-	-	-	30.21	10.36%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1115 Days Plan X	-	-	-	-	281.94	9.50%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1130 Days Plan T	-	-	-	-	115.80	9.39%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1135 Days Plan W	-	-	-	-	120.83	8.06%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1150 Days Plan N	-	-	-	-	161.11	7.26%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1156 Days Plan U	-	-	-	-	80.55	7.96%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1168 Days Plan J	-	-	-	-	122.85	8.45%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1170 Days Plan I	-	-	-	-	181.25	8.23%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1185 Days Plan F	-	-	-	-	221.53	15.86%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1190 Days Plan C	-	-	-	-	189.81	8.54%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1190 Days Plan E	-	-	-	-	221.53	16.09%
ICICI Prudential Fixed Maturity Plan - Series 78 - 1212 Days Plan A	-	-	-	-	297.05	14.70%
ICICI Prudential Fixed Maturity Plan - Series 79 - 1105 Days Plan B	-	-	-	-	25.17	8.68%
ICICI Prudential Fixed Maturity Plan - Series 79 - 1120 Days Plan J	-	-	-	-	20.14	1.46%
ICICI Prudential Fixed Maturity Plan - Series 79 - 1130 Days Plan E	-	-	-	-	20.14	7.99%
ICICI Prudential Fixed Maturity Plan - Series 82 - 1135 Days Plan S	136.06	3.98%	133.95	4.24%	135.42	4.57%
ICICI Prudential Fixed Maturity Plan - Series 82 - 1136 Days Plan P	619.81	8.88%	610.20	9.55%	616.92	10.31%
ICICI Prudential Fixed Maturity Plan - Series 82 - 1170 Days Plan R	100.72	3.51%	99.30	3.77%	-	-
ICICI Prudential Fixed Maturity Plan - Series 83 - 1105 Days Plan F	151.08	5.21%	148.96	5.60%	-	-
ICICI Prudential Floating Interest Fund	636.32	0.96%	629.99	1.04%	-	-
ICICI Prudential Growth Fund - Series 1	66.79	8.71%	86.63	8.31%	71.98	7.63%
ICICI Prudential Growth Fund - Series 2	36.88	9.31%	40.67	8.34%	29.40	6.72%
ICICI Prudential Growth Fund - Series 3	18.54	5.79%	-	-	-	-
ICICI Prudential Growth Fund - Series 4	-	-	-	-	113.21	7.19%
ICICI Prudential Growth Fund - Series 5	-	-	-	-	112.24	7.74%
ICICI Prudential Growth Fund - Series 6	-	-	-	-	129.33	6.82%
ICICI Prudential Growth Fund - Series 7	-	-	-	-	67.35	7.39%
ICICI Prudential India Opportunities Fund	323.75	1.66%	782.04	5.04%	-	-
ICICI Prudential India Recovery Fund - Series 1	-	-	-	-	200.10	8.02%
ICICI Prudential India Recovery Fund - Series 2	-	-	-	-	250.08	7.56%
ICICI Prudential India Recovery Fund - Series 3	-	-	-	-	107.65	8.17%
ICICI Prudential India Recovery Fund - Series 5	-	-	32.04	4.15%	-	-
ICICI Prudential India Recovery Fund - Series 7	-	-	26.56	5.72%	31.58	7.40%
ICICI Prudential Infrastructure Fund	145.69	1.78%	200.25	1.55%	835.47	5.31%
ICICI Prudential Large & Mid Cap Fund	1,910.15	7.89%	1,568.93	5.13%	1,566.10	5.16%
ICICI Prudential Long Term Equity Fund (Tax Saving)	3,323.47	6.92%	3,020.46	4.88%	-	-
ICICI Prudential Long Term Wealth Enhancement Fund	12.17	4.06%	8.18	2.00%	-	-
ICICI Prudential Medium Term Bond Fund	989.03	1.49%	2,708.99	4.44%	2,015.35	2.54%
ICICI Prudential Midcap Fund	147.45	1.12%	151.34	0.90%	116.27	0.80%
ICICI Prudential Monthly Income Plan (Monthly income is not assured and is subject to market risk)	-	-	-	-	49.25	1.44%
ICICI Prudential Multi-Asset Fund	5,100.99	5.65%	7,593.90	6.61%	4,960.66	4.45%
ICICI Prudential Multicap Fund	3,300.02	7.94%	1,615.51	4.59%	-	-
ICICI Prudential Multiple Yield Fund - Series 10 - 1775 Days - Plan A	22.66	1.55%	30.99	2.11%	26.09	1.93%
ICICI Prudential Multiple Yield Fund - Series 10 - 1825 Days - Plan B	10.36	1.22%	21.53	2.57%	21.02	2.75%
ICICI Prudential Multiple Yield Fund - Series 11 - Plan D 1361 Days	2.59	0.78%	3.91	1.21%	3.30	1.08%
ICICI Prudential Multiple Yield Fund - Series 4 - 1825 Days Plan A	-	-	-	-	50.33	4.80%
ICICI Prudential Multiple Yield Fund - Series 4 - 1825 Days Plan B	-	-	-	-	19.50	2.89%
ICICI Prudential Multiple Yield Fund - Series 4 - 1825 Days Plan D	-	-	-	-	11.13	2.94%
ICICI Prudential Multiple Yield Fund - Series 5 - 1825 Days - Plan C	-	-	-	-	13.88	2.92%
ICICI Prudential Multiple Yield Fund - Series 6 - 1825 Days - Plan C	-	-	-	-	18.54	2.65%
ICICI Prudential Multiple Yield Fund - Series 6 - 1825 Days - Plan D	-	-	18.51	4.09%	12.87	3.01%
ICICI Prudential Multiple Yield Fund - Series 7 - 1338 Days - Plan D	-	-	-	-	14.79	2.56%
ICICI Prudential Multiple Yield Fund - Series 7 - 1825 Days - Plan B	-	-	6.01	1.85%	5.23	1.72%
ICICI Prudential Multiple Yield Fund - Series 7 - 1825 Days - Plan C	-	-	15.57	2.93%	13.19	2.71%
ICICI Prudential Multiple Yield Fund - Series 7 - 1825 Days - Plan E	-	-	11.41	2.92%	9.09	2.49%
ICICI Prudential Multiple Yield Fund - Series 8 - 1822 Days - Plan D	-	-	12.58	3.14%	10.30	2.75%
ICICI Prudential Multiple Yield Fund - Series 8 - 1824 Days - Plan A	-	-	6.92	1.61%	6.08	1.53%
ICICI Prudential Nifty 100 ETF	5.77	5.82%	2.32	5.21%	14.81	3.95%
ICICI Prudential Nifty ETF	913.43	5.93%	620.54	5.54%	373.35	4.43%
ICICI Prudential Nifty Index Fund	408.78	5.94%	220.35	5.46%	138.11	4.31%
ICICI Prudential Nifty Next 50 ETF	17.13	5.92%	1.31	3.79%	-	-
ICICI Prudential Nifty Next 50 Index Fund	353.94	5.93%	167.09	3.82%	28.86	1.57%
ICICI Prudential NV20 ETF	-	-	-	-	9.66	10.91%
ICICI Prudential R.I.G.H.T (Rewards of Investing & Generation of Healthy Tax-savings)	-	-	20.79	4.50%	14.45	2.87%
ICICI Prudential Regular Savings Fund	419.98	2.59%	888.54	5.48%	695.53	5.08%
ICICI Prudential Retirement Fund Hybrid Aggressive Plan	57.63	8.79%	37.40	6.61%	-	-
ICICI Prudential Retirement Fund Hybrid Conservative Plan	20.04	3.23%	51.19	8.48%	-	-
ICICI Prudential Retirement Fund Pure Debt Plan	124.62	3.52%	123.87	9.40%	-	-
ICICI Prudential Retirement Fund Pure Equity Plan	18.99	3.82%	17.52	3.85%	-	-

ICICI Prudential S&P BSE 500 ETF	32.81	4.73%	1.70	4.04%	-	-
ICICI Prudential Sensex ETF	15.99	6.71%	11.25	6.40%	1.67	5.35%
ICICI Prudential Sensex Index Fund	55.22	6.64%	7.93	6.36%	1.96	5.34%
ICICI Prudential Short Term Fund	993.89	0.84%	1,508.55	1.99%	295.53	0.35%
ICICI Prudential Smallcap Fund	117.62	1.34%	-	-	347.97	20.21%
ICICI Prudential Value Fund - Series 1	-	-	-	-	120.88	5.02%
ICICI Prudential Value Fund - Series 10	-	-	17.97	1.26%	59.18	4.10%
ICICI Prudential Value Fund - Series 11	-	-	58.23	4.18%	40.47	2.89%
ICICI Prudential Value Fund - Series 12	463.03	8.49%	116.11	1.62%	308.38	4.70%
ICICI Prudential Value Fund - Series 13	348.20	6.69%	-	-	556.69	8.27%
ICICI Prudential Value Fund - Series 14	179.08	4.41%	-	-	140.08	2.65%
ICICI Prudential Value Fund - Series 15	226.63	5.35%	280.35	4.53%	251.07	4.39%
ICICI Prudential Value Fund - Series 16	415.42	7.43%	340.72	5.45%	267.76	4.50%
ICICI Prudential Value Fund - Series 17	127.73	8.98%	105.95	5.37%	57.94	3.08%
ICICI Prudential Value Fund - Series 18	94.25	8.92%	76.85	5.72%	33.40	2.75%
ICICI Prudential Value Fund - Series 19	-	-	576.22	2.98%	749.09	4.26%
ICICI Prudential Value Fund - Series 2	-	-	-	-	118.85	7.80%
ICICI Prudential Value Fund - Series 3	-	-	-	-	11.55	1.90%
ICICI Prudential Value Fund - Series 4	-	-	32.57	4.28%	22.64	2.89%
ICICI Prudential Value Fund - Series 5	-	-	-	-	12.97	7.61%
ICICI Prudential Value Fund - Series 6	-	-	-	-	184.68	8.40%
ICICI Prudential Value Fund - Series 7	-	-	-	-	6.46	5.61%
ICICI Prudential Value Fund - Series 9	-	-	170.87	5.85%	225.76	8.00%
ICICI Prudential Bank ETF	1,031.60	19.38%	-	-	-	-
ICICI Prudential Bharat Consumption Fund - Series 1	98.28	1.30%	-	-	-	-
ICICI Prudential Bharat Consumption Fund - Series 3	12.95	1.29%	-	-	-	-
ICICI Prudential Capital Protection Oriented Fund - Series XIV - Plan A 1275 Days	77.49	1.80%	-	-	-	-
ICICI Prudential Capital Protection Oriented Fund - Series XI - Plan B 1222 Days	2.27	0.63%	-	-	-	-
ICICI Prudential Capital Protection Oriented Fund - Series XI - Plan C 1255	3.56	0.68%	-	-	-	-
ICICI Prudential Capital Protection Oriented Fund - Series XI - Plan D 1247 Days	4.53	0.57%	-	-	-	-
ICICI Prudential Capital Protection Oriented Fund - Series XI - Plan E 1222 Days	2.91	0.59%	-	-	-	-
ICICI Prudential Capital Protection Oriented Fund - Series XII - Plan A 1168 Days	2.27	0.73%	-	-	-	-
ICICI Prudential Fixed Maturity Plan - Series 83 - 1103 Days Plan L	100.72	4.21%	-	-	-	-
ICICI Prudential Fixed Maturity Plan Series 83 - 1108 Days Plan H	50.36	2.83%	-	-	-	-
ICICI Prudential Midcap 150 ETF	0.58	0.28%	-	-	-	-
ICICI Prudential Multiple Yield Fund - Series 11 Plan A-1427 Days	18.78	1.26%	-	-	-	-
ICICI Prudential Multiple Yield Fund - Series 11 - Plan B 1394 Days	14.57	1.25%	-	-	-	-
ICICI Prudential Multiple Yield Fund - Series 11 - Plan C 1387 Days	3.56	0.76%	-	-	-	-
ICICI Prudential Multiple Yield Fund - Series 14 - Plan A 1228 Days	2.91	0.73%	-	-	-	-
ICICI Prudential Private Banks ETF	1,146.60	21.29%	-	-	-	-
ICICI Prudential Value Fund - Series 20	329.79	8.65%	-	-	-	-

## ICICI Prudential Mutual Fund

## Payments Made to Group Companies

ICICI Bank Limited – Bank Charges * (Amount in Millions)			
Name of the Scheme	2019-20	2018-19	2017-18
ICICI Prudential Passive Strategy Fund (FOF)	0.0006	0.0005	^^
ICICI Prudential Banking and Financial Services Fund	1.4362	1.7616	1.5319
ICICI Prudential Equity & Debt Fund	4.4678	9.8726	5.5431
ICICI Prudential Banking & PSU Debt Fund	0.0190	0.0426	0.0118
ICICI Prudential Income Optimizer Fund (FOF)	0.0003	0.0009	^^
ICICI Prudential Value Discovery Fund	10.3269	15.5958	8.8512
ICICI Prudential Dividend Yield Equity Fund	0.4188	0.2404	0.0584
ICICI Prudential Multi-Asset Fund	4.7580	9.1687	4.2032
ICICI Prudential Equity - Arbitrage Fund	23.4945	23.4081	30.2637
ICICI Prudential Balanced Advantage Fund	25.5058	40.6635	31.2716
ICICI Prudential Equity Savings Fund	1.9012	4.6851	4.9899
ICICI Prudential Midcap Fund	2.0969	1.6882	0.7269
ICICI Prudential Fixed Maturity Plan-Series 74-370 Days Plan A	-	^^	^^
ICICI Prudential Savings Fund	0.1388	0.2284	0.1021
ICICI Prudential Floating Interest Fund	0.2733	1.3373	0.1914
ICICI Prudential FMCG Fund	0.1489	0.1461	0.0749
ICICI Prudential Bluechip Fund	14.5732	13.2492	6.2763
ICICI Prudential Child Care Fund	0.2140	0.2847	0.1341
ICICI Prudential Gilt Fund	0.0066	0.0071	0.0059
ICICI Prudential Gilt Fund - Investment Plan - PF Option ***	-	-	0.0012
ICICI Prudential Short Term Gilt Fund ***	-	-	^^
ICICI Prudential Global Stable Equity Fund (FOF)	0.0093	0.0108	0.0127
ICICI Prudential Gilt Fund - Treasury Plan - PF Option ***	-	-	^^
ICICI Prudential Large & Mid Cap Fund	2.5935	2.2725	1.3690
ICICI Prudential Growth Fund - Series 1	0.0240	0.1450	0.2212
ICICI Prudential Growth Fund - Series 2	0.0333	0.0506	0.1262
ICICI Prudential Growth Fund - Series 3	0.0049	0.0886	0.2685
ICICI Prudential Growth Fund - Series 4	-	0.0169	0.0485
ICICI Prudential Growth Fund - Series 5	-	0.0272	0.0438
ICICI Prudential Growth Fund - Series 6	-	0.0538	0.0680
ICICI Prudential Growth Fund - Series 7	-	0.0414	0.1258
ICICI Prudential Growth Fund - Series 8	-	-	^^
ICICI Prudential Regular Savings Fund	0.1604	0.1367	0.0303
ICICI Prudential Smallcap Fund	0.6734	0.2180	0.0458
ICICI Prudential Long Term Bond Fund	0.0088	0.0051	0.0076
ICICI Prudential Bond Fund	0.0050	0.0039	0.0141
ICICI Prudential Nifty Index Fund	0.0494	0.0324	0.0118
ICICI Prudential Infrastructure Fund	0.2497	0.4355	0.4275
ICICI Prudential Interval Fund-II Quarterly Interval Plan – B	-	-	^^
ICICI Prudential Liquid Fund	1.9428	1.5052	0.1952
ICICI Prudential Medium Term Bond Fund	0.0483	0.0797	0.1666
ICICI Prudential All Seasons Bond Fund	0.0424	0.0506	0.0387
ICICI Prudential Constant Maturity Gilt Fund	0.0056	0.0031	^^
ICICI Prudential Dynamic Bond Fund ***	-	-	0.0264
ICICI Prudential Monthly Income Plan (Monthly income is not assured and is subject to availability of distributable surplus) ***	-	-	0.0075
ICICI Prudential Ultra Short Term Fund	0.3209	0.2203	0.6557
ICICI Prudential Asset Allocator Fund (FOF)	0.8261	0.0227	^^
ICICI Prudential Nifty Next 50 Index Fund	0.1559	0.1326	0.0220
ICICI Prudential Multicap Fund	5.1132	1.8429	0.8397
ICICI Prudential Regular Gold Savings Fund (FOF)	0.0209	0.0230	0.0365
ICICI Prudential Credit Risk Fund	0.1337	0.1387	0.0905
ICICI Prudential R.I.G.H.T. (Rewards of Investing & Generation of Healthy Tax-savings) Fund	0.0001	0.0004	0.0013
ICICI Prudential Exports and Services Fund	0.2088	0.2540	0.2094
ICICI Prudential Short Term Fund	0.0611	0.0238	0.0274
ICICI Prudential Child Care Plan-Study Plan ***	-	-	0.0074
ICICI Prudential Money Market Fund	0.0604	0.1339	0.0245
ICICI Prudential Focused Equity Fund	0.6199	0.2911	0.1249
ICICI Prudential Long Term Equity Fund (Tax Saving)	2.8692	2.6941	1.7469
ICICI Prudential Technology Fund	0.0761	0.1010	0.0343
ICICI Prudential Corporate Bond Fund	0.0258	0.0272	0.0187
ICICI Prudential US Bluechip Equity Fund	0.1003	0.0606	0.0405
ICICI Prudential Value Fund - Series 1	-	0.2100	0.2004
ICICI Prudential Value Fund - Series 2	-	0.1893	0.1330
ICICI Prudential Value Fund - Series 3	-	0.0819	0.0684
ICICI Prudential Value Fund - Series 4	0.0004	0.0011	0.0023
ICICI Prudential Value Fund - Series 5	-	0.0070	0.1645
ICICI Prudential Value Fund - Series 6	-	0.0663	0.0050
ICICI Prudential Thematic Advantage Fund (FOF)	0.0003	^^	^^
ICICI Prudential Debt Management Fund (FOF)	0.0022	0.0027	0.0015
ICICI Prudential Business Cycle Fund Series 1	0.0009	0.1013	0.1272
ICICI Prudential Business Cycle Fund Series 2	-	0.1837	0.1045
ICICI Prudential Business Cycle Fund Series 3	-	0.0164	0.0098
ICICI Prudential Capital Protection Oriented Fund Series VIII 1103 Days Plan B	-	0.0005	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1103 Days Plan C	-	0.0005	-
ICICI Prudential Capital Protection Oriented Fund Series VIII - 1101 Days Plan D	-	0.0003	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1107 Days Plan E	-	0.0003	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1115 Days Plan F	-	0.0021	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1101 Days Plan G	-	0.0003	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1105 Days Plan H	-	0.0012	-
ICICI Prudential Fixed Maturity Plan-Series 70-540 Days Plan S	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 71-480 Days Plan L	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 72-409 Days Plan S	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 72-425 Days Plan N	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 72-440 Days Plan L	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 73-369 Days Plan S	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 73-369 Days Plan T	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 73-376 Days Plan Q	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 73-378 Days Plan N	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-378 Days Plan O	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 73-383 Days Plan K	-	^^	^^

ICICI Prudential Fixed Maturity Plan-Series 73-390 Days Plan I	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 73-391 Days Plan G	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 73-392 Days Plan F	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-407 Days Plan C	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 74-367 Days Plan C	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 74-369 Days Plan B	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 74-369 Days Plan F	-	^^	^^
ICICI Prudential Fixed Maturity Plan Series 76 -1127 Days Plan W	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 76 - 1134 Days Plan Y	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 76 - 1135 Days Plan Z	-	0.0002	-
ICICI Prudential Fixed Maturity Plan Series 77 - 1132 Days Plan A	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 77 - 1134 Days Plan H	-	^^	-
ICICI Prudential Multiple Yield Fund Series 9 - 1140 Days Plan A	-	0.0020	0.0099
ICICI Prudential Nifty ETF	0.0009	0.0003	0.0006
ICICI Prudential India Recovery Fund - Series 1	-	0.0880	0.2045
ICICI Prudential India Recovery Fund - Series 2	-	0.1229	0.2541
ICICI Prudential India Recovery Fund - Series 3	-	0.0493	0.0561
ICICI Prudential India Recovery Fund - Series 4	-	0.0335	0.0061
ICICI Prudential Value Fund Series 7	-	0.0010	0.0012
ICICI Prudential Value Fund Series 8	0.0026	0.1314	0.2222
ICICI Prudential Capital Protection Oriented Fund Series X - Plan A - 1235 Days	^^	^^	-
ICICI Prudential Capital Protection Oriented Fund Series X - Plan B - 1375 Days	-	-	-
ICICI Prudential Capital Protection Oriented Fund Series XI - Plan A - 2056 Days	-	-	-
ICICI Prudential Capital Protection Oriented Fund Series XI - Plan B - 1222 Days	-	-	-
ICICI Prudential Capital Protection Oriented Fund Series XI - Plan C - 1255 Days	-	-	-
ICICI Prudential Capital Protection Oriented Fund Series XI - Plan D - 1247 Days	-	-	-
ICICI Prudential Nifty 100 ETF	0.0001	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 71-1095 Days Plan O	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 72-366 Days Plan K	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 79 - 1105 Days Plan B	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 79 - 1218 Days Plan A	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1150 Days Plan N	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1170 Days Plan I	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1248 Days Plan D	-	-	-
ICICI Prudential Multiple Yield Fund Series 11 -1427 Days Plan A	^^	-	-
ICICI Prudential Multiple Yield Fund Series 11 -1394 Days Plan B	-	-	-
ICICI Prudential Multiple Yield Fund Series 11 -1387 Days Plan C	-	-	-
ICICI Prudential Multiple Yield Fund Series 11 -1361 Days Plan D	-	-	-
ICICI Prudential Multiple Yield Fund - Series 3 - Plan C	-	-	-
ICICI Prudential Multiple Yield Fund - Series 3 - Plan D	-	-	-
ICICI Prudential Gold ETF	0.0001	^^	^^
ICICI Prudential Midcap Select ETF	0.0002	0.0002	^^
ICICI Prudential NV20 ETF	0.0002	0.0001	^^
ICICI Prudential Nifty Low Vol 30 ETF	0.0002	0.0001	^^
ICICI Prudential India Recovery Fund - Series 5	0.0072	0.0815	0.0295
ICICI Prudential India Recovery Fund - Series 7	0.0126	0.0710	0.0274
ICICI Prudential Sensex ETF	0.0002	0.0001	^^
ICICI Prudential Value Fund - Series 10	0.1045	0.0917	0.0231
ICICI Prudential Value Fund - Series 11	0.0469	0.0544	^^
BHARAT 22 ETF	0.0272	0.3920	0.0344
ICICI Prudential Long Term Wealth Enhancement Fund	^^	0.0022	0.0006
ICICI Prudential Value Fund - Series 12	0.4354	0.9044	0.9377
ICICI Prudential Value Fund - Series 13	0.2827	0.8660	0.8285
ICICI Prudential Value Fund - Series 14	0.0936	0.5943	0.5698
ICICI Prudential Value Fund - Series 15	0.1406	0.8062	0.5818
ICICI Prudential Value Fund - Series 16	0.0222	0.3982	0.1782
ICICI Prudential Value Fund - Series 17	0.0782	0.2459	0.1432
ICICI Prudential Value Fund - Series 18	0.0681	0.1857	0.0537
ICICI Prudential Value Fund - Series 19	0.5570	2.9276	0.7816
ICICI Prudential Value Fund - Series 20	0.3038	0.6085	0.1244
ICICI Prudential Capital Protection Oriented Fund Series XI - Plan E - 1222 Days	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 80 - 1125 Days Plan S	-	-	^^
ICICI Prudential Capital Protection Oriented Fund Series XII - Plan A - 1168 Days	-	-	^^
ICICI Prudential Capital Protection Oriented Fund Series XII - Plan C - 1270 Days	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-1140 Days Plan E	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-368 Days Plan D	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1100 Days Plan O	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1100 Days Plan W	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1101 Days Plan C	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1101 Days Plan E	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1150 Days Plan K	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1154 Days Plan J	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1162 Days Plan P	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1163 Days Plan Q	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1178 Days Plan H	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1185 Days Plan G	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1190 Days Plan F	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1195 Days Plan D	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1205 Days Plan B	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1211 Days Plan A	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 103 Days Plan O	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1135 Days Plan S	-	0.0002	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1135 Days Plan U	-	0.0057	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1135 Days Plan V	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1136 Days Plan P	-	0.0002	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1157 Days Plan J	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1170 Days Plan R	-	0.0002	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1175 Days Plan Q	-	0.0005	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan I	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan M	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan N	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1187 Days Plan F	-	0.0009	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1199 Days Plan L	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1203 Days Plan K	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1215 Days Plan H	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1217 Days Plan C	-	0.0004	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1219 Days Plan D	-	^^	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1223 Days Plan E	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1223 Days Plan G	-	0.0001	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1236 Days Plan A	-	0.0001	^^

ICICI Prudential Interval Fund - Series VI - Annual Interval Plan - C	-	-	^^
ICICI Prudential Interval Fund - Series VI - Annual Interval Plan - D	-	-	^^
ICICI Prudential Multiple Yield Fund Series 14 -1228 Days Plan A	-	-	^^
ICICI Prudential Sensex Index Fund	0.0073	0.0093	^^
ICICI Prudential Fixed Maturity Plan-Series 73-1120 Days Plan L	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-366 Days Plan A	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-366 Days Plan B	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-368 Days Plan M	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-368 Days Plan R	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-369 Days Plan H	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 73-369 Days Plan P	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 74-1092 Days Plan G	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 74-1092 Days Plan P	-	-	^^
ICICI Prudential Interval Fund Monthly Interval Plan-I	-	-	^^
ICICI Prudential Interval Fund – Quarterly Interval Plan-I	-	-	^^
ICICI Prudential Capital Protection Oriented Fund Series V - Plan D - 1100 Days	-	-	0.0014
ICICI Prudential Capital Protection Oriented Fund Series V - Plan E - 1100 Days	-	-	0.0013
ICICI Prudential Multiple Yield Fund Series 6 - 1125 Days Plan B	-	-	0.0008
ICICI Prudential Interval Fund-II Quarterly Interval Plan – A	-	-	^^
ICICI Prudential Capital Protection Oriented Fund Series IX - Plan F - 1120 Days	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 78 - 1102 Days Plan Z	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 79 - 1118 Days Plan K	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 79 - 1120 Days Plan J	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 79 - 1130 Days Plan E	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 79 - 1140 Days Plan D	^^	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1100 Days Plan A	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1138 Days Plan R	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1187 Days Plan G	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1194 Days Plan F	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1216 Days Plan U	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1225 Days Plan T	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1227 Days Plan Q	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1231 Days Plan P	-	-	-
ICICI Prudential Fixed Maturity Plan-Series 80 - 1245 Days Plan L	-	-	-
ICICI Prudential Bharat Consumption Fund - Series 1	0.3575	1.3990	-
ICICI Prudential Bharat Consumption Fund - Series 2	0.1639	0.4100	-
ICICI Prudential Bharat Consumption Fund - Series 3	0.0558	0.1220	-
ICICI Prudential Bharat Consumption Fund - Series 4	0.0285	0.2097	-
ICICI Prudential Bharat Consumption Fund - Series 5	0.0162	0.0046	-
ICICI Prudential BHARAT 22 (FOF)	0.0021	0.0059	-
ICICI Prudential Capital Protection Oriented Fund Series XIV - Plan A - 1275 Days	^^	-	0.0158
ICICI Prudential Fixed Maturity Plan-Series 82 - 1119 Days Plan X	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1141 Days Plan Y	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1225 Days Plan B	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 91 Days Plan Z	-	0.0005	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1100 Days Plan O	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1100 Days Plan R	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1100 Days Plan S	-	0.0005	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1100 Days Plan X	-	0.0013	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1101 Days Plan Z	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1103 Days Plan L	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1105 Days Plan A	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1105 Days Plan F	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1105 Days Plan M	-	0.0008	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1107 Days Plan Q	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1108 Days Plan H	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1110 Days Plan T	^^	0.0006	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1111 Days Plan Y	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1113 Days Plan E	^^	0.0005	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1392 Days Plan J	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1406 Days Plan D	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1735 Days Plan P	-	0.0007	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 193 Days Plan G	-	0.0004	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 91 Days Plan C	-	0.0005	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1101 Days Plan A	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1103 Days Plan C	-	0.0008	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1188 Days Plan Z	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1204 Days Plan Y	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1224 Days Plan S	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1235 Days Plan R	-	0.0008	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1245 Days Plan N	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1247 Days Plan M	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1247 Days Plan W	-	0.0004	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1254 Days Plan U	-	0.0004	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1272 Days Plan Q	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1275 Days Plan K	-	0.0005	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1279 Days Plan P	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1286 Days Plan F	-	0.0008	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1287 Days Plan I	-	0.0004	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1288 Days Plan E	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1288 Days Plan O	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1293 Days Plan D	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 168 Days Plan G	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 10 Years Plan I	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1143 Days Plan J	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1156 Days Plan F	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1156 Days Plan G	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1168 Days Plan E	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1175 Days Plan D	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1178 Days Plan B	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1185 Days Plan C	-	0.0003	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1197 Days Plan A	-	0.0020	-
ICICI Prudential India Opportunities Fund	2.4017	0.3427	-
ICICI Prudential Liquid ETF	^^	^^	-
ICICI Prudential Manufacture in India Fund	0.6465	1.0365	-
ICICI Prudential Nifty Next 50 ETF	0.0004	0.0004	-
ICICI Prudential Overnight Fund	0.0126	0.0020	-
ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	0.6297	1.2474	-
ICICI Prudential Retirement Fund - Hybrid – Aggressive Plan	0.0259	0.0085	-
ICICI Prudential Retirement Fund - Hybrid – Conservative Plan	0.0100	0.0046	-



ICICI Prudential Retirement Fund - Pure Debt Plan	0.0395	0.0102	-
ICICI Prudential Retirement Fund - Pure Equity Plan	0.0956	0.0310	-
ICICI Prudential Capital Protection Oriented Fund Series IV - Plan C - 60 Months	-	0.0009	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan H - 1284 Days	-	0.0008	-
ICICI Prudential Fixed Maturity Plan-Series 69-1821 Days Plan H	-	0.0002	-
ICICI Prudential Multiple Yield Fund - Series 4 - 1825 Days - Plan B	-	0.0008	-
ICICI Prudential Capital Protection Oriented Fund Series III - Plan H - 60 Months	-	0.0014	-
ICICI Prudential Capital Protection Oriented Fund Series IV - Plan A - 60 Months	-	0.0011	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan A - 1285 Days	-	0.0008	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan B - 1285 Days	-	0.0005	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan C - 1284 Days	-	0.0005	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan E - 1284 Days	-	0.0004	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan F - 1288 Days	-	0.0006	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan G - 1285 Days	-	0.0006	-
ICICI Prudential Fixed Maturity Plan-Series 69-1821 Days Plan I	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 75 - 1246 Days Plan U	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 75 - 1352 Days Plan E	-	0.0002	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1108 Days Plan V	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1120 Days Plan U	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1132 Days Plan P	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1142 Days Plan M	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1155 Days Plan K	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1185 Days Plan H	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 77 - 1100 Days Plan L	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 77 - 1100 Days Plan M	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 77 - 1105 Days Plan N	-	0.0001	-
ICICI Prudential Fixed Maturity Plan Series 77 - 1130 Days Plan D	-	AA	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1412 Days Plan B	-	0.0002	-
ICICI Prudential Multiple Yield Fund - Series 4 - 1825 Days - Plan A	-	0.0014	-
ICICI Prudential Multiple Yield Fund - Series 4 - 1825 Days - Plan D	-	0.0007	-
ICICI Prudential Multiple Yield Fund Series 7 -1338 Days Plan D	-	0.0004	-
ICICI Prudential S&P BSE 500 ETF	0.0001	0.0002	-
ICICI PRU REAL ESTATE SEC FUND	-	AA	-
ICICI PRUDENTIAL CAPITAL PROTECTION ORIENTED FUND - SERIES I - 24 MONTHS PLAN	-	AA	-
ICICI Prudential Fixed Maturity Plan Series 36 - 18 Months Plan B	-	AA	-
ICICI Prudential Fixed Maturity Plan - Series 76 - 1100 Days Plan T	-	AA	-
ICICI Prudential Bank ETF	0.0009	-	-
ICICI Prudential Bharat Consumption Fund	0.2615	-	-
ICICI Prudential Capital Protection Oriented Fund Series VI - Plan C - 1825 Days	AA	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1127 Days Plan O	0.0001	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1127 Days Plan Q	0.0002	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1129 Days Plan P	0.0001	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1140 Days Plan R	0.0002	-	-
ICICI Prudential Fixed Maturity Plan - Series 86 - 1099 Days Plan A	0.0002	-	-
ICICI Prudential MNC Fund	0.6109	-	-
ICICI Prudential Private Banks ETF	0.0012	-	-
ICICI Prudential Commodities Fund	0.0051	-	-
ICICI Prudential Fixed Maturity Plan - Series 87 - 1174 Days Plan B	0.0003	-	-
ICICI Prudential Fixed Maturity Plan - Series 87 - 1214 Days Plan A	0.0004	-	-
ICICI Prudential Global Advantage Fund (FOF)	0.0016	-	-
ICICI Prudential India Equity (FOF)	AA	-	-
ICICI Prudential Midcap 150 ETF	0.0003	-	-
ICICI Prudential Multiple Yield Fund Series 7 -1825 Days Plan C	AA	-	-
ICICI Prudential Multiple Yield Fund Series 7 -1825 Days Plan E	AA	-	-
ICICI Prudential Multiple Yield Fund Series 8 -1822 Days Plan D	AA	-	-
ICICI Prudential Value Fund - Series 9	0.0950	0.0872	0.0365

\* Bank charges include transaction charges paid to ICICI Bank Limited (Professional clearing member) for futures & options transactions

Entity	MF Utilities India Pvt Ltd		
Nature of Association/ Nature of relation	Employee of the AMC is director of the Company		
Investor Service Charges (Rs. in millions)			
Scheme Name	2019-2020	2018-2019	2017-2018
ICICI Prudential Banking and Financial Services Fund	0.3999	-	-
ICICI Prudential Equity & Debt Fund	0.8005	-	-
ICICI Prudential Banking & PSU Debt Fund	0.0301	-	-
ICICI Prudential Value Discovery Fund	1.0688	-	-
ICICI Prudential Dividend Yield Equity Fund	0.0077	-	-
ICICI Prudential Multi-Asset Fund	0.3936	-	-
ICICI Prudential Equity - Arbitrage Fund	0.1657	-	-
ICICI Prudential Balanced Advantage Fund	0.7727	-	-
ICICI Prudential Equity Savings Fund	0.0577	-	-
ICICI Prudential Midcap Fund	0.1621	-	-
ICICI Prudential Savings Fund	0.3627	-	-
ICICI Prudential Floating Interest Fund	0.4625	-	-
ICICI Prudential FMCG Fund	0.0797	-	-
ICICI Prudential Bluechip Fund	1.1511	-	-
ICICI Prudential Child Care Fund	0.0172	-	-
ICICI Prudential Gilt Fund	0.0176	-	-
ICICI Prudential Large & Mid Cap Fund	0.1133	-	-
ICICI Prudential Regular Savings Fund	0.1030	-	-
ICICI Prudential Smallcap Fund	0.2031	-	-
ICICI Prudential Long Term Bond Fund	0.0325	-	-
ICICI Prudential Bond Fund	0.0141	-	-
ICICI Prudential Nifty Index Fund	0.0899	-	-
ICICI Prudential Infrastructure Fund	0.0369	-	-
ICICI Prudential Liquid Fund	1.3371	-	-
ICICI Prudential Medium Term Bond Fund	0.0410	-	-
ICICI Prudential All Seasons Bond Fund	0.1030	-	-
ICICI Prudential Ultra Short Term Fund	0.6193	-	-
ICICI Prudential Nifty Next 50 Index Fund	0.4701	-	-
ICICI Prudential Multicap Fund	0.5456	-	-
ICICI Prudential Regular Gold Savings Fund (FOF)	0.0332	-	-
ICICI Prudential Credit Risk Fund	0.1408	-	-

ICICI Prudential Exports and Services Fund	0.0369	-	-
ICICI Prudential Short Term Fund	0.1420	-	-
ICICI Prudential Money Market Fund	0.1319	-	-
ICICI Prudential Focused Equity Fund	0.0501	-	-
ICICI Prudential Long Term Equity Fund (Tax Saving)	0.4962	-	-
ICICI Prudential Technology Fund	0.1033	-	-
ICICI Prudential Corporate Bond Fund	0.0705	-	-
ICICI Prudential US Bluechip Equity Fund	0.1354	-	-
ICICI Prudential Passive Strategy Fund (FOF)	0.0097	-	-
ICICI Prudential Fixed Maturity Plan-Series 79 - 1104 Days Plan O	-	-	-
ICICI Prudential Global Stable Equity Fund (FOF)	0.0087	-	-
ICICI Prudential Constant Maturity Gilt Fund	0.0238	-	-
ICICI Prudential Multiple Yield Fund Series 11 -1427 Days Plan A	-	-	-
ICICI Prudential India Recovery Fund - Series 7	-	-	-
ICICI Prudential Bharat Consumption Fund	0.1318	-	-
ICICI Prudential Bharat Consumption Fund - Series 1	0.0010	-	-
ICICI Prudential Bharat Consumption Fund - Series 4	0.0002	-	-
BHARAT 22 ETF	0.0013	-	-
ICICI Prudential BHARAT 22 (FOF)	0.0168	-	-
ICICI Prudential Income Optimizer Fund (FOF)	0.0001	-	-
ICICI Prudential Commodities Fund	0.0010	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1127 Days Plan O	0.0002	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1127 Days Plan Q	0.0004	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1129 Days Plan P	0.0003	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1140 Days Plan R	0.0009	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1143 Days Plan J	0.0001	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1156 Days Plan F	0.0007	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1156 Days Plan G	0.0002	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1168 Days Plan E	0.0001	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1175 Days Plan D	0.0004	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1185 Days Plan C	0.0008	-	-
ICICI Prudential Global Advantage Fund (FOF)	0.0012	-	-
ICICI Prudential Growth Fund - Series 1	0.0005	-	-
ICICI Prudential Growth Fund - Series 3	0.0001	-	-
ICICI Prudential India Opportunities Fund	0.2386	-	-
ICICI Prudential Manufacture in India Fund	0.0153	-	-
ICICI Prudential MNC Fund	0.0236	-	-
ICICI Prudential Asset Allocator Fund (FOF)	0.4207	-	-
ICICI Prudential Nifty Next 50 ETF	0.0003	-	-
ICICI Prudential Overnight Fund	0.0506	-	-
ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	0.0855	-	-
ICICI Prudential Private Banks ETF	0.0003	-	-
ICICI Prudential Retirement Fund - Hybrid – Aggressive Plan	0.0065	-	-
ICICI Prudential Retirement Fund - Hybrid – Conservative Plan	0.0010	-	-
ICICI Prudential Retirement Fund - Pure Debt Plan	0.0037	-	-
ICICI Prudential Retirement Fund - Pure Equity Plan	0.0191	-	-
ICICI Prudential R.I.G.H.T. (Rewards of Investing & Generation of Healthy Tax-savings) Fund	0.0004	-	-
ICICI Prudential Sensex Index Fund	0.0325	-	-
ICICI Prudential Debt Management Fund (FOF)	0.0044	-	-

Entity		ICICI Bank Limited		
Nature of Association/ Nature of relation		Sponsor		
Investor Service Charges (Rs. in millions)				
Scheme Name	2019-2020	2018-2019	2017-2018	
ICICI Prudential Banking and Financial Services Fund	-	0.0008	0.0537	
ICICI Prudential Equity & Debt Fund	-	0.0015	0.1007	
ICICI Prudential Banking & PSU Debt Fund	-	0.0001	0.0103	
ICICI Prudential Value Discovery Fund	-	0.0056	0.0825	
ICICI Prudential Dividend Yield Equity Fund	-	^^	0.0015	
ICICI Prudential Multi-Asset Fund	-	0.0013	0.0180	
ICICI Prudential Equity - Arbitrage Fund	-	0.0001	0.0199	
ICICI Prudential Balanced Advantage Fund	-	0.0011	0.0732	
ICICI Prudential Equity Savings Fund	-	0.0001	0.0056	
ICICI Prudential Midcap Fund	-	0.0005	0.0155	
ICICI Prudential Savings Fund	-	0.0004	0.0413	
ICICI Prudential Floating Interest Fund	-	0.0011	0.0967	
ICICI Prudential FMCG Fund	-	0.0001	0.0104	
ICICI Prudential Bluechip Fund	-	0.0035	0.0668	
ICICI Prudential Child Care Fund	-	0.0001	0.0068	
ICICI Prudential Gilt Fund	-	-	0.0023	
ICICI Prudential Gilt Fund - Investment Plan - PF Option ***	-	-	0.0058	
ICICI Prudential Large & Mid Cap Fund	-	0.0007	0.0310	
ICICI Prudential Regular Savings Fund	-	0.0001	0.0163	
ICICI Prudential Smallcap Fund	-	^^	0.0007	
ICICI Prudential Long Term Bond Fund	-	^^	0.0021	
ICICI Prudential Bond Fund	-	^^	0.0010	
ICICI Prudential Nifty Index Fund	-	^^	0.0026	
ICICI Prudential Infrastructure Fund	-	0.0002	0.0118	
ICICI Prudential Liquid Fund	-	0.0007	0.1781	
ICICI Prudential Medium Term Bond Fund	-	^^	0.0033	
ICICI Prudential All Seasons Bond Fund	-	0.0001	0.0349	
ICICI Prudential Dynamic Bond Fund ***	-	-	0.0041	
ICICI Prudential Monthly Income Plan (Monthly income is not assured and is subject to availability of distributable surplus) ***	-	-	0.0064	
ICICI Prudential Ultra Short Term Fund	-	^^	0.0028	
ICICI Prudential Nifty Next 50 Index Fund	-	0.0001	0.0140	
ICICI Prudential Multicap Fund	-	0.0005	0.0063	
ICICI Prudential Regular Gold Savings Fund (FOF)	-	^^	0.0008	
ICICI Prudential Credit Risk Fund	-	0.0001	0.0071	
ICICI Prudential Exports and Services Fund	-	0.0002	0.0053	
ICICI Prudential Short Term Fund	-	0.0001	0.0110	
ICICI Prudential Child Care Plan-Study Plan ***	-	-	0.0032	
ICICI Prudential Money Market Fund	-	0.0001	0.0160	
ICICI Prudential Focused Equity Fund	-	0.0001	0.0019	
ICICI Prudential Long Term Equity Fund (Tax Saving)	-	0.0013	0.0394	
ICICI Prudential Technology Fund	-	0.0001	0.0037	
ICICI Prudential Corporate Bond Fund	-	0.0001	0.0087	
ICICI Prudential US Bluechip Equity Fund	-	^^	0.0019	

BHARAT 22 ETF	-	-	0.0280
ICICI Prudential Sensex Index Fund	-	^^	0.0011
ICICI Prudential Value Fund - Series 12	-	-	0.0020
ICICI Prudential Value Fund - Series 13	-	-	0.0023
ICICI Prudential Value Fund - Series 14	-	-	0.0023
ICICI Prudential Value Fund - Series 15	-	-	0.0018
ICICI Prudential Value Fund - Series 16	-	-	0.0020
ICICI Prudential Value Fund - Series 17	-	-	0.0017
ICICI Prudential Value Fund - Series 18	-	-	0.0009
ICICI Prudential Value Fund - Series 19	-	-	0.0080
ICICI Prudential Value Fund - Series 20	-	-	0.0020
ICICI Prudential Short Term Gilt Fund ***	-	-	^^
ICICI Prudential Gilt Fund - Treasury Plan - PF Option ***	-	-	^^
ICICI Prudential Constant Maturity Gilt Fund	-	^^	^^
ICICI Prudential Passive Strategy Fund (FOF)	-	^^	^^
ICICI Prudential Global Stable Equity Fund (FOF)	-	^^	^^
ICICI Prudential Capital Protection Oriented Fund Series XII - Plan A - 1168 Days	-	-	^^
ICICI Prudential Capital Protection Oriented Fund Series XII - Plan C - 1270 Days	-	-	^^
ICICI Prudential Income Optimizer Fund (FOF)	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1100 Days Plan O	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1100 Days Plan R	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1100 Days Plan W	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1101 Days Plan C	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1101 Days Plan E	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1116 Days Plan I	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1150 Days Plan K	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1154 Days Plan J	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1162 Days Plan P	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1163 Days Plan Q	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1178 Days Plan H	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1185 Days Plan G	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1190 Days Plan F	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1195 Days Plan D	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1205 Days Plan B	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 81 - 1211 Days Plan A	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1187 Days Plan F	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1215 Days Plan H	-	0.0003	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1217 Days Plan C	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1219 Days Plan D	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1223 Days Plan E	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1223 Days Plan G	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1225 Days Plan B	-	-	^^
ICICI Prudential Fixed Maturity Plan-Series 82 - 1236 Days Plan A	-	-	^^
ICICI Prudential Asset Allocator Fund (FOF)	-	-	^^
ICICI Prudential Bharat Consumption Fund - Series 1	-	0.0002	-
ICICI Prudential Bharat Consumption Fund - Series 2	-	^^	-
ICICI Prudential Capital Protection Oriented Fund Series III - Plan H - 60 Months	-	^^	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan A - 1285 Days	-	^^	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan B - 1285 Days	-	^^	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1103 Days Plan B	-	^^	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1103 Days Plan C	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 72-409 Days Plan S	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 75 - 1246 Days Plan U	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 75 - 1352 Days Plan E	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1135 Days Plan Z	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 76 - 1185 Days Plan H	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 103 Days Plan O	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1136 Days Plan P	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1141 Days Plan Y	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan I	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan M	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan N	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1199 Days Plan L	-	0.0059	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1203 Days Plan K	-	0.0001	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 91 Days Plan Z	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1105 Days Plan A	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1406 Days Plan D	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1412 Days Plan B	-	^^	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 91 Days Plan C	-	^^	-
ICICI Prudential Gold ETF	-	^^	-
ICICI Prudential Growth Fund - Series 3	-	0.0001	-
ICICI Prudential Growth Fund - Series 4	-	^^	-
ICICI Prudential Multiple Yield Fund Series 14 -1228 Days Plan A	-	0.0004	-
ICICI Prudential Multiple Yield Fund Series 7 -1338 Days Plan D	-	^^	-
ICICI Prudential Multiple Yield Fund Series 9 - 1140 Days Plan A	-	^^	-
ICICI Prudential S&P BSE 500 ETF	-	^^	-
ICICI Prudential Debt Management Fund (FOF)	-	^^	0.0061

^^ Amount less than Rs. 0.0001 Millions

Entity	ICICI Lombard General Insurance Company Ltd.		
Nature of Association/ Nature of relation	Group Company		
Investor Service Charges (Rs. in millions)			
Scheme Name	2019-2020	2018-2019	2017-2018
ICICI Prudential Child Care Fund	2.4630	2.0492	-

**Brokerage paid to associates/related parties/group companies of Sponsor/AMC**

Name of associate/related/parties/group companies of Sponsor/AMC	Nature of Association/ Nature of relation	Period covered	Value of transaction (in Rs. Cr. & % of total value of transaction of the fund)		Brokerage (Rs Cr & % of total brokerage paid by the fund)	
ICICI SECURITIES LTD	Group Company	2019-2020	15,862.33	3.29%	4.48	4.03%
ICICI SECURITIES LTD	Group Company	2018-2019	31,268.62	3.95%	8.52	4.57%
ICICI SECURITIES LTD	Group Company	2017-2018	22,101.74	3.69%	8.15	4.46%

**Commission paid to associates/related parties/group companies of Sponsor/AMC**

Name of associate/related/parties/group companies of Sponsor/AMC	Nature of Association/ Nature of relation	Period covered	Business given (in Rs. Cr. and % of total value of transaction of the fund)		Commission paid (Rs Cr & % of total brokerage paid by the fund)	
ICICI BANK LIMITED	Sponsor	2019-2020	15,868.08	1.28%	123.73	11.97%
ICICI BANK LIMITED	Sponsor	2018-2019	17,120.37	0.97%	231.81	17.11%
ICICI BANK LIMITED	Sponsor	2017-2018	22,953.13	0.71%	319.94	18.33%
ICICI SECURITIES LTD	Group Company	2019-2020	3,996.06	0.32%	43.34	4.19%
ICICI SECURITIES LTD	Group Company	2018-2019	4,334.27	0.25%	57.12	4.22%
ICICI SECURITIES LTD	Group Company	2017-2018	5,867.96	0.18%	58.03	3.33%
ICICI SECURITIES PRIMARY DEALERSHIP	Group Company	2019-2020	^^	^^	^^	^^
ICICI SECURITIES PRIMARY DEALERSHIP	Group Company	2018-2019	100.02	0.01%	^^	^^
ICICI SECURITIES PRIMARY DEALERSHIP	Group Company	2017-2018	42.20	^^	^^	^^
UNIQUE INVESTMENT CONSULTANTS ###	relative of an employee of the	2017-2018	1.01	^^	0.09	^^
JAGDISH H THACKER	relative of an employee of the	2019-2020	^^	^^	^^	^^
JAGDISH H THACKER	relative of an employee of the	2018-2019	^^	^^	^^	^^
JAGDISH H THACKER	relative of an employee of the	2017-2018	^^	^^	^^	^^
Shaila Badar \$\$	relative of an employee of the	2018-2019	0.02	^^	^^	^^
Shaila Badar \$\$	relative of an employee of the	2017-2018	0.04	^^	^^	^^
Ramamoorthy Setty Muthuram	relative of an employee of the	2019-2020	0.22	^^	^^	^^
Ramamoorthy Setty Muthuram	relative of an employee of the	2018-2019	0.23	^^	^^	^^
Ramamoorthy Setty Muthuram	relative of an employee of the	2017-2018	0.05	^^	^^	^^
Abinash Bardhan \$\$	relative of an employee of the	2018-2019	0.53	^^	0.01	^^
Abinash Bardhan \$\$	relative of an employee of the	2017-2018	1.43	^^	0.02	^^
Rudra Pratap Singh	relative of an employee of the	2019-2020	0.02	^^	^^	^^
Rudra Pratap Singh	relative of an employee of the	2018-2019	0.01	^^	^^	^^
Rudra Pratap Singh	relative of an employee of the	2017-2018	0.01	^^	^^	^^
Agarwal Investments	relative of an employee of the	2019-2020	1.08	^^	0.09	0.01%
Agarwal Investments	relative of an employee of the	2018-2019	2.22	^^	0.26	0.02%
Agarwal Investments	relative of an employee of the	2017-2018	11.18	^^	0.39	0.02%
CRESCITA WEALTH	relative of an employee of the	2019-2020	4.03	0.01%	0.02	^^
CRESCITA WEALTH	relative of an employee of the	2018-2019	2.10	^^	0.02	^^
CRESCITA WEALTH	relative of an employee of the	2017-2018	3.26	^^	0.01	^^
Fed Bank Financial Services Ltd #	Director of the AMC is on the board of the aforesaid	2017-2018	^^	^^	^^	^^
Vatsal Shah	relative of an employee of the	2019-2020	1.61	^^	0.04	0.01%
Vatsal Shah	relative of an employee of the	2018-2019	5.28	^^	0.10	0.01%
Vatsal Shah	relative of an employee of the	2017-2018	6.66	^^	0.08	^^
Dhara Janak Kapadia \$	relative of an employee of the	2019-2020	^^	^^	^^	^^
Dhara Janak Kapadia \$	relative of an employee of the	2018-2019	^^	^^	^^	^^
KPW Insurance&Investments Consultants P ltd \$	relative of an employee of the	2019-2020	16.46	0.02%	0.19	0.02%
KPW Insurance&Investments Consultants P ltd \$	relative of an employee of the	2018-2019	11.64	^^	0.23	0.02%
Suraj Dinesh Sharma \$	relative of an employee of the	2019-2020	0.02	^^	^^	^^
Suraj Dinesh Sharma \$	relative of an employee of the	2018-2019	0.01	^^	^^	^^
Shyam Sunder Gupta \$	relative of an employee of the	2019-2020	7.37	0.02%	0.08	0.01%

Shyam Sunder Gupta \$	Distributor is a relative of an employee of the AMC	2018-2019	8.87	^^	0.09	0.01%
Nakul Dev Pandit ##	Distributor is a relative of an employee of the AMC	2019-2020	0.44	^^	^^	^^
Nakul Dev Pandit ##	Distributor is a relative of an employee of the AMC	2018-2019	1.27	^^	^^	^^
Suman Tiwari ##	Distributor is a relative of an employee of the AMC	2019-2020	0.02	^^	^^	^^
Suman Tiwari ##	Distributor is a relative of an employee of the AMC	2018-2019	^^	^^	^^	^^
Anil Khera	Distributor is a relative of an employee of the AMC	2019-2020	11.02	^^	0.16	0.02%
Anil Khera	Distributor is a relative of an employee of the AMC	2018-2019	9.26	^^	0.20	0.01%
Anil Khera	Distributor is a relative of an employee of the AMC	2017-2018	4.56	^^	0.11	0.01%
Amit Natvarlal Thakkar Limited \$\$\$	Distributor is a relative of an employee of the AMC	2019-2020	0.44	^^	0.08	0.01%
NUTI AMIT THAKKAR \$\$\$	Distributor is a relative of an employee of the AMC	2019-2020	12.04	0.02%	0.15	0.02%
SHUBH NIVESH FINANCIAL SERVICES \$\$\$	Distributor is a relative of an employee of the AMC	2019-2020	0.79	^^	0.01	^^
Ganesh Kumar Sivasubramanian	Distributor is a relative of an employee of the AMC	2019-2020	0.38	^^	^^	^^
Ganesh Kumar Sivasubramanian	Distributor is a relative of an employee of the AMC	2018-2019	0.22	^^	^^	^^
Ganesh Kumar Sivasubramanian	Distributor is a relative of an employee of the AMC	2017-2018	^^	^^	^^	^^

^^ Amount less than Rs. 0.01 Crores or 0.01%

\$ Commission paid was not disclosed for FY 17-18 as the relative of the distributor has joined as an employee of the AMC during the FY 18-19.

\$\$ Commission paid was not disclosed for FY 19-20 as the relative of the distributor ceased to be an employee of the AMC during the FY 18-19.

\$\$\$ Commission paid was not disclosed for FY 17-18 & FY 18-19 as the relative of the distributor has joined as an employee of the AMC during the FY 19-20.

# The Distributor ceased to be an associate during June 2017 owing to cessation of directorship of the said company by a Director of the AMC.

## Commission paid was not disclosed for FY 17-18 as distributor became an associate of employee during the FY 18-19.

### Commission paid was not disclosed for FY 18-19 & FY 19-20 as the relative of the distributor ceased to be an employee of the AMC during the FY 17-18.

Subscription in issues lead managed by associate company (Amount in million)			
Name of the Scheme	2019-2020	2018-2019	2017-2018
ICICI Prudential All Seasons Bond Fund	1,750.00	3,251.51	1,000.00
ICICI Prudential Balanced Advantage Fund	1,325.61	5,354.71	2,234.53
ICICI Prudential Banking & PSU Debt Fund	6,650.00	18,861.00	4,753.21
ICICI Prudential Banking and Financial Services Fund	-	1,279.98	152.00
ICICI Prudential Bharat Consumption Fund	1.40	-	-
ICICI Prudential Bharat Consumption Fund - Series 1	13.46	-	-
ICICI Prudential Bharat Consumption Fund - Series 3	1.30	-	-
ICICI Prudential Bharat Consumption Fund - Series 5	0.35	-	-
ICICI Prudential Bluechip Fund	183.05	-	1,409.77
ICICI Prudential Bond Fund	1,750.00	3,502.69	-
ICICI Prudential Business Cycle Fund Series 1	-	67.30	304.99
ICICI Prudential Business Cycle Fund Series 3	-	-	0.22
ICICI Prudential Capital Protection Oriented Fund Series IX - Plan A - 1101 Days	-	37.32	-
ICICI Prudential Capital Protection Oriented Fund Series IX - Plan C - 1195 Days	-	17.56	-
ICICI Prudential Capital Protection Oriented Fund Series IX - Plan F - 1120 Days	-	14.00	-
ICICI Prudential Capital Protection Oriented Fund Series VI - Plan C - 1825 Days	-	4.96	-
ICICI Prudential Capital Protection Oriented Fund Series VII - Plan H - 1284 Days	-	17.02	-
ICICI Prudential Capital Protection Oriented Fund Series VIII - 1284 Days Plan J	-	7.79	-
ICICI Prudential Capital Protection Oriented Fund Series VIII - Plan A - 1300 Days	-	25.95	-
ICICI Prudential Capital Protection Oriented Fund Series VIII 1290 Days Plan I	-	1.72	-
ICICI Prudential Capital Protection Oriented Fund Series XIV - Plan A - 1275 Days	-	1,105.03	-
ICICI Prudential Child Care Fund	5.48	-	-
ICICI Prudential Child Care Plan-Study Plan ***	-	-	100.00
ICICI Prudential Corporate Bond Fund	23,319.00	17,213.03	4,503.21
ICICI Prudential Credit Risk Fund	4,789.00	5,500.00	1,617.00
ICICI Prudential Equity & Debt Fund	1,657.57	5,354.71	8,118.47
ICICI Prudential Equity Savings Fund	410.86	770.00	1,212.70
ICICI Prudential Exports and Services Fund	12.79	-	-
ICICI Prudential Fixed Maturity Plan - Series 87 - 1174 Days Plan B	38.00	-	-
ICICI Prudential Fixed Maturity Plan - Series 87 - 1214 Days Plan A	80.00	-	-
ICICI Prudential Fixed Maturity Plan-Series 81 - 1178 Days Plan H	-	-	49.86
ICICI Prudential Fixed Maturity Plan-Series 82 - 1135 Days Plan S	-	296.00	287.00
ICICI Prudential Fixed Maturity Plan-Series 82 - 1135 Days Plan U	-	-	852.81
ICICI Prudential Fixed Maturity Plan-Series 82 - 1135 Days Plan V	-	27.00	-
ICICI Prudential Fixed Maturity Plan-Series 82 - 1136 Days Plan P	-	480.00	596.00
ICICI Prudential Fixed Maturity Plan-Series 82 - 1157 Days Plan J	-	40.00	128.69
ICICI Prudential Fixed Maturity Plan-Series 82 - 1175 Days Plan Q	-	-	1,913.48
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan I	-	-	3,980.00
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan M	-	-	430.69
ICICI Prudential Fixed Maturity Plan-Series 82 - 1185 Days Plan N	-	-	750.40
ICICI Prudential Fixed Maturity Plan-Series 82 - 1187 Days Plan F	-	-	450.98
ICICI Prudential Fixed Maturity Plan-Series 82 - 1199 Days Plan L	-	-	881.01
ICICI Prudential Fixed Maturity Plan-Series 82 - 1203 Days Plan K	-	-	100.00
ICICI Prudential Fixed Maturity Plan-Series 82 - 1215 Days Plan H	-	-	515.00
ICICI Prudential Fixed Maturity Plan-Series 82 - 1219 Days Plan D	-	-	370.00
ICICI Prudential Fixed Maturity Plan-Series 83 - 1100 Days Plan O	-	490.47	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1103 Days Plan L	-	636.57	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1105 Days Plan F	-	245.58	-

ICICI Prudential Fixed Maturity Plan-Series 83 - 1107 Days Plan Q	-	253.96	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1108 Days Plan H	-	110.71	-
ICICI Prudential Fixed Maturity Plan-Series 83 - 1113 Days Plan E	-	76.13	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1224 Days Plan S	-	42.48	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1245 Days Plan N	-	1,003.00	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1247 Days Plan W	-	75.82	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1254 Days Plan U	-	32.83	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1272 Days Plan Q	-	167.96	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1275 Days Plan K	-	60.00	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1279 Days Plan P	-	1,000.00	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1286 Days Plan F	-	53.33	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1287 Days Plan I	-	20.00	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1288 Days Plan E	-	40.00	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1288 Days Plan O	-	507.00	-
ICICI Prudential Fixed Maturity Plan-Series 84 - 1293 Days Plan D	-	177.00	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1127 Days Plan Q	80.04	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1129 Days Plan P	150.08	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1140 Days Plan R	50.00	-	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1143 Days Plan J	-	60.00	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1156 Days Plan F	-	66.37	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1156 Days Plan G	-	148.63	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1168 Days Plan E	-	133.62	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1175 Days Plan D	-	87.88	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1178 Days Plan B	-	40.37	-
ICICI Prudential Fixed Maturity Plan-Series 85 - 1185 Days Plan C	-	122.40	-
ICICI Prudential Floating Interest Fund	5,500.00	2,891.04	2,250.00
ICICI Prudential Growth Fund - Series 1	0.80	-	1.05
ICICI Prudential Growth Fund - Series 2	19.89	-	-
ICICI Prudential Growth Fund - Series 3	0.47	-	-
ICICI Prudential India Opportunities Fund	27.30	-	-
ICICI Prudential India Recovery Fund - Series 1	-	56.20	257.98
ICICI Prudential India Recovery Fund - Series 2	-	-	286.35
ICICI Prudential India Recovery Fund - Series 3	-	-	168.03
ICICI Prudential India Recovery Fund - Series 7	0.21	-	25.05
ICICI Prudential Infrastructure Fund	-	-	305.52
ICICI Prudential Large & Mid Cap Fund	920.58	613.36	849.10
ICICI Prudential Liquid Fund	7,367.50	70,731.62	1,484.50
ICICI Prudential Long Term Bond Fund	-	1,402.31	-
ICICI Prudential Long Term Equity Fund (Tax Saving)	74.87	-	2,442.02
ICICI Prudential Medium Term Bond Fund	1,000.00	3,157.00	-
ICICI Prudential Midcap Fund	251.10	19.99	2,027.98
ICICI Prudential Money Market Fund	-	6,550.57	2,423.16
ICICI Prudential Multi-Asset Fund	1,183.37	4,503.70	4,837.29
ICICI Prudential Multicap Fund	42.11	-	-
ICICI Prudential Multiple Yield Fund Series 14 -1228 Days Plan A	-	-	30.05
ICICI Prudential Multiple Yield Fund Series 7 -1825 Days Plan B	-	6.95	-
ICICI Prudential Multiple Yield Fund Series 7 -1825 Days Plan C	-	16.76	-
ICICI Prudential Regular Savings Fund	-	1,019.99	-
ICICI Prudential Retirement Fund - Hybrid – Aggressive Plan	20.64	-	-
ICICI Prudential Retirement Fund - Hybrid – Conservative Plan	30.13	-	-
ICICI Prudential Retirement Fund - Pure Debt Plan	50.00	-	-
ICICI Prudential Retirement Fund - Pure Equity Plan	0.63	-	-
ICICI Prudential Savings Fund	20,600.00	24,004.17	15,900.00
ICICI Prudential Short Term Fund	25,469.00	13,852.52	6,000.00
ICICI Prudential Smallcap Fund	35.03	-	38.20
ICICI Prudential Technology Fund	20.02	-	-
ICICI Prudential Ultra Short Term Fund	-	963.68	7.36
ICICI Prudential Value Discovery Fund	110.00	-	16,064.00
ICICI Prudential Value Fund - Series 1	-	-	31.70
ICICI Prudential Value Fund - Series 10	126.19	41.50	73.33
ICICI Prudential Value Fund - Series 11	36.51	-	-
ICICI Prudential Value Fund - Series 12	12.82	-	145.74
ICICI Prudential Value Fund - Series 13	-	-	3.65
ICICI Prudential Value Fund - Series 14	5.66	-	-
ICICI Prudential Value Fund - Series 15	8.89	-	209.99
ICICI Prudential Value Fund - Series 16	303.55	562.52	384.63
ICICI Prudential Value Fund - Series 17	150.64	72.66	69.99
ICICI Prudential Value Fund - Series 18	0.09	120.31	-
ICICI Prudential Value Fund - Series 19	-	-	692.10
ICICI Prudential Value Fund - Series 2	-	-	1.47
ICICI Prudential Value Fund - Series 20	1.10	-	439.42
ICICI Prudential Value Fund - Series 3	-	30.04	37.63
ICICI Prudential Value Fund - Series 5	-	4.99	399.27
ICICI Prudential Value Fund - Series 6	-	-	272.58
ICICI Prudential Value Fund - Series 9	76.67	29.99	251.99
ICICI Prudential Value Fund Series 7	-	-	9.79
ICICI Prudential Value Fund Series 8	0.16	-	-

\*\*\* ICICI Prudential Gilt Fund - Investment Plan - PF Option, ICICI Prudential Short Term Gilt Fund and ICICI Prudential Gilt Fund - Treasury Plan - PF Option is merged in ICICI Prudential Gilt Fund. ICICI Prudential Dynamic Bond Fund is merged in ICICI Prudential Banking & PSU Debt Fund. ICICI Prudential Monthly Income Plan is merged in ICICI Prudential Regular Savings Fund. ICICI Prudential Child Care Plan-Study Plan is merged in ICICI Prudential Child Care Fund.

The above transactions were considered sound. Before making an investment, AMC evaluated the same on merits and on arms' length basis and in accordance with the objectives of the scheme.

<b>Redressal of Complaints received during the period April 2017 - March 2018</b>													
<b>Name of the Mutual Fund – ICICI Prudential Mutual Fund</b>													
<b>Total Number of Folios :66,54,129</b>													
Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the Year	(b) No. of Complaints received during the year	Action on (a) and (b)									
				Resolved				Non Actionable	Pending				
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months	
I A	Non receipt of Dividend on Units	0	137	134	0	0	0	0	0	3	0	0	0
I B	Interest on delayed payment of Dividend	0	1	1	0	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	2	68	67	2	1	0	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	9	7	1	1	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	28	3521	3512	7	1	0	0	0	29	0	0	0
II C	Data corrections in Investor details	0	231	231	0	0	0	0	0	0	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	2	227	226	1	0	0	0	0	2	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	3	426	429	0	0	0	0	0	0	0	0	0
IV	Others*	6	514	514	2	1	0	0	0	3	0	0	0
	<b>Total</b>	<b>41</b>	<b>5134</b>	<b>5121</b>	<b>13</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>37</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* If others include a type of complaint which is more than 10% of overall complaint, provide that reason separately.  
Example : Complaint number from I A to III E is 1000 and Others alone is 500 and transmission linked complaints (within others) are 200 then provide Transmission as separate reason (V) along with all other parameters  
# including against its authorized persons/ distributors/ employees. etc.  
**Non actionable** means the complaint that are incomplete / outside the scope of the mutual fund.

Redressal of Complaints received during the period April 2018 - March 2019												
Name of the Mutual Fund – ICICI Prudential Mutual Fund												
Total Number of Folios : 77,06,864												
Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the Year	(b) No. of Complaints received during the year	Action on (a) and (b)								
				Resolved				Non Actionable	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	3	89	92	0	0	0	0	0	0	0	0
I B	Interest on delayed payment of Dividend	0	1	1	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	0	53	52	0	0	0	0	1	0	0	0
I D	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	1	1	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	29	1561	1585	0	0	0	0	5	0	0	0
II C	Data corrections in Investor details	0	1260	1254	0	0	0	0	6	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	2	177	179	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	307	306	0	0	0	0	1	0	0	0
IV	Others*	3	110	113	0	0	0	0	0	0	0	0
	Total	37	3559	3583	0	0	0	0	13	0	0	0

ICICI Prudential Mutual Fund for FY 2018-2019 Complaints Summary	
Particulars	Count
Total Complaints Received	3559
Total Number of Folios	7,706,864
Percentage Complaints Against Folios	0.05%

\* If others include a type of complaint which is more than 10% of overall complaint, provide that reason separately.  
Example : Complaint number from I A to III E is 1000 and Others alone is 500 and transmission linked complaints (within others) are 200 then provide Transmission as separate reason (V) along with all other parameters  
# including against its authorized persons/ distributors/ employees. etc.  
**Non actionable** means the complaint that are incomplete / outside the scope of the mutual fund.



Redressal of Complaints received during the period April 2019 - March 2020												
Name of the Mutual Fund - ICICI Prudential Mutual Fund												
Total Number of Folios :93,84,601												
Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of	(b) No. of Complaints received during the year	Action on (a) and (b)								
				Resolved				Non Actionable	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	0	26	26	0	0	0	0	0	0	0	0
I B	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	1	41	42	0	0	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	1	1	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	5	497	500	0	0	0	0	2	0	0	0
II C	Data corrections in Investor details	6	1063	1066	0	0	0	0	3	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	0	161	156	0	0	0	0	5	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	1	517	518	0	0	0	0	0	0	0	0
IV	Others*	0	34	34	0	0	0	0	0	0	0	0
	Total	13	2340	2343	0	0	0	0	10	0	0	0

ICICI Prudential Mutual Fund for FY 2019-2020 Complaints Summary

Particulars	Count
Total Complaints Received	2340
Total Number of Folios	93,84,601
Percentage Complaints Against Folios	0.02%

\* If others include a type of complaint which is more than 10% of overall complaint, provide that reason separately.

Example : Complaint number from I A to III E is 1000 and Others alone is 500 and transmission linked complaints (within others) are 200 then provide Transmission as separate reason (V) along with all other parameters.

# including against its authorized persons/ distributors/ employees. etc.

**Non actionable** means the complaint that are incomplete / outside the scope of the mutual fund.

## Investor Complaints Report

Redressal of Complaints received against Mutual Funds (MFs) for the month of April 2020 -May 2020

Name of Mutual Fund : ICICI Prudential Mutual Fund

Total Number of Folios : 96,76,350

Complaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning	(b) No. of Complaints received during the months	Action on (a) and (b)								
				Resolved				Non Actionable *	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	0	0	0	0	0	0	0	0	0	0	0
I B	Interest on delayed payment of Dividend	0	0	0	0	0	0	0	0	0	0	0
I C	Non receipt of Redemption Proceeds	0	4	4	0	0	0	0	0	0	0	0
I D	Interest on delayed payment of Redemption	0	0	0	0	0	0	0	0	0	0	0
II A	Non receipt of Statement of Account/Unit Certificate	0	0	0	0	0	0	0	0	0	0	0
II B	Discrepancy in Statement of Account	2	32	28	0	0	0	0	6	0	0	0
II C	Data corrections in Investor details	3	64	52	0	0	0	0	15	0	0	0
II D	Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0
III A	Wrong switch between Schemes	5	6	11	0	0	0	0	0	0	0	0
III B	Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0
III C	Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
III D	Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	0	7	6	0	0	0	0	1	0	0	0
IV	Others@	0	1	1	0	0	0	0	0	0	0	0
Total		10	114	102	0	0	0	0	22	0	0	0

# including against its authorized persons/ distributors/ employees. etc.

\* Non actionable means the complaint that are incomplete / outside the scope of the mutual fund.

@ If others include a type of complaint which is more than 10% of overall complaint, provide that reason separately